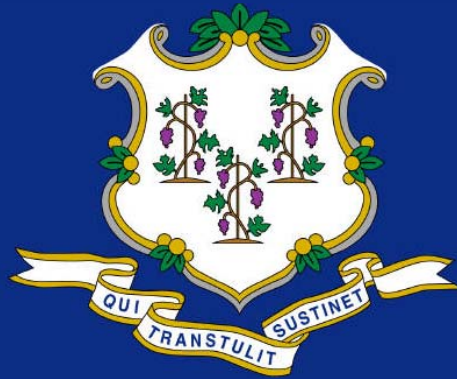


FY 2013

**GOVERNOR'S MIDTERM
BUDGET ADJUSTMENTS**



CONNECTICUT

DANNEL P. MALLOY, GOVERNOR

February 8, 2012

OFFICE OF POLICY AND MANAGEMENT

OFFICE OF THE SECRETARY

Benjamin Barnes, Secretary
Karen Buffkin, Deputy Secretary
Kathleen Taylor, Executive Secretary

Gian-Carl Casa, Undersecretary
Anne Foley, Undersecretary
Michael Lawlor, Undersecretary
David LeVasseur, Undersecretary
Brian Durand, Leadership Associate

BUDGET AND FINANCIAL MANAGEMENT DIVISION

Paul Potamianos, Executive Budget Officer
Gregory Messner, Assistant Executive Budget Officer
Maryann Boord, Executive Secretary

GENERAL GOVERNMENT

Patti Maroney, Section Director
Linda Ecklund, Budget Analyst
John Jaramillo, Budget Specialist
Christopher LeMay, Principal Budget Specialist
Melissa Yeich, Budget Analyst

HEALTH & HUMAN SERVICES

Judith Dowd, Section Director
Jessica Cabanillas, Budget Analyst
Susan Eccleston, Principal Budget Specialist
Alison Newman Fisher, Budget Analyst
Caty Patton, Budget Analyst
Joan Soulsby, Principal Budget Specialist

ECONOMICS, CAPITAL, AND REVENUE FORECASTING

Thomas Fiore, Section Director
Troy Helming, Economist
Steve Kitowicz, Principal Budget Specialist
Kristin Wirtanen, Principal Budget Specialist

APPLICATION DEVELOPMENT & SUPPORT,
STATE WORKFORCE BENEFITS,
LABOR ISSUES, AND STATEWIDE TOPICS
Karen Nolen, Principal Budget Specialist
Charles Pomeroy, Principal Budget Specialist

EDUCATION AND WORKFORCE PROGRAMS

John Noonan, Section Director
Leah Grenier, Principal Budget Specialist
Kerry Kelley, Principal Budget Specialist
Shelly Maynes, Budget Analyst

OTHER ACKNOWLEDGEMENTS

Matthew Cole, Budget Intern
Brian Tassinari, Budget Intern
Nancy McLean, Executive Secretary
Meg Riding, Executive Secretary
Laura Mirante, Clerk Typist

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INTRODUCTION

INTRODUCTION: BUILDING AN ECONOMIC REVIVAL

One year ago, Connecticut was at a crossroads. Years of reckless financial practices had saddled the state and its taxpayers with a \$3.2 billion deficit projected in FY 2012, and \$2.9 billion in FY 2013, among the largest per capita deficits in the nation. The state's long-term liabilities had also grown to crushing levels through short-sighted neglect. There was simply no way we could move forward on the type of bold initiatives necessary to turn the state around and grow jobs without first addressing our systemic and longstanding fiscal problems. In short, the bills had come due.

In response, Governor Malloy proposed a new kind of budget, one aimed at creating "a government for 21st century Connecticut that is efficient in structure, sustainable in cost, excellent in service and successful in job creation." Working with the General Assembly, the Governor developed a biennial budget for FY 2012 and FY 2013 that laid a new foundation for the economic revival that Connecticut needs. It paid down borrowing that had covered the deficit from FY 2009. It fully funded all of our required pension contributions for both state employees and teachers. It shrunk state government, while maintaining municipal aid. It preserved the state's healthcare and social services safety net.

Add to that a rigorous initiative to grow jobs, including a historic bipartisan special session, and the result is a state that is finally ready and able to compete in the global marketplace. Today, Connecticut can proudly say that it has added new jobs for the first time in three years – 9,000 new jobs were created in 2011 – and that our unemployment rate has dropped from 9.1 percent to 8.2 percent, and continues to head downward.

Still, Connecticut faces serious challenges. While the balanced approach of shared sacrifice has proven to be more sustainable than the slash-and-burn tactics of neighboring states, a turbulent global economy and the challenge of restructuring our relationship with state employees have prompted adjustments along the way. We adapted, but remain steadfastly committed to living within our means. Connecticut residents can feel secure that at the end of this fiscal year and beyond, our books will remain in balance based on Generally Accepted Accounting Principles (GAAP).

Working with the General Assembly, the Governor has established honest budgeting as a cornerstone for Connecticut's future. The question is, how do we build on this new foundation? The Governor's proposed midterm budget for FY 2013 answers that question in three ways.

First, Connecticut must **maintain the fiscal responsibility and discipline** set forth in the biennial budget by working to keep its books balanced on a GAAP basis. These budget adjustments meet that goal without tax increases. Spending increases in the areas of education and pension contributions are offset in part by new savings in agency budgets, including several progressive policy changes to Medicaid.

Second, we need to **continue our efforts to grow jobs**. That means pushing aggressively to implement the far reaching provisions of the jobs bill passed a few months

FY 2013 BUDGET ADJUSTMENTS

SIGNIFICANT INITIATIVES

ALL FUNDS (\$ in millions)

Education	\$	128
Pension Reform *		98
Safety Net and Other Adjustments (NET)		103
TOTAL	\$	329

* Total is \$123 million, including \$25 million from other funds e.g., federal, insurance, non-appropriated

ago. By doing so, we will be supporting industries that have made Connecticut great: insurance, manufacturing, a vibrant network of small businesses, and more. It also means aggressively pursuing new opportunities, be they in bioscience, finance, insurance, or sports entertainment.

Third, we must **reform education in Connecticut**. Not only is a quality education a moral obligation the state has to every child, it is the smartest and soundest financial investment we can make for our future and our revival. This budget proposes \$128 million in new school spending, with much of it targeted to our lowest performing schools – again, without any tax increases.

These ideas are about more than simply recovering from our problems. Taken together they are the building blocks of an **economic revival** – a Connecticut where there are thousands of new, good jobs, where we’re educating the next generation of workers to stay and fill those jobs, where state government is a better partner for families and businesses.

Fiscal responsibility, growing jobs and an educated workforce make Connecticut a better place today, and a stronger global competitor in the future.

This midterm budget will look back on how the state has improved management of its finances over the past calendar year and lay out the Governor’s proposed adjustments for the remainder of the biennium.

SUMMARY OF APPROPRIATION CHANGES

(In Millions)

	Enacted Appropriation <u>FY 2013</u>	Net Adjustments <u>FY 2013</u>	Recommended Appropriation <u>FY 2013</u>	% Growth Over <u>Enacted</u>
General Fund	\$ 18,952.5	\$ 313.9	\$ 19,266.4	1.7%
Special Transportation Fund	1,277.8	15.7	1,293.5	1.2%
Mashantucket Pequot & Mohegan Fund	61.8	-	61.8	0.0%
All Other Funds	107.9	(0.6)	107.2	-0.6%
Total	<u>\$ 20,400.0</u>	<u>\$ 329.0</u>	<u>\$ 20,729.0</u>	<u>1.6%</u>

SUMMARY OF EXPENDITURE GROWTH

(In Millions)

	Estimated Expenditures <u>FY 2012</u>	Net Adjustments <u>FY 2013</u>	Recommended Appropriation <u>FY 2013</u>	% Growth Over <u>FY 2012</u>
General Fund	\$ 18,692.5	\$ 573.9	\$ 19,266.4	3.1%
Special Transportation Fund	1,226.1	67.4	1,293.5	5.5%
Mashantucket Pequot & Mohegan Fund	61.8	-	61.8	0.0%
All Other Funds	109.7	(2.4)	107.2	-2.2%
Total	<u>\$ 20,090.1</u>	<u>\$ 638.9</u>	<u>\$ 20,729.0</u>	<u>3.2%</u>

PART 1: 2011 IN REVIEW

STABILIZING OUR FINANCES

In passing the budget for FY 2012 and FY 2013, the Governor and the Legislature made clear that they were committed to finally ending budgeting gimmicks that had, unfortunately, become the norm. For years state leadership found ways to ignore structural problems and push off our obligations, preferring to place the burden on our children rather than face these challenges head on.

The first step in changing that approach was **moving to Generally Accepted Accounting Principles (GAAP)**, which Governor Malloy did with Executive Order 1 on his first day in office. In addition to reserving \$75 million in FY 2012 and \$50 million in FY 2013 to ensure a balanced budget on a GAAP basis, the Office of Policy and Management has been working with state agencies during the past year to implement GAAP in our day-to-day business, including planning for Core-CT updates and implementing new training and procedures necessary to operate under GAAP. The state remains on target to meet its July 1, 2013 goal for these steps.

Connecticut also took a renewed approach to meeting its debt obligations. The state fully funded required pension contributions for both state employees and teachers – meaning no pension holidays or reduced contributions, as was done in FY 2009, FY 2010 and FY 2011. As part of this year’s budget, the Governor proposes taking that approach one step further by restructuring how we pay down our long-term pension liability to **save nearly \$6 billion over the next 20 years**.

When it comes to ending years of deferring tough decisions, there is no quick fix. However, the practices that have been put in place are a new blueprint for how Connecticut can and will manage its finances in the years ahead. They force us to take an honest, forthright approach to budgeting, and in doing so put us on firmer fiscal footing.

RESTRUCTURING OUR RELATIONSHIP WITH STATE EMPLOYEES

None of the various reforms to state government would amount to real change were it not for the historic agreement reached between the state and its employees, an agreement that will **save Connecticut taxpayers billions of dollars in the coming years** through lower healthcare costs, foregone salary increases, and less generous pensions.

This restructured relationship puts state government on a sustainable course. Perhaps most importantly, it was done without using gimmicks such as furlough days, shortened work weeks, or retirement incentives.

MAKING GOVERNMENT SMALLER AND MORE EFFECTIVE

Changing how Connecticut does business began with a critical look at how the state delivers services. The simple truth is that for years, Connecticut state government was neglected, and in too many areas the inattention showed in poor outcomes, high costs, and a lack of dynamism and flexibility.

In the adopted budget, the state took meaningful steps forward to rectify that problem, starting with a drastic reduction in the number of state agencies, going **from 81 separate line-item state agencies down to 59**. As part of this year’s proposed adjustments, **that number would shrink further, to 52**. These consolidations allow the state to operate more efficiently and more effectively, with less redundancy and fewer barriers between state services and the Connecticut residents who need them.

But consolidating state agencies isn't enough. Today, **there are 2,700 fewer state employees** than there were a year ago. These reductions have come through attrition, without resorting to expensive early retirement packages as in the past, and without layoffs adding thousands to the ranks of Connecticut's unemployed.

In the remainder of the biennium, the administration will continue to find ways to make state government more efficient and more cost effective. In trying times, Connecticut families and businesses know that they simply have to find ways to do more with less. Their state government should be no different.

GROWING JOBS IN THE PRIVATE SECTOR

Last year, as the General Assembly, the Executive Branch, and members of the public weighed in on the budget process, there was at least one thing that everyone could agree on: Connecticut desperately needed to grow jobs. To that end the enacted budget, as well as subsequent actions prioritized job growth and economic development.

The first critical piece of the equation was the Governor's "First Five" initiative, designed to provide extraordinary incentives to entice new business and grow jobs. First Five allowed the commissioner of the Department of Economic and Community Development (DECD), with the consent of the Governor, to combine existing incentives and tax credit programs to attract new business development that would commit to creating new jobs and invest in Connecticut. The program has already shown great success, with four companies – CIGNA, ESPN, Ticket Network, and NBC Sports – signed on and committed to bringing hundreds of new jobs to the state.

Building on that work, state leadership came together in October for an unprecedented bipartisan special session aimed at creating new jobs, spurring innovation and making Connecticut more competitive. Among other initiatives, the final jobs package built new job creation incentives for small business, reduced burdensome regulations, created a new e-business portal to make it easier for companies to access programs and services, and set aside \$25 million for startup company loans and another \$20 million for manufacturing technology programs.

During that same special session, Connecticut sent a message to the country and around the world that it would be a major player in the bioscience industry for years to come. Building on the bioscience plan for UConn Health Center launched earlier in 2011, the Governor announced that Jackson Laboratories was coming to Connecticut. The collaborative effort between Jackson Labs, the State of Connecticut, the University of Connecticut and Yale University will create 6,800 permanent jobs associated with the facility over 20 years, including 300 direct jobs within 10 years and 600 direct jobs within 20 years.

After a year of refocused effort on job creation, and despite a challenging environment, the state has been able to compete in a way that seemed impossible just one year ago. Connecticut is already richer by 9,000 new jobs, with more in the pipeline, and momentum building.

STAYING IN THE BLACK

While the FY 2012 and FY 2013 biennial budget took an honest and sober approach to balancing the budget, it's no secret that the national and global economies have been tumultuous. As the state began 2012, new consensus revenue estimates showed slow growth in income tax revenues – particularly among higher income taxpayers – affecting the state's projections. A similar trend has also been seen in New York, New Jersey, Massachusetts and elsewhere.

In order to achieve the savings necessary to ensure the year ends in balance and without asking for further sacrifice from Connecticut taxpayers, the Governor requested that the Office of Policy and Management immediately develop a rescission plan. In January, the state did exactly that – cutting \$34.3 million in program expenses, while tightening our hiring processes to save even more. The rescissions amounted to less than two tenths of one percent of the General Fund budget.

None of the rescissions are easy, but all are necessary to maintain a balanced budget. Instead of having a structural multi-billion dollar deficit, and despite slow national recovery and uncertain revenues, Connecticut expects to close the current fiscal year in the black.

PART 2: LOOKING AHEAD

We’ve come through the challenges of 2011 together. Fiscal discipline and a focus on jobs have gotten us this far – a stabilized budget and real progress on reducing unemployment. Connecticut can now build on that foundation.

This budget proposal seeks a spending increase of \$329 million across all funds, or about 1.6 percent more than the enacted budget for FY 2013. Of that total, \$98 million supports increases in pension payments, \$128 million goes toward new funding for public education, and the remaining \$103 million will help maintain the safety net and other critical public services relied on by so many Connecticut residents. *These changes are proposed without any tax increases.*

Average Annual Growth Rates		
<u>Fiscal Years</u>	<u>Number of Fiscal Years</u>	<u>Total All Funds</u>
FY '96- FY '05	10	4.8%
FY '06 - FY '11	6	4.5%
FY '12 - FY '13 (proj.)	2	3.8%

These initiatives, and others, are described in greater detail in the sections that follow.

EDUCATION REFORM

In order to grow the next generation of highly skilled workers and to meet our moral obligation to our children, Connecticut must eliminate its worst-in-the-nation achievement gap and better prepare students for college and good careers. In order to do this, we need to undertake major education reform – from early childhood through college. Some of this can be accomplished with new policies and practices, but more money will also, by necessity, be part of the solution.

Total New Funding for Education FY 2013 (in millions)	
ECS	\$ 68.6
Commissioner's Network	\$ 22.9
Early Childhood	\$ 7.0
Talent Development	\$ 12.0
CTHSS Trade Supplies	\$ 0.5
<u>Other Initiatives to Reform Education</u>	<u>\$ 17.0</u>
Total New Funding for Education	\$ 128.0

ELEMENTARY AND SECONDARY EDUCATION

The unfortunate truth is that since FY 2009 the Education Cost Sharing (ECS) Grant has been flat funded, making efforts to turn around student achievement difficult at best. Governor Malloy's budget infuses much-needed funding into the ECS formula to directly support and improve student achievement.

For the FY 2013 Midterm Budget, Governor Malloy has outlined six priorities for education reform which will help the state narrow the achievement gap and restore Connecticut as a model for academic excellence.

1. Enhance families' access to high-quality early childhood education opportunities

In order to affect meaningful change, reform must start at the preschool level. To that end, additional funding is budgeted for the development of a Tiered Quality Rating and Information System (TQRIS) as well as for increased access to quality preschool programming. In an effort to continue the good work of the proposed early childhood plan outlined in the state's "Race to the Top – Early Learning Challenge" and as called for in Public Act 11-181, the Governor is recommending this funding for TQRIS and the reallocation of Connecticut Charts-a-Course funding from the Department of Social Services (DSS) to the State Department of Education (SDE) as another step to build a coordinated early childhood system.

To increase access to quality school readiness programs, the Governor's budget includes:

- \$4 million in funding for an additional five hundred school readiness slots;
- \$5 million in bond funds to develop the Tiered Quality Rating and Information System (TQRIS) for Early Childhood; and
- \$3 million in professional development and incentives for using the new system.

2. Authorize the intensive interventions and enable the supports necessary to turn around Connecticut's lowest-performing schools and districts

The recommended budget includes \$22.9 million in funding to create a "Commissioner's Network." Comprised of the lowest performing schools, the network would give SDE significant latitude to intervene in low performing schools and provide new school management. Teachers and school leaders in network schools would be eligible for significant compensation and other incentives to work – and remain – in turnaround schools. These incentives could include signing bonuses and pay increments in return for minimum length of service.

3. Expand the availability of high-quality school models, including traditional schools, magnets, charters, and others

The Governor's budget includes additional funding to expand and replicate high quality school models, including charter, magnet and CommPACT schools. The charter school formula will be integrated into the ECS appropriation to ensure financial security for these schools. The recommended budget includes:

- Additional funding for charter schools to increase the state per-pupil grant from the current \$9,400 to \$11,000 per-pupil as well as expand the number of slots for charter schools. There is also established a municipal share of \$1,000 per student that a sending district would pay to charter schools that its resident students choose to attend;

- \$5 million to fund an increase in the per pupil grants for non-Sheff magnet schools in order to phase in parity for those magnet schools with the Sheff magnet schools;
- \$750,000 for the Vocational Agriculture Program and a new competitive grant that aims to increase the number of students coming from Priority School Districts (PSDs) while also increasing overall enrollment; and
- Increased funding of \$7 million for compliance with the Sheff settlement through the reallocation of \$5 million from the Interdistrict Cooperation grant, plus \$2 million in additional state funding in order to reach the FY 2013 desegregation requirement of 80 percent of Hartford students' demand for an education in a reduced isolation setting, or 41 percent of Hartford minority students in a reduced isolation setting.

The Connecticut Technical High School System (CTHSS) Task Force has made several additional recommendations, including:

- Enhancing planning and development through the collaboration of various state and private entities;
- Improving the governance structure by creating a new board with eight members, four of whom are appointed by the State Board of Education and four of whom are recommended by Regional Chambers of Commerce;
- Making the system more efficient and fiscally accountable; and
- Providing additional trade supply funding in order to support hands-on training opportunities for students – \$500,000 to increase the amount of trade supplies to \$1.33 per student, per day – an increase of 55 cents.

The CTHSS is an important resource for the educational needs and economic prosperity of Connecticut. The task force's goals align well with Governor Malloy's initiative to make education a priority and focus on workforce training initiatives and recommendations that came out of the October 2011 special session on jobs.

4. Unleash innovation by removing red tape and other barriers to success, especially in high-performing schools and districts

Teacher certification is the largest bureaucratic concern for districts. The Governor is recommending an overhaul of the certification process, including:

- Eliminating the continuing education requirements and the Master's degree requirement for attaining a professional certificate; and
- Expanding reciprocity with educators who are certified in out-of-state institutions of higher education and Alternative Route to Certification programs through a Commissioner's waiver.

5. Ensure that schools are home to the very best teachers and principals – working within a fair system that values skill and effectiveness over seniority and tenure

The Governor's recommended budget includes funding in the Office of Financial and Academic Affairs for Higher Education to attract the best teachers by awarding loan forgiveness funding to new teachers who

graduated from top education-preparation schools and choose to teach in Connecticut’s lowest performing schools. The budget also includes \$12 million in funding for:

- Recruiting and developing the best education professionals;
- A new performance-based system for tenure, in which teachers will have to demonstrate proficiency;
- Training for teachers and evaluators, as well as school and district leaders on a new teacher-evaluation system; and
- New ways to develop talented teachers and strengthen recruitment of quality teachers and leaders.

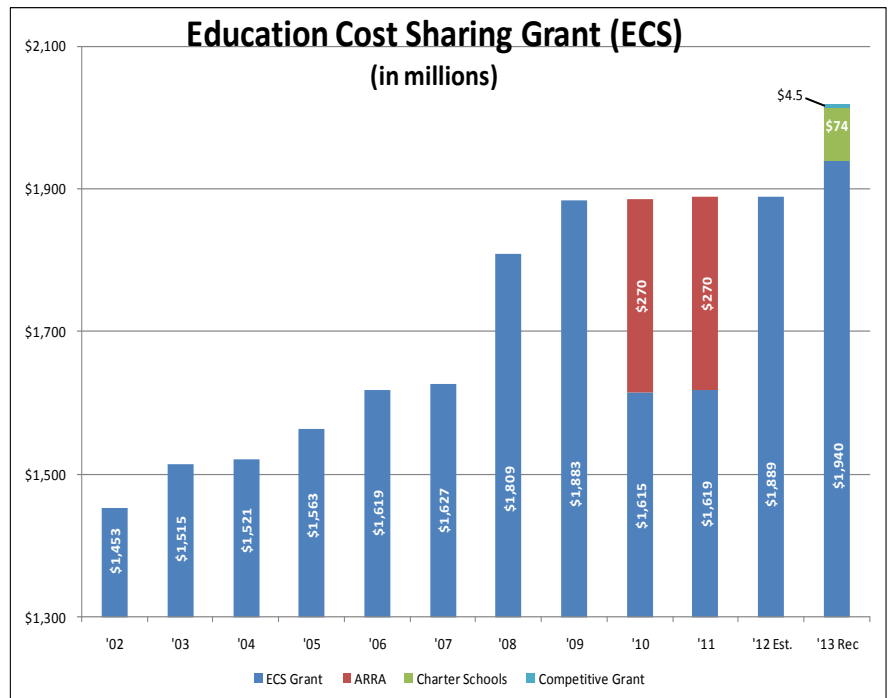
6. Deliver more resources, targeted to districts with the greatest need – provided that they enact key reforms that position our students for success

The Governor is recommending \$50 million in additional general Education Cost Sharing (ECS) funding. \$39.5 million of this new money will go to the lowest performing districts, based on their ability to achieve reforms, such as:

- Increased school learning time, recruitment and compensation of the most effective teachers and leaders;
- Cooperation with at least one district to achieve one percent savings; and
- Training of school leaders and evaluators in the new teacher-evaluation model.

Districts could also coordinate support services, coordinate with early education providers, develop an interim assessment system or provide reading support for all grade eight students reading below grade level.

The budget also includes charter school funding, as noted above, and \$4.5 million for a competitive grant program that would provide two to three districts with one-time funding for their education reform initiatives.



The Education Cost sharing Grant (ECS) is the state's major education grant, designed to equalize the ability of towns to finance local education costs.

The budget for FY2010 and FY2011 included federal ARRA SFSF funding of \$269 million (14% of the grant).

HIGHER EDUCATION

Additional Faculty Support

Higher education creates research and technology jobs, generates revenue, and keeps Connecticut’s talented young people here in the state. The recommended budget adjustments include funding to support additional faculty at the University of Connecticut to help it remain competitive with the nation’s top 20

public institutions of higher education, and to ensure its continued affordability for students. By investing more than \$1 million this year for additional faculty, the university will embark on a four-year plan to lower the student-to-faculty ratio from 18:1 to 15:1. Without this General Fund support, the university anticipates that student tuition would increase an additional 0.5 percent per year.

Support for Elementary and Secondary Teachers

Collaboration among early childhood, K-12 education, higher education and workforce training is critical to creating an effective education-to-career pathway that maximizes the number of Connecticut residents with a postsecondary degree or other skills and credentials. The Governor recognizes the need for placing high quality teachers in the most troubled school districts by proposing to establish the “Attract the Best” program. By reallocating funds from the Connecticut Independent Colleges Student Grant program, \$1.0 million is available in FY 2013 to provide financial incentives to the top graduates from the state’s teaching programs to teach in the districts with the greatest need.

PENSION REFORM

Restoring Connecticut to sound fiscal footing has been a priority for Governor Malloy over the past year. One of the most significant unfunded liabilities facing Connecticut relates to the state’s pension fund more than \$11.7 billion as of the June 30, 2010 valuation date. Unfortunately, decisions made by past administrations have taken short-term savings at the expense of pushing out liabilities—and payments—many years into the future. If left unchanged, the state’s contributions to the retirement fund would reach unsustainable levels—levels that the Governor believes no administration will be able to honor. The state must make sound investments now that will both improve the level of funding in the near term as well as save the taxpayers of Connecticut money over the long term.

Connecticut’s State Employee Retirement System (SERS) is funded today at less than 48 percent. The current payment schedule projects reaching one hundred percent funding in 2032, but defers so much of the contributions that to fully fund the system, the payment in the final year would have to be nearly \$4.5 billion – more than four times our current payment. These unmanageable balloon payments in the future would be necessary because the state is losing the opportunity to invest pension funds and enjoy the long-term investment earnings. This is a failed approach and we need to change it.

Accordingly, Governor Malloy proposes a change to the pension funding schedule that will prevent a dramatic spike in the state’s required contributions and avoid a potential fiscal crisis. As noted above, under the current contribution schedule, in order to reach full funding the state’s contributions will grow each year until they reach \$4.5 billion in FY 2032. A payment of that magnitude would either decimate funding for schools and other critically important programs or force the state to raise taxes. These choices are simply unacceptable. Under the Governor’s proposal, restructuring the payment schedule will save the state \$5.8 billion over the next twenty years, allowing the fund to reach one hundred percent funding in FY 2032, putting the pension system on the road to long-term sustainability.

How? The Governor’s proposal consists of three interrelated steps:

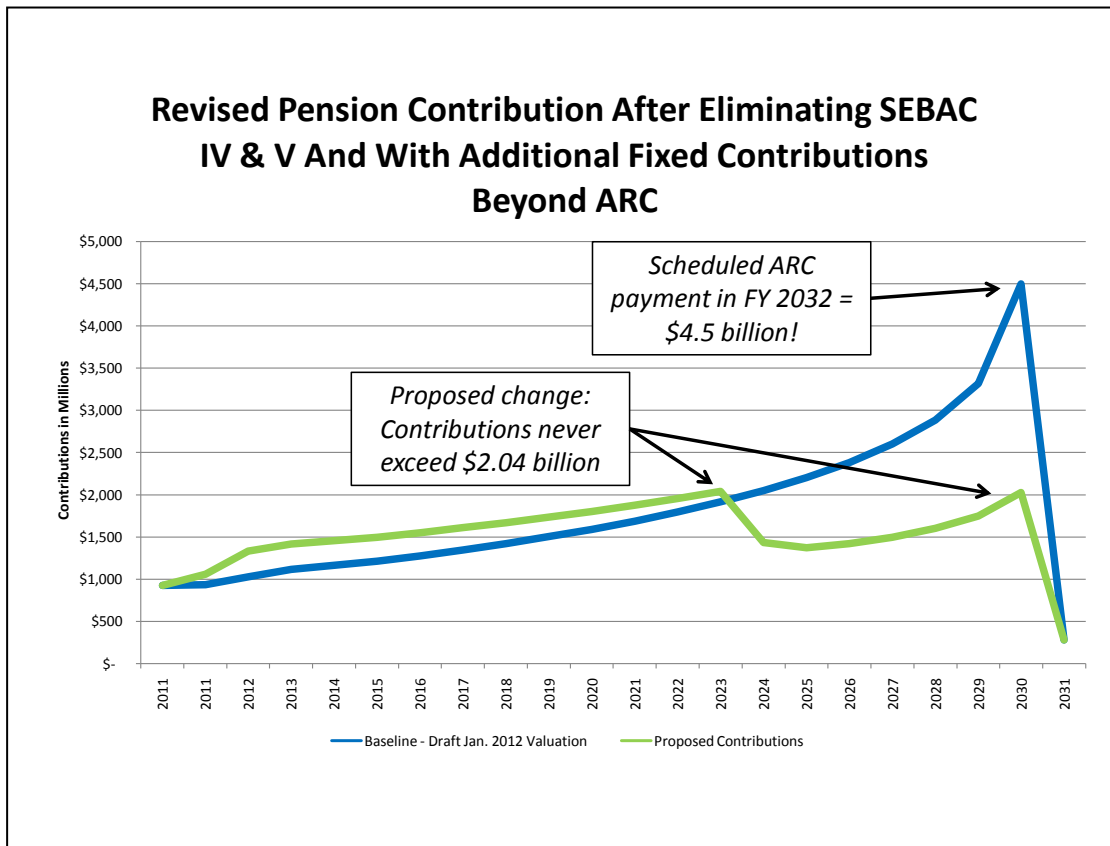
1. Eliminating the SEBAC IV and V provisions related to pension funding. These agreements were adopted in 1995 and 1997 in order to reduce pension contributions and pushed our payments out well into the future. Eliminating these provisions will increase the state’s annually required contribution to the fund by about \$123 million in FY 2013, with declining additional contributions

through 2023. Ultimately tax payers will save more than \$2 billion over the next two decades. Governor Malloy has begun the process of actively seeking the approval of the Retirement Commission and the State Employees Bargaining Agent Coalition for this change.

2. Appropriating additional funds over and above the Annually Required Contribution, beginning in FY 2014, in order to achieve 80 percent funding in FY 2025. These additional payments have been estimated by the state’s pension actuary at approximately \$177.4 million annually.
3. Amending the spending cap to exclude pension contributions in excess of the Annually Required Contribution. There should be no limits on our ability to be responsible with the public’s money.

The result of these actions will be an aggregate savings of \$5.8 billion between now and 2032, according to the state’s pension actuary.

Valuation year	January 2012 draft valuation - Baseline		Proposed Changes		
	ARC	Funded ratio	Total Contribution	Cumulative Alternative vs. Baseline	Funded ratio
2011	\$ 926,372	47.9%	\$ 926,372		47.9%
2011	936,259	47.6%	1,059,652	\$ 123,393	47.6%
2012	1,027,068	45.5%	1,332,776	429,101	45.5%
2013	1,117,719	44.0%	1,418,339	729,721	44.6%
2014	1,164,885	45.0%	1,458,498	1,023,334	47.0%
2015	1,212,660	46.2%	1,497,812	1,308,486	49.7%
2016	1,278,280	46.8%	1,553,349	1,583,555	51.7%
2017	1,348,789	47.5%	1,611,889	1,846,655	53.9%
2018	1,423,758	48.4%	1,672,673	2,095,570	56.3%
2019	1,504,520	49.4%	1,736,621	2,327,671	58.8%
2020	1,592,131	50.6%	1,804,264	2,539,804	61.6%
2021	1,689,498	52.1%	1,877,825	2,728,131	64.6%
2022	1,796,992	53.8%	1,956,759	2,887,898	67.9%
2023	1,917,250	55.8%	2,042,435	3,013,083	71.5%
2024	2,051,206	58.2%	1,435,817	2,397,694	75.5%
2025	2,204,265	61.1%	1,376,015	1,569,444	80.0%
2026	2,383,154	64.5%	1,422,061	608,351	82.5%
2027	2,601,547	68.5%	1,500,358	(492,838)	84.9%
2028	2,887,435	73.4%	1,603,288	(1,776,985)	87.6%
2029	3,318,167	79.3%	1,749,678	(3,345,474)	90.7%
2030	4,498,776	86.6%	2,030,851	(5,813,399)	94.4%
2031	282,094	100.0%	282,094	(5,813,399)	100.0%



TEACHERS' RETIREMENT HEALTH FUNDING

The Teachers' Retirement Board (TRB) administers a health insurance premium account, which is used for the payment of premiums on behalf of members participating in the health plans offered by TRB and for payments to local boards of education for retired teachers who receive their health coverage through their last employing board of education. The health fund receives funding from three primary sources: retirees, active teachers (who contribute 1.25 percent of their salary into the fund) and the state. The fund also receives reimbursements from the Medicare Part D prescription drug program.

Retired teachers who participate in Medicare Parts A and B may enroll in the TRB basic health plan, which provides medical and pharmacy coverage. The cost of premiums for the basic health plan is covered one-third by the retiree, one-third by the state and one-third by the health fund. Prior to FY 2006, the state's share was 25 percent. Since FY 2006, enrollment in the plan has risen dramatically, driving the state's cost up from \$12.4 million in that year to more than \$30.5 million next fiscal year.

The Governor's budget proposes that the responsibility for premium costs be revised by returning the state's share to twenty-five percent of the premium. The health fund's share will remain at 33 percent while retirees will pay 42 percent of the premium.

In addition to the basic health plan, TRB also pays a subsidy to local boards of education or municipalities on behalf of retired teachers who participate in a health insurance plan maintained by a board of education.

The state currently pays one-third of the subsidy and the TRB health fund pays the remaining two-thirds. As with the TRB-sponsored health plan, the Governor's budget proposes that the state's share be reduced from one-third of the cost of the subsidy to one-quarter, which was the case prior to FY 2006.

Finally, the reimbursements that TRB receives under the Medicare Part D prescription drug program are currently deposited into the health fund. The Governor's proposal includes using this reimbursement to offset the state's share of the premium for the TRB sponsored health plan in the same way that Part D reimbursements received for retired state employees offset the state's share of retired state employees' health costs.

These modest changes will ensure the long-term viability of these important programs without unduly shifting costs from local districts and retired teachers onto state taxpayers.

HOUSING AND ECONOMIC DEVELOPMENT

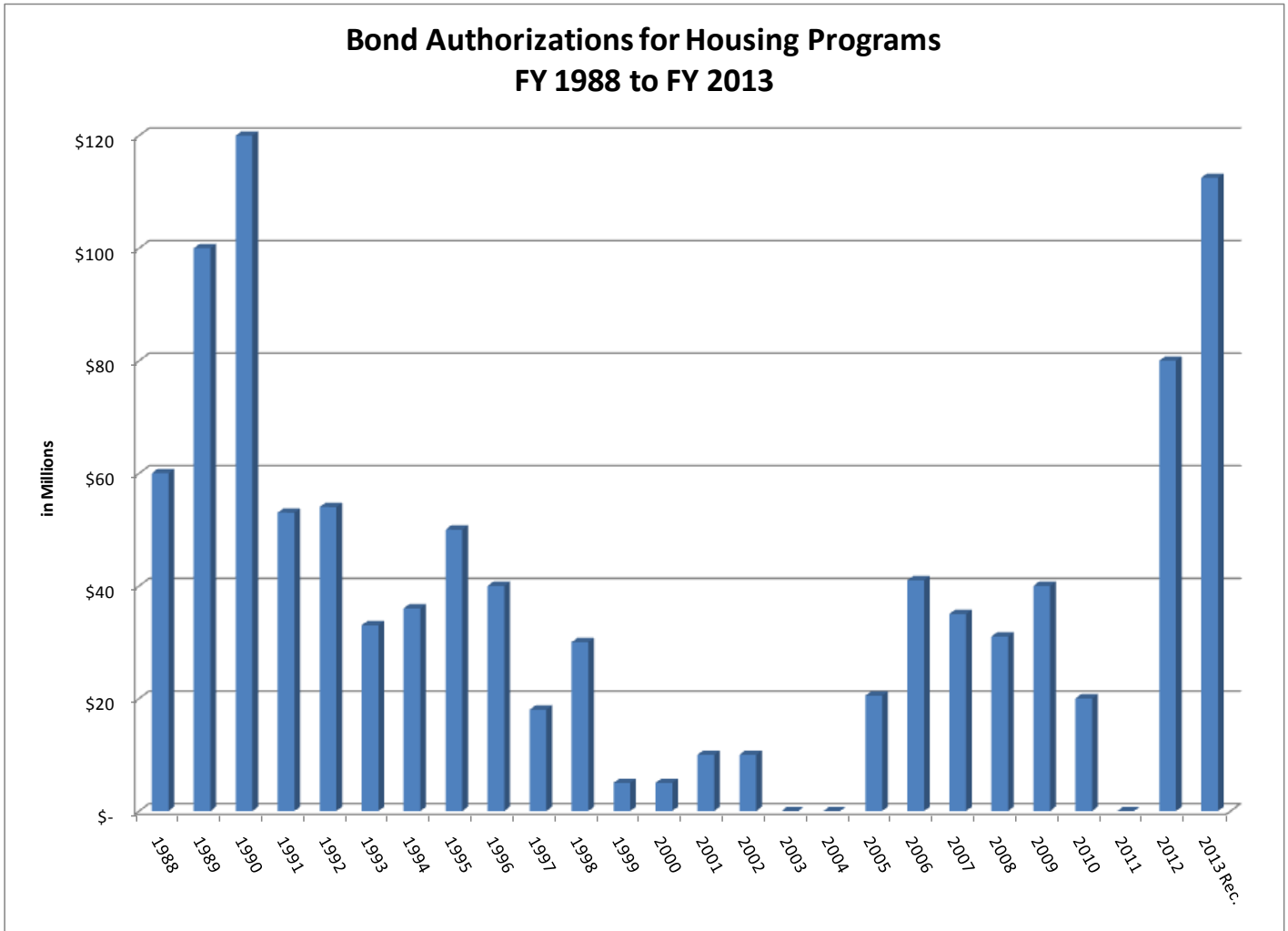
Last year, the Governor proposed, and the legislature enacted, \$100 million in new capital funding for affordable housing (\$50 million in FY 2012 and \$50 million in FY 2013) and \$30 million in capital funding to develop 150 new units of supportive housing units coupled with an annualized \$2.6 million for operating and support services. This year, the Governor is significantly expanding his commitment to provide Connecticut residents with quality, affordable housing. The major components of Governor Malloy's FY 2013 housing initiative are:

PUBLIC HOUSING REVITALIZATION

The Governor's proposal will authorize \$30 million in bonding to begin a ten year, \$300 million commitment to preserve and upgrade deteriorated and vacant units among the 17,000 units of state sponsored public housing.

In addition to this significant commitment of new capital funding for public housing revitalization, both in FY 2013 and in the future, Governor Malloy recognizes the need to ensure viability of the state's housing portfolio over the long-term. The Governor is proposing an additional 150 project-based Rental Assistance Program (RAP) certificates beginning in January 2013, funded at an annualized \$1.5 million, for the lowest-income residents of public housing. These ongoing subsidies will contribute to the financial stability of public housing in Connecticut and ensure that revitalized units are adequately supported and do not deteriorate.

Bond Authorizations for Housing Programs FY 1988 to FY 2013



To develop a sustainable preservation strategy for the state’s housing portfolio, three other elements are essential in addition to the Governor’s proposed capital and operating funds. First, the state needs to develop and maintain an inclusive process to identify and analyze preservation issues and to develop and promote preservation strategies. Second, the state needs to create a means to quantify the need for preservation and to monitor affordability. Third, the state needs to enhance the capacity of its housing authorities, municipal housing entities, not-for-profit housing agencies and resident organizations to preserve affordable housing.

To achieve these ends, the state will convene a committee of public and private agencies associated with affordable housing, mental health and social services, and asset management. The design of the “Preservation Committee” will mirror the successful model of Connecticut’s Interagency Council on Supportive Housing and will work with the Office of Policy and Management (OPM), Connecticut Housing Finance Authority (CHFA) and the Department of Economic and Community Development (DECD) to develop a strategy to ensure the long-term viability of the state’s public housing portfolio.

AFFORDABLE HOUSING

The Governor’s budget adds \$20 million to the \$50 million already authorized in the biennial budget for new affordable housing - bringing the total available funding in FY 2013 to \$70 million. In addition to the benefits to individuals and families, housing construction and rehabilitation have been shown to have a

substantial positive impact on the state's economy, including the ability to help the state generate new revenues. Housing development creates jobs, particularly jobs in the construction and service industries.

Connecticut renters continue to struggle with high housing costs: 51 percent of renters pay more than 30 percent of their income on housing and 27 percent are severely burdened by their housing costs – spending over 50 percent of their income on housing. The Governor's proposal for an influx of new resources, on top of those already included in the enacted budget for FY 2013, will significantly increase Connecticut residents' access to quality, affordable housing.

CONGREGATE HOUSING

Governor Malloy proposes \$12.5 million in capital funding for the development of approximately 50 new units of congregate housing for elderly persons in order to re-invigorate the state's congregate housing. The congregate housing program has been a successful way to allow elderly people to stay in their homes and prevent their premature institutionalization. This is the first new state funding for congregate facilities in Connecticut for eleven years.

In addition to the capital funding, the Governor proposes \$405,000 in congregate operating subsidies for the new units to provide rental assistance to low income tenants and support for services such as: one main meal per day, housekeeping services, a twenty-four hour emergency service, a resident services coordinator, emergency transportation service, and a wellness program.

The Governor's proposal to expand the state's congregate living program will allow elderly residents to age comfortably in their apartments and prevent many of them from premature placement in a nursing home or other higher level of care facility. At the low average per month cost of assistance in congregate facilities of \$675, the state will avoid the costs associated with providing the necessary care to these individuals in skilled nursing facilities, which are almost ten times greater at nearly \$6,000 per month.

SUPPORTIVE HOUSING

Governor Malloy's budget contains \$750,000 (an annualized \$1.5 million) to support an additional 150 RAP certificates for scattered site supportive housing effective January 2013. Supportive housing is a successful and cost-effective approach to addressing homelessness by creating permanent, affordable housing with services designed to support individuals and families who are at risk of homelessness and who often have multiple barriers to employment and housing stability. Residents of supportive housing become contributing members of their communities and their use of expensive emergency services is significantly reduced. Last year, the Governor's major supportive housing initiative provided over \$30 million in funding for 150 units of project-based supportive housing. This year, the Governor is providing funding to support 150 new scattered site units.

Last month, a statewide proposal was submitted to the federal Center for Medicare and Medicaid Innovation for a three year, \$30 million grant to improve the integration of housing and healthcare services. This state appropriation of \$1.5 million on an annualized basis will provide necessary rental assistance certificates for 150 new units of supportive housing which will be matched with supportive services for tenants provided under the federal grant.

Finally, the Governor is proposing a reorganization of housing activities in a new office of housing within the Department of Economic and Community Development. This is described further in the sections that follow.

HOMEConnecticut

Governor Malloy is proposing legislative changes to the Incentive Housing Zones (IHZ) program which provides incentives to municipalities for creating IHZs in eligible locations, such as near transit facilities, areas of concentrated development. These changes will facilitate the Office of Policy and Management's ability to manage these limited funds and ensure that funding is targeted to municipalities that are taking steps to develop affordable housing for their residents.

HEALTH AND HUMAN SERVICES

The proposed budget maintains Governor Malloy's commitment to preserving the health and human services safety net.

PRIVATE PROVIDER COST OF LIVING ADJUSTMENT (COLA)

As a sign of his commitment to community providers, the Governor is proud to recommend the first cost of living increase for private provider agencies in five years. The budget includes \$8.5 million to provide a one percent cost of living adjustment for private providers of health and human services, effective January 1, 2013. This proposal extends a COLA to human services providers funded through purchase of services contracts with state funds as well as under the federal Social Services and Substance Abuse Block Grants, and to rate-based providers and families paid by DCF and to providers of boarding homes for the Aged, Blind and Disabled.

CASELOAD INCREASES

Governor Malloy is recommending over \$58.2 million in additional funds to reflect anticipated increases in utilization and caseload for various programs in the Departments of Social Services, Developmental Services and Mental Health and Addiction Services. The budget includes \$5.1 million for the Department of Developmental Services (DDS) to support programming beyond what was included in the adopted budget. This funding will support day programs for (1) an additional 48 individuals who are graduating from high school or aging out of services provided by the Department of Children and Families or local education agencies, (2) six additional placements for individuals whose services are ordered by the courts, and (3) 40 placements for individuals leaving Southbury Training School (STS) for community living options.

In the Department of Mental Health and Addiction Services (DMHAS), \$3 million in new funding is recommended to support additional community placements for individuals with acquired or traumatic brain injury who are discharged from Connecticut Valley Hospital and additional clients in CVH who no longer need a hospital-level care. In addition, more than \$50 million is being added to the DSS and DMHAS budgets to support anticipated utilization and caseload growth in the Medicaid for Low-Income Adults (LIA) program.

While the Governor is committed to serving the state's neediest citizens, the budget includes several measures to ensure that the state's scarce resources are used effectively and efficiently. These include:

LOW INCOME ADULT (LIA) WAIVER

Combined savings of \$22.5 million are taken in the Departments of Social Services (DSS) and Mental Health and Addiction Services to reflect a new federal waiver for the LIA program.

The Medicaid expansion for low-income adults has resulted in significantly higher costs than originally envisioned. Since federal approval of the expansion, the caseload for this program has increased 60 percent, from 46,156 in June 2010 to 74,073 in December 2011, in large part due to the elimination of the asset test. Although the enacted budget attempted to address the escalating costs, it did not assume that the December 2011 caseload of 74,073 would be reached until March 2013. In FY 2009, the year prior to the expansion, expenditures were \$265.6 million between DSS and DMHAS. Last year, in FY 2011, the state spent \$575.6 million.

Last session, the legislature approved language that authorized DSS to amend the Medicaid state plan to establish an alternative benefit package under LIA, which would impose limits on certain medical services. However, due to federal rules that require states to offer medically frail individuals the option of participating in an alternative benefit package, this initiative will not achieve the \$10 million in FY 2013 savings that was assumed in the budget.

As a result, and with costs and caseloads continuing to increase, the Governor asked DSS to explore possible savings through a LIA waiver. Such a waiver would allow for the establishment of different eligibility rules and benefit limits than are permitted today under the Medicaid state plan. Specifically, the waiver will permit DSS to consider the applicant's household assets in determining eligibility. An asset limit of \$25,000, excluding the applicant's primary residence and a single vehicle, is proposed. This is a significantly higher level than the \$1,000 asset limit that was in place under State Administered General Assistance (SAGA). Applicants residing in households affected by this change will be afforded the opportunity to obtain coverage under the Charter Oak Health Plan, which currently provides comprehensive health insurance coverage for less than \$5,500 per year.

The waiver will also consider the income and assets of the parents of applicants under age twenty-six, when the applicants reside with one or both parents or when the applicants are claimed by one or both parents as a tax dependent. The Governor believes that families who can cover dependent children through private insurance should do so. As of December 2011, LIA expenditures for those under the age of twenty-one have grown to 4.3 percent of total expenditures with costs in the first six months of this fiscal year totaling \$12.5 million. The caseload for this age group has increased from 0.1 percent in June 2010 to 8.2 percent of the total caseload (or 6,114 cases) in December 2011 and is expected to continue to climb as more parents with college-age children become aware of the availability of LIA coverage.

The proposed waiver will also restructure benefits, establishing limits on coverage for certain services. Nursing facility stays will be reduced to 90 days per admission; home health, independent therapy and physician services will be subject to visit limits; and medical equipment, devices and supplies – other than wheelchairs – will be subject to a dollar limit. In the case of nursing facility and home health services, the coverage will remain at or above the coverage formerly available under SAGA. Some individuals with exceptional medical conditions may be exempt from certain benefit restrictions.

These changes will create a sustainable program and result in \$30 million in annualized savings between DMHAS and DSS. Additional savings of \$3.6 million have also been included in the budgets of the Department of Public Health (\$666,800) and DMHAS (\$2.9 million) to reflect a reduced need for uncompensated care grants since the inception of the LIA program.

EXPANDED CHILDHOOD IMMUNIZATION PROGRAM

The Governor is dedicating \$11.7 million in new funding to expand the state's childhood vaccine purchase program in order to provide additional vaccines at significantly reduced cost. Three new vaccines will be available through this universal access program – pneumococcal conjugate, influenza, and hepatitis A. These three vaccines are required for day care enrollment, and both hepatitis A and influenza are required for pre-kindergarten enrollment. With the addition of these three vaccines, the state's childhood immunization program will cover fourteen of the sixteen vaccines currently recommended by the Centers for Disease Control and Prevention (CDC). The Governor is recommending further assessment of the implications associated with potential policy changes to the program, including: expanding the program to include the remaining two CDC-recommended vaccines and a mechanism to add other vaccines recommended by the CDC in the future; modifying the current assessment methodology to address equity issues among payer types; and establishing a healthcare provider mandate to require universal participation in the childhood vaccination program.

THE NEW HUSKY HEALTH PROGRAM

On January 1, 2012, the Department of Social Services launched the new HUSKY Health program, an innovative model of care management for all of the department's medical assistance recipients. Under this new model, DSS is contracting with a medical administrative services organization (ASO) to improve client care experiences, quality of service and overall cost-effectiveness. The ASO will authorize and manage the medical health services for all HUSKY A, HUSKY B, and Charter Oak Health Plan clients, whose services were previously administered by one of three managed care organizations, as well as the Medicaid Aged, Blind and Disabled (ABD) and Low-Income Adult (LIA) populations, which are now referred to as HUSKY C and HUSKY D, respectively.

REBALANCING THE STATE'S LONG-TERM CARE SYSTEM

Governor Malloy recognizes the need to move away from past practice of over-reliance on institutional long-term care settings and to create a system that better supports consumers' informed choice. By further aligning long-term services and supports with consumer choice and control, the state will not only improve the quality of life for Medicaid participants by providing options but will also reduce unnecessary expenses and institutionalization.

Under the Money Follows the Person (MFP) Rebalancing Demonstration, the federal government encourages states to reduce their reliance on institutional care for Medicaid recipients by moving individuals out of institutional settings and into community settings with appropriate supports. But, for the six month period ending December 2010, Connecticut accounted for 30 percent of the closed cases nationally that did not result in transitions to the community because care plan costs exceeded the individual's institutional costs under MFP. The cost of medication administration is not the only cost driver; but it is one of the primary cost drivers and one of the primary barriers to community placement.

Governor Malloy's budget includes four initiatives that will reduce the high cost of medication administration by \$20.5 million in FY 2013 or \$28.6 million when fully annualized: (1) reducing the reimbursement rate for medication administration, (2) permitting nurses at home health agencies to delegate administration of medication to home health aides, (3) allowing agency-based personal care assistants to administer medications in the home, and (4) allowing clients to gain a higher level of independence by utilizing assistive technology such as medication reminders and automatic pill dispensers when it is cost-effective.

The Governor's budget reflects the addition of *Adult Family Living* under the Connecticut Home Care Program for Elders and the Personal Care Assistance (PCA) waiver, providing a cost-effective alternative to clients in need of 24-hour supervision and assistance with activities of daily living. The budget also reflects the addition of *Independent Support Broker* to the menu of services available under the PCA waiver. By helping consumers design and direct their own supports, more persons under the age of 65 will have access to long-term services and supports in the community.

Funding of \$300,000 has been proposed to create a standardized level of care assessment across long-term services and supports, which will include the development of an automated needs assessment tool, screening tool and care plan budget methodology. Needs assessments will be coordinated with the comprehensive assessments that are federally mandated for all nursing home residents. Greater standardization of long-term care assessments will promote administrative efficiencies and increased equity.

Governor Malloy recognizes the importance of housing in allowing individuals to go back to the community and in keeping individuals in the community in the first place. The Governor's budget includes \$1.0 million in bond funds for modifications of existing housing. These funds, administered by DECD, will create additional accessible housing, allowing individuals who are institutionalized to return to their family homes or apartments.

In addition, Governor Malloy is recommending expanding the Private Pay Assisted Living Pilot. This program subsidizes the service costs for persons age 65 and older who reside in participating private assisted living communities and who are eligible for the Connecticut Home Care Program for Elders. Currently, the pilot can serve a total of 75 individuals who, after living in a private assisted living facility, have spent down their assets and now require help with their living expenses. The Governor is proposing to increase this pilot from 75 to 125. Also, as discussed under the section regarding housing initiatives, Governor Malloy is making significant investment in congregate housing to promote aging in place and prevent premature institutionalization of the state's growing elderly population.

Hospital discharges are also important in keeping individuals out of nursing facilities in the first place. In Connecticut, approximately half of the persons requiring long-term care after hospital admission are currently discharged to nursing homes and only a third of Medicaid participants who are discharged to a nursing home eventually return to the community. Governor Malloy's budget includes \$250,000 to create a web-based information system. By providing information on the availability of home and community-based services, this system will facilitate the transition of care from the hospital to the community, promoting individualized person-centered planning.

SUPPORTING AN INDUSTRY IN TRANSITION

To ensure that the number of direct care workers is sufficient to meet the anticipated demand for services, the long-term care services and supports workforce needs to be sustainable, valued, and skilled. The Governor's budget includes \$400,000 to (1) develop a marketing plan to increase awareness of the opportunities for direct care workers, (2) provide job assistance and re-training, (3) fund training programs at community colleges, and (4) create incentives tied with marketing to attract potential workers to direct care.

With the vast majority of people preferring to receive services in their homes and communities rather than in institutions, the demand for home and community-based services is expected to grow significantly over

the next decade. Recognizing the shift away from institutional care, Governor Malloy's budget includes \$10 million in bond funding and \$3 million in general fund support to help nursing facilities "right-size" by diversifying, downsizing and/or modernizing. The new funding will help nursing facilities diversify their business model consistent with the state's strategic right-sizing plan.

NURSING HOME FOR HIGH NEED CLIENTS IN STATE CARE

Consistent with the Governor's goal to provide opportunities for diversification, the budget includes \$2.6 million in partial-year funding to support the development of nursing home capacity for a population of state clients who are traditionally difficult to place. Many states are grappling with aging institutional populations, especially in their prisons. Connecticut has over 450 inmates age 55 to 59 and almost 400 more over age 60. Prison facilities were not designed to deal with the cost of aging and infirmed inmates. Massachusetts recently announced plans to build three new assisted living facilities to house inmates in need of nursing home and hospice care which will be run by their Department of Correction and California is paroling these individuals directly into community nursing homes. Neither of these alternatives is ideal for taxpayers who want to see care provided in a cost efficient and managed way. The Governor is providing an opportunity for a provider interested in reorganizing their business model to afford this population access to long-term nursing home care. These individuals may be transitioning from a correctional facility, have criminal justice involvement and/or transitioning from a higher level of care provided by DMHAS.

This initiative will prevent the Department of Correction (DOC) from having to follow other states' solutions to build and operate nursing homes at 100 percent state expense. By transferring individuals who no longer meet hospital level of care, this initiative will allow the Department of Mental Health and Addiction Services (DMHAS) to comply with federal Department of Justice guidance and will free twenty-five inpatient beds at Connecticut Valley Hospital (CVH). It will also allow DOC to downsize its population by discharging individuals who would be better served outside correctional institutions. This initiative will result in 50 percent Medicaid-reimbursement for services that had been provided at 100 percent state cost in CVH and DOC infirmaries.

AUTISM

Public Act 11-6 required a study of issues related to the needs of persons with autism spectrum disorder (ASD). Several agencies are compiling data about services currently provided to such individuals. This information will provide a comprehensive understanding of the services funded and provided to this population. Once the data has been collected and aggregated, the workgroup will engage advocates, providers and family members who can compare data and experiences and use them to inform discussions about gaps in the service system and options for addressing these gaps. Funding of \$1.0 million is proposed in the budget to address recommendations resulting from the report.

MODERNIZE HEALTH AND HUMAN SERVICES INFORMATION TECHNOLOGY

The federal Centers for Medicare and Medicaid (CMS) recently notified states about the opportunity to enhance their information technology systems and receive 90 percent federal reimbursement for their efforts. The Governor is recommending more than \$550,000 to allow DDS and DSS to begin the planning phases of development of a comprehensive waiver management system.

In addition, a workgroup of health and human service agencies is exploring the development of an integrated eligibility system for state programs to increase efficiency and improve customer experience. The project is anticipated to be largely funded through federal funds designated for these efforts.

MILITARY SUPPORT PROGRAM

The Military Support Program (MSP), originally established by the General Assembly through one-time funds from the sale of Fairfield Hills Hospital, has provided outpatient counseling, outreach, intensive case management, information, referral and advocacy and transportation services to service members and their families since 2007. The recommended budget provides \$293,000 to continue this program.

CAMPUS AND GROUP HOME CONSOLIDATION

A total of \$2.3 million will be saved in the DDS budget in FY 2013 through the continued consolidation of campus settings at Southbury Training School and the closure of three additional public group homes beyond those in the adopted biennial budget. These savings will be achieved through natural attrition in various state operated programs.

INCREASING GOVERNMENT EFFECTIVENESS – CONSOLIDATION OF STATE AGENCIES

Upon taking office, Governor Malloy began the work of changing how the state does business by making government smaller, less costly, and easier to navigate. In his first year in office, Governor Malloy reduced state agencies by 27 percent through mergers and consolidations – going from 81 separate line-item state agencies to 59. Continuing these efforts to downsize state government, the Governor is proposing further consolidations which will reduce the number of state agencies to 52, as well as reducing the number of quasi-public agencies.

Current	Consolidated with
University of Conn. Health Center Office of Chief Medical Examiner	} University of Connecticut
Department of Construction Services	Department of Administrative Services
Comm. on Human Rights and Opportunities Office of Protection and Advocacy	} Dept. on Human Rights, Protection and Advocacy
Teachers' Retirement Board	Office of State Comptroller
Workers' Compensation Commission	Department of Labor
Psychiatric Security Review Board	Dept. of Mental Health and Addiction Services
CT Higher Educ. Supplemental Loan Authority	CT Health and Educational Facilities Authority

UNIVERSITY OF CONNECTICUT

As part of Governor Malloy’s commitment to right-sizing government and promoting statewide efficiency, the budget reflects consolidating the Office of the Chief Medical Examiner and the University of Connecticut Health Center within the University of Connecticut.

Consolidating the University of Connecticut Health Center (UCHC) with the University of Connecticut aligns them with their governing structure, as the Health Center is part of the University and both are governed by the UConn Board of Trustees. Additionally, the Office of the Chief Medical Examiner (OCME), which is

located on the Health Center campus in Farmington, will be merged with UConn. This will enable OCME to leverage UConn's resources while the Commission on Medicolegal Investigations (COMLI), which oversees the operations of the medical examiner's office, would remain an independent commission, collaborating with the Board of Trustees for the University of Connecticut. At the same time, OCME will benefit from administrative support and oversight from the Health Center. It is anticipated that this consolidation will mesh the educational mission of the Health Center with the needs of the medical examining profession by providing medical students with greater exposure to forensic sciences.

Under this proposal, while a single agency will exist for state budgeting and management purposes, in order to promote transparency the University of Connecticut, the Health Center, and the OCME will each have distinct and separate appropriations. Additionally, each entity will continue to employ and report on their currently established non-appropriated funds (e.g., operating funds, research, clinical) through independent financial reports.

DEPARTMENT OF ADMINISTRATIVE SERVICES

The Department of Construction Services (DCS) was established to consolidate construction activities into a single agency. In an effort to further streamline operations, Governor Malloy is proposing to merge DCS into the Department of Administrative Services (DAS). All of the agency's functions, which include facilities design and construction, the school construction grant program, and building and fire safety inspections, would be transferred to DAS under this proposal. This consolidation will align all construction functionalities along with property management programs, promoting knowledge sharing and creating efficiencies.

DEPARTMENT ON HUMAN RIGHTS, PROTECTION AND ADVOCACY

The budget includes a recommendation to create a new Department on Human Rights, Protection and Advocacy. This new agency would be comprised of the Commission on Human Rights and Opportunities (CHRO) and the Office of Protection and Advocacy (OP&A). Both of these agencies currently act to protect civil and human rights for Connecticut's citizens, albeit each with a different emphasis: CHRO strives to eliminate discrimination and to establish equal opportunity, and OP&A works to protect the rights of those with disabilities. The Governor expects that the coordination of this work will lead to greater efficiency and effectiveness in executing this common mission.

OFFICE OF THE STATE COMPTROLLER

The Teachers' Retirement Board (TRB), whose primary mission is to administer the State Teachers' Retirement System, is proposed for consolidation within the Office of the State Comptroller. Administration of the pension and health insurance systems for retired teachers will be consolidated into the Office of the State Comptroller. The funding for pensions and healthcare will be maintained as separate accounts administered by the Comptroller's Office. By integrating the TRB with the Comptroller, the TRB's programs will benefit from additional insight from staff at the Comptroller's Office who are currently responsible for pensions and health care systems management.

DEPARTMENT OF LABOR

In recognition of the value of a trained and safe workforce, the Governor is proposing a merger of the Workers' Compensation Commission (WCC) with the Department of Labor (DOL). The WCC is responsible for administering the workers' compensation laws of the state and adjudicating and resolving disputes arising from the workers' compensation process, while the Department of Labor's mission is to protect and promote the interests of the state's workforce by assisting workers and employers to be competitive in the global economy. A merger of these two agencies, both with workforce missions, will provide for better

utilization of programs and services and can create functional efficiencies in order to offer a greater benefit to all Connecticut workers. The revamped agency will enhance workforce support while heightening enforcement of state labor laws.

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

The Governor is recommending the consolidation of the Psychiatric Security Review Board (PSRB) into DMHAS. This consolidation will maintain the independent nature of the board and their decisions with respect to clients found not guilty by reason of insanity but will afford PSRB administrative support it has lost through retirements and turnover.

CONNECTICUT HEALTH AND EDUCATION FACILITIES AUTHORITY

The Governor recommends, with approval and due diligence review by each board, that the Connecticut Higher Education Supplemental Loan Authority (CHESLA) be merged into the Connecticut Health and Education Facilities Authority (CHEFA). CHESLA currently operates under a three year contract, expiring June 30, 2012, and sublease with the Connecticut Conference of Independent Colleges (CCIC). The benefits of this proposed consolidation include:

- Creation of a framework for long term stability and continuity of management, rather than continued reliance on short term management contracts;
- Providing a structure that would facilitate continued focus on CHESLA's mission;
- Preserving CHESLA's separate identity and reputation in the bond market;
- Maintaining CHESLA's separate identity with financial aid officers and students/families;
- Minimizing the impact on CHESLA's outstanding bonds and bond documentation; and
- Allowing CHESLA to achieve economies of scale and to access CHEFA resources without loss of separate identity.

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

The Governor announced the formation of the Capital Region Development Authority (CRDA) which will strengthen and broaden the redevelopment efforts in Hartford and East Hartford. The Capital City Economic Development Authority (CCEDA), and OPM's Rentschler Field administrative function will be consolidated within DECD for better coordination of initiatives in the Greater Hartford region.

The CRDA will include appointees of the Governor, as well as the Commissioners of the Departments of Transportation and Economic and Community Development, the Secretary of OPM, the Mayors of Hartford and East Hartford, one appointment each for the state House of Representatives and the Senate, and two appointees of the Mayor of Hartford.

This new authority will provide the organizational backbone needed to better manage the state's interest in the many assets, activities and investments in greater Hartford. Improved coordination will help create a more lively, thriving capital city area with stronger economic prospects over the long-term.

By placing the management of our assets—Rentschler Field, the Convention Center, and, ultimately, the XL Center—under one umbrella, CRDA will redouble efforts to stimulate new investment in Connecticut and highlight the Hartford/East Hartford regional attractions through an integrated management structure and coordination of marketing and venue development.

Collaborating with venues such as the Wadsworth Atheneum, the Bushnell and the iQuilt project, CRDA will engage in strategic planning with Hartford, East Hartford and surrounding communities and propel the area toward the next stage of economic growth by attracting new business and strengthening the capital area's role in a revitalized Connecticut.

CRDA will also offer a path to assist cultural and entertainment venues, parks and other organizations with state funding, and will expand the development district currently under the Capital City Economic Development Authority (CCEDA).

STATE OFFICE OF HOUSING

Currently, responsibility for the state's housing programs is divided among state agencies – with the majority of programs split between DECD and DSS. In order to provide a coordinated and comprehensive approach to Connecticut's housing policies and programs, Governor Malloy proposes combining these functions into a new Office of Housing within DECD. The agency will provide leadership for the state's housing policy issues and will coordinate implementation of the state's housing agenda.

State-funded programs that will transfer to the new Office of Housing

- Rental Assistance Program
- Residences for Persons with AIDS
- Emergency Shelters for Homeless (including Special Projects for Homeless Shelters)
- Transitional Living
- Shelters and Services for Victims of Domestic Violence
- Housing Mediation and Rent Bank
- Security Deposit Guarantee Program
- Rental assistance subsidies portion of the Supportive Housing for Families program (now in Department of Children and Families)
- Elderly Renters Program (now in Office of Policy and Management)
- Federally-funded programs such as Section 8 Housing Vouchers and Housing Opportunities for Persons with AIDS (HOPWA) will transfer from DSS to DECD as well. Nine DSS staff will transfer to DECD to administer these programs and the Governor's budget adds two new positions at DECD: a support position and a Deputy Commissioner.

Coordinating the state's housing functions has several advantages over the existing, fragmented system.

First, it is more consumer-friendly and will be considerably easier to navigate for potential and existing clients. With the responsibility for shelters, transitional living, and permanent affordable housing options all under one "roof," policies and programs can focus on a continuum of needs and streamlining our ability to provide individuals and families with appropriate housing options.

Second, the consolidation of housing production, operation and financing will enhance our productivity and will ensure a comprehensive approach to housing initiatives. The new office will be a single point of contact for developers, advocates, municipalities, the federal government, and our quasi-public partners. The state's ability to collaborate with these partners will be enhanced and the coordinated approach will provide an opportunity to simplify procedures and facilitate action.

Finally, the consolidation will provide the most effective structure for strong leadership and bold vision to bring the state's housing agenda to the forefront. Over the past year, collaboration between DECD and CHFA has been significantly enhanced and a coordinated approach to allocating resources has been adopted. With the new position of Deputy Commissioner for Housing, as proposed by Governor Malloy, DECD will be well-positioned to highlight the critical importance of quality, affordable housing as a cornerstone of the state's responsibility to its citizenry.

GENERAL GOVERNMENT

ENHANCING THE CRIME LAB

Governor Malloy's Crime Lab Working Group has been tasked with developing both short- and long-term strategies to bolster the state crime lab's ability to deal with an unprecedented increase in its workload. Since 2005, the overall workload at and case submissions to the laboratory have increased by 26 percent, while the volume of DNA evidence testing has increased by 400 percent. This has resulted in backlogs in forensic examinations in each section within the division; most acutely in the firearms and DNA sections where the backlog, assuming no new cases were submitted to the laboratory, would be seven and four years, respectively. Last year, Connecticut's backlog ranked worst in the nation.

In an effort to remediate the backlog and provide timelier responses and examinations, the Governor's Crime Lab Working Group recommends additional staff to meet the needs of the criminal justice community. Included in this recommendation for additional staff is the hiring of a full-time qualified scientist with the academic and forensic credentials to serve as the division administrator and head of the Division of Scientific Services within the Department of Emergency Services and Public Protection. Additionally, given the number of personnel assigned to the DNA section and due to mounting federal compliance requirements, state statutes, and accrediting board policies, it is recommended that the head of the DNA section be elevated to a managerial position at the Assistant Director level. This position would serve as technical lead and serve as the liaison to the FBI oversight board for DNA. The division head and assistant director positions are essential to ensure the continuity and long term leadership of the division.

Based on the working group's input, Governor Malloy recommends \$2.4 million in funding for 32 staff to eliminate the backlogs and to address the increased workloads of the division. In addition, the Governor is recommending additional state funds of just under \$1 million for the continuation of 15 positions that are currently funded with federal funds that will be expiring at the end of FY 2012. The addition of these funds and staff, along with the continuation of existing staff, will allow the state's crime lab to once again be the model for other states to emulate.

CRIMINAL JUSTICE INFORMATION SYSTEM

Per Public Act 08-1 of the January special session, the state is required to design and implement a comprehensive, state-wide information technology system to facilitate the immediate, seamless and comprehensive sharing of information between all state agencies, departments, boards and commissions having cognizance over matters relating to law enforcement and criminal justice, and organized local police departments and law enforcement officials. This system will integrate other criminal justice information systems including the Offender Based Tracking System (OBTS) and the Connecticut Impaired Driving Records Information System (CIDRIS) into the new Connecticut Information Sharing System (CISS).

In recognition of the importance of this effort, Governor Malloy is recommending funding in his FY 2013 budget to allow for the continued development of CISS and to maintain OBTS and CIDRIS to ensure that the

data is not compromised when the systems are merged. The budget includes \$225,836 in funding for three staff to assist with implementation of CISS. Additionally, \$753,473 is added in the Office of Policy and Management's budget for operating costs of OBTS to ensure the system remains operational until CISS is fully implemented, as well as \$454,704 for consultants to assist with the ongoing maintenance of CIDRIS and OBTS. The ultimate goal is to ensure CISS is fully operational by the end of FY 2017.

INSURANCE DEPARTMENT STAFFING

Governor Malloy is recommending additional funding of \$1.5 million for 13 full time and three part time staff for the Insurance Department to promote the state's image as a leader in the insurance industry. Responding to requests from the state's insurance companies, the Governor's proposal provides the regulatory divisions of the Insurance Department with sufficient personnel to dramatically reduce backlogs, ensure the agency's continued accreditation with the National Association of Insurance Commissioners (NAIC), and most importantly to enhance protections for the state's insurance consumers. A stand-alone division created to regulate the captive insurance industry will promote Connecticut as a state for insurance innovation, encouraging companies to become domiciled in our state – ultimately leading to job growth.

EXPAND PUBLIC TRANSPORTATION SERVICE

As part of his continued effort to enhance the state's public transportation services, the Governor has included \$261,000 to expand Shore Line East weekend train service and \$113,000 to expand night bus service in Waterbury.

With this expansion, weekend Shore Line East schedules will now include train service providing five round trips between Old Saybrook and New London, and one round trip between New Haven and Old Saybrook beginning April 1, 2013.

In addition to enhancing the state's train services, the Governor has taken advantage of federal grant funding which has allowed for expanded night bus services in Waterbury. Federal funding has subsidized most of the initial funding for the service which began on October 1, 2011 with the state providing the balance of startup funding through Naugatuck Valley Community College. Each year, the state's share of investment in this service is anticipated to grow as federal funding decreases. This ongoing investment demonstrates the Governor's strong commitment to both education and the workforce by increasing bus transportation accessibility at night to both students and the workforce in the Waterbury area.

INCREASED COMMITMENT TO PAY AS YOU GO TRANSPORTATION PROJECTS

In order to improve Connecticut's roads and highways, Governor Malloy is recommending an increase in the Department of Transportation's Pay As You Go appropriation by \$5 million. This account is used for non-bondable transportation projects (those with a project life of less than twenty years), such as: tree trimming; bridge repairs and inspection; pavement management and markings, and other highway projects.

Through the expansion of train and bus services and increased funding for transportation improvements through Pay As You Go, the state's citizens will experience improved accessibility to and expanded options for the state's growing modes of transportation.

ENHANCE FUNDING FOR TWEED NEW HAVEN AIRPORT

The Governor is recommending an increase of \$500,000 in the subsidy for Tweed-New Haven Airport. This increase will restore the subsidy, which was reduced in the FY 2011 legislative session, to \$1.5 million and

will allow the regional public airport owned by the City of New Haven to continue operations at an acceptable level.

REAL-TIME REGIONAL PREPAREDNESS TRAINING

Governor Malloy created the Two Storm Panel to review the preparedness, response and recovery efforts of Tropical Storm Irene and the October Nor'easter. The panel recognized that pre-storm training is critically important and underscored the need for an annual exercise that brings all parties together with an agreed upon plan of action and clear roles and responsibilities that are tested in a real-time experience. The municipalities' emergency response plans vary greatly and would be enhanced by training and exercise to increase all participants' understanding of their roles relative to other responders.

As a result, one of the recommendations of the Governor's Two Storm Panel is that the Division of Emergency Management and Homeland Security (DEMHS) of the Department of Emergency Services and Public Protection (DESPP) sponsor real-time regional training exercises incorporating utilities, municipalities, the State of Connecticut, and other critical stakeholders (including the American Red Cross and the Connecticut Amateur Radio Emergency Service), in which all parties identify the assets available and the condition of those assets. These training exercises would allow non participating communities to observe and provide input as well.

Governor Malloy is recommending \$500,000 for DESPP to hold one real-time exercise per region per year. This training will be designed to be robust enough to tax the functional responses properly and it will ensure that meetings between those responsible for emergency response occur in the exercise environment rather than a first meeting of participants being at the actual emergency.

The severe weather of August and October of 2011 exposed the need for greater preparedness to mitigate damage resulting from such storms. Roadways that cannot be travelled due to tree-related obstructions pose safety risks to the public and cause economic setbacks to state businesses. As a result, the Governor proposes significantly increasing the funding for tree maintenance and removal by the Department of Transportation. The recommended budget includes an additional \$2 million beyond the \$500,000 currently budgeted for these services.

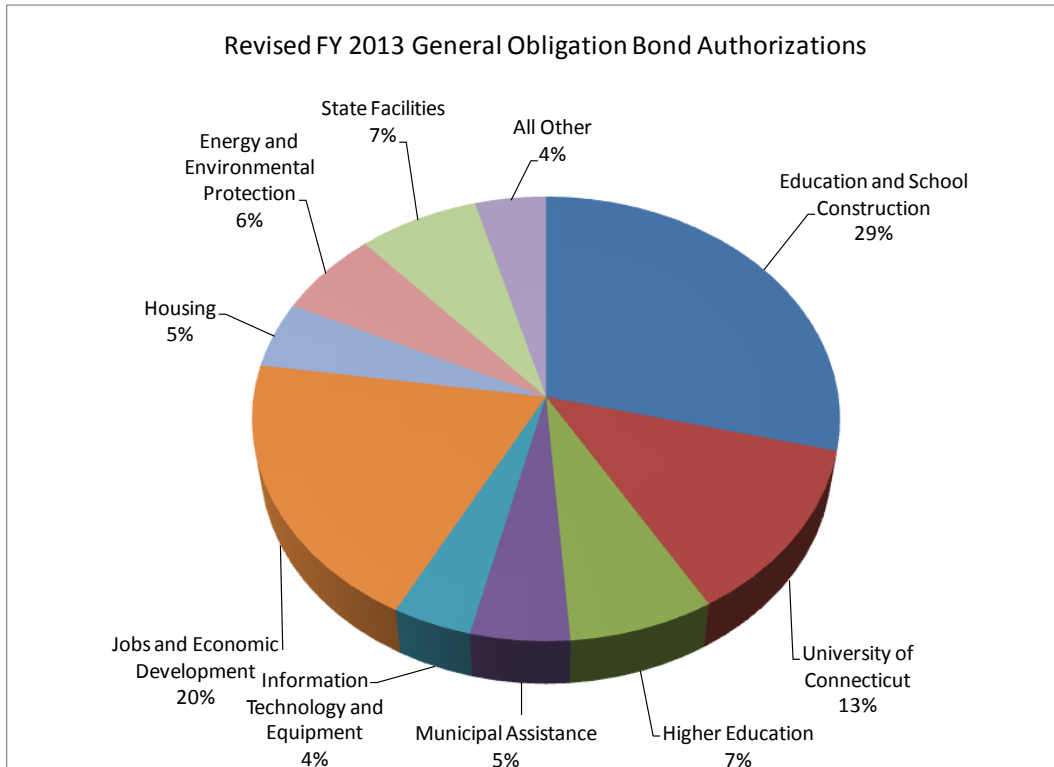
CAPITAL INVESTMENTS

As part of his commitment to rebuilding Connecticut's infrastructure, Governor Malloy is proposing a total of \$405.8 million in adjustments to the FY 2013 capital program, with continued focus on funding projects and programs that create and retain jobs in the state. These adjustments emphasize investments in state facilities, the environment, housing, and education and transportation infrastructure.

The Governor's proposed midterm adjustments include:

- An additional \$92.5 million for state facility infrastructure;
- New funding of \$50 million for capital investments in information technology to enhance the efficiency and effectiveness of state agencies and programs;
- An additional \$26.2 million for construction at regional fire training schools;
- New funding of \$5 million for a pilot program to establish energy microgrids to support critical municipal infrastructure;
- New funding of \$5 million for the underground storage tank petroleum clean-up program;

- An additional \$62.5 million for housing projects and programs, including \$12.5 million for congregate housing and \$30 million to begin to make improvements to the aging state-owned public housing portfolio and to provide additional gap financing for affordable housing projects statewide;
- New funding of \$10 million to assist nursing homes with conversion to other purposes;
- An additional \$9.1 million for ongoing start-up costs for interdistrict magnet schools in compliance with Sheff v. O’Neill;
- New funding of \$45 million for capital improvements, technology and equipment to improve low performing schools and to replicate high performing school models statewide;
- New funding of \$5 million for capital improvements at early childhood education facilities; and
- An additional \$90 million for bridge improvements in special tax obligation bonds.



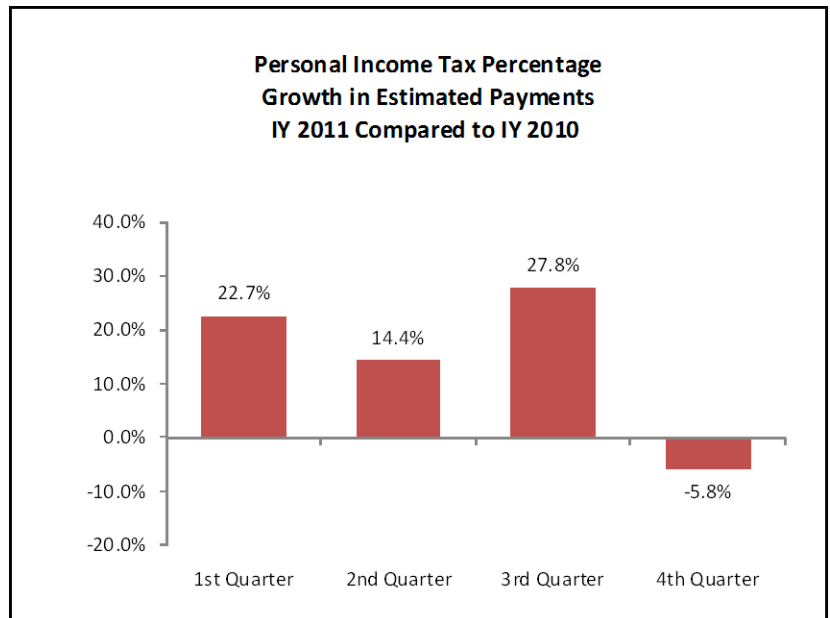
REVENUE

ECONOMIC AND REVENUE BACKDROP

Connecticut’s economic fortunes are tied to the health of the national economy. In that regard, the economic forecast for both the nation and the state is still being affected by the financial crisis that began in 2007. Similar to last year, economic projections call for a slow emergence from the most recent recession with Connecticut employment growing at 0.5 percent in FY 2012 and 0.4 percent in FY 2013. By historical standards, the unemployment rate in the state is projected to remain high, averaging 8.5 percent in FY 2012 and declining to 8.1 percent in FY 2013. Connecticut is not projected to regain all the jobs lost in the most recent recession until 2015. Inflation is projected to fall to two percent by FY 2013 and national output is projected to continue to expand over the remainder of the biennium in the two percent to three percent range. Connecticut personal income is projected to expand by 3.3 percent in FY 2012 and 4.1 percent in FY 2013.

Revenue projections contained in this budget proposal represent the consensus forecast reached between the Office of Policy and Management and Office of Fiscal Analysis on January 17, 2012 pursuant to the process required by CGS 2-36c. Underlying these revenue projections are recent collection trends in conjunction with economic forecast data summarized above.

Recent collection trends had been improving, most notably the withholding component of the income tax and the sales and use tax. However, fourth quarter estimated payments under the income tax, due January 17, 2012, and representing the last installment for certain taxpayers on their 2011 income year returns prior to filing their final return in April of 2012, actually registered a decline compared to the same period a year ago. (This decline is incorporated into the January consensus revenue forecast.)



The likely cause for the shortfall is two-fold. First, income year 2010, the period to which we are comparing, may have been

inflated by the expectation at the time that the Bush tax cuts would not be extended. Therefore, certain taxpayers realized capital gains in 2010 or, to the extent possible, shifted income into 2010 in anticipation of a federal tax increase. It was not until December of 2010 that Congress took action to extend the tax cuts for two additional years. Second, global events weighed heavily on the world economy in 2011. These include the Japanese earthquake, the U.S. debt ceiling debate, and potential debt default of certain European nations. These elements, combined with the waning effects of the federal stimulus programs, resulted in less robust 2011 income year results for certain taxpayers and therefore such taxpayers may have adjusted their last estimated payment accordingly.

The consensus forecast now anticipates that General Fund revenues will be \$94.7 million below the adopted budget for FY 2012 and \$138.7 million below the adopted budget for FY 2013. Baseline General Fund revenues (prior to tax changes and other one-time effects) are projected to grow by 1.7 percent in FY 2012 and 5.7 percent in FY 2013.

REVENUE CHANGES

Last year Governor Malloy proposed, and the General Assembly passed, major changes to both the state’s revenue and spending policies in order to restore structural balance to the state’s budget. The Governor’s changes closed a \$3.2 billion current services gap in FY 2012, one of the largest shortfalls in our country. The objectives of the changes on the revenue side of the budget last year were fourfold: First, any changes must maintain the state’s economic competitiveness; second, the state must maximize federal reimbursement wherever possible; third, in order to not inhibit job growth, tax increases on the business sector should be minimized; and fourth, any revenue changes must be recurring in nature, i.e., no one-shot, temporary solutions. Given the enormity of the policy decisions enacted last year, the Governor is proposing very limited changes that impact revenue for his proposed mid-term budget revisions for FY 2013.

First, the Governor wants to ensure that existing state tax laws are upheld and that revenues lost to non-compliance with those laws are minimized. To that end, he is recommending additional staffing at the Department of Revenue Services (DRS) to enhance their current auditing and collection functions. These initiatives are expected to yield an additional \$13 million in FY 2013.

Second, the Governor's proposal to modernize Connecticut's liquor laws are expected to result in additional general fund revenue of \$8.7 million in FY 2013

EFFORTS TO PRESERVE AND MAXIMIZE FEDERAL FUNDING

The Malloy administration continues to make federal revenue maximization efforts a priority. In order to gain millions of dollars in new federal revenue, numerous Medicaid state plan amendments and waivers have been submitted or are in the process of being submitted to the federal government while initiatives not requiring federal approval are being operationalized by impacted state agencies.

Some of the major revenue maximization efforts under development include:

- Serving existing clients of the Departments of Developmental Services (DDS), Mental Health and Addiction Services (DMHAS) and Children and Families (DCF) under autism waivers, allowing the state to receive federal reimbursement for services currently being provided at 100 percent state cost;
- Billing for inpatient and outpatient care for certain offenders in the Department of Correction (DOC), allowing the state to receive federal reimbursement, where allowable, for services that are currently being supported at 100 percent state cost;
- Providing nursing home care for individuals currently being cared for in DOC's infirmaries and at Connecticut Valley Hospital. Providing these services in a less intensive setting will permit federal reimbursement for care that is currently at 100 percent state cost;
- Developing a waiver that will allow the state to claim federal reimbursement for services rendered in a private institutional setting that are currently provided at 100 percent state cost;
- Shifting funding for certain DDS case management services off of the Social Services Block Grant in order to gain Medicaid reimbursement for those services, and utilizing the SSBG funds for emergency shelters;
- Developing a waiver that will allow Medicaid reimbursement for certain rehabilitation services being provided by DMHAS that are currently at 100 percent state cost;
- Billing for costs in several state agencies associated with the administration of Medicaid services;
- Developing a new, tightly-controlled waiver for individuals with acquired brain injury to allow Medicaid reimbursement for services supported by DMHAS' state-funded TBI Community Services account;
- Pursuing enhanced reimbursement on the utilization review expenses of administrative services organizations (ASOs);
- Investigating the revenue and expenditure impact of a potential licensure change for the Department of Veterans' Affairs' healthcare center; and
- Reviewing the impact of including coverage of various independent practitioners providing services to individuals 21 years of age and older as an optional service under Medicaid.

While much effort goes into maximizing revenue, equal or greater effort is going into preserving existing sources of federal reimbursement. The federal Centers for Medicare and Medicaid Services (CMS) has strengthened its compliance activities, resulting in significantly greater scrutiny of all state claims. DSS staff and impacted state agencies have experienced significantly increased time and effort explaining and

justifying revenue items in order to sustain claims worth hundreds of millions of dollars that had once been considered “routine.” DSS plans to hire additional staff to oversee projects and to ensure that existing claims are maintained and new sources of reimbursement brought to fruition.

MUNICIPAL MANDATES RELIEF

Towns and cities are subject to numerous cost-drivers that put pressure on local budgets and property tax payers. For too long, the state has failed to provide relief to local governments. The Governor is proposing a five-part program that will relieve municipalities of burdensome and unnecessary costs.

ASSESSMENT OF PARTIALLY COMPLETED PROPERTY

A court ruling in *Kasica v. Columbia* overturned longstanding practice by prohibiting local governments from taxing partially completed property. Municipal assessors believe this could cost towns and cities at least \$30 million. In order to protect that revenue and avoid increasing the property tax burden on all other residents and businesses, the Governor is proposing legislation to clarify that municipal assessors may value property “including improvements that are partially completed or under construction.”

FOI REDACTION

Another court ruling has interpreted statutes to require that all public agencies redact the names of certain public employees. This is unworkable in that it would require public agencies to redact names they don't necessarily know on every document. It could cripple the ability of officials to meet their duties under the law, and puts them in conflict with other statutes which prohibit the alteration of public records.

The Governor is proposing to limit the scope of the requirement in a way that would protect both the public's right-to-know and the privacy of public employees.

PHASE OUT HEALTH-INSURANCE TAX ON MUNICIPALITIES

The cost of providing health insurance puts a strain on local budgets. Municipalities that purchase health insurance policies must pay a 1.75 percent tax to the state. This year the insurance premium tax is estimated to cost those municipalities almost \$9 million that could be used to provide other public services or reduce property taxes.

The Governor proposes to phase out the health-insurance premium tax on municipalities by (a) cutting the tax rate for municipalities by 50 percent beginning in 2014, (b) by another 25 percent for 2015 and (c) eliminating the premium tax on municipalities altogether for 2016.

RESPONSIBILITY FOR EVICTED TENANT POSSESSIONS

State law inserts municipal governments into the contractual relationship between landlords and tenants by requiring towns and cities to store the possessions of evicted residential tenants. The Governor is proposing to relieve property tax payers of this burden by allowing municipalities to assess landlords for the cost of storing these items.

In addition, presently municipalities can auction items after 15 days, which in some cases may be too short a time period for tenants to come up with the money necessary to retrieve the items. The Governor proposes allowing municipalities to be sensitive to this need by providing an additional 15 days of storage.

UNEMPLOYMENT RELIEF – PART TIME WORKERS

Municipalities often employ seasonal workers at parks, outdoor ice rinks or other facilities. These jobs are temporary by nature, and property taxpayers should not be required to pay unemployment costs when the jobs end.

The Governor proposes to establish a minimum threshold of at least 600 work-hours of service before part-time, temporary, or seasonal municipal employees are eligible for unemployment benefits.

CONCLUSION

Governor Malloy is proposing an all funds budget of \$20,729.0 million for FY 2013, a 3.2 percent increase over estimated FY 2012 expenditures and 1.6 percent above the adopted FY 2013 budget. The recommended budget is \$5.9 million below the spending cap for FY 2013.

Appropriated Funds of the State (In Millions)		
	Estimated FY 2012	Recommended FY 2013
General Fund	\$ 18,692.5	\$ 19,266.4
Special Transportation Fund	1,226.1	1,293.5
Mashantucket Pequot & Mohegan Fund	61.8	61.8
Soldiers, Sailors, and Marines Fund	3.1	3.0
Regional Market Operating Fund	1.0	0.9
Banking Fund	26.8	24.9
Insurance Fund	26.6	28.4
Consumer Counsel and Public Utility Fund	26.4	25.4
Workers Compensation Fund	22.3	21.1
Criminal Injuries Compensation Fund	3.5	3.6
Grand Total	<u>\$ 20,090.1</u>	<u>\$ 20,729.0</u>

GENERAL FUND

The recommended revised General Fund budget for FY 2013 represents a \$313.9 million increase over the adopted FY 2013 budget. Of this increase, \$182.0 million is the result of spending necessary to maintain current services, including \$119.0 million as a result of a revised estimate for the cost of retiree health care services. In addition, Governor Malloy is proposing investments of \$128 million to improve Connecticut's education system and \$123.4 million, \$85.3 million of which is attributable to the General Fund, to begin to address the state's chronic underfunding of its pension system – a move which, when coupled with proposed additional pension payments beginning in FY 2014, would save taxpayers nearly \$6 billion over the next twenty years. Governor Malloy is also proposing more than \$124 million in savings initiatives, including a number of changes within the Medicaid program and changes that address the state's growing costs for retired teachers' healthcare. The Governor is continuing to streamline state government by consolidating agencies to gain efficiency: Last year, the number of budgeted state agencies was reduced from 81 to 59; this year, the Governor is proposing consolidations which will reduce that number to 52 agencies.

The January consensus revenue forecast, which forms the basis for the revenues in this budget, is projected at \$19,302.3 million, which represents a decrease of \$138.7 million from the revenue projected in the adopted budget. Programmatic changes proposed in this budget, including the enhancement of the Department of Revenue Services' auditing and collections functions, federal revenue maximization efforts, and the modernization of Connecticut's alcohol statutes, are anticipated to result in FY 2013 revenue totaling \$19,318.0 million, a 3.3 percent increase over estimated FY 2012 revenue.

SPECIAL TRANSPORTATION FUND

In the Special Transportation Fund, the proposed FY 2013 budget is \$1,293.5 million, 5.5 percent above the estimated expenditure level for FY 2012 and 1.2 percent above the adopted budget for FY 2013. The pension reform initiative accounts for \$12.7 million of the fund's growth.

EXPENDITURE CAP

Appropriations for FY 2012 fall just \$1 million below the constitutional expenditure cap. Due to the impact of the recent recession on personal income, growth in the expenditure cap will be based on the increase in the consumer price index (CPI) rather than the five year average growth in personal income, the first time that the CPI will be the limiting factor since the inception of the expenditure cap. As a result, expenditure growth above FY 2012 appropriations is limited to 2.98 percent for expenditures subject to the cap. Governor Malloy's proposed \$20,729.0 million all funds budget for FY 2013 falls below the constitutional spending cap by \$5.9 million.



SECTION A

FINANCIAL SUMMARY

Financial Summary

GOVERNOR'S BUDGET PLAN

(In Millions)

	Estimated FY 2012	Appropriated FY 2013	Revised Recommended FY 2013
<u>General Fund</u>			
Revenues	\$ 18,693.9	\$ 19,441.0	\$ 19,318.0
Appropriations	<u>18,692.5</u>	<u>18,952.5</u>	<u>19,266.4</u>
Balance	\$ 1.4	\$ 488.5	\$ 51.6
Reserve for GAAP	<u>(75.0)</u>	<u>(50.0)</u>	<u>(50.0)</u>
Projected Balance 6/30	\$ (73.6)	\$ 438.5	\$ 1.6
Proposed Changes			
Rescissions and Other Actions	<u>\$ 73.6</u>		
Total Changes	\$ 73.6		
Revised Surplus/(Deficit)	\$ 0.0	\$ 438.5	\$ 1.6
Reduce Indebtedness/Reserves	<u>(0.0)</u>	<u>(438.5)</u>	<u>(1.6)</u>
Projected Balance 6/30	\$ -	\$ -	\$ -
<u>Special Transportation Fund</u>			
Beginning Balance	\$ 107.4	\$ 109.1	\$ 109.1
Revenues	<u>1,227.8</u>	<u>1,335.0</u>	<u>1,299.6</u>
Total Available Resources	1,335.2	1,444.1	1,408.7
Appropriations	<u>1,226.1</u>	<u>1,277.8</u>	<u>1,293.5</u>
Surplus/(Deficit)	\$ 1.7	\$ 57.2	\$ 6.1
Projected Fund Balance 6/30 ⁽¹⁾	\$ 109.1	\$ 166.3	\$ 115.2
<u>Other Funds</u> ⁽²⁾			
Revenues	\$ 171.7	\$ 170.0	\$ 169.5
Appropriations	<u>171.4</u>	<u>169.6</u>	<u>169.0</u>
Surplus/(Deficit)	\$ 0.3	\$ 0.4	\$ 0.5

(1) The balance in the Special Transportation Fund is required for the financing of the multi-year Infrastructure Renewal Plan.

(2) Other funds include the: a) Mashantucket Pequot and Mohegan Fund, b) Soldiers, Sailors and Marines' Fund, c) Regional Market Operation Fund, d) Banking Fund, e) Insurance Fund, f) Consumer Counsel and Public Utility Control Fund, g) Workers' Compensation Fund, and h) Criminal Injuries Compensation Fund.

Financial Summary

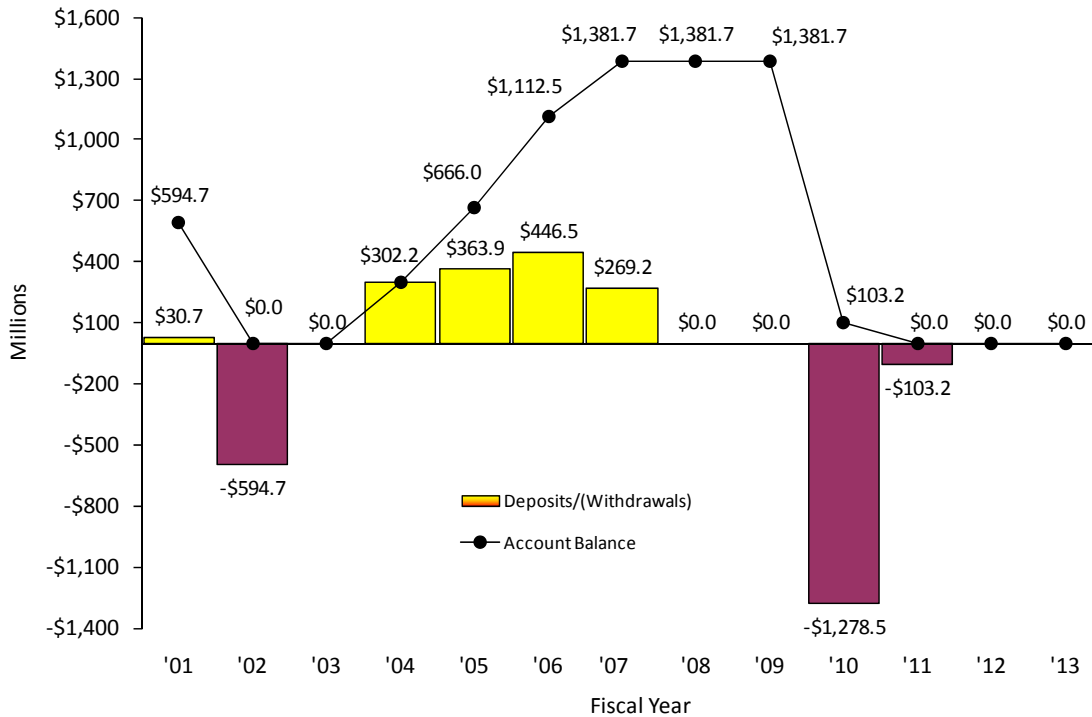
GOVERNOR'S RECOMMENDED REVISIONS TO CURRENT FISCAL YEAR

(In Millions)

	<u>FY 2012</u>
Revenue as of January 20, 2012	\$ 18,693.9
Expenditures as of January 20, 2012	<u>18,692.5</u>
Balance prior to GAAP	\$ 1.4
Reserve for GAAP	<u>(75.0)</u>
Balance as of January 20, 2012	\$ (73.6)

	Net Impact on Balance	
<u>Proposed Adjustments</u>	<u>\$ 73.6</u>	
Rescissions and Other Actions		
Total Proposed Adjustments	\$ 73.6	
Revised Surplus/(Deficit)	\$ 0.0	
Reduce Indebtedness/Reserves	\$ -	
Balance June 30, 2012	<u><u>\$ 0.0</u></u>	

BUDGET RESERVE FUND BALANCE



Financial Summary

SUMMARY OF EXPENDITURE GROWTH

(In Millions)

	Estimated Expenditures <u>FY 2012</u>	Net Adjustments <u>FY 2013</u>	Recommended Appropriation <u>FY 2013</u>	% Growth Over <u>FY 2012</u>
General Fund	\$ 18,692.5	\$ 573.9	\$ 19,266.4	3.1%
Special Transportation Fund	1,226.1	67.4	1,293.5	5.5%
Mashantucket Pequot & Mohegan Fund	61.8	-	61.8	0.0%
Soldiers, Sailors, and Marines' Fund	3.1	(0.1)	3.0	-3.2%
Regional Market Operating Fund	1.0	(0.1)	0.9	-10.0%
Banking Fund	26.8	(1.9)	24.9	-7.1%
Insurance Fund	26.6	1.8	28.4	6.8%
Consumer Counsel and Public Utility Fund	26.4	(1.0)	25.4	-3.8%
Workers Compensation Fund	22.3	(1.2)	21.1	-5.4%
Criminal Injuries Compensation Fund	3.5	0.1	3.6	2.9%
Total	\$ 20,090.1	\$ 638.9	\$ 20,729.0	3.2%

SUMMARY OF APPROPRIATION CHANGES

(In Millions)

	Enacted Appropriation <u>FY 2013</u>	Net Adjustments <u>FY 2013</u>	Recommended Appropriation <u>FY 2013</u>	% Growth Over <u>Enacted</u>
General Fund	\$ 18,952.5	\$ 313.9	\$ 19,266.4	1.7%
Special Transportation Fund	1,277.8	15.7	1,293.5	1.2%
Mashantucket Pequot & Mohegan Fund	61.8	-	61.8	0.0%
Soldiers, Sailors, and Marines' Fund	3.1	(0.1)	3.0	-3.2%
Regional Market Operating Fund	0.9	-	0.9	0.0%
Banking Fund	26.1	(1.2)	24.9	-4.6%
Insurance Fund	26.1	2.3	28.4	8.7%
Consumer Counsel and Public Utility Fund	26.0	(0.6)	25.4	-2.3%
Workers Compensation Fund	22.0	(0.9)	21.1	-4.3%
Criminal Injuries Compensation Fund	3.6	-	3.6	0.0%
Total	\$ 20,400.0	\$ 329.0	\$ 20,729.0	1.6%

FY 2013 enacted appropriation per Public Act 11-6 as adjusted by Public Act 11-61 and Public Act 11-1, June Special Session.

STATUTORY SPENDING CAP CALCULATIONS

For FY 2013

	FY 2012 Enacted <u>Budget</u>	FY 2013 Enacted <u>Budget</u>	<u>Changes</u>	FY 2013 Recommended <u>Budget</u>
Total All Appropriated Funds - Prior Year	\$ 19,339.4	\$ 20,140.8		\$ 20,140.8
Less "Non-Capped" Expenditures:				
Debt Service	2,127.6	2,373.0		2,373.0
Statutory Grants to Distressed Municipalities	<u>1,502.1</u>	<u>1,480.4</u>	<u>(21.7)</u>	<u>1,458.8</u> (a)
Total "Non-Capped" Expenditures - Prior Year	3,629.7	3,853.4	(21.7)	3,831.7
Total "Capped" Expenditures	15,709.8	16,287.43		16,309.1
Times Five-Year Average Growth in				
Personal Income in FY 2012, CPI In FY 2013	3.39%	2.84%	0.13%	2.98% (b)
Allowable "Capped" Growth	<u>532.4</u>	<u>463.3</u>	<u>22.6</u>	<u>485.9</u>
"Capped" Expenditures	16,242.2	16,750.7	44.3	16,795.0
Plus "Non-Capped" Expenditures:				
Debt Service	2,373.0	2,427.5	(29.6)	2,397.9
Federal Mandates and Court Orders (new \$)	46.3	20.6	(13.6)	7.0
Statutory Grants to Distressed Municipalities	<u>1,480.4</u>	<u>1,479.6</u>	<u>55.4</u>	<u>1,534.9</u> (a)
Total "Non-Capped" Expenditures	3,899.7	3,927.6	12.2	3,939.8
Total All Expenditures Allowed	20,141.9	20,678.3	56.5	20,734.8
Appropriation for this year	20,140.8	20,400.0	329.0	20,729.0
Amount Total Appropriations are Over/ (Under) the Cap	<u>\$ (1.0)</u>	<u>\$ (278.4)</u>	<u>\$ 272.5</u>	<u>\$ (5.9)</u>

(a) Updated to reflect FY 2011 actual payments and a revised listing of the 25 distressed municipalities.

(b) Based on Moody's Economy.com 1/20/2012.

SUMMARY OF PROPOSED APPROPRIATIONS BY FUNCTION OF GOVERNMENT
(In Millions)

	Appropriated FY 2013	Revised Recommended FY 2013
GENERAL FUND		
Legislative	\$ 83.5	\$ 76.9
General Government	647.6	603.8
Regulation and Protection	263.3	248.6
Conservation and Development	148.4	235.2
Health and Hospitals	1,911.3	1,846.5
Human Services	5,925.4	5,806.2
Education	4,346.7	3,589.6
Corrections	1,540.2	1,442.3
Judicial	572.8	542.1
Non Functional	4,479.1	5,003.7
Total - General Fund Gross	<u>19,918.3</u>	<u>19,394.8</u>
Less: Legislative Unallocated Lapses	(3.0)	(3.0)
Estimated Unallocated Lapses	(91.7)	(91.7)
Unallocated Lapse-Judicial	(5.4)	(5.4)
General Personal Services Reductions-Legislative	(0.5)	(0.5)
General Personal Services Reductions-Executive	(11.5)	(11.5)
General Other Expenses Reductions-Legislative	(0.4)	(0.4)
General Other Expenses Reductions-Executive	(9.1)	(9.1)
Labor-Management Savings-Legislative	(6.7)	0.0
Labor-Management Savings-Executive	(807.0)	0.0
Labor-Management Savings-Judicial	(30.6)	0.0
Additional Legislative Savings	0.0	(2.0)
Additional Judicial Department Savings	0.0	(4.8)
TOTAL - General Fund Net	<u>\$ 18,952.5</u>	<u>\$ 19,266.4</u>
SPECIAL TRANSPORTATION FUND		
General Government	\$ 7.3	\$ 7.3
Regulation and Protection	55.7	53.9
Transportation	602.0	588.4
Human Services	0.1	0.2
Non-Functional	680.6	654.6
Total - Special Transportation Fund Gross	<u>1,345.8</u>	<u>1,304.5</u>
Less: Estimated Unallocated Lapses	(11.0)	(11.0)
Labor-Management Savings	(56.9)	0.0
TOTAL - Special Transportation Fund Net	<u>\$ 1,277.8</u>	<u>\$ 1,293.5</u>
MASHANTUCKET PEQUOT AND MOHEGAN FUND		
Non-Functional	\$ 61.8	\$ 61.8
Total - Mashantucket Pequot and Mohegan Fund	<u>\$ 61.8</u>	<u>\$ 61.8</u>
SOLDIERS, SAILORS AND MARINES' FUND		
Human Services	3.1	3.0
Total - Soldiers, Sailors and Marines' Fund	<u>\$ 3.1</u>	<u>\$ 3.0</u>
REGIONAL MARKET OPERATION FUND		
Conservation and Development	\$ 0.9	\$ 0.9
Non-Functional	0.0	0.0
Total - Regional Market Operation Fund	<u>\$ 0.9</u>	<u>\$ 0.9</u>
BANKING FUND		
Regulation and Protection	\$ 20.7	\$ 19.5
Conservation and Development	0.2	0.2
Judicial	5.3	5.3
Total - Banking Fund Gross	\$ 26.2	\$ 25.0
Less: Branch Savings Target- Judicial	(0.1)	(0.1)
TOTAL - Banking Fund Net	<u>\$ 26.1</u>	<u>\$ 24.9</u>
INSURANCE FUND		
General Government	\$ 0.4	\$ 0.4
Regulation and Protection	25.3	27.5
Human Services	0.5	0.5
Total - Insurance Fund	<u>\$ 26.1</u>	<u>\$ 28.4</u>
CONSUMER COUNSEL AND PUBLIC UTILITY FUND		
Regulation and Protection	\$ 3.0	\$ 2.8
Conservation and Development	23.0	22.6
Total - Consumer Counsel and Public Utility Fund	<u>\$ 26.0</u>	<u>\$ 25.4</u>
WORKERS' COMPENSATION FUND		
General Government	\$ 0.7	\$ 0.7
Regulation and Protection	19.2	18.2
Human Services	2.1	2.1
Total - Workers' Compensation Fund	<u>\$ 22.0</u>	<u>\$ 21.1</u>
CRIMINAL INJURIES COMPENSATION FUND		
Judicial	\$ 3.6	\$ 3.6
Total - Criminal Injuries Compensation Fund	<u>\$ 3.6</u>	<u>\$ 3.6</u>
TOTAL NET APPROPRIATIONS - ALL FUNDS	<u>\$ 20,400.0</u>	<u>\$ 20,729.0</u>

Financial Summary

GENERAL FUND REVENUES

(In Millions)

	Actual Revenue FY 2011	Projected Revenue Current Rates FY 2012	Proposed Revenue Changes FY 2012	Net Projected Revenue FY 2012
<u>Taxes</u>				
Personal Income Tax	\$ 7,246.4	\$ 8,381.0	\$ -	\$ 8,381.0
Sales & Use Tax	3,353.2	3,880.5	-	3,880.5
Corporation Tax	794.5	707.7	-	707.7
Public Service Tax	269.8	268.7	-	268.7
Inheritance & Estate Tax	237.6	158.0	-	158.0
Insurance Companies Tax	220.6	228.8	-	228.8
Cigarettes Tax	404.1	443.8	-	443.8
Real Estate Conveyance Tax	94.8	92.1	-	92.1
Oil Companies Tax	169.2	136.6	-	136.6
Electric Generation Tax	-	71.0	-	71.0
Alcoholic Beverages Tax	48.9	54.9	-	54.9
Admissions & Dues Tax	34.5	36.5	-	36.5
Health Provider Tax	-	525.9	-	525.9
Miscellaneous Tax	140.5	16.3	-	16.3
Total Taxes	\$ 13,014.1	\$ 15,001.8	\$ -	\$ 15,001.8
Less Refunds of Tax	(956.1)	(873.6)	-	(873.6)
Less Earned Income Tax Credit	-	(110.2)	-	(110.2)
Less R&D Credit Exchange	(8.6)	(9.0)	-	(9.0)
Total - Taxes Less Refunds	\$ 12,049.5	\$ 14,009.0	\$ -	\$ 14,009.0
<u>Other Revenue</u>				
Transfers-Special Revenue	\$ 293.1	\$ 297.8	\$ -	\$ 297.8
Indian Gaming Payments	359.6	342.4	-	342.4
Licenses, Permits, Fees	250.4	269.9	-	269.9
Sales of Commodities	35.5	36.4	-	36.4
Rents, Fines, Escheats	157.8	123.7	-	123.7
Investment Income	0.0	2.0	-	2.0
Miscellaneous	178.7	168.0	-	168.0
Less Refunds of Payments	(1.9)	(81.4)	-	(81.4)
Total - Other Revenue	\$ 1,273.3	\$ 1,158.8	\$ -	\$ 1,158.8
<u>Other Sources</u>				
Federal Grants	\$ 4,235.2	\$ 3,572.8	\$ -	3,572.8
Transfer From Tobacco Settlement	95.3	96.1	-	96.1
Transfers From/(To) Other Funds	54.2	(142.8)	-	(142.8)
Total - Other Sources	\$ 4,384.7	\$ 3,526.1	\$ -	\$ 3,526.1
Total - General Fund Revenues	\$ 17,707.5	\$ 18,693.9	\$ -	\$ 18,693.9

Financial Summary

Projected Revenue Current Rates FY 2013	Proposed Revenue Changes FY 2013	Net Projected Revenue FY 2013
\$ 8,773.1	\$ 6.0	\$ 8,779.1
4,050.7	11.1	4,061.8
777.5	2.0	779.5
275.2	-	275.2
151.8	-	151.8
227.8	-	227.8
425.9	-	425.9
96.7	-	96.7
165.7	-	165.7
71.0	-	71.0
55.0	2.6	57.6
39.6	-	39.6
530.7	-	530.7
16.3	-	16.3
<u>\$ 15,657.0</u>	<u>\$ 21.7</u>	<u>\$ 15,678.7</u>
(944.2)	-	(944.2)
(116.5)	-	(116.5)
<u>(9.5)</u>	<u>-</u>	<u>(9.5)</u>
\$ 14,586.8	\$ 21.7	\$ 14,608.5
\$ 299.3	\$ -	\$ 299.3
347.7	-	347.7
253.5	11.7	265.2
37.3	-	37.3
116.7	-	116.7
2.6	-	2.6
169.1	-	169.1
<u>(50.0)</u>	<u>-</u>	<u>(50.0)</u>
\$ 1,176.2	\$ 11.7	\$ 1,187.9
\$ 3,680.8	\$ (17.7)	\$ 3,663.1
93.1	-	93.1
<u>(234.6)</u>	<u>-</u>	<u>(234.6)</u>
\$ 3,539.3	\$ (17.7)	\$ 3,521.6
\$ 19,302.3	\$ 15.7	\$ 19,318.0

Explanation of Changes

Personal Income Tax

Increase compliance with state tax laws by enhancing the audit and collection functions at the Department of Revenue Services.

Sales Tax

Expand hours of permitted alcoholic beverage sales, including Sunday sales. Increase compliance with state tax laws by enhancing the audit and collection functions at the Department of Revenue Services.

Corporation Tax

Increase compliance with state tax laws by enhancing the audit and collection functions at the Department of Revenue Services.

Alcoholic Beverages Tax

Expand hours of permitted alcoholic beverage sales, including Sunday sales.

License, Permits, and Fees

Expand childhood vaccine program.

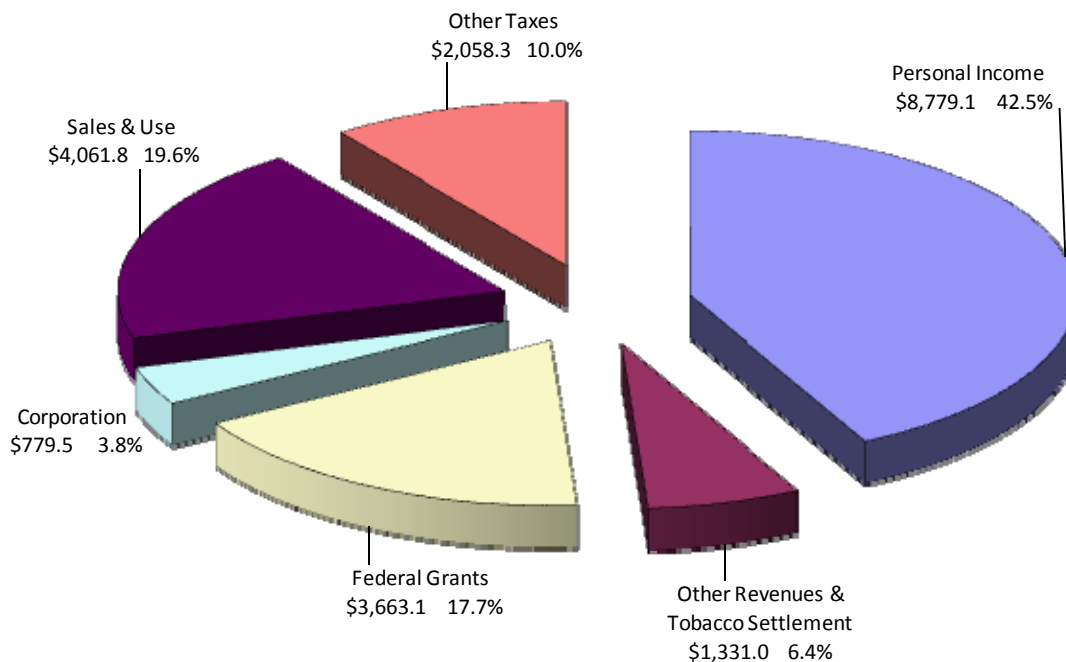
Federal Grants

Impact of recommended expenditure changes.

WHERE THE GENERAL FUND DOLLARS COME FROM
GENERAL FUND REVENUES FY 2013

(In Millions)

TOTAL \$ 19,318.0 MILLION*



Taxes

	<u>Projected Revenue FY 2013</u>
Personal Income Tax	\$ 8,779.1
Sales & Use Tax	4,061.8
Corporation Tax	779.5
All Other Taxes	<u>2,058.3</u>
Total Taxes	\$ 15,678.7
Less Refunds of Tax	(944.2)
Less Earned Income Tax Credit	(116.5)
Less R&D Credit Exchange	<u>(9.5)</u>
Total - Taxes Less Refunds	\$ 14,608.5

Other Revenue

Transfers-Special Revenue	\$ 299.3
Indian Gaming Payments	347.7
All Other Revenue	590.9
Less Refunds of Payments	<u>(50.0)</u>
Total - Other Revenue	\$ 1,187.9

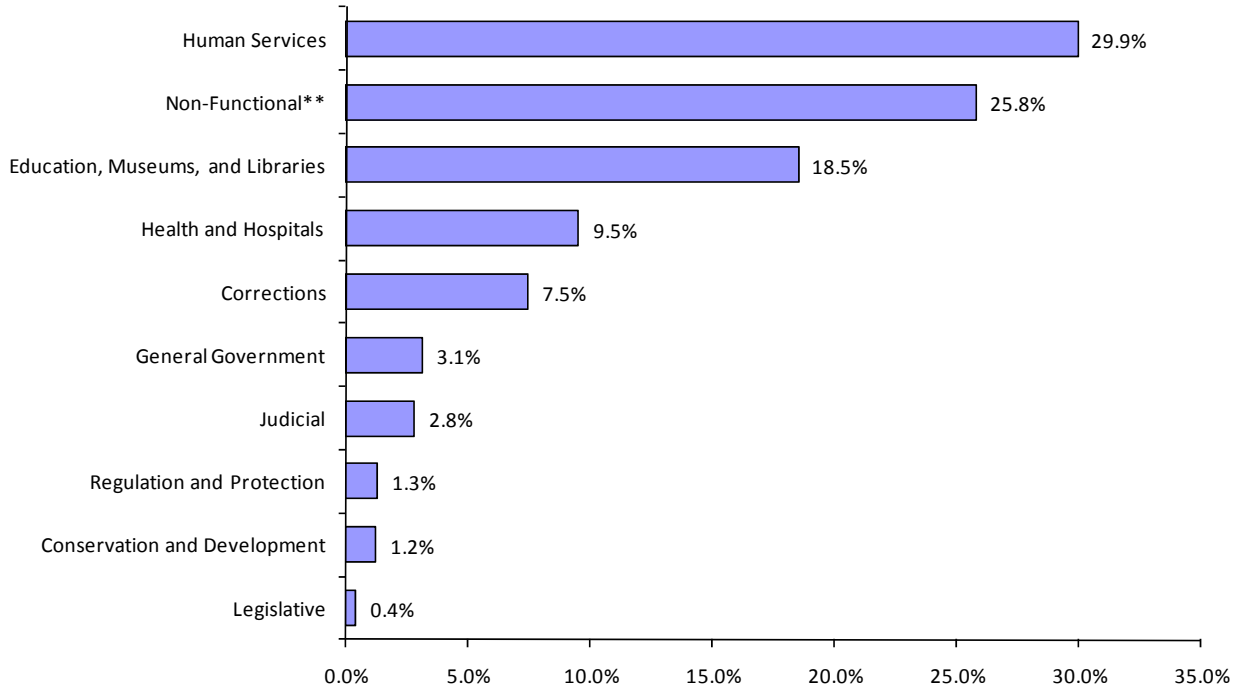
Other Sources

Federal Grants	\$ 3,663.1
Transfers - (From)/To Resources of the G.F.	(234.6)
Transfer From Tobacco Settlement	<u>93.1</u>
Total - Other Sources	\$ 3,521.6

Total - General Fund Revenue \$ 19,318.0

* Refunds are estimated at \$944.2 million in FY 2013, Earned Income Tax Credit is estimated at \$116.5 million, R&D Credit Exchange is estimated at \$9.5 million, Refunds of Payments are estimated at \$50.0 million, and Transfers to Other Funds are estimated at \$234.6 million in FY 2013.

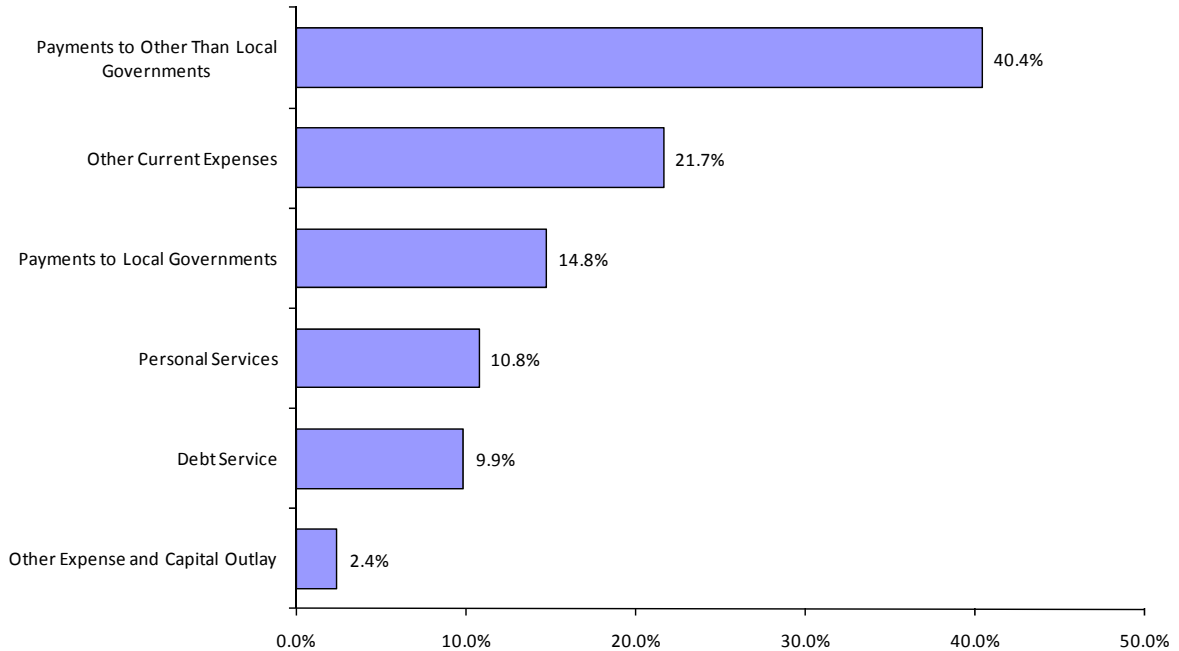
WHERE THE GENERAL FUND DOLLARS GO
 GENERAL FUND APPROPRIATIONS- FY 2013
 TOTAL \$19,266.4 MILLION*
 BY FUNCTION OF GOVERNMENT



* Net General Fund appropriations are \$19,261.6 million after estimated lapses totaling \$128.4 million.

** Non-Functional includes state employee fringe benefits, debt service, and various miscellaneous accounts.

BY MAJOR OBJECT



Personal Services – compensation for the services of officials and employees of the State.

Other Expense and Capital Outlay – Other Expense is payment for services secured by contract, for all supplies, materials and equipment not normally regarded as capital items, and all expenditures not properly assignable to other standard accounts; Capital Outlay is all items of equipment (machinery, tools, furniture, vehicles, apparatus, etc.) with a value of over \$1,000 and a useful life of more than one year.

Other Current Expenses – are authorizations for a specific purpose which can be spent on Personal Services, Other Expenses, Equipment or Grants as long as the funds are spent for the purpose for which the funds were authorized.

Payments to Other Than Local Governments – grant payments to institutions, agencies, individuals or undertakings that may not function under state control

Payments to Local Governments - municipal aid grants.

Debt Service- the payment of interest and principal required on State General Obligation or Special Transportation Obligation debt for the budget period.

Financial Summary

GENERAL FUND - SUMMARY OF FY 2013 RECOMMENDATIONS

(In Millions)

Projected FY 2013 Revenues

Estimated FY 2013 Revenue - Current Law-January 17, 2012 Consensus		\$	19,302.3
Proposed Revenue Changes			
Various-Increase compliance with state tax laws	\$	13.0	
Sales- Expand hours of permitted alcoholic beverage sales, including Sundays		6.1	
Alcoholic Beverages-Expand hours of permitted alcoholic beverage sales		2.6	
License, Permits & Fees- Expand childhood vaccine program		11.7	
Federal Grants- Impact of Expenditure Changes		(17.7)	
Total Changes		\$	<u>15.7</u>
Available Resources - FY 2013		\$	<u>19,318.0</u>

Projected 2013 Expenditures

Adopted Appropriations FY 2013		\$	18,952.5
Increase/Decrease			
SDE - Education Initiatives	\$	128.2	
Remove SEBAC IV & V Pension Adjustments		85.3	
1% Private Provider COLA (effective 1/1/13)		8.5	
Debt Service		(19.4)	
DSS - Medication Administration Changes		(20.5)	
DSS/DMHAS - Medicaid Waiver for Low Income Adults (LIA)		(22.5)	
DPH - Childhood Vaccines		11.7	
TRB - Healthcare Costs		(12.1)	
Revised Retiree Healthcare Savings Estimate		119.0	
DECD - New Rental Assistance Program (RAP) Funding		1.5	
DOC - Restoration of Funding for Schedule Change		10.0	
All Other - Net		24.2	
Total Increases/(Decreases)		\$	<u>313.9</u>
Total Projected Expenditures FY 2013		\$	<u>19,266.4</u>
Projected Operating Balance		\$	<u>51.6</u>
Reserve for GAAP			50.0
Projected GAAP Balance - June 30, 2013		\$	<u><u>1.6</u></u>

Financial Summary

SPECIAL TRANSPORTATION FUND - SUMMARY OF FY 2013 RECOMMENDATIONS

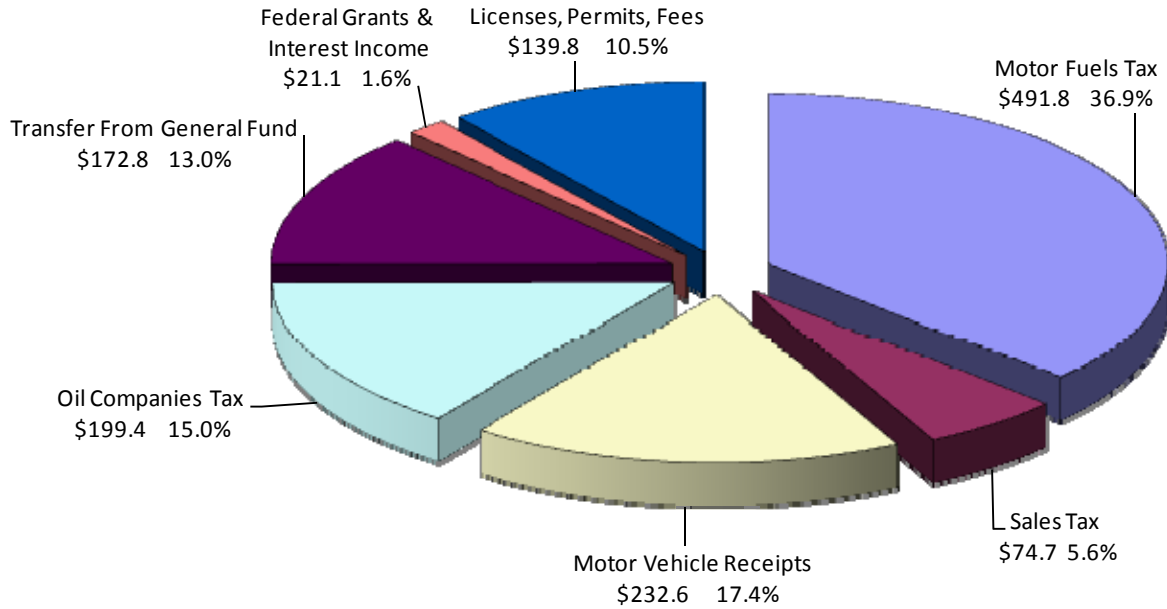
(In Millions)

Unappropriated Surplus - 6/30/2012		\$	109.1
<u>Projected FY 2013 Revenues</u>			
Estimated FY 2013 Revenue - Current Law- January 17, 2012 Consensus	\$	1,299.5	
Proposed Revenue Changes			
License, Permits & Fees- Increase Outdoor Advertising Fee		<u>0.1</u>	
Total Changes		0.1	
Revised FY 2013 Revenue	\$	<u>1,299.6</u>	
Available Resources - FY 2013	\$	1,408.7	
<u>Projected FY 2013 Expenditures</u>			
Adopted Appropriations FY 2013	\$	1,277.8	
Increase/Decrease			
Debt Service	\$	(10.6)	
DOT- Pay-As-You-Go Transportation Projects		7.0	
DOT- Transportation for Employment Independence		3.2	
Remove SEBAC IV & V Pension Adjustments		12.7	
All Other - Net		<u>3.4</u>	
Total Increases/(Decreases)	\$	<u>15.7</u>	
Total Projected Expenditures FY 2013	\$	1,293.5	
Projected Fund Balance - June 30, 2013	\$	<u><u>115.2</u></u>	

Financial Summary

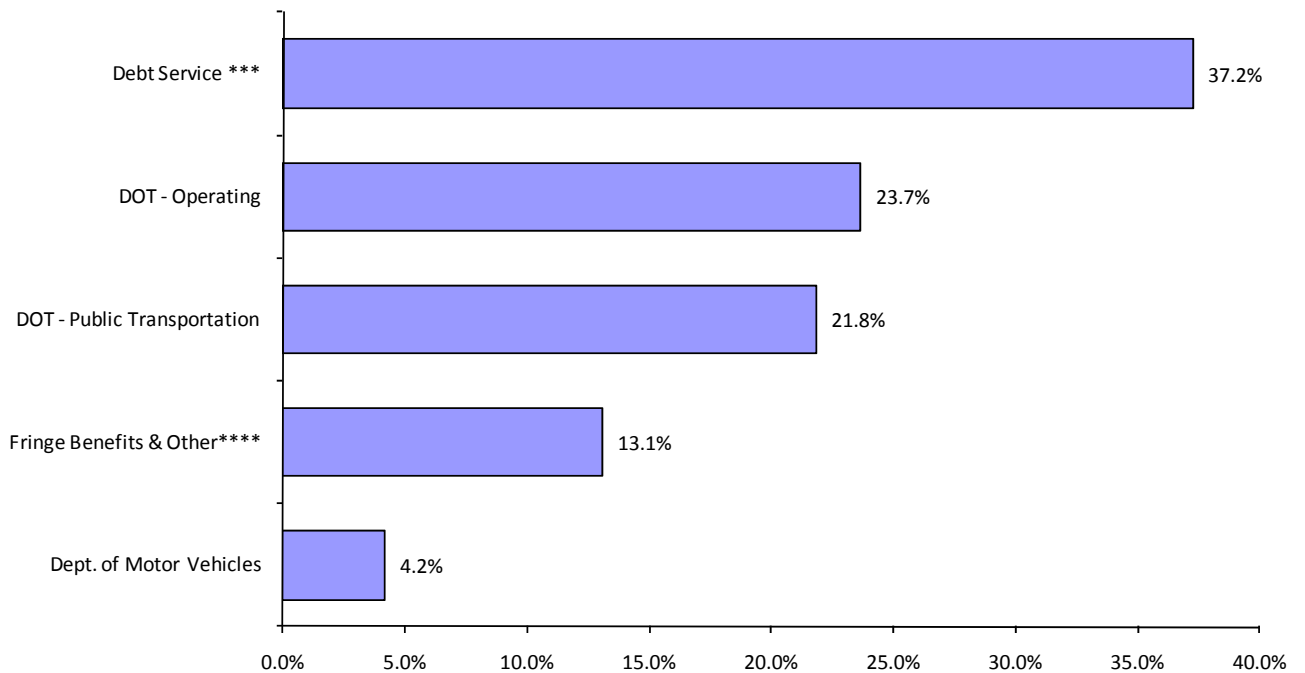
WHERE THE SPECIAL TRANSPORTATION FUND DOLLARS COME FROM
 SPECIAL TRANSPORTATION FUND REVENUES
 FISCAL YEAR 2013
 (In Millions)

TOTAL \$1,299.6 MILLION*



WHERE THE SPECIAL TRANSPORTATION FUND DOLLARS GO
 SPECIAL TRANSPORTATION FUND APPROPRIATIONS

TOTAL \$ 1,293.5 MILLION**



* Refunds are estimated at \$11.1 million in 2013. Transfers to Other Funds are estimated at \$21.5 million in 2013.

** Net Special Transportation Fund appropriations are \$1,293.5 million in 2013 after an estimated lapse of \$11.0 million.

*** Debt Service includes: Special Tax Obligation Bonds and General Obligation Bonds.

**** Fringe Benefits & Other includes: State employees fringe benefits, State Insurance & Risk Management Board, and other miscellaneous accounts.

Financial Summary

SPECIAL TRANSPORTATION FUND REVENUES

(In Millions)

	Actual Revenue FY 2011	Projected Revenue Current Rates FY 2012	Proposed Revenue Changes FY 2012	Net Projected Revenue FY 2012	Projected Revenue Current Rates FY 2013	Proposed Revenue Changes FY 2013	Net Projected Revenue FY 2013
<u>Taxes</u>							
Motor Fuels Tax	\$ 483.5	\$ 491.8	\$ -	\$ 491.8	\$ 491.8	\$ -	\$ 491.8
Oil Companies Tax	165.3	226.9	-	226.9	199.4	-	199.4
Sales Tax - DMV	71.9	74.3	-	74.3	74.7	-	74.7
Total Taxes	\$ 720.7	\$ 793.0	\$ -	\$ 793.0	\$ 765.9	\$ -	\$ 765.9
Less Refunds of Taxes	(6.8)	(7.4)	-	(7.4)	(7.5)	-	(7.5)
Total - Taxes Less Refunds	\$ 713.9	\$ 785.6	\$ -	\$ 785.6	\$ 758.4	\$ -	\$ 758.4
<u>Other Sources</u>							
Motor Vehicle Receipts	\$ 220.1	\$ 230.0	\$ -	\$ 230.0	\$ 232.6	\$ -	\$ 232.6
Licenses, Permits, Fees	135.5	137.5	-	137.5	139.7	0.1	139.8
Interest Income	5.5	5.0	-	5.0	8.0	-	8.0
Federal Grants	9.4	13.1	-	13.1	13.1	-	13.1
Transfers From (To) Other Funds	101.1	75.1	-	75.1	166.3	-	166.3
Transfer To TSB	(15.3)	(15.0)	-	(15.0)	(15.0)	-	(15.0)
Less Refunds of Payments	(3.0)	(3.5)	-	(3.5)	(3.6)	-	(3.6)
Total - Other Sources	\$ 453.2	\$ 442.2	\$ -	\$ 442.2	\$ 541.1	\$ 0.1	\$ 541.2
Total - STF Revenues	\$ 1,167.2	\$ 1,227.8	\$ -	\$ 1,227.8	\$ 1,299.5	\$ 0.1	\$ 1,299.6

Explanation of Changes

License, Permits, Fees

Increase Outdoor Advertising Fees.

Financial Summary

SPECIAL TRANSPORTATION FUND - STATEMENT OF FINANCIAL CONDITION

(In Millions)

Actual & Projected Revenues	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
Motor Fuels Tax, Motor Vehicle Receipts, Licenses, Permits, Fees	\$ 839.1	\$ 859.3	\$ 864.1	\$ 868.8	\$ 874.0	\$ 879.7
Sales Tax - DMV	71.9	74.3	74.7	75.5	77.0	78.5
Oil Companies Tax	165.3	226.9	199.4	222.7	226.8	231.4
Federal Grants	9.4	13.1	13.1	13.1	13.1	13.1
Interest Income	5.5	5.0	8.0	12.0	15.0	18.0
Transfers from / (to) Other Funds	101.1	75.1	166.3	166.3	166.3	166.3
Transfers to Transportation Strategy Board Account	(15.3)	(15.0)	(15.0)	(15.0)	(15.0)	-
Total Revenues	\$ 1,177.0	\$ 1,238.7	\$ 1,310.6	\$ 1,343.4	\$ 1,357.2	\$ 1,387.0
Refunds	(9.8)	(10.9)	(11.1)	(11.3)	(11.5)	(12.2)
Total Net Revenues	\$ 1,167.2	\$ 1,227.8	\$ 1,299.5	\$ 1,332.1	\$ 1,345.7	\$ 1,374.8
Projected Debt Service and Expenditures						
Projected Debt Service on the Bonds	\$ 441.4	\$ 448.8	\$ 481.9	\$ 487.8	\$ 499.9	\$ 519.2
DOT Budgeted Expenses	510.6	550.7	548.7	565.4	583.9	599.3
DMV Budgeted Expenses	52.9	56.1	53.9	56.1	58.4	60.6
Other Budget Expenses	141.9	179.3	167.5	179.4	190.1	196.1
Program Costs Paid from Current Operations	18.4	39.7	29.7	29.7	29.7	29.7
Other Lapses	-	(42.5)	-	-	-	-
Estimated Unallocated Lapses	-	(6.0)	(11.0)	(11.0)	(11.0)	(11.0)
Total Expenditures	\$ 1,165.2	\$ 1,226.1	\$ 1,270.7	\$ 1,307.4	\$ 1,351.0	\$ 1,393.9
Excess (Deficiency)	2.0	1.7	28.8	24.7	(5.3)	(19.1)
Revised Cumulative Excess (Deficiency)	\$ 107.4	\$ 109.1	\$ 137.9	\$ 162.6	\$ 157.3	\$ 138.2
New Revenue Changes						
Increase Outdoor Advertising Fees	\$ -	\$ -	\$ 0.1	\$ 0.1	\$ 0.1	\$ 0.1
Total Revenue Changes	-	-	0.1	0.1	0.1	0.1
Total Revised Revenues	\$ 1,167.2	\$ 1,227.8	\$ 1,299.6	\$ 1,332.2	\$ 1,345.8	\$ 1,374.9
New Expenditure Changes						
DOT- Reduce Admn. Asset Budget For Metro North Railroad	\$ -	\$ -	\$ (1.0)	\$ (1.0)	\$ (1.0)	\$ (1.0)
DOT- Transfer Funding for Transportation Services	-	-	3.2	3.2	3.3	3.4
DOT- Restore Tweed-New Haven Airport Grant	-	-	0.5	0.5	0.5	0.5
DOT-Increase Funding for Pay-As-You-Go Projects	-	-	5.0	-	-	-
DOT- Additional Funding for Tree Cutting Program	-	-	2.0	-	-	-
DOT-Expand Bus Operation Service	-	-	0.1	0.1	0.1	0.1
DOT-Expand Shore Line East Service	-	-	0.3	1.0	1.1	1.1
OSC- Increase State Employees Retirement Contribution	-	-	12.7	13.2	13.8	14.3
Total Expenditure Changes	-	-	22.8	17.0	17.8	18.4
Total Revised Expenditures	\$ 1,165.2	\$ 1,226.1	\$ 1,293.5	\$ 1,324.4	\$ 1,368.8	\$ 1,412.3
Revised Projected Excess (Deficiency)	2.0	1.7	6.1	7.8	(23.0)	(37.4)
Revised Cumulative Excess (Deficiency)	\$ 107.4	\$ 109.1	\$ 115.2	\$ 123.0	\$ 100.0	\$ 62.6

Financial Summary

STATE OF CONNECTICUT
 SUMMARY OF PRINCIPAL AND INTEREST
 ON GENERAL FUND DEBT OUTSTANDING⁽¹⁾
 as of June 30, 2011

Fiscal Year	Principal	Interest	Total Debt Service
2012	\$ 1,184,336,696	\$ 730,256,264	\$ 1,914,592,960
2013	1,116,289,369	660,221,464	1,776,510,833
2014	1,093,715,017	596,479,930	1,690,194,947
2015	1,074,981,365	536,233,006	1,611,214,371
2016	1,078,720,061	487,465,526	1,566,185,587
2017	802,884,988	439,804,730	1,242,689,718
2018	787,667,299	404,602,270	1,192,269,569
2019	717,256,471	366,229,887	1,083,486,358
2020	599,475,614	334,841,790	934,317,404
2021	639,771,206	302,813,494	942,584,700
2022	623,609,111	322,583,856	946,192,967
2023	646,036,122	302,938,171	948,974,293
2024	573,009,066	300,034,426	873,043,492
2025	441,817,437	278,297,625	720,115,062
2026	518,330,000	171,187,167	689,517,167
2027	488,690,000	144,507,039	633,197,039
2028	459,170,000	119,055,288	578,225,288
2029	436,130,000	94,447,917	530,577,917
2030	558,135,000	66,701,837	624,836,837
2031	374,130,000	41,158,693	415,288,693
2032	359,850,000	21,051,225	380,901,225
Total	\$ 14,574,004,822	\$ 6,720,911,605	\$ 21,294,916,427

⁽¹⁾ Includes General Obligation, Economic Recovery Notes, Teachers' Retirement Bonds, Tax Increment Financings, CHFA Supportive Housing, CHFA Emergency Mortgage Assistance Program, Juvenile Juvenile Training, Middletown Courthouse, and UConn 2000.

Financial Summary

STATE OF CONNECTICUT
 SUMMARY OF PRINCIPAL AND INTEREST
 ON SPECIAL TAX OBLIGATION DEBT OUTSTANDING
 as of June 30, 2011

Fiscal <u>Year</u>	<u>Principal</u>	<u>Interest</u>	Total Debt <u>Service</u>
2012	\$ 274,275,000	\$ 156,551,384	\$ 430,826,384
2013	309,825,000	143,308,679	453,133,679
2014	262,365,000	130,555,304	392,920,304
2015	227,070,000	119,428,600	346,498,600
2016	209,740,000	109,694,282	319,434,282
2017	189,910,000	100,055,887	289,965,887
2018	185,990,000	91,077,878	277,067,878
2019	181,460,000	82,129,892	263,589,892
2020	173,895,000	73,327,468	247,222,468
2021	178,800,000	65,288,807	244,088,807
2022	153,715,000	56,913,399	210,628,399
2023	160,130,000	49,169,537	209,299,537
2024	140,320,000	41,752,809	182,072,809
2025	131,840,000	34,552,330	166,392,330
2026	122,575,000	27,973,072	150,548,072
2027	109,115,000	21,824,827	130,939,827
2028	115,085,000	15,796,391	130,881,391
2029	101,530,000	9,933,314	111,463,314
2030	83,060,000	4,861,654	87,921,654
2031	<u>46,895,000</u>	<u>1,279,999</u>	<u>48,174,999</u>
Total	\$ 3,357,595,000	\$ 1,335,475,513	\$ 4,693,070,513

Financial Summary

FINANCIAL POSITION OF THE STATE

Actual June 30, 2011 and Estimated June 30, 2012 and June 30, 2013

(In Millions)

	General Fund	Special Transportation Fund	Budget Reserve Fund
UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2010	\$ -	\$ 105.4	\$ 103.2
Income: FY 2011			
Revenues, Actual	17,707.5	1,168.6	-
Transfer of Reserves from FY 2010	<u>449.9</u>	<u>-</u>	<u>-</u>
TOTAL - Income	18,157.3	1,168.6	-
TOTAL AVAILABLE RESOURCES	\$ 18,157.3	\$ 1,274.0	\$ 103.2
Outlay: FY 2011			
Actual Expenditures	<u>17,920.4</u>	<u>1,166.6</u>	<u>103.2</u>
TOTAL - Outlay - Net	17,920.4	1,166.6	103.2
Surplus/Deficit	236.9	2.0	(103.2)
Transfer to Budget Reserve Fund	<u>(236.9)</u>	<u>-</u>	<u>-</u>
UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2011	\$ -	\$ 107.4	\$ -
Income: FY 2012			
Revenues, Estimated	18,693.9	1,227.8	-
Proposed Changes	-	-	-
TOTAL - Income	<u>18,693.9</u>	<u>1,227.8</u>	<u>-</u>
TOTAL AVAILABLE RESOURCES	\$ 18,693.9	\$ 1,335.2	\$ -
Outlay: FY 2012			
Estimated Expenditures	<u>18,692.5</u>	<u>1,226.1</u>	<u>-</u>
TOTAL - Outlay - Net	18,692.5	1,226.1	-
Surplus/Deficit from Operations	1.4	1.7	-
Governor's Recommended Revisions	73.6	-	-
Revised Surplus/(Deficit)	75.0	1.7	-
Transfer to Budget Reserve Fund/GAAP	<u>(75.0)</u>	<u>-</u>	<u>-</u>
UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2012	\$ -	\$ 109.1	\$ -
Income: FY 2013			
Revenues, Estimated	19,302.3	1,299.5	-
Proposed Revenue Changes	<u>15.7</u>	<u>0.1</u>	<u>-</u>
TOTAL - Income	19,318.0	1,299.6	-
TOTAL AVAILABLE RESOURCES	\$ 19,318.0	\$ 1,408.7	\$ -
Outlay: FY 2013			
Recommended Appropriations	<u>19,266.4</u>	<u>1,293.5</u>	<u>-</u>
TOTAL - Outlay - Net	19,266.4	1,293.5	-
Surplus/Deficit	51.6	6.1	-
Transfer to Budget Reserve Fund/GAAP	<u>(51.6)</u>	<u>-</u>	<u>-</u>
UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2013	\$ -	\$ 115.2	\$ -

FINANCIAL POSITION OF THE STATE

Actual June 30, 2011 and Estimated June 30, 2012 and June 30, 2013

(In Millions)

		Tobacco Settlement Fund		Tobacco and Health Trust Fund		Biomedical Research Trust Fund
UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2010	\$	-	\$	6.5	\$	8.5
Income: FY 2011						
Revenues, Actual		121.4		12.0		4.0
Interest Income		0.0		0.0		0.0
TOTAL - Income		<u>121.4</u>		<u>12.0</u>		<u>4.0</u>
TOTAL AVAILABLE RESOURCES	\$	<u>121.4</u>	\$	<u>18.5</u>	\$	<u>12.5</u>
Outlay: FY 2011						
Transfer to Tobacco and Health Trust Fund		12.0		-		-
Transfer to Biomedical Research Trust Fund		4.0		-		-
Transfer to General Fund, Revenue Services, and Attorney General		95.4		10.0		4.5
Transfer to Stem Cell Research		10.0		-		-
Expenditures		<u>-</u>		<u>1.5</u>		<u>1.7</u>
TOTAL - Outlay - Net		<u>121.4</u>		<u>11.5</u>		<u>6.2</u>
UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2011	\$	-	\$	7.0	\$	6.3
Income: FY 2012						
Revenues, Estimated		122.2		12.0		4.0
Interest Income		0.0		0.0		0.0
TOTAL - Income		<u>122.2</u>		<u>12.0</u>		<u>4.0</u>
TOTAL AVAILABLE RESOURCES	\$	<u>122.2</u>	\$	<u>19.0</u>	\$	<u>10.3</u>
Outlay: FY 2012						
Transfer to Tobacco and Health Trust Fund		12.0				
Transfer to Biomedical Research Trust Fund		4.0				
Transfer to General Fund, Revenue Services, and Attorney General		96.2				
Transfer to Stem Cell Research		10.0				
Estimated Expenditures		<u>-</u>		<u>7.3</u>		<u>3.3</u>
TOTAL - Outlay - Net		<u>122.2</u>		<u>7.3</u>		<u>3.3</u>
UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2012	\$	-	\$	11.7	\$	7.0
Income: FY 2013						
Revenues, Estimated		119.2		12.0		4.0
Interest Income		0.0		0.0		0.0
TOTAL - Income		<u>119.2</u>		<u>12.0</u>		<u>4.0</u>
TOTAL AVAILABLE RESOURCES	\$	<u>119.2</u>	\$	<u>23.7</u>	\$	<u>11.1</u>
Outlay: FY 2013						
Transfer to Tobacco and Health Trust Fund		12.0				
Transfer to Biomedical Research Trust Fund		4.0				
Transfer to General Fund, Revenue Services, and Attorney General		93.2				
Transfer to Stem Cell Research		10.0				
Estimated Expenditures		<u>-</u>		<u>14.0</u>		<u>1.7</u>
TOTAL - Outlay - Net		<u>119.2</u>		<u>14.0</u>		<u>1.7</u>
UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2013	\$	-	\$	9.8	\$	9.3

Financial Summary

FINANCIAL POSITION OF THE STATE

Actual June 30, 2011 and Estimated June 30, 2012 and June 30, 2013

	Mashantucket Pequot and Mohegan Fund	Soldiers, Sailors, and Marines' Fund *	Regional Market Operation Fund	Criminal Injuries Compensation Fund
FUND BALANCE: 6/30/2010	\$ 70,093	\$ 51,655,501	\$ 982,139	\$ 3,477,977
Income: FY 2011				
Transfer from Other Funds	61,800,000	-	-	-
Revenues, Actual	-	3,175,166	943,284	1,888,501
Miscellaneous Adjustments	-	-	-	-
TOTAL - Income	<u>61,800,000</u>	<u>3,175,166</u>	<u>943,284</u>	<u>1,888,501</u>
TOTAL AVAILABLE RESOURCES	\$ 61,870,093	\$ 54,830,667	\$ 1,925,423	\$ 5,366,478
Outlay: FY 2011				
Actual Expenditures	<u>61,779,907</u>	<u>2,964,783</u>	<u>950,713</u>	<u>3,393,978</u>
TOTAL - Outlay	<u>61,779,907</u>	<u>2,964,783</u>	<u>950,713</u>	<u>3,393,978</u>
FUND BALANCE: 6/30/2011	\$ 90,186	\$ 51,865,884	\$ 974,710	\$ 1,972,500
Income: FY 2012				
Transfer from Other Funds	61,800,000	-	-	-
Revenues, Estimated	-	3,100,000	970,000	3,500,000
Miscellaneous Adjustments	-	-	-	-
TOTAL - Income	<u>61,800,000</u>	<u>3,100,000</u>	<u>970,000</u>	<u>3,500,000</u>
TOTAL AVAILABLE RESOURCES	\$ 61,890,186	\$ 54,965,884	\$ 1,944,710	\$ 5,472,500
Outlay: FY 2012				
Estimated Expenditures	<u>61,779,907</u>	<u>3,061,036</u>	<u>964,897</u>	<u>3,493,913</u>
TOTAL - Outlay	<u>61,779,907</u>	<u>3,061,036</u>	<u>964,897</u>	<u>3,493,913</u>
FUND BALANCE: 6/30/2012	\$ 110,279	\$ 51,904,848	\$ 979,813	\$ 1,978,587
Income: FY 2013				
Transfer from Other Funds	61,800,000	-	-	-
Revenues, Estimated	-	3,100,000	940,000	3,610,000
Miscellaneous Adjustments	-	-	-	-
TOTAL - Income	<u>61,800,000</u>	<u>3,100,000</u>	<u>940,000</u>	<u>3,610,000</u>
TOTAL AVAILABLE RESOURCES	\$ 61,910,279	\$ 55,004,848	\$ 1,919,813	\$ 5,588,587
Outlay: FY 2013				
Estimated Expenditures	<u>61,779,907</u>	<u>3,039,412</u>	<u>932,821</u>	<u>3,602,121</u>
TOTAL - Outlay	<u>61,779,907</u>	<u>3,039,412</u>	<u>932,821</u>	<u>3,602,121</u>
FUND BALANCE: 6/30/2013	\$ 130,372	\$ 51,965,436	\$ 986,992	\$ 1,986,466

* Per CGS Sec. 27-138, only interest on the fund is available for agency operations.

Financial Summary

FINANCIAL POSITION OF THE STATE

Actual June 30, 2011 and Estimated June 30, 2012 and June 30, 2013

	Banking Fund	Insurance Fund	Consumer Counsel & Public Utility Control Fund	Workers' Compensation Fund
FUND BALANCE: 6/30/2010	\$ 20,798,936	\$ 11,500,142	\$ 7,767,387	\$ 17,608,529
Income: FY 2011				
Revenues, Actual	<u>20,316,068</u>	<u>22,602,503</u>	<u>20,223,294</u>	<u>10,542,297</u>
TOTAL - Income	20,316,068	22,602,503	20,223,294	10,542,297
TOTAL AVAILABLE RESOURCES	\$ 41,115,004	\$ 34,102,645	\$ 27,990,681	\$ 28,150,826
Outlay: FY 2011				
Actual Expenditures	<u>22,117,344</u>	<u>24,354,123</u>	<u>22,149,679</u>	<u>19,178,180</u>
TOTAL - Outlay	22,117,344	24,354,123	22,149,679	19,178,180
FUND BALANCE: 6/30/2011	\$ 18,997,660	\$ 9,748,522	\$ 5,841,002	\$ 8,972,646
Income: FY 2012				
Revenues, Estimated	<u>26,900,000</u>	<u>26,700,000</u>	<u>26,500,000</u>	<u>22,300,000</u>
TOTAL - Income	26,900,000	26,700,000	26,500,000	22,300,000
TOTAL AVAILABLE RESOURCES	\$ 45,897,660	\$ 36,448,522	\$ 32,341,002	\$ 31,272,646
Outlay: FY 2012				
Estimated Expenditures	<u>26,810,366</u>	<u>26,621,617</u>	<u>26,428,820</u>	<u>22,259,542</u>
TOTAL - Outlay	26,810,366	26,621,617	26,428,820	22,259,542
FUND BALANCE: 6/30/2012	\$ 19,087,294	\$ 9,826,905	\$ 5,912,182	\$ 9,013,104
Income: FY 2013				
Revenues, Estimated	<u>25,000,000</u>	<u>28,400,000</u>	<u>25,400,000</u>	<u>21,100,000</u>
TOTAL - Income	25,000,000	28,400,000	25,400,000	21,100,000
TOTAL AVAILABLE RESOURCES	\$ 44,087,294	\$ 38,226,905	\$ 31,312,182	\$ 30,113,104
Outlay: FY 2013				
Estimated Expenditures	<u>24,942,055</u>	<u>28,370,478</u>	<u>25,351,390</u>	<u>21,065,588</u>
TOTAL - Outlay	24,942,055	28,370,478	25,351,390	21,065,588
FUND BALANCE: 6/30/2013	\$ 19,145,239	\$ 9,856,427	\$ 5,960,792	\$ 9,047,516

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
LEGISLATIVE					
LEGISLATIVE MANAGEMENT					
Personal Services	38,893,572	46,767,963	48,753,708	-3,185,152	45,568,556
Other Expenses	12,520,478	14,867,587	17,611,168	-2,380,524	15,230,644
Capital Outlay	666,996	208,000	316,000		316,000
Other Current Expenses	1,312,952	2,882,985	1,520,623		1,520,623
Pmts to Other Than Local Governments	525,621	554,290	574,767		574,767
TOTAL-General Fund	53,919,619	65,280,825	68,776,266	-5,565,676	63,210,590
AUDITORS OF PUBLIC ACCOUNTS					
Personal Services	9,985,682	11,852,086	11,742,921	-807,297	10,935,624
Other Expenses	693,648	894,009	856,702	-143,445	713,257
Capital Outlay	5,321	10,000	10,000		10,000
TOTAL-General Fund	10,684,651	12,756,095	12,609,623	-950,742	11,658,881
COMMISSION ON AGING					
Personal Services	241,898	259,376	271,048	-17,347	253,701
Other Expenses	3,581	7,864	8,021	-1,334	6,687
Capital Outlay		1,500	1,500		1,500
TOTAL-General Fund	245,479	268,740	280,569	-18,681	261,888
PERMANENT COMMISSION ON THE STATUS OF WOMEN					
Personal Services	390,543	461,072	481,820	-31,358	450,462
Other Expenses	34,697	64,203	67,092	-10,008	57,084
Capital Outlay		1,500	1,500		1,500
TOTAL-General Fund	425,240	526,775	550,412	-41,366	509,046
COMMISSION ON CHILDREN					
Personal Services	330,488	517,714	541,011	-35,361	505,650
Other Expenses	10,638	35,000	35,700	-5,337	30,363
TOTAL-General Fund	341,126	552,714	576,711	-40,698	536,013
LATINO & PUERTO RICAN AFFAIRS COMM					
Personal Services	233,462	278,433	306,637	-20,016	286,621
Other Expenses	31,050	53,994	40,748	-6,005	34,743
TOTAL-General Fund	264,512	332,427	347,385	-26,021	321,364
AFRICAN-AMERICAN AFFAIRS COMMISSION					
Personal Services	179,527	193,095	201,784	-13,344	188,440
Other Expenses	13,342	27,456	28,005	-4,670	23,335
TOTAL-General Fund	192,869	220,551	229,789	-18,014	211,775
ASIAN PACIFIC AMERICAN AFFAIRS COMMISSION					
Personal Services	34,113	151,672	158,491	-10,008	148,483
Other Expenses	1,328	5,000	5,000	-667	4,333
Capital Outlay		1,500	1,500		1,500
TOTAL-General Fund	35,441	158,172	164,991	-10,675	154,316
SUMMARY					
<u>Legislative</u>					
General Fund	66,108,937	80,096,299	83,535,746	-6,671,873	76,863,873
TOTAL	66,108,937	80,096,299	83,535,746	-6,671,873	76,863,873

GENERAL GOVERNMENT

GOVERNOR'S OFFICE

Personal Services	2,135,459	2,365,992	2,284,648		2,284,648
Other Expenses	213,176	236,995	236,995		236,995
Capital Outlay		1	1		1
Pmts to Other Than Local Governments	177,940	233,828	247,858		247,858
TOTAL-General Fund	2,526,575	2,836,816	2,769,502		2,769,502

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
SECRETARY OF THE STATE					
Personal Services	1,295,494	1,410,000	1,350,000	-245,743	1,104,257
Other Expenses	411,817	1,030,923	1,030,923	-442,840	588,083
Capital Outlay		1	1		1
Other Current Expenses	5,216,319	6,663,689	6,649,728	-475,329	6,174,399
TOTAL-General Fund	6,923,630	9,104,613	9,030,652	-1,163,912	7,866,740
LIEUTENANT GOVERNOR'S OFFICE					
Personal Services	475,222	859,454	840,350	-412,000	428,350
Other Expenses	30,267	69,201	69,201		69,201
Capital Outlay		1	1		1
Other Current Expenses				427,000	427,000
TOTAL-General Fund	505,489	928,656	909,552	15,000	924,552
ELECTIONS ENFORCEMENT COMMISSION					
Personal Services	1,286,415				
Other Expenses	196,964				
Other Current Expenses	2,713,272				
TOTAL-General Fund	4,196,651				
OFFICE OF STATE ETHICS					
Personal Services	1,407,310				
Other Expenses	73,402				
Capital Outlay	8,414				
Other Current Expenses	20,431				
TOTAL-General Fund	1,509,557				
FREEDOM OF INFORMATION COMMISSION					
Personal Services	1,713,601				
Other Expenses	139,507				
Capital Outlay	10,737				
TOTAL-General Fund	1,863,845				
JUDICIAL SELECTION COMMISSION					
Personal Services	72,356				
Other Expenses	11,295				
TOTAL-General Fund	83,651				
STATE TREASURER					
Personal Services	3,073,110	3,856,675	3,684,877	-87,482	3,597,395
Other Expenses	186,366	273,656	273,656	-74,060	199,596
Capital Outlay		1	1		1
TOTAL-General Fund	3,259,476	4,130,332	3,958,534	-161,542	3,796,992
STATE COMPROLLER					
Personal Services	20,513,518	24,394,124	23,417,739	517,825	23,935,564
Other Expenses	3,677,359	4,082,632	4,020,735	144,563	4,165,298
Capital Outlay		1	1		1
Pmts to Other Than Local Governments	18,591	19,570	19,570		19,570
TOTAL-General Fund	24,209,468	28,496,327	27,458,045	662,388	28,120,433
DEPARTMENT OF REVENUE SERVICES					
Personal Services	55,772,096	64,422,569	62,059,477	-5,485,007	56,574,470
Other Expenses	7,185,374	9,270,033	8,516,033	16,500	8,532,533
Capital Outlay		1	1		1
Other Current Expenses	63,122	104,479	104,479		104,479
TOTAL-General Fund	63,020,592	73,797,082	70,679,990	-5,468,507	65,211,483
OFFICE OF GOVERNMENTAL ACCOUNTABILITY					
Personal Services		842,844	838,060		838,060
Other Expenses		485,902	462,378		462,378
Capital Outlay		31,866	24,905		24,905
Other Current Expenses		7,938,240	7,702,898	-175,579	7,527,319
TOTAL-General Fund		9,298,852	9,028,241	-175,579	8,852,662

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
DIVISION OF SPECIAL REVENUE					
Personal Services	3,153,207				
Other Expenses	596,235				
Other Current Expenses	2,118				
TOTAL-General Fund	3,751,560				
OFFICE OF POLICY AND MANAGEMENT					
Personal Services	11,900,848	13,499,420	12,853,684	-1,566,209	11,287,475
Other Expenses	1,672,041	2,589,252	2,589,252		2,589,252
Capital Outlay		1	1		1
Other Current Expenses	4,326,047	2,341,496	2,111,951	1,543,177	3,655,128
Pmts to Other Than Local Governments	23,645,031	26,660,000	29,668,400	-21,168,400	8,500,000
Pmts to Local Governments	274,931,521	225,316,950	225,316,950	-6,300,000	219,016,950
TOTAL-General Fund	316,475,488	270,407,119	272,540,238	-27,491,432	245,048,806
Pmts to Local Governments	61,779,907	61,779,907	61,779,907		61,779,907
TOTAL-Mashantucket Pequot and Mohegan Fund	61,779,907	61,779,907	61,779,907		61,779,907
Personal Services	225,148	219,888	212,322	-3,395	208,927
Other Expenses	3,289	500	500		500
Capital Outlay		2,250			
Other Current Expenses	117,204	147,018	146,503	-2,342	144,161
TOTAL-Insurance Fund	345,641	369,656	359,325	-5,737	353,588
Personal Services	702,719				
Other Expenses	10,840				
Other Current Expenses	435,979				
TOTAL-Consumer Counsel/Public Utility Fund	1,149,538				
TOTAL-Appropriated Funds	379,750,574	332,556,682	334,679,470	-27,497,169	307,182,301
DEPARTMENT OF VETERANS AFFAIRS					
Personal Services	22,287,570	25,109,887	24,410,802	-2,418,491	21,992,311
Other Expenses	5,690,811	6,152,405	6,067,405	-276,800	5,790,605
Capital Outlay		1	1		1
Other Current Expenses	189,996	190,000	190,000		190,000
Pmts to Other Than Local Governments	319,690	357,200	357,200		357,200
TOTAL-General Fund	28,488,067	31,809,493	31,025,408	-2,695,291	28,330,117
OFFICE OF WORKFORCE COMPETITIVENESS					
Personal Services	283,719				
Other Expenses	72,195				
Other Current Expenses	1,865,748				
TOTAL-General Fund	2,221,662				
BOARD OF ACCOUNTANCY					
Personal Services	303,539				
Other Expenses	26,355				
TOTAL-General Fund	329,894				
DEPARTMENT OF ADMINISTRATIVE SERVICES					
Personal Services	19,334,735	43,295,101	41,807,080	6,533,366	48,340,446
Other Expenses	629,426	34,876,197	34,871,197	-1,081,211	33,789,986
Capital Outlay		1	1		1
Other Current Expenses	44,529,692	54,069,376	53,506,841	-1,628,296	51,878,545
TOTAL-General Fund	64,493,853	132,240,675	130,185,119	3,823,859	134,008,978
Other Current Expenses	6,464,733	7,157,557	7,335,373		7,335,373
TOTAL-Special Transportation Fund	6,464,733	7,157,557	7,335,373		7,335,373
TOTAL-Appropriated Funds	70,958,586	139,398,232	137,520,492	3,823,859	141,344,351
DEPARTMENT OF INFORMATION TECHNOLOGY					
Personal Services	6,283,604				
Other Expenses	5,534,948				
Other Current Expenses	27,994,230				
TOTAL-General Fund	39,812,782				

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary
SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
DEPARTMENT OF CONSTRUCTION SERVICES					
Personal Services		7,073,978	6,842,802	-6,842,802	
Other Expenses		2,655,818	2,647,132	-2,647,132	
TOTAL-General Fund		9,729,796	9,489,934	-9,489,934	
DEPARTMENT OF PUBLIC WORKS					
Personal Services	6,227,368				
Other Expenses	25,367,520				
Other Current Expenses	19,712,189				
TOTAL-General Fund	51,307,077				
ATTORNEY GENERAL					
Personal Services	26,706,479	29,740,544	28,623,386	1,120,292	29,743,678
Other Expenses	1,073,064	1,017,272	1,015,272		1,015,272
Capital Outlay		1	1		1
TOTAL-General Fund	27,779,543	30,757,817	29,638,659	1,120,292	30,758,951
DIVISION OF CRIMINAL JUSTICE					
Personal Services	45,053,777	48,741,668	47,245,107	-2,757,233	44,487,874
Other Expenses	2,391,389	2,100,000	2,100,000		2,100,000
Capital Outlay	1	1	1		1
Other Current Expenses	1,210,393	1,557,559	1,511,872		1,511,872
TOTAL-General Fund	48,655,560	52,399,228	50,856,980	-2,757,233	48,099,747
Personal Services	349,182	416,894	407,580		407,580
Other Expenses	13,828	21,653	30,653		30,653
Capital Outlay		1	1		1
Other Current Expenses	212,051	279,320	281,230		281,230
TOTAL-Workers' Compensation Fund	575,061	717,868	719,464		719,464
TOTAL-Appropriated Funds	49,230,621	53,117,096	51,576,444	-2,757,233	48,819,211
SUMMARY					
<u>General Government</u>					
General Fund	697,191,200	661,986,806	651,570,854	766,044,659	1,417,615,513
Special Transportation Fund	6,464,733	7,157,557	7,335,373		7,335,373
Insurance Fund	345,641	369,656	359,325	-5,737	353,588
Consumer Counsel/Public Utility Fund	1,149,538				
Workers' Compensation Fund	575,061	717,868	719,464		719,464
Mashantucket Pequot and Mohegan Fund	61,779,907	61,779,907	61,779,907		61,779,907
TOTAL	767,506,080	732,011,794	721,764,923	766,038,922	1,487,803,845
REGULATION AND PROTECTION					
DEPT OF EMERGENCY SERVICES AND PUBLIC PROTECTION					
Personal Services	123,869,117	130,871,752	126,034,999	-8,595,820	117,439,179
Other Expenses	27,745,144	29,062,969	28,856,075	-1,808,298	27,047,777
Capital Outlay	1	4	4		4
Other Current Expenses	9,453,880	11,444,425	11,346,662	-2,058,155	9,288,507
Pmts to Other Than Local Governments		1,209,689	1,209,689	-39,153	1,170,536
TOTAL-General Fund	161,068,142	172,588,839	167,447,429	-12,501,426	154,946,003
POLICE STANDARDS & TRAINING COUNCIL					
Personal Services	1,668,035				
Other Expenses	591,425				
TOTAL-General Fund	2,259,460				
BOARD OF FIREARMS PERMIT EXAMINERS					
Personal Services	71,306				
Other Expenses	7,846				
TOTAL-General Fund	79,152				

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary
SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
DEPARTMENT OF MOTOR VEHICLES					
Personal Services		285,000	274,449		274,449
Other Expenses		216,404	216,404		216,404
TOTAL-General Fund		501,404	490,853		490,853
Personal Services	38,994,079	42,656,658	41,541,809	-1,780,804	39,761,005
Other Expenses	13,240,961	13,255,626	13,255,626		13,255,626
Capital Outlay	238,943	600,000	600,000		600,000
Other Current Expenses	2,025,727	239,818	296,289		296,289
TOTAL-Special Transportation Fund	54,499,710	56,752,102	55,693,724	-1,780,804	53,912,920
TOTAL-Appropriated Funds	54,499,710	57,253,506	56,184,577	-1,780,804	54,403,773
MILITARY DEPARTMENT					
Personal Services	3,049,047	3,335,585	3,242,611	-436,926	2,805,685
Other Expenses	2,070,749	3,141,993	3,228,762	-411,925	2,816,837
Capital Outlay		1	1		1
Other Current Expenses	816,300	502,000	479,500		479,500
TOTAL-General Fund	5,936,096	6,979,579	6,950,874	-848,851	6,102,023
EMERGENCY MGMT/HOMELAND SECURITY					
Personal Services	2,710,124				
Other Expenses	365,815				
TOTAL-General Fund	3,075,939				
COMM ON FIRE PREVENTION & CONTROL					
Personal Services	1,629,405				
Other Expenses	556,646				
Other Current Expenses	225,868				
Pmts to Other Than Local Governments	870,395				
TOTAL-General Fund	3,282,314				
DEPARTMENT OF BANKING					
Personal Services	9,882,175	10,950,000	10,600,000	-377,206	10,222,794
Other Expenses	1,471,632	1,279,737	1,014,443	468,359	1,482,802
Capital Outlay	16,571	127,000	37,200		37,200
Other Current Expenses	7,248,883	8,532,086	8,531,682	-1,262,247	7,269,435
TOTAL-Banking Fund	18,619,261	20,888,823	20,183,325	-1,171,094	19,012,231
INSURANCE DEPARTMENT					
Personal Services	11,842,086	13,445,665	12,996,951	739,267	13,736,218
Other Expenses	2,374,232	2,022,453	2,022,453		2,022,453
Capital Outlay	50,952	40,060	40,060		40,060
Other Current Expenses	8,117,000	8,773,338	8,759,096	1,210,274	9,969,370
TOTAL-Insurance Fund	22,384,270	24,281,516	23,818,560	1,949,541	25,768,101
OFFICE OF CONSUMER COUNSEL					
Personal Services	1,150,808	1,357,585	1,309,791	53,036	1,362,827
Other Expenses	344,937	396,029	396,029		396,029
Capital Outlay	1,534	5,850	5,600		5,600
Other Current Expenses	1,166,898	1,274,249	1,277,714	-276,582	1,001,132
TOTAL-Consumer Counsel/Public Utility Fund	2,664,177	3,033,713	2,989,134	-223,546	2,765,588
DEPARTMENT OF PUBLIC UTILITY CONTROL					
Personal Services	10,152,258				
Other Expenses	1,715,318				
Capital Outlay	22,622				
Other Current Expenses	6,445,766				
TOTAL-Consumer Counsel/Public Utility Fund	18,335,964				
OFFICE OF THE HEALTHCARE ADVOCATE					
Personal Services	619,209	746,398	725,540	234,716	960,256
Other Expenses	136,371	136,373	136,374		136,374
Capital Outlay	1,146	1,400	700		700
Other Current Expenses	392,484	611,274	616,251	60,208	676,459
TOTAL-Insurance Fund	1,149,210	1,495,445	1,478,865	294,924	1,773,789

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
DEPARTMENT OF CONSUMER PROTECTION					
Personal Services	9,111,827	14,491,783	13,534,627	1,676,632	15,211,259
Other Expenses	937,755	1,690,096	1,690,096	-156,077	1,534,019
Capital Outlay		1	1		1
Other Current Expenses		2,758	2,758		2,758
TOTAL-General Fund	10,049,582	16,184,638	15,227,482	1,520,555	16,748,037
DEPARTMENT OF LABOR					
Personal Services	7,646,616	9,095,403	8,741,719	-850,861	7,890,858
Other Expenses	976,827	1,094,210	1,094,210	-46,295	1,047,915
Capital Outlay		2	2		2
Other Current Expenses	58,180,832	54,066,402	53,943,935	-762,500	53,181,435
TOTAL-General Fund	66,804,275	64,256,017	63,779,866	-1,659,656	62,120,210
Other Current Expenses	500,000	500,000	500,000		500,000
TOTAL-Banking Fund	500,000	500,000	500,000		500,000
Personal Services				8,660,377	8,660,377
Other Expenses				2,182,102	2,182,102
Capital Outlay				15,900	15,900
Other Current Expenses	610,421	684,596	682,731	6,694,594	7,377,325
TOTAL-Workers' Compensation Fund	610,421	684,596	682,731	17,552,973	18,235,704
TOTAL-Appropriated Funds	67,914,696	65,440,613	64,962,597	15,893,317	80,855,914
OFFICE OF VICTIM ADVOCATE					
Personal Services	273,894				
Other Expenses	14,745				
TOTAL-General Fund	288,639				
COMM-HUMAN RIGHTS & OPPORTUNITIES					
Personal Services	5,269,753	6,146,769	5,950,016	-5,950,016	
Other Expenses	336,593	903,891	903,891	-903,891	
Capital Outlay		1	1	-1	
Other Current Expenses	4,997	6,650	6,650	-6,650	
TOTAL-General Fund	5,611,343	7,057,311	6,860,558	-6,860,558	
OFFICE OF PROTECTION AND ADVOCACY					
Personal Services	2,378,323	2,465,321	2,366,933	-2,366,933	
Other Expenses	211,947	216,038	216,038	-216,038	
Capital Outlay		1	1	-1	
TOTAL-General Fund	2,590,270	2,681,360	2,582,972	-2,582,972	
DEPT ON HUMAN RIGHTS, PROTECTION AND ADVOCACY					
Personal Services				7,569,138	7,569,138
Other Expenses				619,929	619,929
Capital Outlay				2	2
Other Current Expenses				6,650	6,650
TOTAL-General Fund				8,195,719	8,195,719
OFFICE OF THE CHILD ADVOCATE					
Personal Services	597,041				
Other Expenses	29,908				
Other Current Expenses	93,622				
TOTAL-General Fund	720,571				
WORKERS' COMPENSATION COMMISSION					
Personal Services	8,657,752	9,227,232	9,022,493	-9,022,493	
Other Expenses	2,263,712	2,341,706	2,284,102	-2,284,102	
Capital Outlay		34,000	15,900	-15,900	
Other Current Expenses	7,071,234	7,127,651	7,202,250	-7,202,250	
TOTAL-Workers' Compensation Fund	17,992,698	18,730,589	18,524,745	-18,524,745	

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
SUMMARY					
<u>Regulation and Protection</u>					
General Fund	261,765,783	270,249,148	263,340,034	-14,737,189	248,602,845
Special Transportation Fund	54,499,710	56,752,102	55,693,724	-1,780,804	53,912,920
Banking Fund	19,119,261	21,388,823	20,683,325	-1,171,094	19,512,231
Insurance Fund	23,533,480	25,776,961	25,297,425	2,244,465	27,541,890
Consumer Counsel/Public Utility Fund	21,000,141	3,033,713	2,989,134	-223,546	2,765,588
Workers' Compensation Fund	18,603,119	19,415,185	19,207,476	-971,772	18,235,704
TOTAL	398,521,494	396,615,932	387,211,118	-16,639,940	370,571,178

CONSERVATION AND DEVELOPMENT

DEPARTMENT OF AGRICULTURE

Personal Services	3,527,634	3,895,000	3,750,000	-361,828	3,388,172
Other Expenses	684,475	716,168	700,668	-93,000	607,668
Capital Outlay		1	1		1
Other Current Expenses	278,620	404,501	404,501		404,501
Pmts to Other Than Local Governments	192,453	200,056	200,056		200,056
TOTAL-General Fund	4,683,182	5,215,726	5,055,226	-454,828	4,600,398
Personal Services	332,327	390,151	386,193		386,193
Other Expenses	321,123	271,507	273,007		273,007
Capital Outlay		3,500	1		1
Other Current Expenses	233,739	261,401	266,473		266,473
TOTAL-Regional Market Operation Fund	887,189	926,559	925,674		925,674
TOTAL-Appropriated Funds	5,570,371	6,142,285	5,980,900	-454,828	5,526,072

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

Personal Services	31,531,776	34,945,655	33,677,502	-4,449,543	29,227,959
Other Expenses	2,391,224	4,327,027	4,376,632		4,376,632
Capital Outlay		1	1		1
Other Current Expenses	36,669,796	37,790,608	36,320,037	-715,568	35,604,469
Pmts to Other Than Local Governments	499,175	1,632,449	1,632,449	-1,100,000	532,449
Pmts to Local Governments	156,850	200,000	200,000	-100,000	100,000
TOTAL-General Fund	71,248,821	78,895,740	76,206,621	-6,365,111	69,841,510
Personal Services		11,254,613	11,989,348	-387,294	11,602,054
Other Expenses		2,952,619	1,550,391	100,000	1,650,391
Capital Outlay		506,850	26,000		26,000
Other Current Expenses		8,681,025	9,431,872	-1,224,515	8,207,357
Pmts to Other Than Local Governments				1,100,000	1,100,000
TOTAL-Consumer Counsel/Public Utility Fund		23,395,107	22,997,611	-411,809	22,585,802
TOTAL-Appropriated Funds	71,248,821	102,290,847	99,204,232	-6,776,920	92,427,312

COUNCIL ON ENVIRONMENTAL QUALITY

Personal Services	152,199	167,792	163,640	-1,739	161,901
Other Expenses	417	3,634	3,634		3,634
Capital Outlay		1	1		1
TOTAL-General Fund	152,616	171,427	167,275	-1,739	165,536

COMMISSION ON CULTURE AND TOURISM

Personal Services	2,557,920				
Other Expenses	155,446				
Other Current Expenses	313,502				
Pmts to Other Than Local Governments	3,130,090				
Pmts to Local Governments	9,114,456				
TOTAL-General Fund	15,271,414				

DEPT OF ECONOMIC AND COMMUNITY DEVELOPMENT

Personal Services	5,981,229	9,506,280	9,138,901	533,732	9,672,633
Other Expenses	686,244	1,618,799	1,618,799	-684,159	934,640
Capital Outlay		1	1		1
Other Current Expenses	3,539,467	19,749,753	20,547,159	14,005,959	34,553,118
Pmts to Other Than Local Governments	11,823,000	15,590,609	15,335,203	87,186,272	102,521,475

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
Pmts to Local Governments	3,908,890	13,140,753	13,140,753	-7,128,739	6,012,014
TOTAL-General Fund	25,938,830	59,606,195	59,780,816	93,913,065	153,693,881
Other Current Expenses		168,639	168,639		168,639
TOTAL-Banking Fund		168,639	168,639		168,639
TOTAL-Appropriated Funds	25,938,830	59,774,834	59,949,455	93,913,065	153,862,520
AGRICULTURAL EXPERIMENT STATION					
Personal Services	5,485,582	6,125,000	5,910,000	-493,414	5,416,586
Other Expenses	882,096	923,511	923,511		923,511
Capital Outlay		1	1		1
Other Current Expenses	305,433	323,453	320,744	228,779	549,523
TOTAL-General Fund	6,673,111	7,371,965	7,154,256	-264,635	6,889,621
SUMMARY					
<u>Conservation and Development</u>					
General Fund	123,967,974	151,261,053	148,364,194	86,826,752	235,190,946
Banking Fund		168,639	168,639		168,639
Consumer Counsel/Public Utility Fund		23,395,107	22,997,611	-411,809	22,585,802
Regional Market Operation Fund	887,189	926,559	925,674		925,674
TOTAL	124,855,163	175,751,358	172,456,118	86,414,943	258,871,061
HEALTH AND HOSPITALS					
DEPARTMENT OF PUBLIC HEALTH					
Personal Services	31,195,193	35,633,513	34,626,728	-2,647,002	31,979,726
Other Expenses	3,823,990	7,183,505	8,433,505		8,433,505
Capital Outlay		15,001	1		1
Other Current Expenses	15,200,932	15,806,276	15,871,286	-561,126	15,310,160
Pmts to Other Than Local Governments	18,142,668	17,813,878	17,813,878	11,064,080	28,877,958
Pmts to Local Governments	14,515,761	15,199,556	15,199,556	-412,592	14,786,964
TOTAL-General Fund	82,878,544	91,651,729	91,944,954	7,443,360	99,388,314
OFFICE OF THE CHIEF MEDICAL EXAMINER					
Personal Services	4,608,378	5,223,625	5,050,652	-5,050,652	
Other Expenses	721,168	906,282	906,282	-906,282	
Capital Outlay	4,200	15,500	15,500	-15,500	
Other Current Expenses	13,397	54,441	58,828	-58,828	
TOTAL-General Fund	5,347,143	6,199,848	6,031,262	-6,031,262	
DEPARTMENT OF DEVELOPMENTAL SERVICES					
Personal Services	264,653,601	286,909,798	275,149,434	-24,697,070	250,452,364
Other Expenses	22,401,859	22,102,780	21,990,274	480,117	22,470,391
Capital Outlay		1	1		1
Other Current Expenses	111,967,497	127,840,111	126,803,437	1,203,494	128,006,931
Pmts to Other Than Local Governments	571,047,928	610,844,493	633,687,012	6,723,082	640,410,094
TOTAL-General Fund	970,070,885	1,047,697,183	1,057,630,158	-16,290,377	1,041,339,781
DEPT MENTAL HEALTH & ADDICTION SVS					
Personal Services	181,608,959	219,207,637	211,068,124	-35,728,585	175,339,539
Other Expenses	27,018,635	29,200,732	28,599,021	31,469	28,630,490
Capital Outlay		1	1	1	2
Other Current Expenses	333,101,986	380,044,430	403,808,811	-12,438,473	391,370,338
Pmts to Other Than Local Governments	115,301,253	111,839,742	111,839,742	-1,430,591	110,409,151
TOTAL-General Fund	657,030,833	740,292,542	755,315,699	-49,566,179	705,749,520
PSYCHIATRIC SECURITY REVIEW BOARD					
Personal Services	316,524	332,091	320,081	-320,081	
Other Expenses	26,305	31,469	31,469	-31,469	
Capital Outlay		1	1	-1	
TOTAL-General Fund	342,829	363,561	351,551	-351,551	

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary
SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
SUMMARY					
<u>Health and Hospitals</u>					
General Fund	1,715,670,234	1,886,204,863	1,911,273,624	-64,796,009	1,846,477,615
TOTAL	1,715,670,234	1,886,204,863	1,911,273,624	-64,796,009	1,846,477,615
TRANSPORTATION					
DEPARTMENT OF TRANSPORTATION					
Personal Services	161,349,424	169,441,130	162,240,011	-14,112,857	148,127,154
Other Expenses	57,338,652	49,396,497	49,228,630	2,742,204	51,970,834
Capital Outlay	10,507,878	13,974,500	9,075,500		9,075,500
Other Current Expenses	303,094,656	339,477,084	351,429,190	-5,375,050	346,054,140
Pmts to Other Than Local Governments				3,155,532	3,155,532
Pmts to Local Governments	340,400	30,000,000	30,000,000		30,000,000
TOTAL-Special Transportation Fund	532,631,010	602,289,211	601,973,331	-13,590,171	588,383,160
SUMMARY					
<u>Transportation</u>					
Special Transportation Fund	532,631,010	602,289,211	601,973,331	-13,590,171	588,383,160
TOTAL	532,631,010	602,289,211	601,973,331	-13,590,171	588,383,160
HUMAN SERVICES					
DEPARTMENT OF SOCIAL SERVICES					
Personal Services	105,769,683	120,436,042	116,581,562	-10,191,961	106,389,601
Other Expenses	85,989,616	89,316,801	88,800,670	24,838,990	113,639,660
Capital Outlay		1	1		1
Other Current Expenses	64,094,260	60,896,543	66,198,419	-20,230,275	45,968,144
Pmts to Other Than Local Governments	5,125,094,344	5,492,515,681	5,631,269,454	-112,950,506	5,518,318,948
Pmts to Local Governments	6,587,191	914,609	914,609	-634,026	280,583
TOTAL-General Fund	5,387,535,094	5,764,079,677	5,903,764,715	-119,167,778	5,784,596,937
Other Expenses	475,000	475,000	475,000		475,000
TOTAL-Insurance Fund	475,000	475,000	475,000		475,000
TOTAL-Appropriated Funds	5,388,010,094	5,764,554,677	5,904,239,715	-119,167,778	5,785,071,937
SOLDIERS, SAILORS AND MARINES' FUND					
Personal Services	568,455	614,866	604,504	-12,124	592,380
Other Expenses	37,727	54,397	42,397		42,397
Other Current Expenses	2,358,600	2,391,773	2,404,635		2,404,635
TOTAL-Soldiers, Sailors and Marines Fund	2,964,782	3,061,036	3,051,536	-12,124	3,039,412
BUREAU OF REHABILITATIVE SERVICES					
Personal Services		4,733,062	4,599,638	179,075	4,778,713
Other Expenses		991,631	991,631	25,000	1,016,631
Capital Outlay		2	2		2
Other Current Expenses		5,708,140	5,686,537		5,686,537
Pmts to Other Than Local Governments		10,367,439	10,367,439	-273,669	10,093,770
TOTAL-General Fund		21,800,274	21,645,247	-69,594	21,575,653
Personal Services		116,274	116,274	78,800	195,074
Other Expenses		14,436	14,436		14,436
TOTAL-Special Transportation Fund		130,710	130,710	78,800	209,510
Personal Services		503,698	487,578		487,578
Other Expenses		23,400	24,500		24,500
Other Current Expenses		1,599,391	1,598,342		1,598,342
TOTAL-Workers' Compensation Fund		2,126,489	2,110,420		2,110,420
TOTAL-Appropriated Funds		24,057,473	23,886,377	9,206	23,895,583

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
SUMMARY					
<u>Human Services</u>					
General Fund	5,387,535,094	5,785,879,951	5,925,409,962	-119,237,372	5,806,172,590
Special Transportation Fund		130,710	130,710	78,800	209,510
Insurance Fund	475,000	475,000	475,000		475,000
Workers' Compensation Fund		2,126,489	2,110,420		2,110,420
Soldiers, Sailors and Marines Fund	2,964,782	3,061,036	3,051,536	-12,124	3,039,412
TOTAL	5,390,974,876	5,791,673,186	5,931,177,628	-119,170,696	5,812,006,932
EDUCATION					
DEPARTMENT OF EDUCATION					
Personal Services	135,742,820	24,598,200	23,833,611	-2,362,029	21,471,582
Other Expenses	20,059,463	3,324,506	3,124,506	1,077,000	4,201,506
Capital Outlay	300,001	1	1		1
Other Current Expenses	40,692,165	214,990,097	209,833,296	36,364,932	246,198,228
Pmts to Other Than Local Governments	83,690,451	88,893,190	92,111,190	-60,721,400	31,389,790
Pmts to Local Governments	2,427,957,446	2,465,771,083	2,486,093,973	141,692,217	2,627,786,190
TOTAL-General Fund	2,708,442,346	2,797,577,077	2,814,996,577	116,050,720	2,931,047,297
BD OF EDUC & SERVICES FOR THE BLIND					
Personal Services	3,920,631				
Other Expenses	658,261				
Other Current Expenses	5,282,630				
Pmts to Other Than Local Governments	1,283,855				
TOTAL-General Fund	11,145,377				
COMM ON THE DEAF & HEARING IMPAIRED					
Personal Services	478,506				
Other Expenses	96,094				
Other Current Expenses	389,156				
TOTAL-General Fund	963,756				
STATE LIBRARY					
Personal Services	5,213,214	5,747,837	5,560,728	-610,531	4,950,197
Other Expenses	701,327	767,111	767,111	-38,356	728,755
Capital Outlay		1	1		1
Other Current Expenses	3,316,074	3,677,174	3,670,583	-472,250	3,198,333
Pmts to Other Than Local Governments	263,003	350,000	350,000		350,000
Pmts to Local Governments	1,573,137	1,207,692	1,214,283		1,214,283
TOTAL-General Fund	11,066,755	11,749,815	11,562,706	-1,121,137	10,441,569
FINANCIAL AND ACADEMIC AFFAIRS FOR HIGHER ED					
Personal Services	2,436,134	1,240,000	1,240,000	-104,022	1,135,978
Other Expenses	103,098	300,000	110,180	-15,000	95,180
Capital Outlay		1	1		1
Other Current Expenses	4,070,768	3,566,040	3,566,040	-251,349	3,314,691
Pmts to Other Than Local Governments	62,993,068	52,795,726	50,881,571	-5,858,114	45,023,457
TOTAL-General Fund	69,603,068	57,901,767	55,797,792	-6,228,485	49,569,307
UNIVERSITY OF CONNECTICUT					
Other Current Expenses	232,655,600	225,353,662	222,340,907	86,307,345	308,648,252
TOTAL-General Fund	232,655,600	225,353,662	222,340,907	86,307,345	308,648,252
UNIV OF CONNECTICUT HEALTH CENTER					
Other Current Expenses	119,166,814	121,515,400	109,662,449	-109,662,449	
TOTAL-General Fund	119,166,814	121,515,400	109,662,449	-109,662,449	
CHARTER OAK STATE COLLEGE					
Other Current Expenses	2,891,694				
TOTAL-General Fund	2,891,694				

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
TEACHERS' RETIREMENT BOARD					
Personal Services	1,456,985	1,785,698	1,731,184	-1,731,184	
Other Expenses	927,818	664,470	685,068	-685,068	
Capital Outlay		1	1	-1	
Pmts to Other Than Local Governments	581,593,215	791,976,992	821,924,316	-821,924,316	
TOTAL-General Fund	583,978,018	794,427,161	824,340,569	-824,340,569	
BOARD OF REGENTS FOR HIGHER EDUCATION					
Other Current Expenses		315,677,556	307,949,183	-18,049,397	289,899,786
TOTAL-General Fund		315,677,556	307,949,183	-18,049,397	289,899,786
REGIONAL COMMUNITY-TECHNICAL COLLEGES					
Other Current Expenses	158,282,029				
TOTAL-General Fund	158,282,029				
CONNECTICUT STATE UNIVERSITY SYSTEM					
Other Current Expenses	162,271,103				
TOTAL-General Fund	162,271,103				
SUMMARY					
<u>Education</u>					
General Fund	4,060,466,560	4,324,202,438	4,346,650,183	-757,043,972	3,589,606,211
TOTAL	4,060,466,560	4,324,202,438	4,346,650,183	-757,043,972	3,589,606,211
CORRECTIONS					
DEPARTMENT OF CORRECTION					
Personal Services	433,096,431	440,501,363	397,466,166	-8,998,615	388,467,551
Other Expenses	75,373,742	78,932,503	75,245,412	-1,016,230	74,229,182
Capital Outlay		1	1		1
Other Current Expenses	126,735,869	134,330,229	131,166,005	-11,522,062	119,643,943
Pmts to Other Than Local Governments	40,808,746	41,420,974	41,420,974		41,420,974
TOTAL-General Fund	676,014,788	695,185,070	645,298,558	-21,536,907	623,761,651
DEPARTMENT OF CHILDREN AND FAMILIES					
Personal Services	263,095,676	300,803,182	293,558,016	-36,609,433	256,948,583
Other Expenses	36,029,080	37,534,834	37,513,645	-745,329	36,768,316
Capital Outlay		1	1		1
Other Current Expenses	24,813,480	29,466,002	29,356,471	-243,618	29,112,853
Pmts to Other Than Local Governments	484,506,865	513,513,622	534,504,303	-38,778,096	495,726,207
TOTAL-General Fund	808,445,101	881,317,641	894,932,436	-76,376,476	818,555,960
SUMMARY					
<u>Corrections</u>					
General Fund	1,484,459,889	1,576,502,711	1,540,230,994	-97,913,383	1,442,317,611
TOTAL	1,484,459,889	1,576,502,711	1,540,230,994	-97,913,383	1,442,317,611
JUDICIAL					
JUDICIAL DEPARTMENT					
Personal Services	314,757,262	331,983,792	324,964,531	-16,748,953	308,215,578
Other Expenses	70,196,752	68,451,443	69,762,607	-5,417,672	64,344,935
Capital Outlay	219,350	100,000	305,000	-280,000	25,000
Other Current Expenses	111,192,968	110,884,378	114,153,437	-5,742,311	108,411,126
TOTAL-General Fund	496,366,332	511,419,613	509,185,575	-28,188,936	480,996,639
Other Current Expenses	2,998,083	5,252,904	5,324,914		5,324,914
TOTAL-Banking Fund	2,998,083	5,252,904	5,324,914		5,324,914
Other Current Expenses	3,393,978	3,493,813	3,602,121		3,602,121
TOTAL-Criminal Injuries Compensation Fund	3,393,978	3,493,813	3,602,121		3,602,121
TOTAL-Appropriated Funds	502,758,393	520,166,330	518,112,610	-28,188,936	489,923,674

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
PUBLIC DEFENDER SERVICES COMMISSION					
Personal Services	36,564,149	40,367,054	39,204,811	-1,212,017	37,992,794
Other Expenses	1,786,798	1,648,454	1,654,345	-183,141	1,471,204
Other Current Expenses	11,503,041	25,139,967	22,774,112	-1,164,195	21,609,917
TOTAL-General Fund	49,853,988	67,155,475	63,633,268	-2,559,353	61,073,915
COMMISSION ON CHILD PROTECTION					
Personal Services	517,786				
Other Expenses	130,837				
Other Current Expenses	13,042,927				
TOTAL-General Fund	13,691,550				
SUMMARY					
<u>Judicial</u>					
General Fund	559,911,870	578,575,088	572,818,843	-30,748,289	542,070,554
Banking Fund	2,998,083	5,252,904	5,324,914		5,324,914
Criminal Injuries Compensation Fund	3,393,978	3,493,813	3,602,121		3,602,121
TOTAL	566,303,931	587,321,805	581,745,878	-30,748,289	550,997,589
NON-FUNCTIONAL					
MISC APPROPRIATION TO THE GOVERNOR					
Other Current Expenses		1	1		1
TOTAL-General Fund		1	1		1
DEBT SERVICE - STATE TREASURER					
Other Current Expenses	1,629,672,327	1,894,080,839	1,935,247,677	-19,355,481	1,915,892,196
TOTAL-General Fund	1,629,672,327	1,894,080,839	1,935,247,677	-19,355,481	1,915,892,196
Other Current Expenses	441,433,329	478,835,373	492,217,529	-10,243,342	481,974,187
TOTAL-Special Transportation Fund	441,433,329	478,835,373	492,217,529	-10,243,342	481,974,187
Other Current Expenses	63,524	38,338	7,147		7,147
TOTAL-Regional Market Operation Fund	63,524	38,338	7,147		7,147
TOTAL-Appropriated Funds	2,071,169,180	2,372,954,550	2,427,472,353	-29,598,823	2,397,873,530
RESERVE FOR SALARY ADJUSTMENTS					
Other Current Expenses		42,568,534	200,090,187	-155,968,724	44,121,463
TOTAL-General Fund		42,568,534	200,090,187	-155,968,724	44,121,463
Other Current Expenses		2,363,787	14,081,949	-11,050,266	3,031,683
TOTAL-Special Transportation Fund		2,363,787	14,081,949	-11,050,266	3,031,683
TOTAL-Appropriated Funds		44,932,321	214,172,136	-167,018,990	47,153,146
WORKERS' COMPENSATION CLAIMS					
Other Current Expenses		27,726,672	27,239,041	-275,000	26,964,041
TOTAL-General Fund		27,726,672	27,239,041	-275,000	26,964,041
Other Current Expenses		6,756,577	6,626,481	-82,000	6,544,481
TOTAL-Special Transportation Fund		6,756,577	6,626,481	-82,000	6,544,481
TOTAL-Appropriated Funds		34,483,249	33,865,522	-357,000	33,508,522
JUDICIAL REVIEW COUNCIL					
Personal Services	111,411				
Other Expenses	16,910				
TOTAL-General Fund	128,321				
STATE COMPTROLLER - MISCELLANEOUS					
Other Current Expenses	5,776,780	6,050,000	4,000,000		4,000,000
Pmts to Other Than Local Governments	386,183			809,826,550	809,826,550
TOTAL-General Fund	6,162,963	6,050,000	4,000,000	809,826,550	813,826,550

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
STATE COMPTROLLER - FRINGE BENEFITS					
Other Current Expenses	1,806,002,984	2,313,861,926	2,312,534,587	-109,652,954	2,202,881,633
TOTAL-General Fund	1,806,002,984	2,313,861,926	2,312,534,587	-109,652,954	2,202,881,633
Other Current Expenses	131,600,950	162,983,271	167,722,969	-4,657,808	163,065,161
TOTAL-Special Transportation Fund	131,600,950	162,983,271	167,722,969	-4,657,808	163,065,161
TOTAL-Appropriated Funds	1,937,603,934	2,476,845,197	2,480,257,556	-114,310,762	2,365,946,794

SUMMARY

Non-Functional

General Fund	3,436,189,815	4,278,237,972	4,475,111,493	-285,252,159	4,189,859,334
Special Transportation Fund	573,034,279	650,939,008	680,648,928	-26,033,416	654,615,512
Regional Market Operation Fund	63,524	38,338	7,147		7,147
TOTAL	4,009,287,618	4,929,215,318	5,155,767,568	-311,285,575	4,844,481,993

STATE SUMMARY

General Fund

Personal Services	2,272,622,883	2,366,927,901	2,266,456,095	-176,324,005	2,090,132,090
Other Expenses	453,195,328	466,066,660	464,997,061	6,801,305	471,798,366
Capital Outlay	1,215,021	384,905	675,944	-295,501	380,443
Other Current Expenses	5,201,175,307	6,259,276,792	6,448,681,632	-331,383,233	6,117,298,399
Pmts to Other Than Local Governments	7,126,313,565	7,778,789,428	7,995,415,071	-149,444,261	7,845,970,810
Pmts to Local Governments	2,738,745,252	2,721,750,643	2,742,080,124	127,116,860	2,869,196,984
TOTAL	17,793,267,356	19,593,196,329	19,918,305,927	-523,528,835	19,394,777,092

LESS:

Unallocated Lapses		-92,006,562	-91,676,192		-91,676,192
Unallocated Lapses - Legislative		-2,700,000	-3,028,105		-3,028,105
Unallocated Lapses - Judicial		-3,545,000	-5,400,672		-5,400,672
General Personal Services Reduction - Legislative		-476,000	-476,000		-476,000
General Personal Services Reduction - Executive		-11,538,800	-11,538,800		-11,538,800
General Other Expenses Reductions - Legislative		-374,000	-374,000		-374,000
General Other Expenses Reductions - Executive		-9,066,200	-9,066,200		-9,066,200
Labor Management Savings - Legislative		-4,586,734	-6,671,872	6,671,872	
Labor Management Savings - Executive		-625,947,354	-806,963,225	806,963,225	
Labor Management Savings - Judicial		-27,670,929	-30,622,622	30,622,622	
Allocated Lapses		-122,743,438			
Additional Legislative Savings				-2,000,000	-2,000,000
Additional Judicial Department Savings				-4,800,000	-4,800,000
NET - General Fund	17,793,267,356	18,692,541,312	18,952,488,239	313,928,884	19,266,417,123

Special Transportation Fund

Personal Services	200,343,503	212,214,062	203,898,094	-15,814,861	188,083,233
Other Expenses	70,579,613	62,666,559	62,498,692	2,742,204	65,240,896
Capital Outlay	10,746,821	14,574,500	9,675,500		9,675,500
Other Current Expenses	884,619,395	997,813,467	1,039,709,780	-31,408,466	1,008,301,314
Pmts to Other Than Local Governments				3,155,532	3,155,532
Pmts to Local Governments	340,400	30,000,000	30,000,000		30,000,000
TOTAL	1,166,629,732	1,317,268,588	1,345,782,066	-41,325,591	1,304,456,475

LESS:

Estimated Unallocated Lapses		-11,000,000	-11,000,000		-11,000,000
Labor-Management Savings		-42,536,383	-56,949,138	56,949,138	
Allocated Lapses		-37,600,000			
NET - Special Transportation Fund	1,166,629,732	1,226,132,205	1,277,832,928	15,623,547	1,293,456,475

Mashantucket Pequot and Mohegan Fund

Pmts to Local Governments	61,779,907	61,779,907	61,779,907		61,779,907
TOTAL	61,779,907	61,779,907	61,779,907		61,779,907

Soldiers, Sailors and Marines' Fund

Personal Services	568,455	614,866	604,504	-12,124	592,380
Other Expenses	37,727	54,397	42,397		42,397
Other Current Expenses	2,358,600	2,391,773	2,404,635		2,404,635
TOTAL	2,964,782	3,061,036	3,051,536	-12,124	3,039,412

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary
SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

	FY 2011 Actual	FY 2012 Estimated	FY 2013		
			Appropriated*	Net Adjustments	Revised Recommended
<u>Regional Market Operation Fund</u>					
Personal Services	332,327	390,151	386,193		386,193
Other Expenses	321,123	271,507	273,007		273,007
Capital Outlay		3,500	1		1
Other Current Expenses	297,263	299,739	273,620		273,620
TOTAL	950,713	964,897	932,821		932,821
<u>Banking Fund</u>					
Personal Services	9,882,175	10,950,000	10,600,000	-377,206	10,222,794
Other Expenses	1,471,632	1,279,737	1,014,443	468,359	1,482,802
Capital Outlay	16,571	127,000	37,200		37,200
Other Current Expenses	10,746,966	14,453,629	14,525,235	-1,262,247	13,262,988
TOTAL	22,117,344	26,810,366	26,176,878	-1,171,094	25,005,784
LESS:					
Branch Savings Target - Judicial			-63,729		-63,729
NET - Banking Fund	22,117,344	26,810,366	26,113,149	-1,171,094	24,942,055
<u>Insurance Fund</u>					
Personal Services	12,686,443	14,411,951	13,934,813	970,588	14,905,401
Other Expenses	2,988,892	2,634,326	2,634,327		2,634,327
Capital Outlay	52,098	43,710	40,760		40,760
Other Current Expenses	8,626,688	9,531,630	9,521,850	1,268,140	10,789,990
TOTAL	24,354,121	26,621,617	26,131,750	2,238,728	28,370,478
<u>Consumer Counsel/Public Utility Fund</u>					
Personal Services	12,005,785	12,612,198	13,299,139	-334,258	12,964,881
Other Expenses	2,071,095	3,348,648	1,946,420	100,000	2,046,420
Capital Outlay	24,156	512,700	31,600		31,600
Other Current Expenses	8,048,643	9,955,274	10,709,586	-1,501,097	9,208,489
Pmts to Other Than Local Governments				1,100,000	1,100,000
TOTAL	22,149,679	26,428,820	25,986,745	-635,355	25,351,390
<u>Workers' Compensation Fund</u>					
Personal Services	9,006,934	10,147,824	9,917,651	-362,116	9,555,535
Other Expenses	2,277,540	2,386,759	2,339,255	-102,000	2,237,255
Capital Outlay		34,001	15,901		15,901
Other Current Expenses	7,893,706	9,690,958	9,764,553	-507,656	9,256,897
TOTAL	19,178,180	22,259,542	22,037,360	-971,772	21,065,588
<u>Criminal Injuries Compensation Fund</u>					
Other Current Expenses	3,393,978	3,493,813	3,602,121		3,602,121
TOTAL	3,393,978	3,493,813	3,602,121		3,602,121
TOTAL - APPROPRIATED FUNDS	19,116,785,792	20,090,093,515	20,399,956,556	329,000,814	20,728,957,370

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

PERMANENT FULL TIME POSITIONS - APPROPRIATED FUNDS

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Recommended		
			Appropriated	Net Adjustments	Revised Recommended
GENERAL FUND					
Legislative Management	445	438	438	0	438
Auditors of Public Accounts	117	117	117	0	117
Commission on Aging	4	4	4	0	4
Permanent Commission on the Status of Women	6	6	6	0	6
Commission on Children	7	7	7	0	7
Latino and Puerto Rican Affairs Commission	3	3	3	0	3
African-American Affairs Commission	2	2	2	0	2
Asian Pacific American Affairs Commission	1	2	2	0	2
Governor's Office	32	27	27	0	27
Secretary of the State	84	88	88	0	88
Lieutenant Governor's Office	5	9	9	0	9
Elections Enforcement Commission	52	0	0	0	0
Office of State Ethics	18	0	0	0	0
Freedom of Information Commission	23	0	0	0	0
Judicial Selection Commission	1	0	0	0	0
Contracting Standards Board	5	0	0	0	0
State Treasurer *	48	48	48	0	48
State Comptroller	269	273	273	27	300
Department of Revenue Services	731	734	734	(56)	678
Office of Governmental Accountability	0	86	86	0	86
Division of Special Revenue	104	0	0	0	0
Office of Policy and Management *	142	146	146	0	146
Department of Veterans' Affairs	278	279	279	(26)	253
Office of Workforce Competitiveness	3	0	0	0	0
Board of Accountancy	5	0	0	0	0
Department of Administrative Services	263	547	547	91	638
Department of Information Technology	296	0	0	0	0
Department of Construction Services	0	88	88	(88)	0
Department of Public Works	129	0	0	0	0
Attorney General	288	288	288	10	298
Division of Criminal Justice *	498	494	494	(20)	474
Department of Emergency Services and Public Protection	1,651	1,634	1,634	47	1,681
Police Officer Standards and Training Council	22	0	0	0	0
Board of Firearms Permit Examiners	1	0	0	0	0
Department of Motor Vehicles *	0	3	3	0	3
Military Department	46	46	46	(4)	42
Commission on Fire Prevention and Control	16	0	0	0	0
Department of Consumer Protection	128	215	215	35	250
Labor Department	213	219	219	(4)	215
Office of Victim Advocate	4	0	0	0	0
Commission on Human Rights and Opportunities	80	80	80	(80)	0
Office of Protection and Advocacy for Persons with Disabilities	33	31	31	(31)	0
Department on Human Rights, Protection and Advocacy	0	0	0	105	105
Office of the Child Advocate	9	0	0	0	0
Department of Agriculture *	51	51	51	(3)	48
Department of Energy and Environmental Protection *	698	727	727	(58)	669
Council on Environmental Quality	2	2	2	0	2
Commission on Culture and Tourism	31	0	0	0	0
Department of Economic and Community Development	73	103	103	12	115
Agricultural Experiment Station	67	67	67	2	69

Financial Summary

PERMANENT FULL TIME POSITIONS - APPROPRIATED FUNDS

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Recommended		
			Appropriated	Net Adjustments	Revised Recommended
Department of Public Health	517	524	524	(19)	505
Office of the Chief Medical Examiner	58	58	58	(58)	0
Department of Developmental Services	3,657	3,617	3,617	(195)	3,422
Department of Mental Health and Addiction Services	3,574	3,578	3,578	(311)	3,267
Psychiatric Security Review Board	4	4	4	(4)	0
Department of Social Services	1,804	1,806	1,806	(14)	1,792
Bureau of Rehabilitative Services *	0	101	101	8	109
Department of Education	1,706	1,706	1,708	(34)	1,674
Board of Education and Services for the Blind	95	0	0	0	0
Commission on the Deaf and Hearing Impaired	7	0	0	0	0
State Library	61	61	61	(6)	55
Office of Financial and Academic Affairs for Higher Education	28	15	15	0	15
University of Connecticut	2,985	2,901	2,921	1,726	4,647
University of Connecticut Health Center	1,625	1,641	1,658	(1,658)	0
Charter Oak State College	31	0	0	0	0
Teachers' Retirement Board	30	27	27	(27)	0
Board of Regents for Higher Education	0	4,463	4,500	28	4,528
Regional Community - Technical Colleges	2,058	0	0	0	0
Connecticut State University System	2,294	0	0	0	0
Department of Correction	6,492	6,493	6,493	(145)	6,348
Department of Children and Families	3,456	3,364	3,393	(196)	3,197
Judicial Department *	4,201	4,217	4,274	0	4,274
Public Defender Services Commission	400	440	440	8	448
Commission on Child Protection	9	0	0	0	0
Judicial Review Council	1	0	0	0	0
Department of Emergency Management and Homeland Security	35	0	0	0	0
TOTAL - General Fund	42,112	41,880	42,042	(938)	41,104
SPECIAL TRANSPORTATION FUND					
State Treasurer *	0	1	1	0	1
Department of Motor Vehicles *	566	572	572	0	572
Department of Transportation	3,294	3,292	3,276	(300)	2,976
Bureau of Rehabilitative Services *	0	2	2	1	3
TOTAL - Special Transportation Fund	3,860	3,867	3,851	(299)	3,552
BANKING FUND					
Department of Banking	120	120	120	(2)	118
Judicial Department *	50	51	51	0	51
TOTAL - Banking Fund	170	171	171	(2)	169
INSURANCE FUND					
Office of Policy and Management *	2	2	2	0	2
Insurance Department	141	141	141	18	159
Office of the Healthcare Advocate	10	9	9	4	13
TOTAL - Insurance Fund	153	152	152	22	174
CONSUMER COUNSEL/PUBLIC UTILITY FUND					
Office of Policy and Management *	7	0	0	0	0
Office of Consumer Counsel	14	14	14	3	17
Department of Public Utility Control	115	0	0	0	0
Department of Energy and Environmental Protection *	0	125	125	0	125
TOTAL - Consumer Counsel/Public Utility Fund	136	139	139	3	142
WORKERS' COMPENSATION FUND					
Division of Criminal Justice *	4	4	4	0	4
Labor Department	0	0	0	115	115
Workers' Compensation Commission	122	117	117	(117)	0
Bureau of Rehabilitative Services *	0	6	6	0	6
TOTAL - Workers' Compensation Fund	126	127	127	(2)	125

PERMANENT FULL TIME POSITIONS - APPROPRIATED FUNDS

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Recommended		
			Appropriated	Net Adjustments	Revised Recommended
SOLDIERS, SAILORS AND MARINES' FUND					
Soldiers, Sailors and Marines' Fund	9	9	9	0	9
TOTAL - Soldiers, Sailors and Marines Fund	9	9	9	0	9
REGIONAL MARKET OPERATION FUND					
Department of Agriculture *	7	7	7	0	7
TOTAL - Regional Market Operation Fund	7	7	7	0	7
TOTAL - Appropriated Funds	46,573	46,352	46,498	(1,216)	45,282

* Indicates positions funded from two or more appropriated funds

Note: For the higher education constituent units, authority regarding establishing and filling positions rests with the agency.
The authorized count represents the estimated number of positions that can be filled.

Financial Summary

ESTIMATED COSTS OF MAJOR FRINGE BENEFITS BY AGENCY*

	Estimated FY 2013
Legislative Management	14,303,970
Auditors of Public Accounts	3,432,692
Commission on Aging	78,799
Commission on the Status of Women	147,055
Commission on Children	158,724
Latino and Puerto Rican Affairs Commission	93,112
African-American Affairs Commission	53,497
Asian Pacific American Affairs Commission	44,305
Governor's Office	717,151
Secretary of the State	346,626
Lieutenant Governor's Office	134,459
State Treasurer	1,129,222
State Comptroller	7,513,374
Department of Revenue Services	17,758,726
Office of Governmental Accountability	263,067
Office of Policy and Management	3,608,721
Department of Veterans Affairs	6,903,386
Department of Administrative Services	15,174,066
Attorney General	9,336,541
Division of Criminal Justice	14,092,683
Department of Emergency Services and Public Protection	36,864,158
Military Department	880,705
Department of Consumer Protection	4,774,814
Department of Labor	5,195,433
Department on Human Rights, Protection and Advocacy	2,375,952
Department of Agriculture	1,184,773
Department of Energy and Environmental Protection	12,816,541
Council on Environmental Quality	50,821
Department of Economic and Community Development	2,994,177
Agricultural Experiment Station	1,700,266
Department of Public Health	10,038,436
Department of Developmental Services	78,616,997
Department of Mental Health and Addiction Services	55,039,081
Department of Social Services	33,437,758
Bureau of Rehabilitative Services	1,714,322
Department of Education	6,739,930
State Library	1,553,867
Office of Financial and Academic Affairs for Higher Education	356,583
University of Connecticut	92,756,268
Board of Regents for Higher Education	90,896,469

Financial Summary

ESTIMATED COSTS OF MAJOR FRINGE BENEFITS BY AGENCY*

	Estimated FY 2013
Department of Correction	121,939,964
Department of Children and Families	80,656,160
Judicial Department	96,748,870
Public Defender Services Commission	11,925,938
Department of Motor Vehicles	12,567,129
Department of Transportation	46,497,114
Department of Banking	3,208,935
Insurance Department	4,311,799
Office of the Healthcare Advocate	301,424
Office of Consumer Counsel	427,791
Soldiers, Sailors and Marines' Fund	185,948

*Note: Estimates assume actual costs of Social Security, average cost of Health Insurance, the normal cost of State Employees Retirement, and Alternate Retirement Program pension contributions as an estimated percentage of Recommended Appropriations for Personal Services. For the higher education constituent units, figures are based on the amounts appropriated for Operating Expenses.

Financial Summary
CONVERSION OF OPERATING RESULTS (BUDGETARY BASIS) TO FUND BALANCES (GAAP BASIS)

General Fund
 Proposed Budget for Fiscal Year 2013
 (In Millions)

	Actual Audited <u>2010</u>	Actual Unaudited <u>2011</u>	Estimated <u>2012</u>	Proposed <u>2013</u>
Summary of Operating Results - Budgetary (Modified Cash) Basis				
Total General Fund Revenues	\$ 17,688.5	\$ 17,707.5	\$ 18,693.9	\$ 19,318.0
Release of Reserved Fund Balance	<u>-</u>	<u>449.9</u>	<u>-</u>	<u>-</u>
Net General Fund Revenues (Adjusted)	17,688.5	18,157.3	18,693.9	19,318.0
Total Expenditures - Budgetary Basis	17,208.0	17,845.1	18,692.5	19,266.4
Total Adjustments to Appropriations	<u>30.6</u>	<u>75.3</u>	<u>(73.6)</u>	<u>-</u>
Net Appropriations/Expenditures (Adjusted)	<u>17,238.7</u>	<u>17,920.4</u>	<u>18,618.9</u>	<u>19,266.4</u>
Operating Surplus/(Deficit)	<u>\$ 449.9</u>	<u>\$ 236.9</u>	<u>\$ 75.0</u>	<u>\$ 51.6</u>

Summary of Operating Results - Budgetary (Modified Cash) Basis vs. GAAP Basis

Modified Cash Basis Operating Surplus (Deficit)	\$ 449.9	\$ 236.9	\$ 75.0	\$ 51.6
Adjustments				
Increases (decreases) in revenue accruals:	(155.7)	158.7	(190.6)	(6.8)
(Increases) decreases in expenditure accruals:	(168.7)	0.2	117.8	(40.7)
Increase (decrease) in Continuing Appropriations	32.7	79.5	-	-
Reclassification of equity adjustments	-	-	-	-
Proceeds of Recovery Notes	947.6	-	-	-
Transfer of restricted resources	(1,278.5)	(103.2)	-	-
Transfer of prior year surplus	<u>-</u>	<u>(449.9)</u>	<u>-</u>	<u>-</u>
GAAP Based Operating Surplus/(Deficit)	<u>\$ (172.8)</u>	<u>\$ (77.8)</u>	<u>\$ 2.3</u>	<u>\$ 4.1</u>

Unreserved Fund Balance - Budgetary (Modified Cash) Basis

Operating Surplus/(Deficit)	\$ 449.9	\$ 236.9	\$ 75.0	\$ 51.6
Transfer to/(from) Budget Reserve Fund	-	-	-	-
Reduce Bonded Indebtedness	-	236.9	-	1.6
Reserve for GAAP	-	-	75.0	50.0
Reserves	<u>449.9</u>	<u>-</u>	<u>-</u>	<u>-</u>
Unreserved Fund Balance Surplus/(deficit)	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

Unreserved Fund Balance - Budgetary (Modified Cash) Basis vs. GAAP Basis

Unreserved Fund Balance (Deficit)				
Modified Cash Basis	\$ -	\$ -	\$ -	\$ -
Additional Assets	497.3	656.0	540.5	583.7
Additional Liabilities	<u>(2,176.2)</u>	<u>(2,412.7)</u>	<u>(2,294.8)</u>	<u>(2,335.5)</u>
Unreserved Fund Balance (Deficit) GAAP Basis	<u>\$ (1,678.9)</u>	<u>\$ (1,756.7)</u>	<u>\$ (1,754.4)</u>	<u>\$ (1,751.8)</u>
Additional GAAP Assets less Liabilities				

General Fund Fund Balances - GAAP Basis

Reserved	\$ 696.1	230.7	\$ 348.5	\$ 307.8
Unreserved	<u>(1,678.9)</u>	<u>(1,756.7)</u>	<u>(1,754.4)</u>	<u>(1,751.8)</u>
Total Fund Balance	<u>\$ (982.8)</u>	<u>\$ (1,526.0)</u>	<u>\$ (1,405.9)</u>	<u>\$ (1,444.0)</u>

Financial Summary

CONVERSION OF OPERATING RESULTS (BUDGETARY BASIS) TO FUND BALANCES (GAAP BASIS)

Other Appropriated Funds

Proposed Budget for Fiscal Year 2013

(In Thousands)

	Actual Audited <u>2010</u>	Actual Unaudited <u>2011</u>	Estimated <u>2012</u>	Proposed <u>2013</u>
<u>Special Transportation Fund</u>				
Revenue	\$ 1,117,888	\$ 1,167,208	\$ 1,227,800	\$ 1,299,600
Expenditures	1,101,443	1,166,630	1,226,132	1,293,456
Total Adjustments to Appropriations	<u>(4,652)</u>	<u>1,424</u>	<u>-</u>	<u>-</u>
Operating Surplus / (Deficit), Budgetary Basis	\$ 11,793	\$ 2,001	\$ 1,668	\$ 6,144
Adjustments (Conversion to GAAP)	<u>(3,064)</u>	<u>122</u>	<u>5,656</u>	<u>(273)</u>
Operating Surplus / (Deficit), GAAP Basis	\$ 8,729	\$ 2,123	\$ 7,324	\$ 5,871
Fund Balance, Budgetary Basis				
Reserved	\$ 41,977	\$ 40,554	\$ 40,554	\$ 40,554
Unreserved	<u>105,364</u>	<u>107,365</u>	<u>109,033</u>	<u>115,177</u>
Total Fund Balance, Budgetary Basis	\$ 147,341	\$ 147,919	\$ 149,587	\$ 155,731
Adjustments (Conversion to GAAP)	\$ (11,290)	\$ (11,168)	\$ (5,512)	\$ (5,785)
Fund Balance, GAAP Basis				
Reserved	\$ 70,166	\$ 68,742	\$ 68,742	\$ 68,742
Unreserved	<u>94,074</u>	<u>96,197</u>	<u>103,521</u>	<u>109,392</u>
Total Fund Balance, GAAP Basis	\$ 164,240	\$ 164,940	\$ 172,264	\$ 178,135
<u>Banking Fund</u>				
Revenue	\$ 17,834	\$ 20,316	\$ 26,900	\$ 25,000
Expenditures	<u>18,214</u>	<u>22,117</u>	<u>26,810</u>	<u>24,942</u>
Operating Surplus / (Deficit), Budgetary Basis	\$ (380)	\$ (1,801)	\$ 90	\$ 58
Adjustments (Conversion to GAAP)	<u>91</u>	<u>(29)</u>	<u>(31)</u>	<u>(32)</u>
Operating Surplus / (Deficit), GAAP Basis	\$ (289)	\$ (1,830)	\$ 59	\$ 26
Fund Balance, Budgetary Basis				
Reserved	\$ 115	\$ 115	\$ 115	\$ 115
Unreserved	<u>20,799</u>	<u>18,998</u>	<u>19,088</u>	<u>19,146</u>
Total Fund Balance, Budgetary Basis	\$ 20,914	\$ 19,113	\$ 19,203	\$ 19,261
Adjustments (Conversion to GAAP)	(852)	(881)	(912)	(944)
Fund Balance, GAAP Basis				
Reserved	\$ 115	\$ 115	\$ 115	\$ 115
Unreserved	<u>19,947</u>	<u>18,117</u>	<u>18,176</u>	<u>18,202</u>
Total Fund Balance, GAAP Basis	\$ 20,062	\$ 18,232	\$ 18,291	\$ 18,317
<u>Insurance Fund</u>				
Revenue	\$ 29,018	\$ 22,603	\$ 26,700	\$ 28,400
Expenditures	<u>23,305</u>	<u>24,354</u>	<u>26,622</u>	<u>28,370</u>
Operating Surplus / (Deficit), Budgetary Basis	\$ 5,713	\$ (1,751)	\$ 78	\$ 30
Adjustments (Conversion to GAAP)	<u>(915)</u>	<u>(257)</u>	<u>(267)</u>	<u>(276)</u>
Operating Surplus / (Deficit), GAAP Basis	\$ 4,798	\$ (2,008)	\$ (189)	\$ (246)
Fund Balance, Budgetary Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>11,500</u>	<u>9,749</u>	<u>9,827</u>	<u>9,857</u>
Total Fund Balance, Budgetary Basis	\$ 11,500	\$ 9,749	\$ 9,827	\$ 9,857
Adjustments (Conversion to GAAP)	(7,366)	(7,623)	(7,890)	(8,166)
Fund Balance, GAAP Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>4,134</u>	<u>2,126</u>	<u>1,937</u>	<u>1,691</u>
Total Fund Balance, GAAP Basis	\$ 4,134	\$ 2,126	\$ 1,937	\$ 1,691

Financial Summary

CONVERSION OF OPERATING RESULTS (BUDGETARY BASIS) TO FUND BALANCES (GAAP BASIS)

Other Appropriated Funds

Proposed Budget for Fiscal Year 2013

(In Thousands)

	Actual Audited <u>2010</u>	Actual Unaudited <u>2011</u>	Estimated <u>2012</u>	Proposed <u>2013</u>
<u>Consumer Counsel/Public Utility Fund</u>				
Revenue	\$ 21,114	\$ 20,224	\$ 26,500	\$ 25,900
Expenditures	<u>20,551</u>	<u>22,150</u>	<u>26,429</u>	<u>25,351</u>
Operating Surplus / (Deficit), Budgetary Basis	\$ 563	\$ (1,926)	\$ 71	\$ 549
Adjustments (Conversion to GAAP)	<u>(3,862)</u>	<u>1,570</u>	<u>(190)</u>	<u>(241)</u>
Operating Surplus / (Deficit), GAAP Basis	\$ (3,299)	\$ (356)	\$ (119)	\$ 308
Fund Balance, Budgetary Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>7,767</u>	<u>5,841</u>	<u>5,912</u>	<u>6,461</u>
Total Fund Balance, Budgetary Basis	\$ 7,767	\$ 5,841	\$ 5,912	\$ 6,461
Adjustments (Conversion to GAAP)	(9,955)	(8,385)	(8,575)	(8,816)
Fund Balance, GAAP Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>(2,188)</u>	<u>(2,544)</u>	<u>(2,663)</u>	<u>(2,355)</u>
Total Fund Balance, GAAP Basis	\$ (2,188)	\$ (2,544)	\$ (2,663)	\$ (2,355)
<u>Workers' Compensation Fund</u>				
Revenue	\$ 30,413	\$ 10,543	\$ 22,300	\$ 21,100
Expenditures	<u>19,672</u>	<u>19,178</u>	<u>22,260</u>	<u>21,066</u>
Operating Surplus / (Deficit), Budgetary Basis	\$ 10,741	\$ (8,635)	\$ 41	\$ 34
Adjustments (Conversion to GAAP)	<u>(55)</u>	<u>(35)</u>	<u>(36)</u>	<u>(37)</u>
Operating Surplus / (Deficit), GAAP Basis	\$ 10,686	\$ (8,670)	\$ 5	\$ (3)
Fund Balance, Budgetary Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>17,609</u>	<u>8,974</u>	<u>9,015</u>	<u>9,049</u>
Total Fund Balance, Budgetary Basis	\$ 17,609	\$ 8,974	\$ 9,015	\$ 9,049
Adjustments (Conversion to GAAP)	(996)	(1,031)	(1,067)	(1,104)
Fund Balance, GAAP Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>16,613</u>	<u>7,943</u>	<u>7,948</u>	<u>7,945</u>
Total Fund Balance, GAAP Basis	\$ 16,613	\$ 7,943	\$ 7,948	\$ 7,945
<u>Mashantucket Pequot and Mohegan Fund</u>				
Revenue	\$ 61,800	\$ 61,800	\$ 61,800	\$ 61,800
Expenditures	<u>61,780</u>	<u>61,780</u>	<u>61,780</u>	<u>61,780</u>
Operating Surplus / (Deficit), Budgetary Basis	\$ 20	\$ 20	\$ 20	\$ 20
Adjustments (Conversion to GAAP)	-	-	-	-
Operating Surplus / (Deficit), GAAP Basis	\$ 20	\$ 20	\$ 20	\$ 20
Fund Balance, Budgetary Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>70</u>	<u>90</u>	<u>110</u>	<u>130</u>
Total Fund Balance, Budgetary Basis	\$ 70	\$ 70	\$ 90	\$ 110
Adjustments (Conversion to GAAP)	-	-	-	-
Fund Balance, GAAP Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>70</u>	<u>90</u>	<u>110</u>	<u>130</u>
Total Fund Balance, GAAP Basis	\$ 70	\$ 90	\$ 110	\$ 130

Financial Summary

CONVERSION OF OPERATING RESULTS (BUDGETARY BASIS) TO FUND BALANCES (GAAP BASIS)

Other Appropriated Funds

Proposed Budget for Fiscal Year 2013

(In Thousands)

	Actual Audited <u>2010</u>	Actual Unaudited <u>2011</u>	Estimated <u>2012</u>	Proposed <u>2013</u>
<u>Soldiers, Sailors and Marines' Fund</u>				
Revenue	\$ 2,762	\$ 2,266	\$ 3,100	\$ 3,100
Expenditures	<u>2,935</u>	<u>2,965</u>	<u>3,061</u>	<u>3,039</u>
Operating Surplus / (Deficit), Budgetary Basis	\$ (173)	\$ (699)	\$ 39	\$ 61
Adjustments (Conversion to GAAP)	<u>178</u>	<u>694</u>	<u>(39)</u>	<u>(61)</u>
Operating Surplus / (Deficit), GAAP Basis	\$ 5	\$ (5)	\$ -	\$ -
Fund Balance, Budgetary Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>(3,960)</u>	<u>(4,659)</u>	<u>(4,620)</u>	<u>(4,559)</u>
Total Fund Balance, Budgetary Basis	\$ (3,960)	\$ (4,659)	\$ (4,620)	\$ (4,559)
Adjustments (Conversion to GAAP)	3,965	4,659	4,620	4,559
Fund Balance, GAAP Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>5</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total Fund Balance, GAAP Basis	\$ 5	\$ -	\$ -	\$ -
<u>Regional Market Operation Fund</u>				
Revenue	\$ 950	\$ 944	\$ 970	\$ 940
Expenditures	<u>882</u>	<u>951</u>	<u>965</u>	<u>933</u>
Operating Surplus / (Deficit), Budgetary Basis	\$ 67	\$ (7)	\$ 5	\$ 7
Adjustments (Conversion to GAAP)	<u>(7)</u>	<u>(1)</u>	<u>(2)</u>	<u>(1)</u>
Operating Surplus / (Deficit), GAAP Basis	\$ 60	\$ (8)	\$ 3	\$ 6
Fund Balance, Budgetary Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>982</u>	<u>975</u>	<u>980</u>	<u>987</u>
Total Fund Balance, Budgetary Basis	\$ 982	\$ 975	\$ 980	\$ 987
Adjustments (Conversion to GAAP)	(39)	(40)	(42)	(43)
Fund Balance, GAAP Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>943</u>	<u>935</u>	<u>938</u>	<u>944</u>
Total Fund Balance, GAAP Basis	\$ 943	\$ 935	\$ 938	\$ 944
<u>Criminal Injuries Compensation Fund</u>				
Revenue	\$ 889	\$ 1,888	\$ 3,500	\$ 3,700
Expenditures	<u>3,110</u>	<u>3,394</u>	<u>3,494</u>	<u>3,602</u>
Operating Surplus / (Deficit), Budgetary Basis	\$ (2,221)	\$ (1,506)	\$ 6	\$ 98
Adjustments (Conversion to GAAP)	<u>(183)</u>	<u>(14)</u>	<u>(14)</u>	<u>(15)</u>
Operating Surplus / (Deficit), GAAP Basis	\$ (2,404)	\$ (1,520)	\$ (8)	\$ 83
Fund Balance, Budgetary Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>3,478</u>	<u>1,972</u>	<u>1,978</u>	<u>2,076</u>
Total Fund Balance, Budgetary Basis	\$ 3,478	\$ 1,972	\$ 1,978	\$ 2,076
Adjustments (Conversion to GAAP)	(387)	(401)	(415)	(430)
Fund Balance, GAAP Basis				
Reserved	\$ -	\$ -	\$ -	\$ -
Unreserved	<u>3,091</u>	<u>1,571</u>	<u>1,563</u>	<u>1,646</u>
Total Fund Balance, GAAP Basis	\$ 3,091	\$ 2,868	\$ 2,960	\$ 3,043



SECTION B

BUDGET SUMMARY

OFFICE OF LEGISLATIVE MANAGEMENT

<http://www.cga.ct.gov/olm>

AGENCY PURPOSE

The Office of Legislative Management provides administrative and operational support for the Connecticut General Assembly.

The office, while implementing the policies of the Joint Committee on Legislative Management, provides administrative and financial services, administers compensation and human resources services,

and oversees the management and maintenance of all buildings and grounds under the supervision and control of the General Assembly.

The Office of Legislative Management ensures the daily functioning of the Legislature for the benefit of legislators, their staff, and the general public.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize FY 2012 Holdbacks

FY 2013

-5,565,676

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	445	438	438	0	438
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	38,893,572	46,767,963	48,753,708	-3,185,152	45,568,556
Other Expenses	12,520,478	14,867,587	17,611,168	-2,380,524	15,230,644
<u>Capital Outlay</u>					
Equipment	666,996	208,000	316,000	0	316,000
<u>Other Current Expenses</u>					
Flag Restoration	0	75,000	75,000	0	75,000
Minor Capitol Improvements	43,725	200,000	265,000	0	265,000
Interim Salary/Caucus Offices	434,536	585,000	464,100	0	464,100
Redistricting	336,688	1,325,000	0	0	0
CT Academy of Sci & Engineering	0	100,000	100,000	0	100,000
Old State House	498,003	597,985	616,523	0	616,523
TOTAL - Other Current Expenses	1,312,952	2,882,985	1,520,623	0	1,520,623
<u>Pmts to Other Than Govts</u>					
Interstate Conference Fund	341,871	365,946	380,584	0	380,584
New England Board of Higher Education	183,750	188,344	194,183	0	194,183
TOTAL - Pmts to Other Than Govts	525,621	554,290	574,767	0	574,767
TOTAL - General Fund	53,919,619	65,280,825	68,776,266	-5,565,676	63,210,590

AUDITORS OF PUBLIC ACCOUNTS

<http://www.cga.ct.gov/apa>

AGENCY PURPOSE

The Office of the Auditors of Public Accounts is a legislative agency of the State of Connecticut. Its primary mission is to audit the books and accounts of each agency of the state, the State Treasurer, the State Comptroller, all institutions supported by the state, and all public and quasi-public bodies created by the legislature and not subject to the Municipal Auditing Act. Each audit performed includes an examination and verification of accounting records and documents, a determination of the agency's compliance with

applicable state and federal statutory and budgetary requirements, verification of the collection and proper handling of state revenue, and examination of expenditures charged to state appropriations and federal grants.

The two Auditors may not be of the same political party and are appointed by the General Assembly to assure the independence and impartiality required for effective auditing.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize FY 2012 Holdbacks

FY 2013

-950,742

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	117	117	117	0	117
 <i>Financial Summary</i>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	9,985,682	11,852,086	11,742,921	-807,297	10,935,624
Other Expenses	693,648	894,009	856,702	-143,445	713,257
<u>Capital Outlay</u>					
Equipment	5,321	10,000	10,000	0	10,000
TOTAL - General Fund	10,684,651	12,756,095	12,609,623	-950,742	11,658,881



COMMISSION ON AGING



AGENCY PURPOSE

The Commission on Aging (CoA) was created in 1993 by an act of the Connecticut General Assembly and the Governor as an independent, non-partisan, public policy agency for older adults. In 2005, the CoA became a legislative agency and in 2009 its board composition was altered and its mandate strengthened.

The Commission:

- Advances systemic change in many areas including, but not limited to, long-term care, health care, nutrition, housing, employment, transportation, legal assistance, and economic security.
- Raises awareness about the dynamic challenges and opportunities presented by an aging state and nation.

- Works directly with and serves as an independent, non-partisan resource to the Connecticut General Assembly, Governor, municipalities, and stakeholders on aging-related issues.
- Independently, and at the General Assembly's direction, conducts and/or directs comprehensive studies on trends and issues, such as long-term care, that impact older adults and, increasingly, persons with disabilities.
- Convenes and leads diverse groups in multi-faceted efforts to develop, enhance, and promote progressive public policy.
- Develops and comments on state legislation, regulations, and state agency policies and programs to promote a more effective, efficient, and coordinated system.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize FY 2012 Holdbacks

FY 2013
-18,681

AGENCY SUMMARY

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personnel Summary					
<u>Permanent Full-Time Positions</u>					
General Fund	4	4	4	0	4
Financial Summary					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	241,898	259,376	271,048	-17,347	253,701
Other Expenses	3,581	7,864	8,021	-1,334	6,687
<u>Capital Outlay</u>					
Equipment	0	1,500	1,500	0	1,500
TOTAL - General Fund	245,479	268,740	280,569	-18,681	261,888

PERMANENT COMMISSION ON THE STATUS OF WOMEN

<http://www.cga.ct.gov/pcsw/>

AGENCY PURPOSE

The Permanent Commission on the Status of Women was established to study and improve Connecticut women's economic security, health, and safety; to promote consideration of qualified women to leadership positions; and to work toward the elimination of gender discrimination.

As a non-partisan arm of the General Assembly, the agency monitors, critiques, and recommends changes to legislation to inform public

policy, and assesses programs and practices in State agencies for their effect on the state's women. The PCSW serves as a liaison between government and its diverse constituents, and convenes stakeholders, including the business, non-profit and educational communities, local governments, and the media, in order to promote awareness of women's issues.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize FY 2012 Holdbacks

FY 2013

-41,366

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	6	6	6	0	6
<u><i>Financial Summary</i></u>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	390,543	461,072	481,820	-31,358	450,462
Other Expenses	34,697	64,203	67,092	-10,008	57,084
<u><i>Capital Outlay</i></u>					
Equipment	0	1,500	1,500	0	1,500
TOTAL - General Fund	425,240	526,775	550,412	-41,366	509,046

COMMISSION ON CHILDREN

<http://www.cga.ct.gov/coc/>

AGENCY PURPOSE

The Commission on Children is a legislative agency established to:

- Study the status of children and children's programs in order to identify programs, policies and legislation that will improve the development of children and strengthen the capabilities of families to provide for children's basic needs.
- Inform individuals and leaders of business, labor, education, state and local government, the media, and the General Assembly of findings and assist in the implementation of applicable recommendations.
- Promote child and family program and policy coordination across the three branches of government and between local and state endeavors.
- Develop and distribute informational materials regarding children's issues and respond to public queries about child and family policy.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize FY 2012 Holdbacks

FY 2013

-40,698

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	7	7	7	0	7
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	330,488	517,714	541,011	-35,361	505,650
Other Expenses	10,638	35,000	35,700	-5,337	30,363
TOTAL - General Fund	341,126	552,714	576,711	-40,698	536,013

LATINO AND PUERTO RICAN AFFAIRS COMMISSION

<http://www.cga.ct.gov/lprac/>

AGENCY PURPOSE

The Latino and Puerto Rican Affairs Commission's (LPRAC) mission is to coordinate and provide access to resources by developing and recommending to the Governor and the General Assembly policy for the advancement of the Latino and Puerto Rican community by:

- Reviewing and commenting on any proposed state legislation or recommendations that may affect the Latino and Puerto Rican population of the state and provide copies of any such comments to members of the General Assembly.
- Advising the General Assembly and Governor concerning the coordination and administration of state programs that affect the Latino and Puerto Rican population of the state.
- Gathering and maintaining current information regarding the Latino and Puerto Rican population of the state that can be used to better understand the status, condition and contributions of such Latino and Puerto Rican population. Such information shall be included in the annual report and shall be made available to legislators and other interested parties upon request.
- Maintaining a liaison between the Latino and Puerto Rican population of the state and government agencies, including the General Assembly.
- Conducting educational and outreach activities intended to raise awareness of critical issues for the Latino and Puerto Rican population of the state.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize FY 2012 Holdbacks

FY 2013

-26,021

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	3	3	3	0	3
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	233,462	278,433	306,637	-20,016	286,621
Other Expenses	31,050	53,994	40,748	-6,005	34,743
TOTAL - General Fund	264,512	332,427	347,385	-26,021	321,364

AFRICAN-AMERICAN AFFAIRS COMMISSION

<http://www.cga.ct.gov/aaac>

AGENCY PURPOSE

The African-American Affairs Commission is a legislative agency established to:

- Review and comment on any proposed state legislation or recommendations that may affect the African-American population of the state and provide copies of any such comments to members of the General Assembly.
- Advise the General Assembly and Governor concerning the coordination and administration of state programs that affect the African-American population of the state.
- Gather and maintain current information regarding the African-American population of the state that can be used to better understand the status, condition and contributions of such African-American population.
- Maintain a liaison between the African-American population of the state and government agencies, including the General Assembly.
- Conduct educational and outreach activities intended to raise awareness of critical issues for the African-American population of the state.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize FY 2012 Holdbacks

FY 2013

-18,014

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	2	2	2	0	2
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	179,527	193,095	201,784	-13,344	188,440
Other Expenses	13,342	27,456	28,005	-4,670	23,335
TOTAL - General Fund	192,869	220,551	229,789	-18,014	211,775

ASIAN PACIFIC AMERICAN AFFAIRS COMMISSION

AGENCY PURPOSE

The Asian Pacific American Affairs Commission is established as a legislative agency to assist in resolving issues related to the Asian Pacific American population. The commission makes recommendations to the General Assembly and the Governor on the health, safety, education, economic self-sufficiency and efforts to remain free from discrimination within the Asian Pacific American population in Connecticut by:

- Reviewing and commenting on proposed state legislation that may affect the Asian Pacific American population of the state.
- Advising on the coordination and administration of state programs that affect the Asian Pacific American population of the state.
- Conducting educational and outreach activities intended to raise awareness of critical issues for the Asian Pacific American population of the state.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize FY 2012 Holdbacks

FY 2013

-10,675

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	1	2	2	0	2
<u><i>Financial Summary</i></u>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	34,113	151,672	158,491	-10,008	148,483
Other Expenses	1,328	5,000	5,000	-667	4,333
<u><i>Capital Outlay</i></u>					
Equipment	0	1,500	1,500	0	1,500
TOTAL - General Fund	35,441	158,172	164,991	-10,675	154,316



GOVERNOR'S OFFICE

<http://www.ct.gov/malloy>

AGENCY PURPOSE

The Governor is the elected constitutional officer who is responsible for:

- The executive direction and supervision of the general administration of the state.
- The appointment of commissioners of departments, members of boards and commissions, trustees and other officials.
- Presentation of budget recommendations to the General Assembly.
- The approval or veto of legislation passed by the General Assembly.

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	32	27	27	0	27
<u><i>Financial Summary</i></u>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	2,135,459	2,365,992	2,284,648	0	2,284,648
Other Expenses	213,176	236,995	236,995	0	236,995
<u><i>Capital Outlay</i></u>					
Equipment	0	1	1	0	1
<u><i>Pmts to Other Than Govts</i></u>					
New England Governors' Conference	67,728	106,734	113,138	0	113,138
National Governors' Association	110,212	127,094	134,720	0	134,720
TOTAL - Pmts to Other Than Govts	177,940	233,828	247,858	0	247,858
TOTAL - General Fund	2,526,575	2,836,816	2,769,502	0	2,769,502



SECRETARY OF THE STATE

<http://www.sots.ct.gov>

AGENCY PURPOSE

The Secretary of the State seeks to educate and inform the public of services, programs and responsibilities of the office, and advocates issues, policies and programs which promote a healthy democracy and an active, engaged citizenry, with emphasis on encouraging young people to participate in civic life.

The office administers, interprets, and implements all state and federal laws pertaining to elections, primaries, nominating procedures and the acquisition and exercise of voting rights. Personal disclosure statements from state and candidate committees are filed with the office and are available for the public to view electronically on the Secretary of the State's web site. The office also encourages and monitors the implementation of the National Voter Registration Act and other voter registration efforts in Connecticut. The Secretary of the State is the official keeper of all acts, orders, grants, and resolutions of the General Assembly; publishes the *State Register and Manual*; receives and maintains legislation, regulations and other executive branch records as required by statute; and administers Connecticut's notary public program.

COMMERCIAL RECORDING DIVISION

The Commercial Recording Division maintains and makes information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited

liability partnerships, Uniform Commercial Code and trademarks by reviewing, recording, copying, computerizing, and certifying documents for and of public record. The division also administers the Address Confidentiality Program. This program provides victims of domestic violence, sexual assault, stalking, and risk of injury to a minor with a substitute address to use with state and local government agencies. This prevents public access to a participant's actual address on government records.

E-GOVERNMENT

The Secretary of the State maintains the Centralized Voter Registration System, the Connecticut Online Commercial Recording Database (CONCORD), and the Connecticut Finance Information System (CFIS).

BOARD OF ACCOUNTANCY

As a result of the passage of Public Act 11-48, the Board of Accountancy became a part of the Secretary of State's Office. The board licenses and regulates the authorized practice of public accountancy by certified public accountants (CPA) and licensed public accountants. It also protects the public by monitoring the unauthorized practice of public accountancy by unlicensed individuals and firms.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

FY 2013

-258,459

-905,453

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	84	88	88	0	88
<u>Financial Summary</u>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	1,295,494	1,410,000	1,350,000	-245,743	1,104,257
Other Expenses	411,817	1,030,923	1,030,923	-442,840	588,083
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Commercial Recording Division	5,216,319	6,313,689	6,299,728	-462,613	5,837,115
Board of Accountancy	0	350,000	350,000	-12,716	337,284
TOTAL - Other Current Expenses	5,216,319	6,663,689	6,649,728	-475,329	6,174,399
TOTAL - General Fund	6,923,630	9,104,613	9,030,652	-1,163,912	7,866,740



LIEUTENANT GOVERNOR'S OFFICE

<http://www.state.ct.us/otlg/>

AGENCY PURPOSE

The Lieutenant Governor is the elected constitutional officer who is charged with:

- Succeeding the Governor in the event of disability or the office becoming vacant during the term.
- Operating the State government during the Governor's absence from the state.
- Presiding over the State Senate and casting the tie-breaking vote when the Senate is equally divided.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Adjust and Reallocate Funding to Support the Office of Health Reform and Innovation

FY 2013

15,000

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	5	9	9	0	9
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	475,222	859,454	840,350	-412,000	428,350
Other Expenses	30,267	69,201	69,201	0	69,201
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Health Reform and Innovation	0	0	0	427,000	427,000
TOTAL - General Fund	505,489	928,656	909,552	15,000	924,552

STATE ELECTIONS ENFORCEMENT COMMISSION

AGENCY PURPOSE

Consistent with Public Act 11-48, the State Elections Enforcement Commission was consolidated with the Office of Governmental Accountability.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	52	0	0	0	0
Financial Summary					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,286,415	0	0	0	0
Other Expenses	196,964	0	0	0	0
<u>Other Current Expenses</u>					
Citizens' Election Fund Admin	2,713,272	0	0	0	0
TOTAL - General Fund	4,196,651	0	0	0	0

OFFICE OF STATE ETHICS

AGENCY PURPOSE

Consistent with Public Act 11-48, the Office of State Ethics was consolidated with the Office of Governmental Accountability.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	18	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,407,310	0	0	0	0
Other Expenses	73,402	0	0	0	0
<u>Capital Outlay</u>					
Equipment	8,414	0	0	0	0
<u>Other Current Expenses</u>					
Information Technology Initiatives	20,431	0	0	0	0
TOTAL - General Fund	1,509,557	0	0	0	0

FREEDOM OF INFORMATION COMMISSION

AGENCY PURPOSE

Consistent with Public Act 11-48, the Freedom of Information Commission was consolidated with the Office of Governmental Accountability.

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	23	0	0	0	0
<i>Financial Summary</i>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,713,601	0	0	0	0
Other Expenses	139,507	0	0	0	0
<i>Capital Outlay</i>					
Equipment	10,737	0	0	0	0
TOTAL - General Fund	1,863,845	0	0	0	0

JUDICIAL SELECTION COMMISSION

AGENCY PURPOSE

Consistent with Public Act 11-48, the Judicial Selection Commission was consolidated with the Office of Governmental Accountability.

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	0	0	0	0
Financial Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	72,356	0	0	0	0
Other Expenses	11,295	0	0	0	0
TOTAL - General Fund	83,651	0	0	0	0

CONTRACTING STANDARDS BOARD

AGENCY PURPOSE

Consistent with Public Act 11-48, the Office of State Ethics was consolidated with the Office of Governmental Accountability.

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	5	0	0	0	0



STATE TREASURER

<http://www.state.ct.us/ott>

AGENCY PURPOSE

The State Treasurer, elected for a term of four years as prescribed by the state constitution, is responsible for the safe custody of the property and money of the state and makes disbursements on warrants drawn and presented by the State Comptroller.

The Treasurer invests the state's General Fund as well as the assets of the state's pensions, trusts and other funds. The Treasurer administers the issuance of state bonds and the payment of principal and interest thereon. The Treasurer also manages the process of the

borrowing of those funds, the repayment of which is a limited or contingent liability of the state.

The Treasurer also serves as the custodian for all unclaimed property remitted to the state. The Treasurer safeguards these assets, publicizes the names of the rightful owners and returns those assets to the owners as they come forward.

The Second Injury Fund, a workers' compensation fund financed by assessments on businesses, is also under the jurisdiction of the Treasurer.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

FY 2013

-87,482

-74,060

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	48	48	48	0	48
Special Transportation Fund	0	1	1	0	1
<i>Financial Summary</i>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	3,073,110	3,856,675	3,684,877	-87,482	3,597,395
Other Expenses	186,366	273,656	273,656	-74,060	199,596
<i>Capital Outlay</i>					
Equipment	0	1	1	0	1
TOTAL - General Fund	3,259,476	4,130,332	3,958,534	-161,542	3,796,992



STATE COMPTROLLER

<http://www.osc.state.ct.us/>

AGENCY PURPOSE

The Office of the State Comptroller adjusts and settles all public accounts and prescribes the mode of keeping and rendering all public accounts.

The agency is responsible for certifying and processing all state employee and retiree payroll and benefits, processing payment for the state bills, and maintaining the financial and human resource software used by all government agencies. In addition, the agency also administers the Municipal Employment Retirement Fund on

behalf of participating town and city governments. Agency personnel are responsible for analysis of statewide budget and economic issues, and are responsible for providing information through the Comptroller's monthly letter to the Governor which projects the estimated state budget surplus or deficit. Finally, agency personnel provide analysis and projections on all statewide fringe benefit accounts, and process all collective bargaining state employee tuition and travel reimbursements.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Restructure State Government

The administrative functions of the Teachers' Retirement Board will be consolidated within the Office of the State Comptroller.

FY 2013

2,097,496

Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

-928,858

-506,250

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	269	273	273	27	300
<u>Financial Summary</u>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	20,513,518	24,394,124	23,417,739	517,825	23,935,564
Other Expenses	3,677,359	4,082,632	4,020,735	144,563	4,165,298
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Pmts to Other Than Govts</u>					
Governmental Accounting Standards Bd	18,591	19,570	19,570	0	19,570
TOTAL - General Fund	24,209,468	28,496,327	27,458,045	662,388	28,120,433



DEPARTMENT OF REVENUE SERVICES

<http://www.ct.gov/drs>

AGENCY PURPOSE

- To instill public confidence in the integrity and fairness of state tax collection.
- To contribute to the fiscal and economic well being of the state.
- To inform and assist taxpayers.
- To protect taxpayer rights and privacy.
- To achieve the highest level of voluntary taxpayer compliance.
- To continuously improve agency performance.

RECENT HIGHLIGHTS

- Partnered with the Internal Revenue Service and other states to form a Security Advisory Committee focused on protecting confidential taxpayer information and instituting best practices.
- Instituted an electronic 1099-G and stopped mailing Income Tax forms to reduce operating costs by eliminating the need for printing and mailing.
- Initiated a program with the Treasurer's Office to use debit cards for taxpayer refunds.
- Realized benefits from LEAN program by reducing the number of delinquent returns and instituting process changes to provide taxpayers with more timely and useful information.
- Prepared Earned Income Tax Credit program for the 2012 tax filing season.
- Moved toward modernized e-filing by partnering with the Internal Revenue Service in expanding the use of electronic filings.
- Expanded on-line taxpayer services, including anti-fraud hotline and language translation services.

RECOMMENDED ADJUSTMENTS

	FY 2013
Technical Adjustments	
• Annualize Wage Freeze Savings	-3,013,760
• Annualize FY 2012 Holdbacks	-3,358,322
Revenue Adjustments	
• Provide Funding for the Expansion of the Business Employment Tax Enhanced Unit <i>Funding is provided for five new positions, which is anticipated to result in \$5.0 million in additional revenue.</i>	320,305
• Provide Funding to Expand the Sales Tax Third Party/Cash Business Audit Program <i>Funding is provided for five new positions, which is anticipated to result in \$3.0 million in additional revenue.</i>	320,305
• Provide Funding for the Expansion of the Collection and Enforcement Division <i>Funding is provided for five new positions, which is anticipated to result in \$5.0 million in additional revenue.</i>	262,965

AGENCY SUMMARY

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personnel Summary					
<u>Permanent Full-Time Positions</u>					
General Fund	731	734	734	-56	678
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	55,772,096	64,422,569	62,059,477	-5,485,007	56,574,470
Other Expenses	7,185,374	9,270,033	8,516,033	16,500	8,532,533
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Collection and Litigation Contingency	63,122	104,479	104,479	0	104,479
TOTAL - General Fund	63,020,592	73,797,082	70,679,990	-5,468,507	65,211,483

OFFICE OF GOVERNMENTAL ACCOUNTABILITY

AGENCY PURPOSE

The Office of Governmental Accountability (OGA) was created by Public Act 11-48. Under the act, each of the divisions within OGA retains decision-making authority on budgetary issues and employing necessary staff.

The Office of the Executive Administrator (OEA) provides the personnel, payroll, affirmative action, administrative and all business functions, including information technology, for nine divisions.

The Office of State Ethics (OSE) is an independent division of the OGA. The mission of the OSE is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Codes of Ethics.

The Contracting Standards Board (CSB) is a fourteen member board established to oversee all state contracts. The board's mission is to ensure that state contracting and procurement processes reflect the highest standards of integrity, are clean and consistent, and are conducted in the most efficient manner possible.

The Judicial Selection Commission (JSC) is responsible for evaluating, investigating and recommending qualified candidates for consideration by the Governor for nomination as judges for the Superior Court. The Commission also evaluates incumbent judges who seek reappointment to the same court and judges who are seeking elevation to the Appellate Court and the Supreme Court.

The Judicial Review Council (JRC) ensures the integrity of the judiciary through the investigation of any alleged misconduct and through the power to discipline those found guilty.

The Office of Victim Advocate (OVA) promotes and protects the state constitutional and statutory rights of crime victims in Connecticut and to ensure the policies and laws in Connecticut honor those rights.

The Freedom of Information (FOI) Commission administers and enforces Connecticut's FOI Act and thereby ensures that the people of Connecticut have full access to the records and meetings of all state agencies to the extent provided by law.

The State Elections Enforcement Commission (SEEC) is responsible for the facilitation of campaign finance disclosure, enforcement of state election laws, securing compliance through cooperation by providing interpretation and education, and the administration of the public campaign finance program, the Citizens' Election Program.

The Office of the Child Advocate (OCA) protects the civil, legal and special rights of the children of Connecticut, and advances policies throughout the state that promote their well-being and best interest. Statutory responsibilities include evaluating the procedures for and delivery of services to children by state agencies or other entities that receive public funding.

The Board of Firearm Permit Examiners (FPE) provides a means of appeal for any person aggrieved by any refusal to issue or renew a pistol permit or certificate under the provisions of the Connecticut General Statutes. Administrative hearings are held by a seven member board of volunteers, thereby reducing court caseloads, saving court costs for both the state and the affected citizens.

RECENT HIGHLIGHTS

Office of State Ethics

The OSE successfully defended two matters in Superior Court: the board's finding that a state employee had used her public office and position to run two private businesses in violation of the state ethics code, and the first legal challenge of a board advisory opinion. Appeals of both decisions are currently pending in the Appellate Court.

Freedom of Information Commission

The commission, with the support of the Connecticut Foundation for Open Government, continued to craft other facets of its community outreach program aimed at the state's minority communities. A pilot program targeting Spanish-speaking citizens that features Spanish-language videos is being readied for distribution statewide.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

• Annualize Wage Freeze Savings	FY 2013 -350,579
• Recognize Increased Cost Due to Statutory Increase in Number of FOI Commissioners	25,000
• Adjust Funding Level to Reflect Actual Operating Expenses	150,000

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	86	86	0	86

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	0	842,844	838,060	0	838,060
Other Expenses	0	485,902	462,378	0	462,378
<u>Capital Outlay</u>					
Equipment	0	31,866	24,905	0	24,905
<u>Other Current Expenses</u>					
Child Fatality Review Board	0	98,335	95,010	0	95,010
Information Technology Initiatives	0	35,000	35,000	0	35,000
Citizens' Election Fund Admin	0	1,802,898	1,667,549	335,000	2,002,549
Elections Enforcement Commission	0	1,369,103	1,384,317	-377,597	1,006,720
Office of State Ethics	0	1,401,305	1,355,145	-61,507	1,293,638
Freedom of Information Commission	0	1,792,690	1,757,403	-45,168	1,712,235
Contracting Standards Board	0	175,000	175,000	0	175,000
Judicial Review Council	0	156,196	155,682	-2,921	152,761
Judicial Selection Commission	0	93,314	90,620	0	90,620
Office of the Child Advocate	0	594,027	578,480	-11,015	567,465
Office of Victim Advocate	0	336,593	327,606	-12,371	315,235
Board of Firearms Permit Examiners	0	83,779	81,086	0	81,086
TOTAL - Other Current Expenses	0	7,938,240	7,702,898	-175,579	7,527,319
TOTAL - General Fund	0	9,298,852	9,028,241	-175,579	8,852,662

DIVISION OF SPECIAL REVENUE

AGENCY PURPOSE

Consistent with Public Act 11-51, the Division of Special Revenue was consolidated with the Department of Consumer Protection.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	104	0	0	0	0
Financial Summary					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	3,153,207	0	0	0	0
Other Expenses	596,235	0	0	0	0
<u>Other Current Expenses</u>					
Gaming Policy Board	2,118	0	0	0	0
TOTAL - General Fund	3,751,560	0	0	0	0



OFFICE OF POLICY AND MANAGEMENT

<http://www.ct.gov/opm>

AGENCY PURPOSE

- To support the Governor in development, implementation and analysis of various policies relating to assets management, criminal justice, and health and human services.
- To prepare the Governor's Recommended Budget and execute biennial budgets as enacted into law.
- To provide analyses, evaluations and recommendations to the Governor regarding the financial implications of state policies and practices.
- To formulate policy pertaining to the relationship between the State and Connecticut's municipalities.
- To improve the effectiveness of state services by ensuring the efficient use of resources through research, policy development and interagency coordination.
- To deliver timely and effective labor relations and collective bargaining services on behalf of the State as an employer.
- To review and monitor the core financial management policies and practices in state agencies.
- To ensure the implementation of programs enacted by law.
- To review and approve agency legislative proposals.

RECENT HIGHLIGHTS

FINANCIAL MANAGEMENT POLICIES AND PRACTICES

Coordinated a workgroup comprised of representatives from the Office of Policy and Management (OPM), the State Comptroller's Office, the State Treasurer's Office, the Office of Fiscal Analysis and the Auditors of Public Accounts that was established to develop the conversion plan to Generally Accepted Accounting Principles (GAAP) related to the state's budgeting practices. The plan was developed by OPM and submitted to the General Assembly, as prescribed by the Governor's Executive Order No. 1.

ASSETS MANAGEMENT POLICY AND PLANNING

In conjunction with the Department of Administrative Services (DAS), completed a LEAN Value Stream Mapping analysis related to the state leasing process. Based upon the findings of that process, it is anticipated that DAS will be able to reduce by two-thirds the time it takes the state to enter into lease agreements.

CRIMINAL JUSTICE POLICY AND PLANNING

Assisted the Governor in helping to assure passage of landmark criminal justice reforms including decriminalizing of possession of small amounts of marijuana; to establish risk-reduction credits for offenders in order to lower rates of recidivism; to implement "Raise the Age" reform legislation; and to reform license suspension rules for repeat Driving While Under the Influence offenders in conjunction with Mothers Against Drunk Driving.

ENERGY POLICY AND PLANNING

Administered four U.S. Department of Energy ARRA grants totaling more than \$50 million. The majority of the funding supported consumer energy efficiency programs and incentives for renewable energy systems. \$9.5 million in funding supported energy projects at the local municipal level. One ARRA grant supported consumer rebates for energy efficient appliances.

Provided ongoing support in crafting legislation to create the new Department of Energy and Environmental Protection (DEEP). Also provided administrative support to ensure a seamless transition and

continuity of operations when OPM's Energy Unit was transferred to DEEP.

HEALTH AND HUMAN SERVICES POLICY AND PLANNING

Implemented a new Nonprofit Collaboration Incentive Grant Program. Approximately \$900,000 will be used by two collaborations selected for funding to share technology across providers, increase efficiency, and lay the groundwork to integrate other business functions.

HEALTH INSURANCE EXCHANGE

Implemented a \$1 million grant from the federal government to plan for the development of a Health Insurance Exchange as required under federal health reform legislation. Drafted, negotiated and facilitated the passage of legislation authorizing the development of a quasi-public state authority to develop the Health Insurance Exchange. In addition to the \$1 million grant, Connecticut was awarded a \$6.7 million grant for the next stage of Health Insurance Exchange development.

LABOR RELATIONS

Represented the Governor in discussions which concluded in a concessions agreement with SEBAC bargaining units – including judicial, criminal justice and constituent units of higher education – resulting in long-term savings in pension and health care costs. Also worked with DAS to ensure that state employees impacted by the consolidation of state facilities and agencies were placed in other available positions.

MUNICIPAL PROGRAMS

Administered a new manufacturing transition grant in fiscal year 2012. This grant replaces the PILOT Manufacturing Machinery and Equipment Grant (MM&E). Under the transition grant, each community will receive a grant equal to the amount received from the State for MM&E for the fiscal year ending June 30, 2011.

RECOMMENDED ADJUSTMENTS

Reductions	FY 2013
• Fund the Regional Planning Agencies Operating Grants through the Regional Performance Incentive Account	-500,000
Reallocations or Transfers	
• Restructure State Government <i>Funding for the Rentschler Field Office and Capital City Economic Development Authority will be reallocated to the Department of Economic and Community Development.</i>	-5,920,145
• Restructure State Government <i>Funding for the Renters Rebate Program will be reallocated to the Department of Economic and Community Development as part of the consolidation of housing programs.</i>	-26,217,849
Technical Adjustments	
• Annualize Wage Freeze Savings - General Fund	-153,654
• Annualize Wage Freeze Savings - Insurance Fund	-5,737
• Annualize FY 2012 Holdbacks	-1,394,561
• Reduce Tax Relief for Elderly Renters Funding to Reflect Anticipated Expenditure Requirements	-3,008,400
Expansion Adjustments	
• Provide Funding for a 1% Cost of Living Adjustment for Private Providers Effective January 1, 2013	8,500,000
• Adjust Funding for Criminal Justice Information System <i>Provides funding for operating expenses and consultants and reallocates funding from the Connecticut Impaired Driving Records Information System account into the Criminal Justice Information System account.</i>	1,208,177
• Provide Funding to Support the Governor's Business Tax Policy Review Task Force	250,000
• Provide Funding for the Connecticut Sentencing Commission	85,000

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	142	146	146	0	146
Insurance Fund	2	2	2	0	2
Consumer Counsel/Public Utility Fund	7	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	11,900,848	13,499,420	12,853,684	-1,566,209	11,287,475
Other Expenses	1,672,041	2,589,252	2,589,252	0	2,589,252
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Litigation Settlement Costs	951,931	0	0	0	0
Automated Budget Sys & Database Lnk	8,695	55,075	55,075	0	55,075
Leadership, Educ, Athletics-Partnership	638,722	0	0	0	0
Cash Management Improvement Act	0	95	95	0	95
Justice Assistance Grants	1,105,219	1,133,469	1,131,353	0	1,131,353
Neighborhood Youth Centers	1,151,259	0	0	0	0
Water Planning Council	97,386	0	0	0	0
Crim Jus/CT Imp. Driving Rclds Info Sys	372,835	902,857	925,428	-925,428	0
Revenue Maximization	0	250,000	0	0	0
Criminal Justice Information System	0	0	0	2,133,605	2,133,605
Connecticut Sentencing Commission	0	0	0	85,000	85,000
Tax Credit Study	0	0	0	250,000	250,000
TOTAL - Other Current Expenses	4,326,047	2,341,496	2,111,951	1,543,177	3,655,128
<u>Pmts to Other Than Govts</u>					
Tax Relief for Elderly Renters	23,555,031	26,160,000	29,168,400	-29,168,400	0
Private Providers	0	0	0	8,500,000	8,500,000
Regional Planning Agencies	90,000	500,000	500,000	-500,000	0
TOTAL - Pmts to Other Than Govts	23,645,031	26,660,000	29,668,400	-21,168,400	8,500,000

Pmts to Local Governments

Loss of Taxes on State Property	73,519,215	73,519,215	73,519,215	0	73,519,215
Loss Taxes Private Tax-Exempt Property	115,431,737	115,431,737	115,431,737	0	115,431,737
Reimb Property Tax-Disability Exempt	376,271	400,000	400,000	0	400,000
Distressed Municipalities	7,800,000	5,800,000	5,800,000	0	5,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,899	20,505,900	20,505,900	0	20,505,900
Prop Tax Relief Elderly Freeze Program	385,843	390,000	390,000	0	390,000
Property Tax Relief for Veterans	2,827,357	2,970,098	2,970,098	0	2,970,098
P.I.L.O.T. New Mfg Machine & Equip	47,895,199	0	0	0	0
Capital City Economic Development	6,190,000	6,300,000	6,300,000	-6,300,000	0
TOTAL - Pmts to Local Governments	<u>274,931,521</u>	<u>225,316,950</u>	<u>225,316,950</u>	<u>-6,300,000</u>	<u>219,016,950</u>
TOTAL - General Fund	316,475,488	270,407,119	272,540,238	-27,491,432	245,048,806

Personal Services	225,148	219,888	212,322	-3,395	208,927
Other Expenses	3,289	500	500	0	500

Capital Outlay

Equipment	0	2,250	0	0	0
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Other Current Expenses

Fringe Benefits	117,204	147,018	146,503	-2,342	144,161
TOTAL - Insurance Fund	<u>345,641</u>	<u>369,656</u>	<u>359,325</u>	<u>-5,737</u>	<u>353,588</u>

Personal Services	702,719	0	0	0	0
Other Expenses	10,840	0	0	0	0

Other Current Expenses

Fringe Benefits	435,979	0	0	0	0
TOTAL - Consumer Counsel/Public Utility Fund	<u>1,149,538</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

Pmts to Local Governments

Grants to Towns	61,779,907	61,779,907	61,779,907	0	61,779,907
TOTAL - Mashantucket Pequot and Mohegan Fund	<u>61,779,907</u>	<u>61,779,907</u>	<u>61,779,907</u>	<u>0</u>	<u>61,779,907</u>
TOTAL - ALL FUNDS	379,750,574	332,556,682	334,679,470	-27,497,169	307,182,301



DEPARTMENT OF VETERANS' AFFAIRS

<http://www.ct.gov/ctva/>

AGENCY PURPOSE

- To provide comprehensive assistance and formal representation to veterans, their spouses and/or eligible dependents in obtaining rights, benefits and privileges to which they may be entitled under federal, state and local laws.
- To provide comprehensive healthcare for veterans across the continuum of needs. Inpatient healthcare covers a complete array of services from long-term nursing and dementia care to rehabilitation, end of life and palliative care. A plan of care is developed and continually updated for each resident by his/her interdisciplinary

team and quality healthcare services are provided to assist the veteran in reaching his/her maximum potential. Comprehensive healthcare services are provided to the residents in the residential facility through a co-managed medical collaborative model working with the federal Veterans Administration.

- To provide a residential level of care, substance abuse recovery support and associated services which facilitate rehabilitation and the return to independent living to the greatest extent possible.

RECENT HIGHLIGHTS

CAPITAL IMPROVEMENTS

Nearly \$9.2 million in federal and state funds have been dedicated to renovating the State Veterans Home's World War II-era residential buildings, which provide housing to more than 375 homeless and needy veterans. The renovation project includes new roofs and drainage systems, HVAC systems modernization, fire sprinkler upgrades and bathroom renovations. Construction began in the summer of 2010 and is expected to be completed in April 2012.

COMMUNITY OUTREACH

The agency's Office of Advocacy and Assistance (OAA) served more than 2,000 walk-in clients and answered thousands of telephone inquiries; acquired 1,500 new clients, and filed 1,411 new claims for federal VA benefits on behalf of veterans in FY 2011. OAA's work resulted in more than \$4.5 million in new income for Connecticut veterans and their families during this period. Accredited veterans service officers conducted a variety of outreach activities across the state including seminars, benefit fairs, briefings for returning reserve component units and nursing home visitations.

CONNECTICUT VETERANS WARTIME SERVICE MEDALS AND VETERANS REGISTRY

The agency administers the Connecticut Veterans Wartime Service Medal Program. To date, more than 27,000 medals and certificates have been awarded and over 63,000 veterans have been registered in the Connecticut Veterans Registry. Six community award ceremonies were held in FY 2011, at which hundreds of medals were personally presented. The agency also awarded posthumous wartime service medals to the families of Connecticut veterans who died on or after January 1, 2000. To date, 277 posthumous medals have been awarded.

CONNECTICUT VETERANS INFO LINE

The Connecticut Veterans INFO Line (1-866-9CT-VETS) receives an average of 25 calls per day. This service provides veterans easy access to benefit information, assistance with requesting military records, and referral to other state agencies as well as the five

Congressional district offices where veterans can receive personal assistance from a veterans service officer.

SPECIAL EVENTS

- **Stand Down** - On September 9, 2011, DVA held the Annual "Connecticut Cares" Stand Down, offering outreach and services to 1,000 homeless and needy veterans from across the state. Veterans in need received services, meals, clothing and other support from more than 50 benefit providers, including various state agencies, the Judicial Department, healthcare providers, community service organizations and more than 200 volunteers.
- **Senior/Job Fairs** - Between September and December 2011, 21 Senior Fairs were conducted across all five Congressional districts. Average attendance for these events typically numbered several hundred. DVA also supported six Veterans' Job Fairs in 2011.
- **Heroes For Hire** - The Department continues to work with the Department of Labor in attempting to match unemployed veterans with jobs and training opportunities. The departments co-sponsored two "Heroes for Hire" job fairs at Rentschler Field in April and November 2011. More than 1,200 veterans attended both events and met with nearly 100 businesses.
- **Connecticut Veterans Hall of Fame** - Ten new honorees were inducted into the Class of 2011 Connecticut Veterans Hall of Fame on December 2, 2011. The Hall of Fame, which is administered by the department, now includes 72 distinguished Connecticut veterans who have gone on to serve their communities with equal honor.
- **Wreaths Across America** - 400 wreaths were placed at the State Veterans' Cemetery in Middletown, 70 wreaths were placed at the Colonel Raymond F. Gates Memorial Cemetery in Rocky Hill, and several hundred wreaths were placed at the Spring Grove Cemetery in Darien.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Consolidate Funding for Collection Services under DAS
Funds are transferred to DAS to support the processing of Medicare and Medicaid claims.

FY 2013

-166,800

Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

-826,141

-1,702,350

Revenue Adjustments

- Collect Additional Revenues from Federal Plot Allowance
Additional revenue of \$160,000 is anticipated due to increased reimbursement by the federal Department of Veterans Affairs for plot allowances.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	278	279	279	-26	253
<u>Financial Summary</u>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	22,287,570	25,109,887	24,410,802	-2,418,491	21,992,311
Other Expenses	5,690,811	6,152,405	6,067,405	-276,800	5,790,605
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Support Services for Veterans	189,996	190,000	190,000	0	190,000
<u>Pmts to Other Than Govts</u>					
Burial Expenses	7,200	7,200	7,200	0	7,200
Headstones	312,490	350,000	350,000	0	350,000
TOTAL - Pmts to Other Than Govts	319,690	357,200	357,200	0	357,200
TOTAL - General Fund	28,488,067	31,809,493	31,025,408	-2,695,291	28,330,117

OFFICE OF WORKFORCE COMPETITIVENESS

AGENCY PURPOSE

Consistent with Public Act 11-48, the Office of Workforce Competitiveness was consolidated with the Department of Economic and Community Development and the Department of Labor.

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3	0	0	0	0
Financial Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	283,719	0	0	0	0
Other Expenses	72,195	0	0	0	0
<u>Other Current Expenses</u>					
CETC Workforce	737,817	0	0	0	0
Jobs Funnel Projects	500,000	0	0	0	0
Nanotechnology Study	65,431	0	0	0	0
Spanish American Merchant Association	450,000	0	0	0	0
SBIR Matching Grants	112,500	0	0	0	0
TOTAL - Other Current Expenses	1,865,748	0	0	0	0
TOTAL - General Fund	2,221,662	0	0	0	0

BOARD OF ACCOUNTANCY

AGENCY PURPOSE

Consistent with Public Act 11-51, the Board of Accountancy was consolidated with the Secretary of the State.

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	5	0	0	0	0
Financial Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	303,539	0	0	0	0
Other Expenses	26,355	0	0	0	0
TOTAL - General Fund	329,894	0	0	0	0



DEPARTMENT OF ADMINISTRATIVE SERVICES

<http://www.das.state.ct.us/>

AGENCY PURPOSE

The Department of Administrative Services (DAS) is a service entity that provides programs and products, specialized support and business solutions to state agencies, municipalities, vendors, colleges and universities, non-profit organizations and the public at large.

DAS offers state-wide administrative support by: providing human resource policy direction and assistance; procuring goods and services; assisting small agencies with personnel, payroll, affirmative action and business office functions; collecting money owed to the state; managing the state's fleet of vehicles; providing state mail and courier services; distributing surplus property and ordering and distributing federally donated foods; and administering the State's Workers' Compensation Program.

On July 1, 2011, the functions of the Department of Information Technology, and the property and facilities management functions of the Department of Public Works were consolidated within DAS. The

additional support that DAS now offers through these new functions include: building, maintaining and operating the statewide information technology infrastructure across state agencies with a high degree of customer focus and service; providing information technology services and the most cost-effective solutions for state residents, businesses, visitors and government entities; purchasing, leasing and acquiring property and space for state agencies; supervising the operation and control of most state properties, including buildings and grounds in Hartford.

As a part of the efforts to streamline state government, the Governor is recommending that the Department of Construction Services be merged with the Department of Administrative Services. This includes planning, design and construction management services for most capital improvements undertaken by the State.

RECENT HIGHLIGHTS

COLLECTION SERVICES

Generated more than \$1.2 billion in paid claims for the third consecutive year.

ENTERPRISE SYSTEMS AND TECHNOLOGY

Continued construction of the expanded fiber-optic broadband network for education and public safety, an initiative that will provide the state with low-cost, highly-reliable telecommunications for the next twenty years.

Replacing the telecom billing system with a more efficient, cloud-based solution that will provide immediate savings, reaching \$200,000 per year.

Continue to support the development of an enterprise e-licensing capability through the development and procurement of a new system for the Department of Public Health enabling physicians, dentists and nurses to renew professional licenses online.

FACILITIES AND PROPERTY MANAGEMENT

Acquired the Capitol Area District Heating and Cooling System, which currently serves ten state owned and four non-state facilities with hot and chilled water for space heating and cooling, resulting in a savings of \$1 million in energy costs.

The Leasing and Property Transfer Unit, in conjunction with the Office of Policy and Management, State Properties Review Board and the Attorney General's office, worked with a third party consultant and conducted a LEAN process improvement intervention of the leasing process, reducing the number of steps from 92 to 60 with a 50 percent estimated reduction in time to complete a lease.

FISCAL SERVICES

Expanded fiscal services to new customer agencies including the Department of Construction Services, the Office of the Chief Medical Examiner and the State Library.

PROCUREMENT

Captured approximately \$2.89 million in savings and cost avoidance on many State contracts through re-negotiations, re-bidding and one-time purchase savings.

STATE PROPERTY REVIEW BOARD

Reviewed leases and rejected costly proposals resulting in approximately \$785,000 of cost avoidance.

RECOMMENDED ADJUSTMENTS

Reductions

- Reduce Various Accounts by Streamlining Operations

FY 2013

-201,257

Reallocations or Transfers

- Transfer Funding to the Office of the Attorney General for Legal Services
- Transfer Funding from the Department of Veterans' Affairs for Collections Services
- Restructure State Government

-518,000

137,000

10,047,192

The Department of Construction Services will be consolidated within the Department of Administrative Services.

Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

-1,300,673

-7,148,877

- Transfer Information Technology Position to the Department of Energy and Environmental Protection -90,371
- Transfer Information Technology Positions from the Department of Social Services 173,066
- Provide Funding for Criminal Justice Information System Staffing 225,836
- Adjust Property Management Funding in Recognition of 25 Sigourney Street Garage Closure 350,000
- Transfer Building Maintenance Costs from the Department of Consumer Protection 398,973
Transfer costs for the former Division of Special Revenue building which will be maintained by the Department of Administrative Services.
- Transfer Positions to the General Fund from the Information Technology Revolving Fund 682,888
Transfer funding to properly align job functions with the funding source.
- Restore Funding for E-Mail Services 1,300,000
Funding is provided for the current e-mail services model.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	263	547	547	91	638
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	19,334,735	43,295,101	41,807,080	6,533,366	48,340,446
Other Expenses	629,426	34,876,197	34,871,197	-1,081,211	33,789,986
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Tuition Reimburs Training, Travel	580,289	382,000	0	0	0
Labor - Management Fund	0	75,000	0	0	0
Management Services	0	5,062,697	5,030,792	-262,806	4,767,986
Loss Control Risk Management	117,148	143,051	143,050	-15,000	128,050
Employees' Review Board	17,742	25,135	25,135	-1,257	23,878
Surety Bonds for State Officials/Employees	53,562	12,000	82,000	0	82,000
Quality of Work-Life	17,100	350,000	0	0	0
Refunds of Collections	3,224	28,500	28,500	0	28,500
Rents and Moving	0	12,367,289	12,724,000	-750,000	11,974,000
Capitol Day Care Center	0	127,250	127,250	0	127,250
W. C. Administrator	5,250,000	5,250,000	5,250,000	0	5,250,000
Hospital Billing System	85,598	114,950	114,951	0	114,951
Workers' Compensation Claims	26,373,766	0	0	0	0
Connecticut Education Network	0	3,291,493	3,291,493	-325,000	2,966,493
Claims Commissioner Operations	264,808	281,424	273,651	-15,000	258,651
Insurance & Risk Operations	11,766,455	13,000,000	13,000,000	-650,000	12,350,000
IT Services	0	13,558,587	13,416,019	390,767	13,806,786
TOTAL - Other Current Expenses	44,529,692	54,069,376	53,506,841	-1,628,296	51,878,545
TOTAL - General Fund	64,493,853	132,240,675	130,185,119	3,823,859	134,008,978
<u>Other Current Expenses</u>					
Workers' Compensation Claims	5,386,992	0	0	0	0
Insurance & Risk Operations	1,077,741	7,157,557	7,335,373	0	7,335,373
TOTAL - Other Current Expenses	6,464,733	7,157,557	7,335,373	0	7,335,373
TOTAL - Special Transportation Fund	6,464,733	7,157,557	7,335,373	0	7,335,373
TOTAL - ALL FUNDS	70,958,586	139,398,232	137,520,492	3,823,859	141,344,351

DEPARTMENT OF INFORMATION TECHNOLOGY

AGENCY PURPOSE

Consistent with Public Act 11-51, the Department of Information Technology was consolidated with the Department of Administrative Services.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	296	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	6,283,604	0	0	0	0
Other Expenses	5,534,948	0	0	0	0
<u>Other Current Expenses</u>					
Connecticut Education Network	3,506,411	0	0	0	0
Internet and E-Mail Services	4,511,808	0	0	0	0
Statewide Information Technology Services	19,976,011	0	0	0	0
TOTAL - Other Current Expenses	27,994,230	0	0	0	0
TOTAL - General Fund	39,812,782	0	0	0	0

DEPARTMENT OF CONSTRUCTION SERVICES

AGENCY PURPOSE

- To provide planning, design and construction management services for most capital improvements undertaken by the State.
- To provide energy management technical support and guidance regarding the State's energy policy.
- To collaborate with Office of Policy and Management's Assets Management Unit in the reuse of property previously declared surplus to the needs of an agency.
- To approve sites and plans for municipal school construction being funded through the State's school construction grant program.
- To manage school construction program grants including the review and authorization of grant payments to the municipalities.
- To promulgate and enforce codes, standards and regulations through the Office of the State Fire Marshal in order to reduce the harm associated with fires, explosions and mechanical failures.
- To establish and enforce building, electrical, mechanical, plumbing and energy code requirements necessary to promote the health and safety of the people of Connecticut.
- To train, test, and credential Building and Fire Code Enforcement Officials and Fire Investigators through the Office of Education and Data Management.

The Department of Construction Services is recommended for consolidation with the Department of Administrative Services in the Governor's budget as part of his proposal to restructure state government.

RECENT HIGHLIGHTS

The agency is providing technical assistance to the Department of Energy and Environmental Protection and its Lead by Example energy conservation program for State buildings. The purpose of

the program is to achieve the Governor's objective of a ten percent reduction in energy usage by 2013.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers	FY 2013
• Restructure State Government <i>The Department of Construction Services will be consolidated with the Department of Administrative Services.</i>	-10,047,192
• Transfer School Construction Audit Positions from the Department of Education	138,836
Technical Adjustments	
• Annualize Wage Freeze Savings	-355,807
• Provide Funding for the School Building Projects Advisory Council	40,000
• Provide Funding for a Support Position to Process School Construction Grant Payments	79,789
• Transfer Support Costs for Fire and Building Services from the Department of Emergency Services and Public Protection	422,522
• Transfer Funding from Other Expenses to Personal Services	0

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	88	88	-88	0
Financial Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	0	7,073,978	6,842,802	-6,842,802	0
Other Expenses	0	2,655,818	2,647,132	-2,647,132	0
TOTAL - General Fund	0	9,729,796	9,489,934	-9,489,934	0

DEPARTMENT OF PUBLIC WORKS

AGENCY PURPOSE

Consistent with Public Act 11-51, the Department of Public Works was consolidated with the Department of Administrative Services and the Department of Construction Services.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	129	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	6,227,368	0	0	0	0
Other Expenses	25,367,520	0	0	0	0
<u>Other Current Expenses</u>					
Management Services	5,127,683	0	0	0	0
Rents and Moving	9,691,423	0	0	0	0
Capitol Day Care Center	121,305	0	0	0	0
Facilities Design Expenses	4,771,778	0	0	0	0
TOTAL - Other Current Expenses	19,712,189	0	0	0	0
TOTAL - General Fund	51,307,077	0	0	0	0



ATTORNEY GENERAL

<http://www.ct.gov/ag>

AGENCY PURPOSE

The Attorney General is the chief legal officer of the State of Connecticut. The Office of the Attorney General serves as legal counsel to all state agencies and acts to protect the public interest for the people of the State of Connecticut.

The mission of the office is to represent and advocate the interests of the state and its citizens, to ensure that state government acts

within the letter and spirit of the law, to protect public resources for present and future generations, to preserve and enhance the quality of life for all of the state's citizens and to safeguard the rights of its most vulnerable citizens.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Transfer Positions and Funding from General Fund Agencies to the Office of the Attorney General
Transfer funding from agencies currently reimbursing the Office of the Attorney General for assigned positions. These agencies include the Departments of Social Services, Children and Families, Agriculture, Energy and Environmental Protection, Mental Health and Addiction Services, and Administrative Services.

FY 2013

1,407,839

Technical Adjustments

- Annualize Wage Freeze Savings

-287,547

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	288	288	288	10	298
Financial Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	26,706,479	29,740,544	28,623,386	1,120,292	29,743,678
Other Expenses	1,073,064	1,017,272	1,015,272	0	1,015,272
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
TOTAL - General Fund	27,779,543	30,757,817	29,638,659	1,120,292	30,758,951



DIVISION OF CRIMINAL JUSTICE

AGENCY PURPOSE

The Division of Criminal Justice is constitutionally charged with the investigation and prosecution of all criminal matters in the Superior Court. Pursuant to Article 23 of the Connecticut Constitution, the Division's mission is to contribute to the due process of criminal law

and to achieve justice. Article 23, enacted by the voters in 1984, established the Division as an Executive Branch agency and transferred it from the Judicial Branch.

RECENT HIGHLIGHTS

HARTFORD SHOOTING TASK FORCE

In response to a spike in the number of shooting incidents in Hartford, the Division formed an investigative team known as the Hartford Shooting Task Force. Working in conjunction with the Hartford, East Hartford, Manchester and West Hartford Police Departments, as well as the Department of Emergency Services and Public Protection, the Department of Correction and the federal Drug Enforcement Administration, the Task Force focuses primarily on non-fatal shootings. Such an approach has had a synergistic effect, as many non-fatal shootings are interlinked due to gang retaliations and often provide investigators with information regarding homicides.

COLD CASE UNIT

Since its inception, the unit has made 38 arrests in homicide cases, resulting in 29 convictions, with 2 arrests and one conviction in 2011. Case referral is at an all time high. The success of the unit engendered the creation of a Cold Case Unit for New London County, in cooperation with the Groton, Norwich, Stonington, and Waterford Police Departments, and the New London State's Attorney.

Cold case efforts will be further bolstered as the original location of the Unit has been moved from Newington to the new Cold Case Unit Headquarters located at the Office of the Chief State's Attorney. The headquarters, created with federal support, provides modern, efficient facilities and equipment for the unit. The same federal

grant provided space and furnishings for a new Inspectors' Support Center which will optimize training and research capabilities for Division Inspectors.

INFORMATION TECHNOLOGY

Utilizing five separate federal grants, the Division is upgrading its information technology infrastructure as well as designing and implementing an internal Case Management System that will minimize case processing time, eliminate redundant data, and streamline reporting.

RECORDED CONFESSIONS

The Division conducted a pilot program for recording custodial interviews in major felony investigations. Participating sites were the Bridgeport, Meriden, Southington and Waterford Police Departments, and the Department of Emergency Services and Public Protection's Eastern and Western District Major Crime Squads. Over 1,000 custodial interviews have been recorded, resulting in more than 100 confessions. Pursuant to Public Act No. 11-174, *An Act Concerning the Electronic Recording of Custodial Interrogations*, the Chief State's Attorney has formed the Electronic Recording of Custodial Interrogations Working Group, which will assist in the development and promulgation of standards for the equipment to be used in the electronic recording of relevant custodial interrogations.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

FY 2013
-2,107,233
-650,000

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	498	494	494	-20	474
Workers' Compensation Fund	4	4	4	0	4

Budget Summary

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	45,053,777	48,741,668	47,245,107	-2,757,233	44,487,874
Other Expenses	2,391,389	2,100,000	2,100,000	0	2,100,000
<u>Capital Outlay</u>					
Equipment	1	1	1	0	1
<u>Other Current Expenses</u>					
Witness Protection	209,661	220,000	220,000	0	220,000
Training and Education	73,534	70,000	70,000	0	70,000
Expert Witnesses	248,116	380,000	380,000	0	380,000
Medicaid Fraud Control	678,465	887,159	841,457	0	841,457
Criminal Justice Commission	617	400	415	0	415
TOTAL - Other Current Expenses	1,210,393	1,557,559	1,511,872	0	1,511,872
TOTAL - General Fund	48,655,560	52,399,228	50,856,980	-2,757,233	48,099,747
Personal Services	349,182	416,894	407,580	0	407,580
Other Expenses	13,828	21,653	30,653	0	30,653
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Fringe Benefits	212,051	279,320	281,230	0	281,230
TOTAL - Workers' Compensation Fund	575,061	717,868	719,464	0	719,464
TOTAL - ALL FUNDS	49,230,621	53,117,096	51,576,444	-2,757,233	48,819,211



DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION

AGENCY PURPOSE

The Department of Emergency Services and Public Protection (DESPP) is committed to protecting and improving the quality of life for all by providing enforcement, regulatory, and scientific services through prevention, education, and innovative use of technology.

The Division of Emergency Management and Homeland Security (DEMHS) is charged with developing, administering and coordinating a comprehensive statewide emergency management and homeland security program that encompasses all human-made and natural hazards, and includes prevention, mitigation, preparedness, response and recovery components to ensure the safety and well-being of the citizens of Connecticut.

The Police Officer Standards and Training (POST) Division is responsible for the certification of all police officers, law enforcement instructors and police training programs throughout the State of Connecticut.

The Fire Prevention and Control (FPC) Division is committed to reducing death, injury and property damage due to fire, emergencies and other disasters by increasing the proficiency of fire service personnel through training, education and recognition of professional competency through certification.

The Division of Scientific Services is comprised of three laboratories, the Forensic Laboratory, the Toxicology and Controlled Substance Laboratory, and the Computer Crime and Electronic Evidence Laboratory. The three laboratories are centrally located at the Mulcahey complex in Meriden, fusing the agency's scientific investigative arm into one function. The Division of Scientific Services

is unique in that it provides forensic services to both criminal prosecutors and to the public defender's office.

The Division of State Police is responsible for primary law enforcement for all municipalities that do not have their own police departments and provides additional special resources to all municipalities on request including bomb squad, aviation, marine unit, dive team, tactical unit, canine search and rescue, arson cause and origin investigation and major crime investigation. Enforcement efforts are carried out through three districts and 12 troops. Additionally, the division maintains a casino unit, major crime squads in each of the three districts and numerous task forces targeting specific public safety concerns. Personnel are also assigned to the Office of Counter Terrorism.

The Office of Statewide Emergency Telecommunications (OSET) provides for statewide Enhanced 9-1-1 planning and implementation, public safety telecommunicators training and certification, public safety frequency coordination, funding for regional communications centers, funding for cities with populations greater than 40,000, Connecticut State Police public safety answering points (PSAPs), grant assistance for capital expenses for PSAPs and grant assistance for coordinated medical emergency direction (CMED). OSET provides all state and local public safety agencies with street centerline and street address information (geographic information systems – GIS) for emergency response purposes. OSET provides chairmanship and plan development for the six state New England 700 MHz, 800MHz and 4.9 GHz planning committees.

RECENT HIGHLIGHTS

- In 2011, the State Emergency Operations Center was activated for numerous severe weather events. Three of these events resulted in Presidential disaster declarations: the January 12, 2011 record-breaking snowstorm; Tropical Storm Irene in late August, and the historic October Nor'Easter storm. As a result of these disasters, Emergency Management and Homeland Security, along with other divisions and units within DESPP, continues to manage a variety of federal disaster assistance programs. Estimates of the total damage costs eligible for at least 75% reimbursement through these programs exceeds \$207 million.
- The FPC division delivered 350 training programs through the Connecticut Fire Academy which served 7,899 students resulting in 178,898 contact hours.
- Following a thorough site-visit and evaluation, the FPC Division was reaccredited for an additional five years by the International Fire Service Accreditation Congress (IFSAC).
- POST has completed the seventh year of the Municipal Police Agency Accreditation Program established by statute and has exceeded its goals having conferred accreditation upon 30 agencies with 43 more agencies in the process. During the year, ten agencies were awarded reaccreditation. The POST Academy was reaccredited by the Commission on Accreditation for Law Enforcement Agencies as a Public Safety Training Academy in March, 2011, one of only 21 academies nationally accredited.
- Phase One of the Public Safety Data Network is the connection of 111 locations – 106 Public Safety Answering Points (PSAPs), DESPP facilities and the Department of Administrative Services' Bureau of Enterprise Systems and Technology in East Hartford – together in a high speed, fiber optic network. The network will provide the connectivity needed to provide improved 9-1-1 services, deliver greater reliability and speed, enable improved interoperability (including radio interoperability), and significantly reduce costs to the state for the delivery of Criminal Justice Information Systems services such as the Connecticut On-Line Law Enforcement Communications Teleprocessing (COLLECT) system and the National Crime Information Center (NCIC). Fiber connections to almost all PSAPs have already been completed, and equipment installations within PSAPs commenced. The majority of the system was installed by December 2010, and final acceptance expected by March of 2012.
- The Division of State Police provided resident trooper services to 53 municipalities.
- During the current year the Division of Scientific Services has processed 4,751 requests for examination from over one hundred stakeholder agencies throughout the state.

RECOMMENDED ADJUSTMENTS

	FY 2013
Reductions	
• Reflect Savings from Overtime Reduction Plan	-2,069,511
• Convert Boilers from Oil to Natural Gas at the Mulcahy Complex	-159,000
• Reduce Costs Associated with Long Distance Telephone Charges	-155,000
• Reduce the Number of Cellular Devices	-52,000
• Reduce Regional Fire Training School Grants by 5%	-39,153
Technical Adjustments	
• Annualize Wage Freeze Savings	-2,786,741
• Annualize FY 2012 Holdbacks	-10,696,437
• Provide Funding for 15 Forensic Lab Positions Due to Expiring Federal Grants	945,006
• Transfer Support Costs for Fire and Building Services to the Department of Construction Services	-422,522
Expansion Adjustments	
• Increase Staffing for the Division of Scientific Services Forensic Lab <i>The Governor's Crime Lab Working Group has been tasked with developing both short- and long-term strategies to bolster the state crime lab's ability to deal with an unprecedented increase in its workload. Based on the working group's recommendation, 32 staff and \$2.4 million are added to address the increased workload.</i>	2,433,932
• Provide Funding for Real Time Emergency Training <i>The Governor's Two Storm Panel recommended that the Department of Emergency Services and Public Protection sponsor a real time regional training exercise incorporating utilities, municipalities, the State of Connecticut, and other critical stakeholders.</i>	500,000

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,651	1,634	1,634	47	1,681
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	123,869,117	130,871,752	126,034,999	-8,595,820	117,439,179
Other Expenses	27,745,144	29,062,969	28,856,075	-1,808,298	27,047,777
<u>Capital Outlay</u>					
Equipment	1	4	4	0	4
<u>Other Current Expenses</u>					
Stress Reduction	12,363	23,354	23,354	0	23,354
Fleet Purchase	5,437,009	7,035,596	7,035,596	-2,514,122	4,521,474
Workers' Compensation Claims	4,004,508	4,336,550	4,238,787	0	4,238,787
COLLECT	0	48,925	48,925	-44,033	4,892
Real Time Regional Training	0	0	0	500,000	500,000
TOTAL - Other Current Expenses	9,453,880	11,444,425	11,346,662	-2,058,155	9,288,507
<u>Pmts to Other Than Govts</u>					
Fire Training School - Willimantic	0	161,798	161,798	-8,090	153,708
Maintenance of County Base Fire Radio	0	25,176	25,176	0	25,176
Maint of State-Wide Fire Radio Network	0	16,756	16,756	0	16,756
Police Association of Connecticut	0	190,000	190,000	0	190,000
Connecticut State Firefighter's Assoc	0	194,711	194,711	0	194,711
Fire Training School - Torrington	0	81,367	81,367	-4,068	77,299
Fire Training School - New Haven	0	48,364	48,364	-2,418	45,946
Fire Training School - Derby	0	37,139	37,139	-1,857	35,282
Fire Training School - Wolcott	0	100,162	100,162	-5,008	95,154
Fire Training School - Fairfield	0	70,395	70,395	-3,520	66,875
Fire Training School - Hartford	0	169,336	169,336	-8,467	160,869
Fire Training School - Middletown	0	59,053	59,053	-2,953	56,100
Fire Training School - Stamford	0	55,432	55,432	-2,772	52,660
TOTAL - Pmts to Other Than Govts	0	1,209,689	1,209,689	-39,153	1,170,536
TOTAL - General Fund	161,068,142	172,588,839	167,447,429	-12,501,426	154,946,003

POLICE OFFICER STANDARDS AND TRAINING COUNCIL

AGENCY PURPOSE

Consistent with Public Act 11-51, the Police Officer Standards and Training Council was consolidated into the Department of Emergency Services and Public Protection.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	22	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,668,035	0	0	0	0
Other Expenses	591,425	0	0	0	0
TOTAL - General Fund	2,259,460	0	0	0	0

BOARD OF FIREARM PERMIT EXAMINERS

AGENCY PURPOSE

Consistent with Public Act 11-48, the Board of Firearm Permit Examiners was consolidated with the Office of Governmental Accountability.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	71,306	0	0	0	0
Other Expenses	7,846	0	0	0	0
TOTAL - General Fund	79,152	0	0	0	0

DEPARTMENT OF MOTOR VEHICLES


<http://www.ct.gov/dmv>

AGENCY PURPOSE

- To ensure public safety through enforcement of the statutes regarding motor vehicles and their operation.
- To issue identity-related credentials according to stringent guidelines to assure the integrity of such individuals, motor vehicle operators and their vehicles, and as well as non-identity –related credentials for companies involved in vehicle-related businesses.
- To collect revenue for various state agencies, most of which is appropriated within the Special Transportation Fund for the construction and maintenance of highways.
- To maintain records on operators, vehicles and revenues and making such available to authorized persons and agencies.
- To impose sanctions on those who violate motor vehicle laws and regulations.

RECENT HIGHLIGHTS

FAST FACTS FY 2011

1 million	Calls to phone center	\$408 million	Revenue collected by DMV
1.5 million	Vehicle registration transactions	26,817	16 and 17 year old drivers
3 million	Registered motor vehicles	339,852	Non driver identifications
2.59 million	Licensed drivers	1,021,560	Driver licenses with organ donor designation
1.43 million	Customers received within branch offices	1 million	Vehicle emissions inspections annually

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

FY 2013
-1,682,374
-98,430

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	3	3	0	3
Special Transportation Fund	566	572	572	0	572
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	0	285,000	274,449	0	274,449
Other Expenses	0	216,404	216,404	0	216,404
TOTAL - General Fund	0	501,404	490,853	0	490,853
Personal Services	38,994,079	42,656,658	41,541,809	-1,780,804	39,761,005
Other Expenses	13,240,961	13,255,626	13,255,626	0	13,255,626
<u>Capital Outlay</u>					
Equipment	238,943	600,000	600,000	0	600,000
<u>Other Current Expenses</u>					
Real Time Online Registration	1,686,087	0	0	0	0
Commercial Veh Info Sys & Networks Project	339,640	239,818	296,289	0	296,289
TOTAL - Other Current Expenses	2,025,727	239,818	296,289	0	296,289
TOTAL - Special Transportation Fund	54,499,710	56,752,102	55,693,724	-1,780,804	53,912,920
TOTAL - ALL FUNDS	54,499,710	57,253,506	56,184,577	-1,780,804	54,403,773



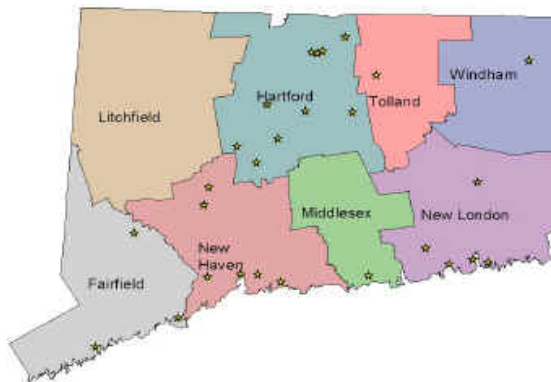
MILITARY DEPARTMENT

<http://www.mil.state.ct.us/>

AGENCY PURPOSE

- The Military Department is composed of the Connecticut Army National Guard, Air National Guard and the State Militia.
- The state mission is to provide trained and disciplined forces for domestic emergencies or as otherwise required by law.
- The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.
- Collectively, the Connecticut Army and Air National Guard and the organized militia augment federal, state, and local emergency responders in the event of large-scale emergencies and disasters, providing trained military personnel, military facilities, equipment and supplies.

Connecticut Military Facilities



RECENT HIGHLIGHTS

- The Connecticut Army National Guard (CTARNG) consists of four major commands with 45 units stationed in 18 readiness centers, two Army aviation facilities and five training facilities. The CTARNG is comprised of 2,783 traditional (part-time) soldiers, 1,102 full-time federally employed soldiers and 20 federal civilian employees, totaling 3,905 personnel. The CTARNG comprises 74 percent of the Military Department’s personnel strength and brings more than \$207 million of federal funding to the state annually.
- The CTARNG has deployed more than 1,600 soldiers to Afghanistan in support of Operation Enduring Freedom since 2009.
- The CTARNG currently has 46 personnel deployed worldwide, including Iraq and Afghanistan, and has future deployments scheduled of 722 through 2012.
- The Connecticut Air National Guard (CTANG) consists of a Headquarters element, the 103^d Airlift Wing, known as the Flying Yankees, and the 103^d Air Operations Group, both located in East Granby, and the 103^d Air Control Squadron based in Orange.
- There are currently more than 89 CTANG personnel deployed in worldwide missions.
- The Connecticut National Guard (CTNG) brought in more than \$269 million in federal funding to the state in FY 2011, more than \$148.6 million of which was in federal military and civilian salaries. In addition, the CTNG manages more than \$500 million in federal equipment and supplies and occupies and maintains 46 statewide facilities consisting of 2,257,901 square feet of occupied space in 260 buildings on 2,586 acres of land.
- The CTARNG has recently completed a total of \$95.9 million in federal military construction projects and has a total of another \$124 million programmed for completion in the near to mid-term.

RECOMMENDED ADJUSTMENTS

Reductions

- Reduce Other Expenses to Achieve Efficiencies

FY 2013

-147,544

Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

-116,440

-584,867

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	46	46	46	-4	42

Budget Summary

Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	3,049,047	3,335,585	3,242,611	-436,926	2,805,685
Other Expenses	2,070,749	3,141,993	3,228,762	-411,925	2,816,837
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Honor Guard	319,500	319,500	319,500	0	319,500
Veterans' Service Bonuses	496,800	182,500	160,000	0	160,000
TOTAL - Other Current Expenses	816,300	502,000	479,500	0	479,500
TOTAL - General Fund	5,936,096	6,979,579	6,950,874	-848,851	6,102,023

EMERGENCY MANAGEMENT AND HOMELAND SECURITY

AGENCY PURPOSE

Consistent with Public Act 11-51, the Department of Emergency Management and Homeland Security was consolidated into the Department of Emergency Services and Public Protection.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	35	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised
Personal Services	2,710,124	0	0	0	0
Other Expenses	365,815	0	0	0	0
TOTAL - General Fund	3,075,939	0	0	0	0

COMMISSION ON FIRE PREVENTION & CONTROL

AGENCY PURPOSE

Consistent with Public Act 11-51, the Commission on Fire Prevention and Control was consolidated into the Department of Emergency Services and Public Protection.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	16	0	0	0	0
Financial Summary					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,629,405	0	0	0	0
Other Expenses	556,646	0	0	0	0
<u>Other Current Expenses</u>					
Firefighter Training I	225,868	0	0	0	0
<u>Pmts to Other Than Govts</u>					
Fire Training School - Willimantic	161,798	0	0	0	0
Fire Training School - Torrington	81,367	0	0	0	0
Fire Training School - New Haven	48,364	0	0	0	0
Fire Training School - Derby	37,139	0	0	0	0
Fire Training School - Wolcott	100,162	0	0	0	0
Fire Training School - Fairfield	70,395	0	0	0	0
Fire Training School - Hartford	169,336	0	0	0	0
Fire Training School - Middletown	59,053	0	0	0	0
Payments to Volunteer Fire Companies	87,349	0	0	0	0
Fire Training School - Stamford	55,432	0	0	0	0
TOTAL - Pmts to Other Than Govts	870,395	0	0	0	0
TOTAL - General Fund	3,282,314	0	0	0	0



DEPARTMENT OF BANKING

<http://www.ct.gov/dob>

AGENCY PURPOSE

- To protect Connecticut consumers and investors.
- To administer the State's banking and related laws.
- To ensure the safety and soundness of regulated depository institutions.

RECENT HIGHLIGHTS

NEW BANKS

The Financial Institutions Division opened one state-chartered bank, Start Community Bank, in New Haven, Connecticut.

SEMINARS, CONFERENCES AND OTHER ACCOMPLISHMENTS

The Division and AARP joined forces to launch the Free Lunch Monitor program. The program was part of a national campaign to monitor whether older investors receiving invitations to free

seminars and luncheons were being pressured to buy investments that were not suitable for them and to part with personal financial information.

The National White Collar Crime Center (NW3C) Board of Directors presented the Division with NW3C's Member Agency Award for Excellence for contributions involving the prevention, investigation and prosecution of economic and high-tech crime.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize Wage Freeze Savings
- Adjust Indirect Costs to Reflect Comptroller's Estimate

FY 2013

-637,478

-533,616

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
Banking Fund	120	120	120	-2	118
 <i>Financial Summary</i>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	9,882,175	10,950,000	10,600,000	-377,206	10,222,794
Other Expenses	1,471,632	1,279,737	1,014,443	468,359	1,482,802
<u>Capital Outlay</u>					
Equipment	16,571	127,000	37,200	0	37,200
<u>Other Current Expenses</u>					
Fringe Benefits	6,209,316	7,337,000	7,314,500	-260,272	7,054,228
Indirect Overhead	1,039,567	1,195,086	1,217,182	-1,001,975	215,207
TOTAL - Other Current Expenses	7,248,883	8,532,086	8,531,682	-1,262,247	7,269,435
TOTAL - Banking Fund	18,619,261	20,888,823	20,183,325	-1,171,094	19,012,231



INSURANCE DEPARTMENT

<http://www.ct.gov/cid>

AGENCY PURPOSE

The mission of the Connecticut Insurance Department is to serve consumers in a professional and timely manner by providing assistance and information to the public and to policy makers, by regulating the insurance industry in a fair and efficient manner

which promotes a competitive and financially sound insurance market for consumers, and by enforcing the insurance laws to ensure that consumers are treated fairly and are protected from unfair practices.

RECENT HIGHLIGHTS

MARKET CONDUCT UNIT

The Market Conduct Unit recovered \$3,171,470 in fines as a result of Market Conduct examinations during FY 2011.

FRAUD & INVESTIGATIONS UNIT

The Fraud and Compliance Unit revoked 20 individual agent licenses and leveled fines totaling more than \$40,200.

CONSUMER AFFAIRS UNIT

The Consumer Affairs Unit handled over 24,600 phone calls, resolved over 6,300 complaints and provided consumer education through

the distribution of over 200 informational pamphlets and booklets. As a direct result of this unit's involvement, \$4,111,680 was recovered by Connecticut consumers during the fiscal year.

LEGAL DIVISION

The Legal Division assisted department divisions in 96 administrative enforcement proceedings or stipulated settlements that resulted in the assessment of \$3,160,725 in fines and penalties.

RECOMMENDED ADJUSTMENTS

Technical Adjustments	FY 2013
• Adjust Indirect Costs to Reflect Comptroller's Estimate	413,131
Expansion Adjustments	
• Increase Positions for Insurance Regulation <i>Funding is provided for seven additional regulatory positions in order enhance and expedite insurance company reviews.</i>	643,940
• Establish Positions for Captive Insurance Regulation <i>Funding is provided for three full time and three part time positions in order to establish a captive insurance division.</i>	638,737
• Increase Support Staff Positions <i>Funding is provided for three additional support positions to enhance agency operations.</i>	253,733

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	141	141	141	18	159
Financial Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	11,842,086	13,445,665	12,996,951	739,267	13,736,218
Other Expenses	2,374,232	2,022,453	2,022,453	0	2,022,453
<u>Capital Outlay</u>					
Equipment	50,952	40,060	40,060	0	40,060
<u>Other Current Expenses</u>					
Fringe Benefits	7,415,508	8,715,295	8,699,254	797,143	9,496,397
Indirect Overhead	701,492	58,043	59,842	413,131	472,973
TOTAL - Other Current Expenses	8,117,000	8,773,338	8,759,096	1,210,274	9,969,370
TOTAL - Insurance Fund	22,384,270	24,281,516	23,818,560	1,949,541	25,768,101



OFFICE OF CONSUMER COUNSEL

<http://www.ct.gov/occ>

AGENCY PURPOSE

The Office of Consumer Counsel (OCC) is the State of Connecticut's statutory advocate for all utility ratepayers (C.G.S. §16-2a). The OCC seeks to ensure just and reasonable rates and reliable utility service for customers of Connecticut's electric, gas, telephone, and water utilities and reasonable protections for cable television customers. The OCC's advocacy includes the promotion of beneficial policies for utility customers, such as the conservation of energy resources and expansion of broadband access.

The OCC participates actively in proceedings before the Public Utilities Regulatory Authority (PURA), the Federal Energy Regulatory Commission (FERC), the Federal Communications Commission (FCC), and state and federal courts. The OCC also works to promote beneficial laws and policies for utility customers at the Connecticut General Assembly. The OCC is a statutory party to all matters before PURA and is authorized to appeal state regulatory decisions to court.

RECENT HIGHLIGHTS

In 2011, the OCC's advocacy led to many beneficial results for utility customers. The OCC presented the evidence and advanced arguments to PURA that gave PURA the basis to limit utility rate increase requests of both large and small utility companies, including

reducing by approximately \$71 million over two years the rate increase sought utility companies.

The OCC Scorecard, which tallies docket work resulting in ratepayer savings, can be accessed at: <http://www.ct.gov/occ>.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize Wage Freeze Savings
- Adjust Indirect Cost to Reflect Comptroller's Estimate

Expansion Adjustments

- Provide Funding For Additional Positions

These positions will enhance representation of consumers in utility rate matters.

FY 2013

-88,536

-308,277

173,267

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
Consumer Counsel/Public Utility Fund	14	14	14	3	17
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,150,808	1,357,585	1,309,791	53,036	1,362,827
Other Expenses	344,937	396,029	396,029	0	396,029
<u>Capital Outlay</u>					
Equipment	1,534	5,850	5,600	0	5,600
<u>Other Current Expenses</u>					
Fringe Benefits	743,327	909,582	901,742	31,695	933,437
Indirect Overhead	423,571	364,667	375,972	-308,277	67,695
TOTAL - Other Current Expenses	1,166,898	1,274,249	1,277,714	-276,582	1,001,132
TOTAL - Consumer Counsel/Public Utility Fund	2,664,177	3,033,713	2,989,134	-223,546	2,765,588

DEPARTMENT OF PUBLIC UTILITY CONTROL

AGENCY PURPOSE

Consistent with Public Act 11-80, the Department of Public Utility Control was consolidated with the Department of Energy and Environmental Protection.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
Consumer Counsel/Public Utility Fund	115	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised
Personal Services	10,152,258	0	0	0	0
Other Expenses	1,715,318	0	0	0	0
<u>Capital Outlay</u>					
Equipment	22,622	0	0	0	0
<u>Other Current Expenses</u>					
Fringe Benefits	6,387,043	0	0	0	0
Indirect Overhead	58,723	0	0	0	0
TOTAL - Other Current Expenses	6,445,766	0	0	0	0
TOTAL - Consumer Counsel/Public Utility Fund	18,335,964	0	0	0	0



OFFICE OF THE HEALTHCARE ADVOCATE

<http://www.ct.gov/oha>

AGENCY PURPOSE

The Office of the Healthcare Advocate (OHA) assists health insurance consumers in making informed choices when selecting a health plan, understanding their rights and responsibilities under their plan, appealing denials of service and reimbursement, and accessing services through information, referral and assistance.

OHA conducts systemic outreach and education to consumers and provides information to the public, providers, agencies, and others regarding problems and concerns of health care consumers.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Transfer Funding from the Department of Children and Families for Coverage Review
Transfer funding to support a position to advocate for clients receiving voluntary services in order to collect insurance reimbursements.

FY 2013

101,400

Technical Adjustments

- Adjust Indirect Overhead to Reflect Comptroller's Estimate
- Pickup Expiring Federal Funds for Three Positions

-101,746

295,270

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	10	9	9	4	13
<u>Financial Summary</u>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	619,209	746,398	725,540	234,716	960,256
Other Expenses	136,371	136,373	136,374	0	136,374
<u>Capital Outlay</u>					
Equipment	1,146	1,400	700	0	700
<u>Other Current Expenses</u>					
Fringe Benefits	393,011	493,954	495,294	161,954	657,248
Indirect Overhead	-527	117,320	120,957	-101,746	19,211
TOTAL - Other Current Expenses	392,484	611,274	616,251	60,208	676,459
TOTAL - Insurance Fund	1,149,210	1,495,445	1,478,865	294,924	1,773,789



DEPARTMENT OF CONSUMER PROTECTION

<http://www.ct.gov/dcp>

AGENCY PURPOSE

- To protect citizens from physical injury and financial loss that may occur as a result of unsafe or fraudulent products or services marketed in Connecticut.
- To eliminate the hazards of adulterated, contaminated, or unsanitary food products by regulating the manufacture and sale of food products in the State of Connecticut.
- To prevent the sale of alcoholic liquor to minors and intoxicated persons and to ensure that licensed premises are safe and sanitary by regulating the distribution, sale, and dispensation of alcoholic liquor.
- To prevent the diversion of all controlled drugs by regulating the manufacture, distribution and sale of drugs.
- To regulate the manufacture, distribution and sale of cosmetics and medical devices.
- To ensure the highest degree of integrity in the operation of all legalized gambling activity within the State by ensuring compliance with Connecticut gaming laws, and monitoring compliance with the Tribal-State Agreements governing gambling at Tribal casinos.
- To administer professional licensing procedures that ensure that only qualified, competent individuals are licensed in the occupational trades and in several professional licensing categories.

RECENT HIGHLIGHTS

- In FY 2011, the agency conducted inspections of food-processing plants, warehouses, retail food stores, bakeries, nonalcoholic beverage plants, frozen dessert plants, vending machine locations, apple juice and cider plants, and responded to 27 traffic and highway accidents involving food and beverage products in order to ensure that contaminated and/or adulterated foods were not distributed to the public.
- With the assistance of a federal grant, the agency operated and upgraded the Prescription Monitoring Program, which allows prescribers and pharmacists access to a patient's prescription history to help identify patterns of misuse, diversion and/or abuse.
- To achieve substantial savings in the cost of state government and enhance the efficient delivery of services, the former Division of Special Revenue was consolidated within the Department of Consumer Protection effective July 1, 2011. All responsibilities and duties of the former agency were transferred by statute to the Department of Consumer Protection.
- In FY 2011, the agency implemented a delinquent lottery agent amnesty program to recover back fees due from certain lottery agents and to pursue license revocations when necessary.

RECOMMENDED ADJUSTMENTS

	<u>FY 2013</u>
Reductions	
• Reduce Other Expenses for Savings Associated with Co-locating Agency Staff <i>Savings is a result of vacating the Cedarcrest campus.</i>	-204,101
Technical Adjustments	
• Annualize Wage Freeze Savings	-824,445
• Annualize FY 2012 Holdbacks	-405,291
Expansion Adjustments	
• Provide Funding for Staff Previously Supported with Non-Appropriated Funds	2,954,392

AGENCY SUMMARY

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i>Personnel Summary</i>					
<u>Permanent Full-Time Positions</u>					
General Fund	128	215	215	35	250

Budget Summary

	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i>Financial Summary</i>					
Personal Services	9,111,827	14,491,783	13,534,627	1,676,632	15,211,259
Other Expenses	937,755	1,690,096	1,690,096	-156,077	1,534,019
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Gaming Policy Board	0	2,758	2,758	0	2,758
TOTAL - General Fund	10,049,582	16,184,638	15,227,482	1,520,555	16,748,037



DEPARTMENT OF LABOR

<http://www.ct.gov/dol>

AGENCY PURPOSE

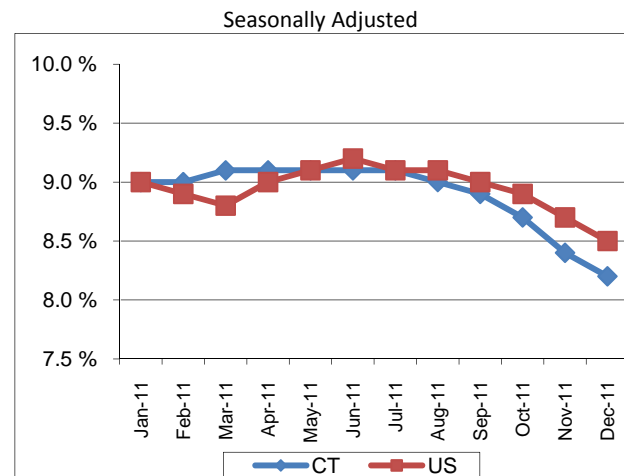
The mission of the Connecticut Department of Labor (DOL) is to protect and promote the interests of Connecticut's workers and assist workers and employers to be competitive in the global economy.

The department accomplishes its mission by providing a variety of services that benefit the workplace, including income support that assists workers between jobs and stimulates the local economy, protection on the job (through regulation of wages, safety and working conditions, and on-site health and safety consultations), work-related training programs, job search and recruitment assistance (through the local and regional job fairs and employer recruitments at *CTWorks* offices), tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the department collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government planners and policymakers about employment issues and trends.

The services provided by DOL and the resulting successes of individuals using those services are heavily impacted by Connecticut's unemployment rate. The chart at right reflects the

non-farm unemployment rates for Connecticut and the U.S. for August 2010 through August 2011.

2011 UNEMPLOYMENT RATE - CONNECTICUT AND U.S.



The Workers' Compensation Commission is recommended for consolidation with the Department of Labor in the Governor's budget as part of his proposal to restructure state government.

RECENT HIGHLIGHTS

OFFICE OF WORKFORCE COMPETITIVENESS

Effective July 1, 2011, the Office of Workforce Competitiveness (OWC) was consolidated within CT DOL. This presents many opportunities for achieving even greater success in developing effective employment and training programs for our state. Under this consolidation CT DOL is tasked with the responsibility of serving as the Governor's principal workforce development policy advisor, giving the agency the ability to enhance collaboration and coordination and build stronger partnerships.

PAPERLESS UNEMPLOYMENT INSURANCE INITIATIVE

This agency has moved towards a new paperless direct deposit system for unemployment insurance benefits. This system saves the state approximately \$300,000 per month on printing and mailing costs – a shift toward finding efficiencies that reduces state spending while improving services.

GREEN JOBS INNOVATION FUND

The U.S. DOL has awarded \$5.8 million in Green Jobs Innovation Fund grants to CT DOL. The Green Jobs Innovation Fund was authorized under the Workforce Investment Act to help workers receive job training in green industry sectors. These funds will help organizations with existing career training programs leverage registered apprenticeships, pre-apprenticeship programs and community-based partnerships to build sustainable green career pathways.

THIRD-GENERATION GRANTEE PROGRAM

The U.S. DOL has awarded approximately \$7.2 million in funds for 18

third-generation grantees to continue assistance for adult offenders who are returning to communities from incarceration. Partners include the Center for Women and Families of Eastern Fairfield County; Family Reentry; the Council of Churches of Greater Bridgeport; Connecticut Department of Labor; the Fairfield County Business Council; the Bridgeport Housing Authority; Connecticut Department of Mental Health and Addiction Services; the Bureau of Support Enforcement Services; the Bureau of Rehabilitative Services; Liberation House; Connecticut Department of Correction; The Workplace, Inc.; and various halfway houses. Without assistance to make a successful transition, the majority of former offenders return to criminal activity.

FREE ON-LINE JOB TRAINING COURSES OFFERED THROUGH DOL AND WORKFORCE BOARD PARTNERSHIP

The CT DOL and the Eastern Workforce Investment Board have collaborated on a plan that offered 1,000 "study licenses" that allowed job seekers to go on-line to a learning portal that offered over 5,000 courses of study, free of charge for 90 days. The courses, included studies in Microsoft Office, Adobe, Photoshop, business skills, information technology, and healthcare education. The system used for this effort has been identified as a "best practice" learning tool by the U.S. DOL and also offered assessments, job listings, and certification and skill track programs. Each license was designed to be used on a self-directed basis which allowed participants to study and advance at their own individual pace. The licenses can lead to certifications and in some cases are eligible for college credits.

RECOMMENDED ADJUSTMENTS

Reductions	FY 2013
• Eliminate Film Industry Training Funding	-237,500
• Reduce STRIDE Funding	-180,000
• Reduce Funding for Spanish-American Merchants Association	-150,000
• Reduce Funding for Opportunity Industrial Centers	-100,000
• Eliminate Individual Development Accounts Administration Funding	-95,000
• Reduce Other Expenses	-46,295
Reallocations or Transfers	
• Restructure State Government	17,552,973
<i>The Workers' Compensation Commission will be consolidated within the Department of Labor.</i>	
Technical Adjustments	
• Annualize Wage Freeze Savings	-600,861
• Annualize FY 2012 Holdbacks	-250,000

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	213	219	219	-4	215
Workers' Compensation Fund	0	0	0	115	115
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	7,646,616	9,095,403	8,741,719	-850,861	7,890,858
Other Expenses	976,827	1,094,210	1,094,210	-46,295	1,047,915
<u>Capital Outlay</u>					
Equipment	0	2	2	0	2
<u>Other Current Expenses</u>					
CETC Workforce	0	850,000	850,000	0	850,000
Workforce Investment Act	24,776,789	27,387,262	27,387,262	0	27,387,262
Jobs Funnel Projects	0	425,000	425,000	0	425,000
Connecticut's Youth Employment Program	3,500,000	3,500,000	3,500,000	0	3,500,000
Jobs First Employment Services	17,557,585	17,741,841	17,657,471	0	17,657,471
Opportunity Industrial Centers	500,000	500,000	500,000	-100,000	400,000
Individual Development Accounts	95,000	95,000	95,000	-95,000	0
STRIDE	590,000	770,000	770,000	-180,000	590,000
Apprenticeship Program	560,000	621,281	595,867	0	595,867
Spanish American Merchant Association	0	600,000	600,000	-150,000	450,000
Connecticut Career Resource Network	107,622	164,883	157,880	0	157,880
21st Century Jobs	426,122	453,635	447,955	0	447,955
Incumbent Worker Training	449,384	450,000	450,000	0	450,000
STRIVE	270,000	270,000	270,000	0	270,000
Film Industry Training Program	0	237,500	237,500	-237,500	0
WIA - ARRA	9,348,330	0	0	0	0
TOTAL - Other Current Expenses	58,180,832	54,066,402	53,943,935	-762,500	53,181,435
TOTAL - General Fund	66,804,275	64,256,017	63,779,866	-1,659,656	62,120,210
<u>Other Current Expenses</u>					
Customized Services	500,000	500,000	500,000	0	500,000
TOTAL - Banking Fund	500,000	500,000	500,000	0	500,000
Personal Services	0	0	0	8,660,377	8,660,377
Other Expenses	0	0	0	2,182,102	2,182,102
<u>Capital Outlay</u>					
Equipment	0	0	0	15,900	15,900

Budget Summary

Other Current Expenses

Occupational Health Clinics	610,421	684,596	682,731	0	682,731
Fringe Benefits	0	0	0	5,977,676	5,977,676
Indirect Overhead	0	0	0	716,918	716,918
TOTAL - Other Current Expenses	<u>610,421</u>	<u>684,596</u>	<u>682,731</u>	<u>6,694,594</u>	<u>7,377,325</u>
TOTAL - Workers' Compensation Fund	<u>610,421</u>	<u>684,596</u>	<u>682,731</u>	<u>17,552,973</u>	<u>18,235,704</u>
TOTAL - ALL FUNDS	<u>67,914,696</u>	<u>65,440,613</u>	<u>64,962,597</u>	<u>15,893,317</u>	<u>80,855,914</u>

OFFICE OF THE VICTIM ADVOCATE

AGENCY PURPOSE

Consistent with Public Act 11-48, the Office of the Victim Advocate was consolidated with the Office of Governmental Accountability.

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4	0	0	0	0
Financial Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	273,894	0	0	0	0
Other Expenses	14,745	0	0	0	0
TOTAL - General Fund	288,639	0	0	0	0



COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES

<http://www.ct.gov/chro>

AGENCY PURPOSE

The mission of the Connecticut Commission on Human Rights and Opportunities (CHRO) is to eliminate discrimination in employment, housing, public accommodations and credit transactions through civil and human rights law enforcement and to establish equal

opportunity and justice for all persons within the state through advocacy and education.

CHRO also monitors compliance with state contract compliance laws and with laws requiring affirmative action in state government.

The Commission on Human Rights and Opportunities and the Office of Protection and Advocacy for Persons with Disabilities are recommended for consolidation under a new Department on Human Rights, Protection and Advocacy in the Governor's budget as part of his proposal to restructure state government.

RECENT HIGHLIGHTS

ADVOCACY AND AWARENESS

Informational sessions were held across the state of Connecticut, including Waterbury, Hartford, Bridgeport and Windham to educate the public regarding civil and human rights law and case processing.

Agency attorneys served as panelists at many conferences, including the Transgender Lives Conference held at the UConn Health Center, the Department of Justice's first annual Civil Rights Conference and the annual Blacks in Government Conference in Boston.

CHRO sponsored several events including a housing conference that many of the state's Housing Authorities participated in and a police/community forum in Hartford and Waterbury to promote equality in law enforcement in Connecticut communities.

An anti-bullying conference was held in conjunction with eight other sponsors to raise awareness about discriminatory bullying in schools.

CHRO co-sponsored the CT Kids Court Competition and Kids Speak where students from across the state spent a half day at the Capitol discussing issues of civil and human rights, diversity, equality and discrimination. Appearances were made by all of the Constitutional Officers and the Governor who addressed the students. The agency also sponsored the essay Competition portion of Kids' Court and students from 14 school districts competed. The purpose of the competition was to raise the consciousness of students regarding civil and human rights, equality and diversity.

TRAINING

Nine training sessions were offered to stakeholders of the Commission regarding housing related issues and discrimination, 30 training sessions on anti-discrimination and state Affirmative Action officers and Attorney General designees were provided with update training and foundations training.

RECOMMENDED ADJUSTMENTS

Reductions

- Eliminate Disparity Study Funding

This effort was funded at \$1 million for the biennium. In light of implementation delays, funds are not necessary in FY 2013.

FY 2013

-500,000

Reallocations or Transfers

- Restructure State Government

The agency will be consolidated with the Office of Protection and Advocacy for Persons with Disabilities in the new Department on Human Rights, Protection and Advocacy.

-5,744,823

Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

-215,735

-400,000

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	80	80	80	-80	0

Budget Summary

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	5,269,753	6,146,769	5,950,016	-5,950,016	0
Other Expenses	336,593	903,891	903,891	-903,891	0
<u>Capital Outlay</u>					
Equipment	0	1	1	-1	0
<u>Other Current Expenses</u>					
Martin Luther King, Jr. Commission	4,997	6,650	6,650	-6,650	0
TOTAL - General Fund	5,611,343	7,057,311	6,860,558	-6,860,558	0



OFFICE OF PROTECTION & ADVOCACY FOR PERSONS WITH DISABILITIES

www.ct.gov/opapd

AGENCY PURPOSE

To act as an independent safeguard against abuse, neglect, exclusion and discrimination based on disability. The Office fulfills this purpose by:

- Investigating and exposing patterns of discrimination and abuse of rights.
- Pursuing legal and administrative remedies for violations of rights.

- Providing information, referral, technical assistance and training to help empower individuals and groups to effectively advocate for themselves and to educate policymakers and members of the public about disability rights.
- Working with advocacy groups, service systems and communities to develop effective local safeguards against discrimination and abuse.

The Office of Protection and Advocacy for Persons with Disabilities is recommended for consolidation with the Commission on Human Rights and Opportunities into a new Department on Human Rights, Protection and Advocacy in the Governor's budget as part of his proposal to restructure state government.

RECENT HIGHLIGHTS

- Received and processed 1,104 reports of suspected abuse and neglect of adults with mental retardation, investigated or monitored the investigation of 982 allegations and oversaw implementation of 220 protective services plans.
- Provided case advocacy or legal representation to 716 individuals with disabilities at hearings, mediations, program planning meetings, administrative grievances and in the courts; secured favorable results in 68 percent of cases.
- Responded to 3,287 requests for information, referrals and problem-solving assistance from people with disabilities and families; upgraded agency website and provided over 10,000 copies of various agency-developed self-help publications.
- Provided training and informational material to approximately 4,749 individuals who attended 97 training and resource events; hosted 38,380 visitors to the agency website.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Restructure State Government

The agency will be consolidated with the Commission on Human Rights and Opportunities in the new Department on Human Rights, Protection and Advocacy.

FY 2013
-2,450,896

Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

-81,442
-50,634

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	33	31	31	-31	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	2,378,323	2,465,321	2,366,933	-2,366,933	0
Other Expenses	211,947	216,038	216,038	-216,038	0
<u>Capital Outlay</u>					
Equipment	0	1	1	-1	0
TOTAL - General Fund	2,590,270	2,681,360	2,582,972	-2,582,972	0

DEPARTMENT ON HUMAN RIGHTS, PROTECTION AND ADVOCACY

AGENCY PURPOSE

The mission of the Department on Human Rights, Protection and Advocacy is to investigate and eliminate discrimination in employment, housing, public accommodations and credit transactions through civil and human rights law enforcement and to

establish equal opportunity and justice for all persons within the state through advocacy and education.

The department also monitors compliance with state contract compliance laws and with laws requiring affirmative action in state government.

The Commission on Human Rights and Opportunities and the Office of Protection and Advocacy for Persons with Disabilities are recommended for consolidation under a new Department on Human Rights, Protection and Advocacy in the Governor's budget as part of his proposal to restructure state government.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Restructure State Government

FY 2013

8,195,719

The Commission on Human Rights and Opportunities and the Office of Protection and Advocacy for Persons with Disabilities will be consolidated in a new Department on Human Rights, Protection and Advocacy.

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	0	0	0	105	105
<u><i>Financial Summary</i></u>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	0	0	0	7,569,138	7,569,138
Other Expenses	0	0	0	619,929	619,929
<u><i>Capital Outlay</i></u>					
Equipment	0	0	0	2	2
<u><i>Other Current Expenses</i></u>					
Martin Luther King, Jr. Commission	0	0	0	6,650	6,650
TOTAL - General Fund	0	0	0	8,195,719	8,195,719

OFFICE OF THE CHILD ADVOCATE

AGENCY PURPOSE

Consistent with Public Act 11-48, the Office of the Child Advocate was consolidated with the Office of Governmental Accountability.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	10	0	0	0	0
<u>Financial Summary</u>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	597,041	0	0	0	0
Other Expenses	29,908	0	0	0	0
<u>Other Current Expenses</u>					
Child Fatality Review Panel	93,622	0	0	0	0
TOTAL - General Fund	720,571	0	0	0	0



WORKERS' COMPENSATION COMMISSION

<http://wcc.state.ct.us>

AGENCY PURPOSE

The purpose of the Workers' Compensation Commission is:

- To administer the workers' compensation laws of the State of Connecticut.
- To adjudicate and resolve disputes arising from the workers' compensation process.
- To promote safety in the workplace.
- To educate employees and employers on their rights and responsibilities under the law.
- To review and approve applications for managed care plans.
- To certify self-insurance applications.

The Workers' Compensation Commission is recommended for consolidation with the Department of Labor in the Governor's budget as part of his proposal to restructure state government.

RECENT HIGHLIGHTS

E-HEARING NOTICES

To "go green" and minimize costs, the commission has implemented a paperless system for hearing notices. The commission traditionally mailed more than 250,000 hearing notices per year. Since January 2011, approximately 22,000 emails with multiple notices have been electronically transmitted to attorneys. When fully implemented, this process will save an estimated \$50,000 annually in postage, paper and envelopes in addition to staff time that will no longer be required to gather, sort, fold, stuff, and meter the mail.

VIRTUAL CONFERENCING

In an effort to reduce travel costs, improve communication among staff at multiple locations, and increase training opportunities, the commission is now utilizing "Instant Meetings." Instant Meetings are held among Commissioners and staff from the Chairman's Office and

eight district offices for a small fraction of the cost of central office meetings that previously required travel and loss of desk time. Instant Meetings utilize simultaneous audio/phone and visual/internet features and promote productive interaction among statewide commission staff.

MEDICAL PROTOCOLS

In response to the rapidly changing medical field, the Chairman formed a subcommittee of members of the Medical Advisory Panel to review the medical protocols originally established in 1994 which are guidelines for treatment of musculoligamentous injury to the lumbar spine, cervical spine, arm, hand and leg. Early in 2012, the agency will issue updated protocols for treatment of lumbar, cervical, and shoulder injuries. Additional revisions will follow over the coming years.

RECOMMENDED ADJUSTMENTS

Reductions

- Reduce the Number of District Offices to Seven
Caseload will be reassigned to other district offices.

FY 2013

-267,023

Reallocations or Transfers

- Restructure State Government
The Workers' Compensation Commission will be consolidated with the Department of Labor.

-17,552,973

Technical Adjustments

- Annualize Wage Freeze Savings
- Adjust Indirect Cost to Reflect Comptroller's Estimate

-446,953

-257,796

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
Workers' Compensation Fund	122	117	117	-117	0

Budget Summary

Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	8,657,752	9,227,232	9,022,493	-9,022,493	0
Other Expenses	2,263,712	2,341,706	2,284,102	-2,284,102	0
<u>Capital Outlay</u>					
Equipment	0	34,000	15,900	-15,900	0
<u>Other Current Expenses</u>					
Rehabilitative Services	1,542,935	0	0	0	0
Fringe Benefits	4,347,208	6,182,245	6,227,536	-6,227,536	0
Indirect Overhead	1,181,091	945,406	974,714	-974,714	0
TOTAL - Other Current Expenses	7,071,234	7,127,651	7,202,250	-7,202,250	0
TOTAL - Workers' Compensation Fund	17,992,698	18,730,589	18,524,745	-18,524,745	0

DEPARTMENT OF AGRICULTURE



<http://www.ct.gov/doag>

AGENCY PURPOSE

- To foster agriculture by developing, promoting and regulating agriculture businesses and protecting agricultural and aquacultural resources.
- To protect consumers and animal health by regulating, inspecting and enforcing animal care and animal health standards for pet shops and commercial kennels.
- To protect public health and animal agriculture by enforcing livestock and poultry disease statutes and administering animal disease prevention and control programs.
- To protect domestic animals by responding to and investigating animal cruelty and animal neglect complaints.
- To protect public safety by supporting local animal control and police enforcement of animal bite statutes.
- To protect public health by regulating, inspecting and enforcing food production and manufacturing standards for the shellfish, fluid milk and cheese manufacturing industries.
- To preserve agricultural resources by restricting non agricultural uses and prohibiting their partitioning thus preserving the land for food and fiber production.

RECENT HIGHLIGHTS

- Preserved 287 farms comprised of 37,860 acres.
- Preserved 15 farms comprised of 1,936 acres in 2011, with another 13 farm negotiations and 1,490 acres pending.
- Established joint Farmland Preservation Programs, and completed joint acquisitions of development rights with 10 towns and partnered with another 3 agricultural Land Trusts for a total of 31 municipal and land trusts partnerships.
- Partnered with the United States Department of Agriculture, Natural Resource Conservation Service, under the Farm and Ranch Lands Protection Program on 95 projects comprised of 10,325 acres.
- Created 10 preservation regions with farm clusters encompassing 590 acres to 1,938 acres.
- Renovated the 575 acres Lebanon Agricultural Reserve facility consisting of two dairy leases. The Reserve includes public recreational access to 80 acres of lake fishing, 3 miles of lake, brook (Bartlett Brook, Sherman Brook) and Yantic River shore fishing, and hunting on 250 acres.
- Implemented a new Community Farms Program funded by the Community Investment Act Funds, to work with and encourage municipalities to create local preservation programs.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers	<u>FY 2013</u>
• Transfer Funding for Attorney to Office of the Attorney General	-93,000
Technical Adjustments	
• Annualize Wage Freeze Savings	-161,828
• Annualize FY 2012 Holdbacks	-200,000

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	51	51	51	-3	48
Regional Market Operation Fund	7	7	7	0	7

Budget Summary

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	3,527,634	3,895,000	3,750,000	-361,828	3,388,172
Other Expenses	684,475	716,168	700,668	-93,000	607,668
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
 <u>Other Current Expenses</u>					
Vibrio Bacterium Program	0	1	1	0	1
Senior Food Vouchers	278,620	404,500	404,500	0	404,500
TOTAL - Other Current Expenses	278,620	404,501	404,501	0	404,501
<u>Pmts to Other Than Govts</u>					
Collection of Agricultural Statistics	0	1,026	1,026	0	1,026
Tuberculosis and Brucellosis Indemnity	0	900	900	0	900
Fair Testing - Exhibits and Demonstrations	3,418	4,040	4,040	0	4,040
Connecticut Grown Product Promotion	10,000	10,000	10,000	0	10,000
WIC Coupon Program for Fresh Produce	179,035	184,090	184,090	0	184,090
TOTAL - Pmts to Other Than Govts	192,453	200,056	200,056	0	200,056
TOTAL - General Fund	4,683,182	5,215,726	5,055,226	-454,828	4,600,398
 Personal Services	332,327	390,151	386,193	0	386,193
Other Expenses	321,123	271,507	273,007	0	273,007
<u>Capital Outlay</u>					
Equipment	0	3,500	1	0	1
<u>Other Current Expenses</u>					
Fringe Benefits	233,739	261,401	266,473	0	266,473
TOTAL - Regional Market Operation Fund	887,189	926,559	925,674	0	925,674
TOTAL - ALL FUNDS	5,570,371	6,142,285	5,980,900	-454,828	5,526,072

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

AGENCY PURPOSE

To ensure that:

- The state's natural resources are preserved, conserved and protected.
- Strict environmental quality standards are implemented fairly and effectively.
- All parts of society – communities, individuals, business, state and local governments – have access to accurate information sufficient to effectively participate in managing human health and environmental risks.
- The state's communities and ecosystem are diverse, sustainable and economically productive.
- Open space acquisition, urban development and ecosystem management reflect the understanding that our natural and fiscal resources are finite, and our preservation and utilization of both must be systematically managed.
- To ensure that safe, reliable, and fairly priced utility services are available throughout Connecticut.
- To develop and analyze energy options and strategies.

RECENT HIGHLIGHTS

Merger of Energy and Environmental Functions

Public Act 11-80 consolidated energy functions that had existed across state government and merged them with the environmental agency. This approach gives Connecticut an opportunity to develop, for the first time, a coordinated approach to energy policy and to integrate it with closely related environmental concerns. With this model our state has an opportunity to demonstrate that a sound approach to energy and the environment is the best path forward in building a sustainable and prosperous economy for the future.

Transformation Agenda

The agency has developed and is implementing a "Transformation Agenda" designed to improve the way it conducts business and interacts with key stakeholders including: the public, the business community, and municipalities. The goal is to become a model energy and environmental agency for the 21st century. A key focus of this agenda is improving the timeliness and consistency of decision making so that the agency can play a role in rebuilding the state's economy and creating jobs while maintaining important environmental standards. Key components of the Transformation Agenda are:

- *Tiered Permitting*: This involves a restructuring of permitting programs so that time-frames for review and approval match the scale and risk of the proposed activities. This approach will allow the agency to refocus resources on the most risky and high impact applications while allowing it to act more quickly on simpler permits.
- *LEAN-PLUS*: Builds on DEEP's successful use of a process improvement technique known as LEAN, through which more than 300 staff have participated in 36 projects aimed at improving decision making. The expansion of this effort will include inter-agency projects and introducing LEAN techniques to the new energy side of the agency.
- *Technology Investments*: DEEP's Office of Information Management is working on a detailed plan that will guide a major investment in new technology designed to support the work of our bureaus and to enable DEEP employees to get work done more efficiently and effectively.
- *Metrics*: A series of key indicators is being developed that will allow DEEP to better manage time and resources and to showcase to the public what is being accomplished on energy and environmental policy.

RECOMMENDED ADJUSTMENTS

Reductions

- | | |
|---|-----------------------|
| • Eliminate Reimbursement for Air Testing at Resource Recovery Facilities | <u>FY 2013</u> |
| • Transfer Funding for Underground Storage Tank Claims
<i>Funding for claims will be paid from bond funds.</i> | -300,000 |
| | -250,000 |
| • Reduce Funding for Sportsmen Programs
<i>Funding is eliminated for the pheasant stocking program.</i> | -160,000 |

- Reduce Funding for the Lobster Restoration Program

-100,000

Reallocations or Transfers

- | | |
|---|----------|
| • Transfer Funding for Attorney to Office of the Attorney General | -138,000 |
| • Support Operation Fuel through the Public Utility Control Fund | 0 |

Technical Adjustments

- | | |
|---|------------|
| • Annualize Wage Freeze Savings - General Fund | -2,358,922 |
| • Annualize Wage Freeze Savings - Public Utility Control Fund | -654,527 |
| • Annualize FY 2012 Holdbacks | -3,448,560 |
| • Adjust Indirect Cost to Reflect Comptroller's Estimate | -957,282 |
| • Transfer Information Technology Position from Department of Administrative Services | 90,371 |

Budget Summary

- Adjust Funding for the Emergency Spill Response Account 100,000
Provides funding for spill clean-up consistent with the average cost over the last five years.
 - Adjust Funding for State Superfund Site Maintenance 300,000
Provides funding for the operation and maintenance contract for the Yaworski site, for which responsibility was transferred from the federal government to the state.
- Expansion Adjustments**
- Provide Funding for Solid Waste Management Contract 1,000,000
 - Provide Funding for the Completion of the Independent System Operator (ISO) Report 100,000

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	698	727	727	-58	669
Consumer Counsel/Public Utility Fund	0	125	125	0	125
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	31,531,776	34,945,655	33,677,502	-4,449,543	29,227,959
Other Expenses	2,391,224	4,327,027	4,376,632	0	4,376,632
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Stream Gaging	156,522	199,561	199,561	0	199,561
Mosquito Control	227,517	272,144	268,518	-9,350	259,168
State Superfund Site Maintenance	183,580	241,100	241,100	300,000	541,100
Laboratory Fees	165,336	170,309	170,309	0	170,309
Dam Maintenance	121,282	130,164	126,016	-5,279	120,737
Councils, Districts and ERTs Land Use	400,000	0	0	0	0
Emergency Spill Response	8,393,731	7,301,292	7,074,509	-175,532	6,898,977
Solid Waste Management	2,357,970	2,868,088	2,781,459	578,939	3,360,398
Underground Storage Tank	3,156,104	1,303,410	1,279,716	-304,440	975,276
Clean Air	4,535,498	5,131,094	5,014,450	-185,125	4,829,325
Environmental Conservation	8,426,143	9,758,452	9,008,720	-513,084	8,495,636
Environmental Quality	8,546,113	10,414,994	10,155,679	-401,697	9,753,982
TOTAL - Other Current Expenses	36,669,796	37,790,608	36,320,037	-715,568	35,604,469
<u>Pmts to Other Than Govts</u>					
Interstate Environmental Commission	48,783	48,783	48,783	0	48,783
Agreement USGS-Hydrological Study	157,632	155,456	155,456	0	155,456
N E Interstate Water Pollution Comm	6,312	28,827	28,827	0	28,827
Northeast Interstate Forest Fire Comp	1,533	3,295	3,295	0	3,295
Conn River Valley Flood Control Comm	30,207	32,395	32,395	0	32,395
Thames River Valley Flood Control Comm	36,280	48,281	48,281	0	48,281
Agree USGS Quality Stream Monitoring	218,428	215,412	215,412	0	215,412
Operation Fuel	0	1,100,000	1,100,000	-1,100,000	0
TOTAL - Pmts to Other Than Govts	499,175	1,632,449	1,632,449	-1,100,000	532,449
<u>Pmts to Local Governments</u>					
Lobster Restoration	156,850	200,000	200,000	-100,000	100,000
TOTAL - General Fund	71,248,821	78,895,740	76,206,621	-6,365,111	69,841,510

Budget Summary

Personal Services	0	11,254,613	11,989,348	-387,294	11,602,054
Other Expenses	0	2,952,619	1,550,391	100,000	1,650,391
<u>Capital Outlay</u>					
Equipment	0	506,850	26,000	0	26,000
<u>Other Current Expenses</u>					
Fringe Benefits	0	7,540,592	8,276,798	-267,233	8,009,565
Indirect Overhead	0	1,140,433	1,155,074	-957,282	197,792
TOTAL - Other Current Expenses	0	8,681,025	9,431,872	-1,224,515	8,207,357
<u>Pmts to Other Than Govts</u>					
Operation Fuel	0	0	0	1,100,000	1,100,000
TOTAL - Consumer Counsel/Public Utility Fund	0	23,395,107	22,997,611	-411,809	22,585,802
TOTAL - ALL FUNDS	71,248,821	102,290,847	99,204,232	-6,776,920	92,427,312

COUNCIL ON ENVIRONMENTAL QUALITY

AGENCY PURPOSE

- To monitor, analyze and report the condition of Connecticut's air, water, land and wildlife to the Governor, General Assembly and citizens of Connecticut. The council's annual report, *Environmental Quality in Connecticut*, is the state's official "state of the environment" report.
- To recommend appropriate legislation and program improvements to correct deficiencies in state environmental policy.
- To publish the *Environmental Monitor* – the official site for agencies' to publish public notices pursuant to the Connecticut Environmental Policy Act and for land transfers – on the Council's website, and distribute it to all municipalities.
- To investigate and resolve citizens' complaints on environmental matters.
- To review projects and policies of other state agencies for environmental impact and provide advice.

RECENT HIGHLIGHTS

- The *Environmental Quality in Connecticut* report published in 2011 marked the Council's 40th anniversary of establishment.
- Completed the transition to the internet version of *Environmental Quality in Connecticut* and other special reports, eliminating the need for printed copies. The council's total expenditures in FY 2011 were 10 percent less than in FY 2008 due to the elimination of printing costs.
- Submitted special reports and memos regarding training for municipal inland wetlands agencies, impediments to cleaning up contaminated properties and communities, and regulation.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize Wage Freeze Savings

FY 2013

-1,739

AGENCY SUMMARY

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i>Personnel Summary</i>					
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	2	2	2	0	2
<i>Financial Summary</i>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	152,199	167,792	163,640	-1,739	161,901
Other Expenses	417	3,634	3,634	0	3,634
<u><i>Capital Outlay</i></u>					
Equipment	0	1	1	0	1
TOTAL - General Fund	152,616	171,427	167,275	-1,739	165,536

COMMISSION ON CULTURE AND TOURISM

AGENCY PURPOSE

Consistent with Public Act 11-48, the Commission on Culture and Tourism was consolidated with the Department of Economic and Community Development.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	31	0	0	0	0
Financial Summary					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	2,557,920	0	0	0	0
Other Expenses	155,446	0	0	0	0
<u>Other Current Expenses</u>					
Statewide Marketing	-563,959	0	0	0	0
CT Asso Performing Arts/Schubert Theater	378,712	0	0	0	0
Hartford Urban Arts Grant	378,712	0	0	0	0
New Britain Arts Council	75,743	0	0	0	0
Ivoryton Playhouse	44,294	0	0	0	0
TOTAL - Other Current Expenses	313,502	0	0	0	0
<u>Pmts to Other Than Govts</u>					
Discovery Museum	378,712	0	0	0	0
National Theatre for the Deaf	151,484	0	0	0	0
Culture, Tourism and Art Grant	1,758,895	0	0	0	0
CT Trust for Historic Preservation	210,396	0	0	0	0
Connecticut Science Center	630,603	0	0	0	0
TOTAL - Pmts to Other Than Govts	3,130,090	0	0	0	0
<u>Pmts to Local Governments</u>					
Greater Hartford Arts Council	94,677	0	0	0	0
Stamford Center for the Arts	378,712	0	0	0	0
Stepping Stones Museum for Children	44,294	0	0	0	0
Maritime Center Authority	531,525	0	0	0	0
Basic Cultural Resources Grant	1,324,477	0	0	0	0
Tourism Districts	1,687,500	0	0	0	0
Connecticut Humanities Council	2,103,953	0	0	0	0
Amistad Committee for the Freedom Trail	44,294	0	0	0	0
Amistad Vessel	378,712	0	0	0	0
New Haven Festival of Arts and Ideas	797,287	0	0	0	0
New Haven Arts Council	94,677	0	0	0	0
Palace Theater	378,712	0	0	0	0
Beardsley Zoo	354,350	0	0	0	0
Mystic Aquarium	620,112	0	0	0	0
Quinebaug Tourism	46,375	0	0	0	0
Northwestern Tourism	46,375	0	0	0	0
Eastern Tourism	46,375	0	0	0	0
Central Tourism	46,375	0	0	0	0
Twain/Stowe Homes	95,674	0	0	0	0
TOTAL - Pmts to Local Governments	9,114,456	0	0	0	0
TOTAL - General Fund	15,271,414	0	0	0	0



DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

<http://www.ct.gov/ecc>

AGENCY PURPOSE

The Department of Economic and Community Development (DECD) develops and implements strategies to increase the State's economic competitiveness. Effective July 1, 2011, the Commission on Culture and Tourism (CCT) was consolidated within DECD.

DECD goals include:

- Coordinating the activities of all state agencies in advancing economic development opportunities;
- Promoting, encouraging and implementing Responsible Growth principles and practices and regional cooperation;
- Fostering a productive business environment that enables businesses to succeed in the global economy;

- Advancing job creation and retention;
- Marketing Connecticut to domestic and foreign businesses and workers and encouraging them to relocate to the state;
- Strengthening and revitalizing neighborhoods and communities by stimulating the development of affordable housing opportunities for moderate-and low-income families and through the implementation of other quality-of-life investments; and
- Preserving and promoting Connecticut's cultural and tourism assets in order to enhance the quality of life and economic vitality of the State.

Housing programs from the Department of Social Services, the Department of Children and Families and the Office of Policy and Management are recommended for consolidation within a new Office of Housing in the Department of Economic and Community Development in the Governor's budget as part of his proposal to restructure state government.

RECENT HIGHLIGHTS

ECONOMIC DEVELOPMENT

- Five funds totaling \$138.1 million received approval through the Insurance Reinvestment Tax Credit Program, while an additional \$46.1 million in applications are pending review and approval. This program provides a 100 percent insurance premium tax credit to insurance companies that invest with approved fund managers who will provide financing to eligible Connecticut businesses, including 25% committed to green technology businesses.
- In FY 2011, through the Manufacturing Assistance Act, DECD funded a total of five projects involving \$10,250,000 in state funds, leveraging a total investment of \$43,850,789. These projects resulted in the retention of 1,691 jobs and the creation of 501 jobs in Connecticut.
- DECD provided financial assistance to the Entrepreneurial Center of the University of Hartford and The Women's Business Development Center (WBDC) of Stamford. Both organizations provide low-moderate income individuals with the skills and support to make the transition into independent entrepreneurship. This assistance led to a combined creation of 252 new jobs and assistance to 655 new individuals.
- DECD led a group of 19 Connecticut aerospace manufacturers and suppliers to exhibit at one of the world's largest and most prestigious air shows in Paris, France from June 20-26, 2011 with the goal of introducing Connecticut aerospace and defense suppliers to Original Equipment Manufacturers (OEMs). The staff also used the opportunity to encourage businesses to relocate to Connecticut, by showcasing Connecticut as a strategic business location on a national and international level. DECD staff also led a group of aerospace companies to exhibit at the Farnborough Air Show in the United Kingdom. Eighteen Connecticut companies sub-exhibited which featured almost 1,500 exhibitors from 40 countries.
- During FY 2011 70 tax credit applications were processed and \$70,026,672 in tax credits were issued to 30 production

companies with an estimated \$245.7 million being spent in Connecticut by qualified productions.

- The City of West Haven received \$1 million in Urban Act Funds to match a \$1 million grant received from the United States Economic Development Administration to remove a deteriorated, wooden bulkhead and replace the structure with a new steel-pile bulkhead in the West River area of New Haven Harbor. This project will enable a corporate headquarters and engineering research facility to relocate within the Municipal Development Plan (MDP) area and remain in the city. The project will retain 80 jobs and catalyze the implementation of the city's West River MDP.
- The Tweed New Haven Airport Authority received \$4,250,000 for airport operational and safety improvements. Funds will partially be used for land needed as a buffer to an adjacent residential neighborhood. The Airport Authority plans to expand services with air carriers to support regional businesses, entrepreneurs, and other professionals. This project will greatly enhance the operations and efficiency of the Tweed Airport as a regional transportation resource.
- The state's new official tourism website, www.CTvisit.com was recently launched. The search engine-optimized site features extensive content, social networking interfaces, interactive travel maps and visitor-friendly functions. The "Getaway Wizard" instantly plans the perfect getaway when three questions are answered, the new "Travel Deals" provides visitors more value for their leisure and vacation budgets, and "Quick Search" helps decide what to do, where to stay and special events to enjoy based on preferred experiences.
- The first new statewide tourism marketing campaign since 2009 was announced late spring by the Governor. The Quick Start Partnership allowed for CCT to market the state's tourism attractions, events and lodging for the 2011 summer tourism season. A total of 44 partners committed \$800,000 with \$1.6 million of public funds from various sources.

RECOMMENDED ADJUSTMENTS

Reductions	FY 2013
• Eliminate Innovation Challenge Grant Program Funding	-500,000
• Adjust Funding for Subsidized Assisted Living Demonstration Program Funding Based on Current Need	-392,000
• Eliminate Main Street Initiatives Funding	-171,000
• Reduce Arts, Culture, Tourism and Marketing Grants	-2,356,311
<i>Reduce funding by 8% to achieve efficiency through competitive procurement.</i>	
Reallocations or Transfers	
• Restructure State Government	90,337,507
<i>Housing programs from DSS, DCF and OPM will be consolidated within DECD. Programs include the Rental Assistance Program, Residences for Persons with AIDS, Emergency Shelters for Homeless, Special Projects for Homeless Shelters, Transitional Living, Housing Mediation Services, Shelter and Services for Victims of Domestic Violence, Rent Bank, Security Deposit Guarantee Program, and Renters Rebate Program. In addition, the rental assistance subsidies portion of the Supportive Housing for Families program will be included in the consolidation.</i>	
• Restructure State Government	5,920,145
<i>The Capital City Economic Development Authority (CCEDA) and OPM's Rentschler Field administrative function are consolidated within DECD to form the Capital Region Development Authority (CRDA) and will allow for better coordination of initiatives in the Greater Hartford region. This joint effort to expand the work of DECD will help to revitalize the capital region and connect with the ongoing efforts to promote the many local attractions in order to make Hartford the major business center in the region.</i>	
• Reallocate Various Grant Accounts to Statewide Marketing	0
<i>Arts, Culture and Tourism related grants are reallocated into the Statewide Marketing account for better coordination of program spending.</i>	
Technical Adjustments	
• Annualize Wage Freeze Savings	-351,117
• Annualize FY 2012 Holdbacks	-684,159
Expansion Adjustments	
• Fund 300 New Rental Assistance Program Vouchers	1,500,000
<i>Funds are added for 150 housing affordability and 150 scattered site supportive housing program vouchers.</i>	
• Expand Congregate Housing Program	405,000
<i>Funding is provided to support the operating costs related to the construction or rehabilitation of about 50 units of new congregate housing.</i>	
• Provide Positions for the Consolidation of Housing Programs	180,000
<i>Two positions are added to support the consolidation of housing programs within the Department of Economic and Community Development. DECD will provide leadership on housing issues and will facilitate coordinated implementation of the state's housing agenda.</i>	
• Provide Funding for Nutmeg Games	25,000

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	73	103	103	12	115
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	5,981,229	9,506,280	9,138,901	533,732	9,672,633
Other Expenses	686,244	1,618,799	1,618,799	-684,159	934,640
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Elderly Rental Registry and Counselors	1,083,289	1,098,171	1,098,171	0	1,098,171
Statewide Marketing	0	15,000,001	15,000,001	10,039,981	25,039,982
Innovation Challenge Grant Program	0	500,000	500,000	-500,000	0
Nanotechnology Study	0	119,000	119,000	0	119,000
Small Business Incubator Program	901,437	425,000	0	0	0
CT Asso Performing Arts/Schubert Theater	0	378,712	378,712	-378,712	0

Budget Summary

Hartford Urban Arts Grant	0	378,712	378,712	-378,712	0
New Britain Arts Council	0	75,743	75,743	-75,743	0
Fair Housing	221,753	308,750	308,750	0	308,750
Main Street Initiatives	70,000	171,000	171,000	-171,000	0
Office of Military Affairs	129,145	153,508	153,508	0	153,508
Hydrogen/Fuel Cell Economy	163,484	191,781	0	0	0
Southeast CT Incubator	112,859	148,750	0	0	0
Film Industry Training Program	237,500	0	0	0	0
SBIR Matching Grants	0	95,625	95,625	0	95,625
Ivoryton Playhouse	0	150,000	150,000	-150,000	0
CCAT-CT Manufacturing Supply Chain	620,000	255,000	0	0	0
Economic Development Grants	0	0	1,817,937	0	1,817,937
Garde Arts Theatre	0	300,000	300,000	-300,000	0
Capitol Region Development Authority	0	0	0	5,920,145	5,920,145
TOTAL - Other Current Expenses	3,539,467	19,749,753	20,547,159	14,005,959	34,553,118
<u>Pmts to Other Than Govts</u>					
Tax Relief for Elderly Renters	0	0	0	26,160,000	26,160,000
Subsidized Assisted Living Demo	2,166,000	1,730,000	2,272,000	-392,000	1,880,000
Congregate Facilities Operation Costs	6,839,599	6,884,547	6,884,547	405,000	7,289,547
Housing Assistance & Counseling Pgm	329,400	438,500	438,500	0	438,500
Elderly Congregate Rent Subsidy	1,891,651	2,389,796	2,389,796	0	2,389,796
Nutmeg Games	0	0	0	25,000	25,000
Discovery Museum	0	378,712	378,712	-378,712	0
National Theatre for the Deaf	0	151,484	151,484	-151,484	0
CONNSTEP	511,437	646,000	0	0	0
Dev Research & Economic Assistnce	84,913	151,406	0	0	0
Culture, Tourism and Art Grant	0	1,979,165	1,979,165	-1,979,165	0
CT Trust for Historic Preservation	0	210,396	210,396	-210,396	0
Connecticut Science Center	0	630,603	630,603	-630,603	0
Supportive Housing for Families	0	0	0	6,351,000	6,351,000
Emergency Shelters for Homeless	0	0	0	2,177,077	2,177,077
Residences for Persons with AIDS	0	0	0	3,920,675	3,920,675
Transitional Living	0	0	0	3,472,851	3,472,851
Shelters Victims/Household Abuse	0	0	0	5,171,422	5,171,422
Rental Assistance Program	0	0	0	41,328,922	41,328,922
Housing Mediation Services and Rent Bank	0	0	0	269,011	269,011
Security Deposit Guarantee	0	0	0	1,647,674	1,647,674
TOTAL - Pmts to Other Than Govts	11,823,000	15,590,609	15,335,203	87,186,272	102,521,475
<u>Pmts to Local Governments</u>					
Tax Abatement	1,704,890	1,704,890	1,704,890	0	1,704,890
Payment in Lieu of Taxes	2,204,000	2,204,000	2,204,000	0	2,204,000
Greater Hartford Arts Council	0	94,677	94,677	-94,677	0
Stamford Center for the Arts	0	378,712	378,712	-378,712	0
Stepping Stones Museum for Children	0	44,294	44,294	-44,294	0
Maritime Center Authority	0	531,525	531,525	-531,525	0
Basic Cultural Resources Grant	0	1,601,204	1,601,204	-1,601,204	0
Tourism Districts	0	1,495,596	1,495,596	-171,994	1,323,602
Connecticut Humanities Council	0	2,157,633	2,157,633	-2,157,633	0
Amistad Committee for the Freedom Trail	0	44,294	44,294	-44,294	0
Amistad Vessel	0	378,712	378,712	-378,712	0
New Haven Festival of Arts and Ideas	0	797,287	797,287	-797,287	0
New Haven Arts Council	0	94,677	94,677	-94,677	0
Palace Theater	0	378,712	378,712	-378,712	0
Beardsley Zoo	0	354,350	354,350	-354,350	0
Mystic Aquarium	0	620,112	620,112	-620,112	0
Quinebaug Tourism	0	41,101	41,101	-4,727	36,374
Northwestern Tourism	0	41,101	41,101	-4,727	36,374
Eastern Tourism	0	41,101	41,101	-4,727	36,374
Central Tourism	0	41,101	41,101	-4,727	36,374
Twain/Stowe Homes	0	95,674	95,674	-95,674	0
Emergency Shelters	0	0	0	560,208	560,208
Transitional Living	0	0	0	73,818	73,818
TOTAL - Pmts to Local Governments	3,908,890	13,140,753	13,140,753	-7,128,739	6,012,014
TOTAL - General Fund	25,938,830	59,606,195	59,780,816	93,913,065	153,693,881

Other Current Expenses

Fair Housing	0	168,639	168,639	0	168,639
TOTAL - Banking Fund	0	168,639	168,639	0	168,639
TOTAL - ALL FUNDS	25,938,830	59,774,834	59,949,455	93,913,065	153,862,520



AGRICULTURAL EXPERIMENT STATION

<http://www.ct.gov/caes>

AGENCY PURPOSE

To perform a variety of research and analyses at laboratories in New Haven and Windsor and at research farms in Hamden, Windsor, and Griswold. This research includes:

- Discovering insects and ticks that transmit disease organisms to people and other vertebrate hosts and devising methods of detecting and reducing these diseases.
- Developing and evaluating new crops for resistance to fungus infections and insect pests.
- Devising ways to manage agricultural and forest pests using fewer and less toxic pesticides.
- Ensuring an ample and economical food supply by increasing yields, introducing new successful crops, and controlling pests and plant diseases.
- Protecting people from toxic chemicals found in food and water, mold in buildings, and from deficient food, drugs and agricultural supplies.
- Devising ways to control invasive aquatic plants in lakes and determining the cause of wetland dieback in salt marshes.

RECENT HIGHLIGHTS

FOOD SAFETY AND BIOSECURITY

Connecticut General Statute Sec. 22-81(c) directs the Connecticut Agricultural Experiment Station (CAES) to conduct analyses as required by any state agency. In addition, CAES scientists work closely with the US Food and Drug Administration (FDA) in the Food Emergency Response Network and the US Environmental Protection Agency. Seafood samples, collected by the FDA in the oil-spill areas of the Gulf of Mexico, were tested for polycyclic aromatic hydrocarbons by new methods developed by CAES chemists in collaboration with scientists at the FDA Forensic Chemistry Center in Cincinnati and the Minnesota Department of Agriculture. With increased commerce from foreign countries and more emphasis on large-scale food processing domestically, there have been instances when foods, beverages, and other products have contained unwanted chemicals such as pesticide residues, melamine, or lead. In cooperation with the Department of Consumer Protection, chemists at the CAES have analyzed imported cereals and detected illegal residues of the insecticide pirimphos methyl. Results were reported to the Connecticut Department of Consumer Protection and the USDA; 4,553 cases of cereal products were recalled nationally. In addition, chemists at the CAES are prepared to assist the 14th Connecticut National Guard Civil Support Team as a part of the counter-terrorism program on toxic chemicals.

NEW CROPS

A new cultivar of strawberry was produced. This plant is resistant to the black vine weevil, a destructive insect pest, and root rot (caused by a fungus infection). The new cultivar will require less pesticides. Connecticut General Statute Sec. 22-82a permits the CAES to seek patents, trademarks, and licensing agreements. A patent application has been filed for the strawberry plant. Any royalties received will be used to offset some operating costs and to reinvest into plant development research programs.

TICK CONTROL

Lyme disease, granulocytic anaplasmosis, and human babesiosis are associated with the black-legged tick in Connecticut and many other states. Tick control is an important option for reducing risk of human infections. However, most homeowners do not want to use chemical pesticides to control ticks on their properties. Accordingly, research was conducted by scientists at the CAES to determine if a commercially prepared fungus that attacks ticks would be effective in management programs. Field tests revealed 56 percent to 85 percent tick control in lawn and woodland plots, respectively. Based on these results, the US Environmental Protection Agency (EPA) granted a provisional registration for the fungus. Subsequent field test results led to full EPA and individual registrations for the biopesticide. It is expected that use of this new product will result in less human and wildlife exposure to toxic pesticides, reduced health risks, and will provide another tool for future integrated tick management programs.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

• Annualize Wage Freeze Savings	FY 2013 -234,164
• Annualize FY 2012 Holdbacks	-259,250
• Pickup Expiring Federal Funds for the Mosquito/Encephalitis Virus Surveillance and Testing Program	228,779

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	67	67	67	2	69
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	5,485,582	6,125,000	5,910,000	-493,414	5,416,586
Other Expenses	882,096	923,511	923,511	0	923,511
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Mosquito Control	222,089	232,979	231,173	228,779	459,952
Wildlife Disease Prevention	83,344	90,474	89,571	0	89,571
TOTAL - Other Current Expenses	305,433	323,453	320,744	228,779	549,523
TOTAL - General Fund	6,673,111	7,371,965	7,154,256	-264,635	6,889,621

DEPARTMENT OF PUBLIC HEALTH


<http://www.ct.gov/dph/>

AGENCY PURPOSE

- To protect the health and safety of the people of Connecticut.
- To actively work to prevent disease and promote wellness through education and programs such as prenatal care, newborn screening, immunizations, AIDS awareness, and supplemental foods.
- To monitor infectious diseases, environmental and occupational health hazards and birth defects.
- To assure planning for and response to public health emergencies.
- To regulate healthcare providers such as health facilities, health professionals, and emergency medical services.
- To provide testing and monitoring support through the state laboratory.
- To collect and analyze health data to help plan policy for the future.
- To serve as the repository for all birth, adoption, paternity, marriage and death certificates.
- To ensure the availability of a safe and adequate drinking water supply for Connecticut's residents.

RECENT HIGHLIGHTS

- Successfully applied for a federal grant to implement an electronic benefits transfer (EBT) solution for adaptation to the Connecticut WIC Program, resulting in WIC food benefits being issued through debit cards and increased usage due to reduced stigma.
- Implemented a statewide Healthy Homes initiative through the Lead and Healthy Homes Program, engaging non-profit, public and private industry partners to improve the quality of housing factors related to health. 2011 marks the first year that the department will collect housing-related data for this initiative.
- Construction on the new public health laboratory in Rocky Hill is almost complete and it is scheduled for occupancy in May 2012.
- Worked collaboratively with the Department of Social Services to implement the Connecticut Insurance Premium Assistance (CIPA) program for people living with HIV/AIDS. The program pays health insurance premiums for people living with HIV/AIDS that are enrolled in the Connecticut AIDS Drug Assistance Program.
- Connecticut received two national immunization awards: the Adolescent Improvement Award for outstanding achievement in improving adolescent immunization coverage by 16 percent from 2008-2009; and the Influenza Vaccination Coverage Award for extraordinary accomplishment in achieving 55.6 percent coverage of influenza vaccination among children by December 2010.
- The Connecticut "Putting On AIRS" Program provided patient education and home assessments for Connecticut residents living with asthma. A net healthcare savings of \$26,720 per 100 participants was estimated at six months follow-up due to decreases in unscheduled acute care visits. Significant improvement in quality-of-life and a decrease in healthcare resource utilization were also noted.
- The Tobacco Use Prevention and Control Program expanded Quitline services for all Connecticut residents in April 2010. In the past two years, a decrease has been noted in Connecticut's adult smoking rate, from 15.4 percent to 13.2 percent.
- Developed the Healthy Connecticut initiative as the state's version of the national Healthy People initiative. Healthy Connecticut provides a framework for health promotion and disease prevention through a set of goals and objectives to identify and reduce the most important preventable threats to the health of our population.
- The department received \$1.9 million in federal grant funds to establish a criminal history and patient abuse background search program for employees in long-term care settings and fostered legislation establishing a program to process background checks and applicant clearance for long-term care employment.
- The agency continued to expand its use of the state's eLicense system by migrating its healthcare facilities and emergency medical services provider licensing databases into the eLicense system, continuing progress toward a single licensing system for all providers statewide. Establishing an integrated licensing system for the more than 200,000 regulated healthcare, environmental health and emergency medical service providers and facilities is expected to yield efficiencies and savings in data management costs, while making more information available to the public on-line about licensed professionals and the healthcare workforce in general.

RECOMMENDED ADJUSTMENTS

Reductions

- | | |
|--|----------------------------|
| • Reduce Community Health Center Grants Due to Increased Medicaid Payments
<i>Funding for community health centers will be reduced in recognition of increased Medicaid payments to federally qualified health centers.</i> | FY 2013
-666,822 |
| • Maintain School Based Health Clinic Contract Commitments
<i>Reflects elimination of funds that have been uncommitted since FY 2009.</i> | -412,592 |
| • Eliminate Funding for Fetal and Infant Mortality Review | -299,250 |

Technical Adjustments

• Annualize Wage Freeze Savings	-1,542,941
• Annualize FY 2012 Holdbacks	-1,572,983
• Pickup Federal Shortfall for HIV Prevention Positions	207,046

Expansion Adjustments

• Expand Provision of Childhood Vaccines	11,730,902
<i>Funds are recommended to expand the state's universal childhood immunization program to include pneumococcal, influenza and hepatitis A vaccines.</i>	

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	517	524	524	-19	505
Financial Summary					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	31,195,193	35,633,513	34,626,728	-2,647,002	31,979,726
Other Expenses	3,823,990	7,183,505	8,433,505	0	8,433,505
<u>Capital Outlay</u>					
Equipment	0	15,001	1	0	1
<u>Other Current Expenses</u>					
Needle and Syringe Exchange Program	455,072	455,072	455,072	0	455,072
Children's Health Initiatives	1,850,458	2,442,813	2,435,161	-12,666	2,422,495
Childhood Lead Poisoning	1,098,172	75,000	75,000	0	75,000
AIDS Services	4,925,464	4,802,098	4,952,098	0	4,952,098
Breast & Cervical Cancer Detectn/Treatment	2,365,238	2,183,669	2,181,483	-11,198	2,170,285
Children w/Special Hlth Care Needs	1,271,627	1,271,627	1,271,627	0	1,271,627
Medicaid Administration	3,234,901	4,276,747	4,201,595	-238,012	3,963,583
Fetal and Infant Mortality Review	0	299,250	299,250	-299,250	0
TOTAL - Other Current Expenses	15,200,932	15,806,276	15,871,286	-561,126	15,310,160
<u>Pmts to Other Than Govts</u>					
Community Health Services	6,399,331	6,300,500	6,300,500	-666,822	5,633,678
Rape Crisis	439,684	439,684	439,684	0	439,684
X-Ray Screening and Tuberculosis Care	1,337,778	1,200,000	1,200,000	0	1,200,000
Genetic Diseases Programs	828,744	828,744	828,744	0	828,744
Loan Repayment Program	102,063	0	0	0	0
Immunization Services	9,035,068	9,044,950	9,044,950	11,730,902	20,775,852
TOTAL - Pmts to Other Than Govts	18,142,668	17,813,878	17,813,878	11,064,080	28,877,958
<u>Pmts to Local Governments</u>					
Local & District Departments of Health	4,292,498	4,563,700	4,563,700	0	4,563,700
Venereal Disease Control	195,209	195,210	195,210	0	195,210
School Based Health Clinics	10,028,054	10,440,646	10,440,646	-412,592	10,028,054
TOTAL - Pmts to Local Governments	14,515,761	15,199,556	15,199,556	-412,592	14,786,964
TOTAL - General Fund	82,878,544	91,651,729	91,944,954	7,443,360	99,388,314



OFFICE OF THE CHIEF MEDICAL EXAMINER

<http://www.ct.gov/ocme/>

AGENCY PURPOSE

TO INVESTIGATE FATALITIES

- Deaths due to any form of injury, whether resulting from accident, suicide or homicide.
- Sudden or unexpected deaths not due to readily recognizable disease.
- Deaths occurring under suspicious circumstances (e.g. child abuse).
- Deaths of any individual whose body is to be disposed of in a manner that will render it unavailable for later examination.
- Deaths at or related to the workplace.
- Deaths due to disease that might constitute a threat to the public health.

TO PROTECT THE PUBLIC HEALTH

- By diagnosing previously unsuspected contagious disease.
- By identifying hazardous environmental conditions in the workplace, the home and elsewhere.
- By identifying trends such as changes in the numbers of homicides, traffic fatalities, and drug and alcohol related deaths.
- By identifying new types and forms of drugs appearing in the state or existing drugs/substances becoming new subjects of abuse.
- By providing information that will lead to proper adjudication in criminal matters and prevent unnecessary litigation.

The Office of the Chief Medical Examiner is recommended for consolidation with the University of Connecticut in the Governor's budget as part of his proposal to restructure state government.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Restructure State Government

The agency will be consolidated within the University of Connecticut. Administrative support will be provided by the University of Connecticut Health Center, with which it is co-located.

FY 2013

-5,099,658

Technical Adjustments

- Annualize Wage Freeze Savings

-170,795

- Annualize FY 2012 Holdbacks

-730,809

- Annualize Lapse in Medicolegal Investigations Account

-30,000

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	58	58	58	-58	0
<u>Financial Summary</u>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	4,608,378	5,223,625	5,050,652	-5,050,652	0
Other Expenses	721,168	906,282	906,282	-906,282	0
<u>Capital Outlay</u>					
Equipment	4,200	15,500	15,500	-15,500	0
<u>Other Current Expenses</u>					
Medicolegal Investigations	13,397	54,441	58,828	-58,828	0
TOTAL - General Fund	5,347,143	6,199,848	6,031,262	-6,031,262	0

DEPARTMENT OF DEVELOPMENTAL SERVICES


<http://www.ct.gov/dds>

AGENCY PURPOSE

- To provide case management, respite, family support, residential and employment services to DDS consumers and their families through a system of public and private providers.
- To perform as lead agency for the Birth-to-Three program serving infants and toddlers with developmental delays.
- To ensure appropriate delivery of health care services to consumers receiving DDS residential supports.
- To assist DDS consumers involved in the criminal justice system to ensure appropriate representation and services.
- To coordinate a program for adults with autism spectrum disorder who do not have mental retardation.
- To coordinate the Voluntary Services Program for children who have mental retardation and behavioral health needs.
- To plan and manage emergency response activities for persons receiving DDS services.

RECENT HIGHLIGHTS

WAITING LIST

In FY 2011, DDS prioritized serving people with an emergency need for residential supports and providing additional funding as a result of increased need to waiver participants. During the year DDS provided residential resources to 56 people from the Emergency List. In addition, 150 waiver participants were provided additional funding to fully meet their needs. The department also provided community residential supports for 78 young adults aging out of the Department of Children and Families (DCF) or local education authorities (LEAs).

FEDERAL WAIVER APPROVAL

Received five-year approval of the *Employment and Day Supports Waiver* by the Centers for Medicare and Medicaid Services (CMS).

CONSUMER MILESTONES

Assisted 1,014 people with developing fully self-directed supports; Assisted 3,566 people in managing individual budgets for residential, employment and day services.

PRIVATE PROVIDERS

Revised the qualification process for all new prospective providers and added a mandatory training component. Provider profiles are now posted on the DDS website so consumers and families can search for qualified providers by name or town.

TRANSITIONED YOUTH

Transitioned 27 youths from the Department of Children and Families (DCF) to DDS, bringing the total individuals served by the program to 426. Requests were reviewed for out-of-home placements with the Children's Services Committee – a group consisting of representatives from DCF, the State Department of Education (SDE), DDS, the Office of the Child Advocate (OCA), and families.

RESPIRE CENTERS

Served approximately 1,328 individuals in eleven respite centers statewide.

EMPLOYMENT INITIATIVE

The agency continues to benefit from its partnership with the State Employment Leadership Network (SELN). With funding provided by the Department of Social Services' Connect-Ability Medicaid Infrastructure Grant (MIG), DDS provided two employment conferences - one for private providers and DDS employees, and the other for DDS consumers and their families and school staff.

Through MIG funds, DDS hired consultants from the Institute for Community Inclusion (ICI) to provide job developer training and to provide technical assistance and training on Benefits and Employment. Through collaborative efforts with Connect-Ability, SELN and ICI, DDS continues to develop a variety of training and marketing materials that stakeholders can use to promote and support the employment of people with intellectual disabilities.

BIRTH TO THREE

Received, for the fifth year in a row, a determination of "meets requirements" under the Individuals with Disabilities Education Act (IDEA), according to the U.S. Department of Education. The agency served 9,468 eligible children on a daily basis - 3.7 percent of all children under the age of three, served approximately 600 children with autism spectrum disorders in autism-specific programs, and another 100 in general programs.

AUTISM SPECTRUM DISORDER

Operated a program for 74 individuals in the New Haven and Hartford areas with autism spectrum disorder who do not have mental retardation and completed draft applications for three HCBS autism waivers.

RECOMMENDED ADJUSTMENTS

Reductions

- Close Additional Public Residential Programs
DDS halted new admissions to public residential services in July 2008 and continues to work toward reducing the census in public settings. Funding is reduced to reflect the closure of five public residential facilities (including the consolidation of two residential settings at Southbury and three group homes) beyond those included in the adopted budget.
- Reduce Clinical Services Account by 5%

FY 2013

-2,291,562

-231,976

Budget Summary

Technical Adjustments

- Annualize Wage Freeze Savings -8,885,819
- Annualize FY 2012 Holdbacks -12,127,646
- Reduce Personal Services -1,500,000
- Provide Funding for Additional Money Follows the Person Placements for Individuals at Southbury 3,278,227
DDS is required to educate clients residing at Southbury Training School (STS) and their families/guardians about community living options. Funds are provided to support 34 new placements beyond those in the adopted budget for individuals opting to leave STS in FY 2013 under the Money Follows the Person (MFP) program.
- Provide Funding for Non-MFP Community Placements 930,000
DDS is required to educate clients residing at Southbury Training School (STS) and their families/guardians about community living options. Funding is provided for six individuals who will be living in community placements not supported by the MFP initiative.
- Provide Planning Funds for Comprehensive Waiver Management System 555,400
Additional funding is required in order to receive 90% federal reimbursement of planning efforts.
- Provide Funding for Additional High School Graduate Placements 470,011
Each year, DDS assumes responsibility for providing supports such as day programs, employment, and behavioral supports to people with intellectual disabilities who no longer attend school. Funds are provided to support an additional 48 graduates beyond those in the adopted budget.
- Provide Funding for Additional Court Ordered Placements 468,144
DDS has a legal responsibility to serve people who are committed to the department after a court finding that they are not competent in a criminal matter. Funding will support six people beyond those placements funded in the adopted budget for FY 2013.

Expansion Adjustments

- Fund Recommendations in the Autism Feasibility Study 1,000,000
Public Act 11-6 required a study of issues related to the needs of persons with autism spectrum disorder. Funding is provided to begin addressing recommendations of the feasibility study which is expected to be completed in spring 2012.

Revenue Adjustments

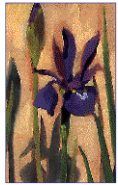
- Increase Revenue for Employment and Day Services 2,044,844
In order to maximize federal revenue, services previously funded through the Social Service Block Grant (SSBG) will be claimed under Medicaid. DSS will achieve offsetting General Fund savings by utilizing the freed-up SSBG funds. The amount reflected in this option assumes an October 1, 2012 start date.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,657	3,617	3,617	-195	3,422
<u>Financial Summary</u>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	264,653,601	286,909,798	275,149,434	-24,697,070	250,452,364
Other Expenses	22,401,859	22,102,780	21,990,274	480,117	22,470,391
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Human Resource Development	190,590	219,790	219,790	0	219,790
Family Support Grants	3,273,704	3,280,095	3,280,095	0	3,280,095
Cooperative Placements Program	21,639,753	21,928,521	22,576,043	468,144	23,044,187
Clinical Services	4,588,017	4,639,522	4,585,370	-264,650	4,320,720
Early Intervention	37,888,242	36,288,242	34,688,242	0	34,688,242
Community Temporary Support Services	67,315	67,315	67,315	0	67,315
Community Respite Care Programs	330,299	330,345	330,345	0	330,345
Workers' Compensation Claims	15,416,102	15,544,371	15,246,035	0	15,246,035
Autism Services	1,179,447	1,185,176	1,185,176	1,000,000	2,185,176
Voluntary Services	27,394,028	31,256,734	31,225,026	0	31,225,026
Supplemental Payments for Medical Services	0	13,100,000	13,400,000	0	13,400,000
TOTAL - Other Current Expenses	111,967,497	127,840,111	126,803,437	1,203,494	128,006,931

Pmts to Other Than Govts

Rent Subsidy Program	4,532,350	4,537,554	4,537,554	0	4,537,554
Family Reunion Program	98,500	134,900	134,900	0	134,900
Employment Opportunities & Day Svcs	161,334,376	186,574,466	197,101,167	2,514,855	199,616,022
Community Residential Services	405,082,702	419,597,573	431,913,391	4,208,227	436,121,618
TOTAL - Pmts to Other Than Govts	<u>571,047,928</u>	<u>610,844,493</u>	<u>633,687,012</u>	<u>6,723,082</u>	<u>640,410,094</u>
TOTAL - General Fund	970,070,885	1,047,697,183	1,057,630,158	-16,290,377	1,041,339,781



DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

<http://www.dmhas.state.ct.us/>

AGENCY PURPOSE

- To assist persons with psychiatric and substance use disorders to recover and sustain their health through delivery of recovery-oriented treatment and support services.
- To develop and maintain a high quality service system that is person-centered, value-driven, promotes hope, improves health and is anchored to a recovery-oriented foundation.
- The Psychiatric Security Review Board, recommended for consolidation with the Department of Mental Health and Addiction Services, is responsible for reviewing the status of individuals who have been found not guilty of a crime by reason of mental disease or mental defect. It is PSRB's responsibility to review the status of acquittees through an administrative hearing process and order the level of supervision and treatment for the acquittee necessary to ensure public safety.

RECENT HIGHLIGHTS

IMPROVED SERVICE SYSTEM

- Expanded the Military Support Program's statewide clinical panel to 430 clinicians who have been trained to provide outpatient counseling services to Connecticut National Guard/Reserve members and their families. Embedded 29 licensed clinicians within 25 National Guard units to provide individuals and families affected by deployments timely access to behavioral health information and services.
- Trained law enforcement personnel to work effectively with persons with psychiatric disorders, with 921 officers from over 65 departments trained since 2004.
- Completed first year of converting general mental health case management services to an evidence-based Community Support Program model, including person-centered planning and psychiatric rehabilitation, across twenty-two (22) DMHAS operated/funded agencies.
- Conducted educational campaigns and unannounced inspections of tobacco retailers statewide, resulting in a two percent reduction in merchants who sold tobacco to minors in 2011.
- Funded campuses statewide to change aspects of campus and community environments that contribute to underage drinking.

QUALITY OF CARE MANAGEMENT

- Added 10 treatment programs to the Co-Occurring Improvement Collaborative for a total of 40 state-operated and funded programs available to serve individuals with co-occurring mental health and substance use disorders.
- Expanded the Supported Education Advisory Committee to identify educational needs for persons in the DMHAS system and to develop collaborative approaches to supporting students with behavioral health disorders.

- Continued to implement gender responsive programming and trauma-informed system of care in seventeen of DMHAS' Women and Children programs.
- Provided intensive home and community services to individuals residing in nursing homes or who are at-risk of entering nursing homes, resulting in approximately 88 individuals successfully living in the community.
- Enhanced the Provider Quality Report Cards as a provider performance management tool that includes key outcome data at both the agency and program level.

WORKFORCE/ORGANIZATIONAL EFFECTIVENESS

- Trained 150 DMHAS clinicians in the clinical needs of returning veterans, health care services, eligibility criteria, and referral methods for accessing services through the U.S. Department of Veterans Affairs and Vet Center systems.
- Implemented a prevention data system that collects and manages substance abuse prevention services data and supports performance-based outcome measurement.
- Implemented an electronic Risk Management System at DMHAS' Connecticut Valley Hospital.

RESOURCE BASE

- Awarded more than \$12 million in new federal grants for various initiatives.
- Continued to house 1,000 formerly homeless individuals and their families with mental health and substance use disorders through an \$11 million federal HUD grant. Increased the supportive housing inventory by adding nearly 70 units and funding four new supportive housing projects, in coordination with the Interagency Committee on Supportive Housing.
- Issued an Interagency Committee on Supportive Housing Request for Proposal (RFP) for the creation of an additional 150 units of supportive housing.

RECOMMENDED ADJUSTMENTS

Reductions	FY 2013
<ul style="list-style-type: none"> • Restructure Medicaid for Low-Income Adults (LIA) under a Waiver <i>Savings of \$5.6 million are anticipated from a waiver which will establish an asset limit of \$25,000 and count family income when determining LIA eligibility for individuals who are under age 26 and either living with a parent or claimed as a dependent for tax purposes. Under this proposal, limits on certain medical services could be imposed. Additional savings of \$16.9 million are reflected in DSS.</i> 	-5,600,000
<ul style="list-style-type: none"> • Reduce Funding for Uncompensated Care in Hospitals and FQHCs <i>The conversion of the SAGA population to the Medicaid Low-Income Adult program has resulted in significant additional funding to hospitals and FQHCs. Funding is reduced in recognition of this shift of under- and uncompensated care to Medicaid LIA reimbursement.</i> 	-2,886,891
<ul style="list-style-type: none"> • Reduce Funding for Legal Services to FY 2011 Levels 	-100,000
Reallocations or Transfers	
<ul style="list-style-type: none"> • Transfer Funding for Legal Support Staff to the Office of the Attorney General 	-53,000
<ul style="list-style-type: none"> • Restructure State Government <i>The Psychiatric Security Review Board will be consolidated with the Department of Mental Health and Addiction Services.</i> 	280,497
<ul style="list-style-type: none"> • Reallocate Mental Health Alternatives to Incarceration Funding from DOC 	300,000
<ul style="list-style-type: none"> • Reallocate Institutional Student Aid Funding from SDE 	882,000
<ul style="list-style-type: none"> • Reallocate Service Funds for Supportive Housing from DSS <i>This will eliminate the need for DSS to transfer funds to DMHAS and allow service funds to be more appropriately managed by DMHAS staff with disability expertise.</i> 	1,237,000
Technical Adjustments	
<ul style="list-style-type: none"> • Annualize Wage Freeze Savings 	-12,010,900
<ul style="list-style-type: none"> • Annualize FY 2012 Holdbacks 	-20,797,166
<ul style="list-style-type: none"> • Adjust Funding to Reflect Current Expenditure and Caseload Trends for Medicaid for Low-Income Adults 	-10,750,000
<ul style="list-style-type: none"> • Reflect Savings from Overtime Reduction Plan 	-2,300,000
<ul style="list-style-type: none"> • Realign Psychiatric Disproportionate Share Hospital Funding <i>DSS makes Disproportionate Share Hospital (DSH) payments to DMHAS for the purposes of claiming federal reimbursement on state-funded inpatient psychiatric hospitals. A portion of the DSH payments to DMHAS are used to offset certain fringe benefit accounts administered by the Comptroller. The DSS appropriation for DMHAS DSH will increase by \$3.0 million to ensure revenue can be maximized in the event the federal cap for DMHAS DSH activities is increased in FFY 2013. This proposal does not result in any net costs; the DSS increase is offset by decreases in the Comptroller's fringe benefit accounts (\$0.8 million) as well as DMHAS' budget (\$2.2 million).</i> 	-2,178,546
<ul style="list-style-type: none"> • Increase Funding for Specialized Discharge and Diversion Placements <i>Funding will facilitate the discharge of approximately 25 difficult-to-place hospitalized clients into appropriate community settings and/or prevent unnecessary hospitalizations by providing "wrap around" housing and services tailored to the needs of individual patients.</i> 	1,517,366
<ul style="list-style-type: none"> • Increase Funding for Community Placements for Individuals with TBI/ABI <i>Funds six additional placements.</i> 	1,500,000
<ul style="list-style-type: none"> • Increase Funding for Medicaid Adult Rehabilitation Option <i>Funding is provided to support the costs of this program for non-reimbursable services.</i> 	800,000
Expansion Adjustments	
<ul style="list-style-type: none"> • Provide Funding for Nursing Home Services for High Need Individuals <i>Individuals who are transitioning from a correctional facility, have criminal justice involvement, or are transitioning from a higher level of care provided by DMHAS have been difficult to place in appropriate care settings. Partial year funding will be provided to support the development of a nursing home to serve this population, with DMHAS covering anticipated costs for individuals who are not Medicaid eligible. Funds are also included under DSS for this proposal.</i> 	300,000
<ul style="list-style-type: none"> • Provide Funding for the Military Support Program <i>One-time funding that supports this program will be exhausted by the end of FY 2012. Funding is provided to continue this program.</i> 	293,461

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	3,574	3,578	3,578	-311	3,267
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	181,608,959	219,207,637	211,068,124	-35,728,585	175,339,539
Other Expenses	27,018,635	29,200,732	28,599,021	31,469	28,630,490
<u><i>Capital Outlay</i></u>					
Equipment	0	1	1	1	2
<u><i>Other Current Expenses</i></u>					
Housing Supports and Services	13,372,304	14,424,867	14,987,367	1,237,000	16,224,367
Managed Service System	38,834,016	38,760,066	38,736,053	-852,343	37,883,710
Legal Services	539,269	639,269	639,269	-100,000	539,269
Connecticut Mental Health Center	8,540,721	8,540,721	8,540,721	0	8,540,721
Professional Services	11,768,510	11,822,615	11,788,898	0	11,788,898
General Assistance Managed Care	151,952,204	182,485,221	195,756,101	-16,350,000	179,406,101
Workers' Compensation Claims	10,448,408	10,833,085	10,594,566	0	10,594,566
Nursing Home Screening	563,643	622,784	622,784	0	622,784
Young Adult Services	54,292,161	60,807,178	64,771,066	-480,000	64,291,066
TBI Community Services	9,143,489	11,215,956	12,711,421	1,500,000	14,211,421
Jail Diversion	4,411,549	4,625,185	4,569,358	0	4,569,358
Behavioral Health Medications	6,160,332	6,169,095	6,169,095	0	6,169,095
Prison Overcrowding	5,533,305	6,440,176	6,416,668	300,000	6,716,668
Medicaid Adult Rehabilitation Option	3,963,349	3,963,349	3,963,349	800,000	4,763,349
Discharge and Diversion Services	8,954,492	10,330,847	12,586,680	1,206,870	13,793,550
Home and Community Based Services	2,920,901	7,660,683	10,252,082	0	10,252,082
Persistent Violent Felony Offenders Act	703,333	703,333	703,333	0	703,333
Next Steps Supportive Housing	1,000,000	0	0	0	0
Nursing Home Contract	0	0	0	300,000	300,000
TOTAL - Other Current Expenses	333,101,986	380,044,430	403,808,811	-12,438,473	391,370,338
<u><i>Pmts to Other Than Govts</i></u>					
Grants for Substance Abuse Services	25,268,420	25,027,766	25,027,766	-725,800	24,301,966
Grants for Mental Health Services	79,615,088	76,394,230	76,394,230	-704,791	75,689,439
Employment Opportunities	10,417,745	10,417,746	10,417,746	0	10,417,746
TOTAL - Pmts to Other Than Govts	115,301,253	111,839,742	111,839,742	-1,430,591	110,409,151
TOTAL - General Fund	657,030,833	740,292,542	755,315,699	-49,566,179	705,749,520

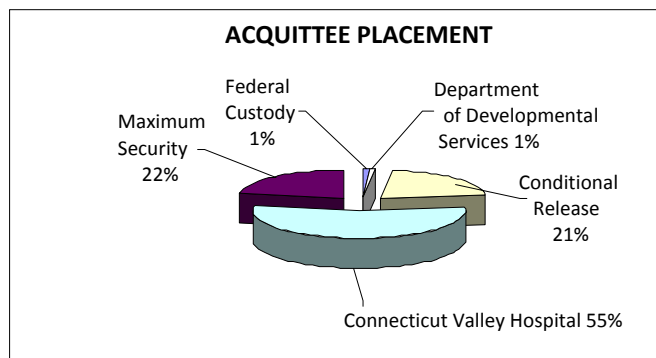
PSYCHIATRIC SECURITY REVIEW BOARD



www.ct.gov/psrb

AGENCY PURPOSE

The Psychiatric Security Review Board is the state agency to which the Superior Court commits persons who are found not guilty of a crime by reason of mental disease or mental defect. It is PSRB's responsibility to review the status of acquittees through an administrative hearing process and order the level of supervision and treatment for the acquittee necessary to ensure public safety. The Psychiatric Security Review Board is governed by Connecticut General Statutes, Sections 17a-580 through 17a-603.



The Psychiatric Security Review Board is recommended for consolidation with the Department of Mental Health and Addiction Services in the Governor's budget as part of his proposal to restructure state government.

RECENT HIGHLIGHTS

- Maintained zero percent criminal recidivism of conditionally released acquittees, as reflected by no criminal convictions.
- Continued modification of agency acquittee database to improve data quality and automation of additional reports.
- Collaborated with Judicial Department's Office of Victim Services to include PSRB in Judicial's Statewide Automated Victim Information and Notification (SAVIN) program, a victim notification system.
- Conducted bimonthly Psychiatric Security Review Board trainings in partnership with the Department of Mental Health & Addiction Services and provided on-site training to a variety of community providers.
- Collaborated with the Department of Public Safety's Sex Offender Registry Unit to review the statutory language pertaining to sex offender registration requirements for acquittees transitioning to the community.
- Modified the board's statutes to clarify the confidentiality of acquittee records in the board's possession.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Restructure State Government

FY 2013
-280,497

The agency will be consolidated within the Department of Mental Health and Addiction Services.

Technical Adjustments

- Annualize FY 2012 Holdbacks

-71,054

AGENCY SUMMARY

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personnel Summary					
<u>Permanent Full-Time Positions</u>					
General Fund	4	4	4	-4	0
Financial Summary					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	316,524	332,091	320,081	-320,081	0
Other Expenses	26,305	31,469	31,469	-31,469	0
<u>Capital Outlay</u>					
Equipment	0	1	1	-1	0
TOTAL - General Fund	342,829	363,561	351,551	-351,551	0



DEPARTMENT OF TRANSPORTATION

<http://www.ct.gov/dot>

AGENCY PURPOSE

- To provide a safe, efficient, and cost-effective transportation system that meets the mobility needs of its users.
- To construct, repair, and maintain the state highway system consisting of over 10,000 lane miles and over 3,800 bridges.
- To provide commuter rail service along the New Haven Line and its branch lines from New Haven to Greenwich and into New York City, and on the Shore Line East corridor from New London to New Haven and Stamford.
- To promote continued operation of all urban and rural bus services, ridesharing and transportation demand management programs.
- To operate and maintain operation of the Connecticut River ferries and facilities located at the Port of New London.
- To focus available resources in the most effective manner, the department established a long-term infrastructure investment strategy with the following five interactive and interrelated goals: to ensure that all transportation systems are operated and maintained in a safe manner; to maintain all transportation systems in a state of good repair; to make investments that increase the productivity of existing systems; to utilize transportation investments to promote and facilitate economic development; and to provide additional transportation capacity where it is essential.
- To support and adhere to Responsible Growth principles, criteria and initiatives across Connecticut and ensure that transportation projects and programs are consistent with the state's Plan of Conservation and Development. Also, the department, in conjunction with other state agencies, is committed to reduce greenhouse gas emissions as part of the state's climate change efforts, and to implement complete street strategies.
- To monitor transportation trends and forecast future needs of the transportation system and to develop transportation plans and services to address those needs.
- To ensure that the department's long-term investment strategy is consistent with the need to maintain the economic competitiveness of the state in the global economy.

RECENT HIGHLIGHTS

HIGHWAY IMPROVEMENTS

The department completed two long-anticipated highway improvements. The first is the expansion of existing Route 7 in Danbury and Wilton to improve capacity and traffic operations in this vital commuter corridor in western Connecticut. The second is the Route 72 boulevard in Bristol, providing a new east/west corridor and improved access to downtown.

FAIRFIELD RAIL STATION

A new rail station opened in Fairfield ("Fairfield Metro") with approximately one thousand, four hundred parking spaces to meet the increase in ridership demand on the New Haven Line. This is just the latest in a series of initiatives which provides new facilities and capacity for rail riders using this transportation asset.

M-8 CARS

New electric multiple unit (EMU) M-8 rail cars have been put into service on the New Haven Line. M-8's are deployed as they are delivered after completing required operational testing. By the end of 2011, 60 M-8 cars were in service. The full fleet of 405 M-8's will be in service by the fourth quarter of 2014 and the existing EMU fleet vehicles will be decommissioned.

LAW ENFORCEMENT SUPPORT

The department teamed with law enforcement agencies to conduct a successful advertising and enforcement campaign to reduce distracted driving in the Hartford region. The effort achieved major reductions in illegal cell phone use by drivers and the program is now being used as a national model by the US Department of Transportation.

SERVICE PLAZAS

The redevelopment of the 23 service plazas began. To date, two locations have been redeveloped and reopened, with four additional locations currently under construction. Redevelopment of all sites will be completed by May 2015.

CONNECTICUT AIRPORT AUTHORITY

The department has begun the transition of Bradley International Airport and the state's five other general aviation airports—Danielson, Groton/New London, Hartford Brainard, Waterbury/Oxford, and Windham—to the newly created Connecticut Airport Authority (CAA). Public Act 11-84 established the CAA, governed by an 11-member board, to develop, improve and operate the aforementioned airports as well any airports the CAA subsequently owns, operates and manages.

NEW HAVEN HARBOR CROSSING

The I-95 New Haven Harbor Crossing Corridor Improvement Program is entering its twelfth year of construction and is on schedule and within budget. A major milestone was achieved with the opening of the new Route 34 flyover bridge, a nearly 19,00 foot long, 8-span bridge, on June 5, 2011. This major program achievement improves traffic safety and operations along I-95 and simplifies maintenance and protection of traffic during the reconstruction of the I-95/I-91/Route 34 interchange.

NEW BRITAIN-HARTFORD BUSWAY

The department was successful in its application for federal New Starts funding for the New Britain-to-Hartford busway. Contracts are out to bid and full scale construction activity will be underway by spring 2012. The busway is anticipated to be in operation by the end of 2014.

FEDERAL HIGH SPEED RAIL FUNDING

The department was successful in its application for Federal Railroad Administration High Speed Rail funds for infrastructure improvements on the New Haven-Hartford-Springfield (NHHS) rail line. Environmental and design work have begun to upgrade this critical rail corridor to meet future ridership demand. Major track construction will begin in 2014 with new rail services to begin in 2016.

BUS FLEET RENEWAL

A significant renewal of the statewide bus fleet has been completed in calendar year 2011. The department has received the last of 136 new heavy-duty buses (95 diesel and 41 hybrid) ordered during 2010, including 31 60-foot high capacity articulated buses. In addition, four new fuel-cell-powered buses were delivered during 2011. The five fuel cell buses now in service at CT Transit represent the largest fleet of fuel cell buses east of California.

RECOMMENDED ADJUSTMENTS

Reductions	<u>FY 2013</u>
<ul style="list-style-type: none"> • Reduce Administrative Asset Budget for Metro North Railroad <i>Aligns funding to Metro North with actual costs of the New Haven Line.</i> 	-1,000,000
Reallocations or Transfers	
<ul style="list-style-type: none"> • Transfer Transportation to Work Funding from DSS <i>The Transportation to Work Program provides a variety of transportation services such as extended fixed route bus service, van pools and fare subsidies. DSS and DOT have worked in partnership to develop contract directives for the five regional transportation programs. This proposal consolidates the state funding for this program in one agency.</i> 	3,155,532
Technical Adjustments	
<ul style="list-style-type: none"> • Annualize Wage Freeze Savings • Annualize FY 2012 Holdbacks • Reduce Rail Operations Subsidy <i>Reflects annualized savings due to January 1, 2012 fare increase.</i> • Reduce Bus Operations Subsidy <i>Reflects annualized savings due to January 1, 2012 fare increase.</i> • Reduce ADA Subsidy <i>Reflects annualized savings due to January 1, 2012 fare increase.</i> • Restore Funding Related to Reimbursements • Provide Funding for Motor Vehicle Fuel Based on Actual Costs 	-8,057,133 -6,218,520 -9,893,762 -2,264,232 -90,233 750,000 2,155,000
Expansion Adjustments	
<ul style="list-style-type: none"> • Increase Funding in Pay-As-You-Go Account <i>Funding in this account is used for non-bondable transportation projects - those with a project life of less than twenty years - as well as highway and bridge projects.</i> • Expand Tree Trimming Program • Increase Tweed-New Haven Airport Grant • Expand Shore Line East Weekend Rail Service <i>Expands service on the Shore Line East rail line by extending weekend train service. The additional service provides five round trips between Old Saybrook and New London, and one round trip between New Haven and Old Saybrook beginning April 1, 2013.</i> • Expand Night Bus Service in Waterbury 	5,000,000 2,000,000 500,000 260,677 112,500

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
Special Transportation Fund	3,294	3,292	3,276	-300	2,976

Budget Summary

Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	161,349,424	169,441,130	162,240,011	-14,112,857	148,127,154
Other Expenses	57,338,652	49,396,497	49,228,630	2,742,204	51,970,834
<u>Capital Outlay</u>					
Equipment	2,854,772	1,642,000	1,743,000	0	1,743,000
Minor Capital Projects	455,665	332,500	332,500	0	332,500
Highway & Bridge Renewal-Equipment	7,197,441	12,000,000	7,000,000	0	7,000,000
TOTAL - Capital Outlay	10,507,878	13,974,500	9,075,500	0	9,075,500
<u>Other Current Expenses</u>					
Highway Planning and Research	2,463,061	2,981,000	3,105,000	0	3,105,000
Rail Operations	126,681,216	144,997,567	155,715,305	-10,633,085	145,082,220
Bus Operations	132,605,184	135,029,058	139,464,784	-2,151,732	137,313,052
Highway and Bridge Renewal	13,730,027	0	0	0	0
Tweed-New Haven Airport Grant	1,500,000	1,000,000	1,000,000	500,000	1,500,000
ADA Para-transit Program	25,523,811	27,175,000	28,880,000	-90,233	28,789,767
Non-ADA Dial-A-Ride Program	576,357	576,361	576,361	0	576,361
SE CT Intermodal Transportation Center	15,000	0	0	0	0
Pay-As-You-Go Transportation Projects	0	27,718,098	22,687,740	7,000,000	29,687,740
TOTAL - Other Current Expenses	303,094,656	339,477,084	351,429,190	-5,375,050	346,054,140
<u>Pmts to Other Than Govts</u>					
Transportation for Employment Indep	0	0	0	3,155,532	3,155,532
<u>Pmts to Local Governments</u>					
Town Aid Road Grants	0	30,000,000	30,000,000	0	30,000,000
Emergency Relief - Town Repairs	340,400	0	0	0	0
TOTAL - Pmts to Local Governments	340,400	30,000,000	30,000,000	0	30,000,000
TOTAL - Special Transportation Fund	532,631,010	602,289,211	601,973,331	-13,590,171	588,383,160

DEPARTMENT OF SOCIAL SERVICES



<http://www.ct.gov/dss>

AGENCY PURPOSE

The Department of Social Services (DSS) provides a continuum of core services to families and individuals who need assistance in maintaining or achieving their full potential for self-direction, self-reliance and independent living in cooperation with other state agencies, municipalities, and community-based organizations. The

department administers, coordinates, plans, delivers and funds approximately ninety health and human service programs to support eligible children, youth, families, adults, elderly and people with disabilities. Over half a million Connecticut individuals are assisted by DSS' programs.

RECENT HIGHLIGHTS

NEW HUSKY HEALTH PROGRAM

In January 2012, the department launched the new HUSKY Health program, an innovative model of care management for all medical assistance recipients. Under this new model, DSS is contracting with a medical administrative services organization (ASO) to provide a broad range of member and provider services and supports. The ASO is responsible for improving client care experiences, quality of service, and overall cost-effectiveness. The ASO manages inpatient hospital and other medical health services and provides a wide range of member services, including referral assistance and appointment scheduling, health education, and intensive care management for clients with exceptional health challenges. The ASO also performs a variety of utilization management functions such as prior authorization, health data analytics, and reporting.

HUSKY A, HUSKY B, AND THE CHARTER OAK HEALTH PLAN

The HUSKY A and HUSKY B programs and the Charter Oak Health Plan provide access to affordable health care for children and adults up to age 65, regardless of income, with many lower income individuals and families receiving financial assistance in the form of state subsidized premiums. The department previously contracted with three managed care organizations to provide services, but effective January 1, 2012, the HUSKY Health ASO became responsible for authorizing and managing the medical health services for all HUSKY A, HUSKY B, and Charter Oak Health Plan clients, with all medical health services rendered by providers enrolled in the department's Connecticut Medical Assistance Program network.

MEDICAID FEE-FOR-SERVICE PROGRAMS

Effective January 1, 2012, the HUSKY Health ASO also began authorizing and managing the medical health services for the Medicaid Aged, Blind and Disabled (ABD) and the Low Income Adult (LIA) populations. The portion of the program that serves Medicaid ABD participants is now referred to as HUSKY C while the portion that serves Medicaid LIA participants is referred to as HUSKY D. HUSKY C and D clients have access to all of the same member services and supports that the ASO provides for HUSKY A and HUSKY B clients.

CONNECTICUT BEHAVIORAL HEALTH PARTNERSHIP

Since January 2006, the department has managed behavioral health services under a joint contract with the Department of Children and Families. In April 2011, the Connecticut Behavioral Health Partnership was expanded to include the Department of Mental Health and Addiction Services. Under the Partnership, participating agencies contract with a behavioral health ASO to manage all behavioral health services available under HUSKY Health and the DCF Voluntary Services Programs, as well as select DCF-funded residential and community services. The development of this integrated

administrative model has strengthened the state's ability to: involve families and adult consumers in policy and planning, serve children and adults in their homes and communities, reduce unnecessary hospital stays, and manage the program to higher outcome and performance standards.

CONNECTICUT DENTAL HEALTH PARTNERSHIP

The Connecticut Dental Health Partnership continues to work to ensure that all clients under HUSKY Health receive appropriate, need-based care, managing dental services for clients, recruiting new providers into the program and providing extensive program outreach.

MONEY FOLLOWS THE PERSON

The federal Money Follows the Person (MFP) Rebalancing Demonstration Grant encourages states to reduce their reliance on institutional care for Medicaid recipients by transitioning individuals from institutional settings to community settings with appropriate supports. As of December 2011, 772 persons had transitioned to the community under MFP; an additional 104 non-MFP clients were also transitioned to the community.

In February 2011, Governor Malloy announced the MFP "right-sizing" initiative. Funded by the federal Centers for Medicare and Medicaid Services, this initiative aims to rebalance Connecticut's long-term services and supports system by removing barriers and providing persons participating in the Medicaid program choices regarding where they receive those services and supports. Recognizing that removing barriers to choice is likely to result in decreased demand for institutional care, the right-sizing initiative encourages nursing facilities to diversify their existing business model by awarding competitive grants to nursing facilities that align business practices with the MFP strategic plan.

MEDICARE SAVINGS PROGRAMS

Under the Medicare Savings Programs (MSP), the state covers a portion of out-of-pocket Medicare costs (such as premiums and co-insurance amounts) for Medicare beneficiaries with Medicaid funds. In FY 2010, DSS expanded eligibility to allow ConnPACE clients to enroll in MSP. As part of the 2011 legislative session, ConnPACE clients who are Medicare eligible are now required to enroll in MSP. By enrolling in MSP, ConnPACE clients qualify for the federal low-income subsidy under Medicare Part D and, in addition, the majority of ConnPACE clients have their Medicare Part A and B premiums, deductibles and co-insurance covered by DSS, while a smaller portion of clients with slightly higher incomes have their Medicare Part B premiums covered. This policy change has resulted in a significant drop in ConnPACE enrollment – from approximately 26,992 in January 2011 to approximately 150 in January 2012.

BUREAU OF REHABILITATIVE SERVICES

In accordance with the Governor's directive for a statewide realignment of resources, the 2011 legislative session created the Bureau of Rehabilitative Services (BRS) effective July 1, 2011. BRS is a consolidation of the following programs: DSS' Bureau of Rehabilitation Services, the Board of Education and Services for the Blind, and the Commission on Deaf and Hearing Impaired, as well as the Workers' Rehabilitation Program from the Workers Compensation Commission, and the Driver Training Program for Individuals with Disabilities from the Department of Motor Vehicles. BRS is currently aligned with DSS for administrative purposes only.

CHILD CARE

Under the Governor's 2011 realignment of resources, the statewide Child Day Care Program was transferred from DSS to the State Department of Education. DSS retained the lead for managing the federal Child Care Development Fund, coordinating the \$52 million block grant to ensure federal funds complement state-funded programs and services.

CHILD SUPPORT

Although total collections in Title IV-D child support cases decreased slightly in FY 2011 due to economic conditions, collections still exceeded \$360 million last fiscal year.

RECOMMENDED ADJUSTMENTS**Reductions**

	FY 2013
<ul style="list-style-type: none"> • Restructure Medicaid for Low-Income Adults (LIA) under a Waiver <i>The Medicaid expansion for low-income adults has resulted in significant unbudgeted costs. Since CMS' approval of the expansion, the caseload for this program has increased 60%, from 46,156 in June 2010 to 74,073 in December 2011, in large part due to the elimination of an asset test. Under this proposal, DSS will seek a waiver to (1) impose an asset limit of \$25,000 under LIA; (2) count family income and assets when determining LIA eligibility for an individual who is under age 26 and either living with a parent or claimed as a dependent for tax purposes; and (3) impose limits on certain medical services. Additional savings of \$5.6 million are reflected in the Department of Mental Health and Addiction Services.</i> 	-16,900,000
<ul style="list-style-type: none"> • Strengthen Rebalancing Efforts by Revising Rates for Medication Administration <i>Nationally, Connecticut represented 30% of the cases under the federal Money Follows the Person Demonstration that did not result in transitions to the community due to care plan costs exceeding the individual's institutional costs. While the cost of medication administration is not the only cost driver, it is one of the primary cost drivers. Under this proposal, rates for medication administration will be reduced by 10%. This change will support long-term care rebalancing efforts by reducing community care plan costs.</i> 	-10,300,000
<ul style="list-style-type: none"> • Expand Options for Medication Administration <i>This proposal aligns the state's medication administration policy with the principles of person-centered planning and consumer choice by (1) allowing agency-based personal care assistants (PCAs) to administer medications in the home, similar to the PCAs under the PCA waiver where the care is self-directed, (2) permitting nurses at home health agencies to delegate administration of medication to specially trained and qualified home health aides, and (3) allowing clients to gain a higher level of independence by providing access to assistive technology such as medication reminders and automatic pill dispensers for medication administration when cost-effective.</i> 	-10,240,000
<ul style="list-style-type: none"> • Restructure Reimbursement Rates for Composite Resin Restorations and Dentures <i>This proposal reduces the reimbursement for posterior composite resin restorations to the same level as silver amalgam. This is consistent with most commercial dental plans as well as other state Medicaid programs, which typically reimburse dentists for both filling types at an identical rate so as not to encourage the over-utilization of composite resins. Under this proposal, a portion of the savings will be reallocated to increase the reimbursement for dentures in order to more adequately reimburse providers and to encourage the provision of better quality dentures.</i> 	-8,270,000
<ul style="list-style-type: none"> • Reflect Decreased Costs for Community Living Arrangements and Intermediate Care Facilities <i>Last year, the mortgages for a significant number of the state's community living arrangements for the aged, blind and disabled and the state's private intermediate care facilities for the developmentally disabled were paid off. Because current legislation freezes rates through FY 2013, there is no mechanism to reduce rates in recognition of these reduced costs. Under this proposal, any facility that has a significant decrease in land and building costs will receive a reduced rate to reflect the reduction in costs.</i> 	-5,219,000
<ul style="list-style-type: none"> • Retain Funding for the Nurturing Families Network and the Help Me Grow Program <i>This proposal eliminates funding for the following programs in the Children's Trust Fund: Family Empowerment, Family School Connection, the Children's Law Center and General Fund support for the Kinship Fund. The Kinship Fund will continue to receive \$1 million in FY 2013 from the Probate Court Administrative Fund. Funding for the Nurturing Families Network, which provides intensive home visiting for new parents who are at high risk of abusing or neglecting their child, and the Help Me Grow program, which provides services to identify children at risk for developmental or behavioral problems and to connect these children to existing community resources that can help, will be maintained.</i> 	-2,341,402

<ul style="list-style-type: none"> • Delay Implementation of the HIV/AIDS Waiver <i>The HIV/AIDS waiver is a home and community-based services waiver that is being developed by DSS to provide additional services beyond those traditionally offered under Medicaid for up to 50 persons living with symptomatic HIV or AIDS. Under this proposal, implementation of the waiver will be delayed until FY 2014; individuals who might have otherwise been served under the waiver will continue to be eligible for the traditional services available under Medicaid.</i> 	-1,800,000
<ul style="list-style-type: none"> • Restructure Dental Benefits by Shifting to a Client-Centered Benefit Model <i>Currently, a client can go to multiple dental providers and receive the same treatment from all locations, resulting in excessive and unnecessary services and costs. This proposal shifts dental benefits from this provider-centered benefit model to a client-centered benefit model. Similar to the person-centered medical home model, the use of a dental home model will (1) help to ensure the coordination of oral health services, (2) improve access to care, (3) reduce the over-utilization of services when clients seek treatment from multiple sources, and (4) result in overall savings due to more adequate preventive care and early diagnosis and treatment.</i> 	-1,740,000
<ul style="list-style-type: none"> • Reduce Funding for Various Non-Entitlement Grant Accounts <i>This proposal reduces funding by 10% for the following non-entitlement accounts: HUSKY Outreach, Healthy Start, Safety Net Services and Alzheimer's Respite.</i> 	-601,098
<ul style="list-style-type: none"> • Revise Rate for Air Ambulances <i>This proposal reverts to the air ambulance rate in place in FY 2011.</i> 	-600,000
<ul style="list-style-type: none"> • Add Adult Family Living as a Service under the Connecticut Home Care Program and the PCA Waiver <i>This proposal expands the services available under the Connecticut Home Care Program for Elders and the PCA waiver by adding Adult Family Living. The addition of this service will help strengthen the state's rebalancing efforts by providing a cost-effective alternative to clients who are institutionalized or at risk of nursing home placement and in need of 24-hour supervision and assistance with activities of daily living.</i> 	-500,000
<ul style="list-style-type: none"> • Eliminate Funding for the Jobs First Employment Services Pilot <i>This option eliminates funding for the pilot, which has not begun.</i> 	-150,000
<ul style="list-style-type: none"> • Freeze Rates for Certain Residential Service Providers <i>Since FY 2009, rates have been frozen for community living arrangements and intermediate care facilities for the developmentally disabled that submit annual cost reports to the department. This proposal freezes rates for community living arrangements, residential care homes and community training homes that do not submit cost reports.</i> 	-149,000
<ul style="list-style-type: none"> • Remove Funds for Advocacy Agency <i>This proposal eliminates funds that support advocacy efforts of the Brain Injury Association of Connecticut. The association receives, on average, approximately \$150,000 per year from speeding ticket revenues.</i> 	-126,362
<ul style="list-style-type: none"> • Reduce Funding for HUSKY Performance Monitoring <i>The department funds a contract to provide analyses of trends in HUSKY enrollment, access to care and utilization of health care services. With the new administrative services organization performing many of these functions beginning January 1, 2012, funding for this contract will be reduced. In addition, this proposal renames the Children's Health Council account to HUSKY Performance Monitoring to better describe the services that are being provided.</i> 	-43,317
Reallocations or Transfers	
<ul style="list-style-type: none"> • Restructure State Government <i>Housing programs will be consolidated within the Department of Economic and Community Development (DECD). The housing programs that will be transferred from DSS to DECD include the Rental Assistance Program, Residences for Persons with AIDS, Emergency Shelters for Homeless, Special Projects for Homeless Shelters, Transitional Living, Shelter and Services for Victims of Domestic Violence, Housing Mediation Services, Rent Bank, and the Security Deposit Guarantee program.</i> 	-57,768,658
<ul style="list-style-type: none"> • Transfer Various Child Care Programs and Quality Enhancement Funding to the State Department of Education <i>The State Department of Education (SDE) currently operates before and after school child care programs. In FY 2012, funding for the quality enhancement grant for the School Readiness program was transferred to SDE. This proposal will further align statewide child care programs and child care quality initiatives under one agency by transferring the remainder of quality enhancement funds in DSS as well as afterschool programs for adolescents and before and after school programs for school age children.</i> 	-3,159,757
<ul style="list-style-type: none"> • Transfer Transportation to Work Funding to the Department of Transportation <i>The Transportation to Work Program provides a variety of transportation services such as extended fixed route bus service, van pools and fare subsidies. Currently, DSS and DOT staff work together to develop contract directives for the five regional transportation programs. This proposal consolidates the state funding for this program under one agency.</i> 	-3,155,532
<ul style="list-style-type: none"> • Reallocate Service Funds for Supportive Housing to the Department of Mental Health and Addiction Services <i>This proposal reallocates funding for wrap around services to families in supportive housing from DSS to DMHAS. Reallocating these funds will eliminate the need for DSS to transfer funds to DMHAS and to contract for service funds that are more appropriately managed by DMHAS staff with disability expertise.</i> 	-1,237,000
<ul style="list-style-type: none"> • Transfer Funding for Two Positions to the Office of the Attorney General 	-177,010

Budget Summary

• Reallocate Administrative Positions to BRS <i>Under this proposal, two positions and funding are reallocated to the Bureau of Rehabilitative Services to support the administrative functions previously performed by DSS.</i>	-118,000
• Expand the Private Pay Assisted Living Pilot <i>The Private Pay Assisted Living Pilot subsidizes the service costs for persons age 65 and older who reside in participating private assisted living communities and who are eligible for the Connecticut Home Care Program for Elders. Currently, the pilot can serve a total of 75 individuals, who, after living in a private assisted living facility, have spent down their assets and now require help with their living expenses. This proposal increases the number that can be served under the pilot from 75 to 125.</i>	0
• Reallocate AIDS Interfaith Services to the Community Services Account <i>This adjustment transfers AIDS Interfaith Services, which provides case management, from the Housing/Homeless Services account to the Community Services account to more appropriately reflect the services provided under the grant.</i>	0
• Transfer Funding for Inmate Medical Costs from the Department of Correction <i>Under this revenue maximization initiative, funds are transferred from the Department of Correction to DSS to support Medicaid reimbursable acute care costs for inmates.</i>	8,817,940
Technical Adjustments	
• Annualize Wage Freeze Savings	-5,229,113
• Annualize FY 2012 Holdbacks	-4,504,125
• Adjust Funding to Reflect Current Expenditure and Caseload Trends	-1,587,000
• Reallocate Information Technology Positions to the Department of Administrative Services	-174,000
• Realign Psychiatric Disproportionate Share Hospital Funding <i>DSS makes Disproportionate Share Hospital (DSH) payments to DMHAS for the purposes of claiming federal reimbursement on state-funded inpatient psychiatric hospitals. A portion of the DSH payments to DMHAS are used to offset certain fringe benefit accounts administered by the Comptroller. The DSS appropriation for DMHAS DSH will increased by \$3.0 million to ensure revenue can be maximized in the event the federal cap for DMHAS DSH activities is increased in FFY 2013. This proposal does not result in any net costs; the DSS increase is offset by decreases in the Comptroller's fringe benefit accounts (\$0.8 million) as well as DMHAS' budget (\$2.2 million).</i>	3,000,000
Expansion Adjustments	
• Strengthen Rebalancing Efforts under Money Follows the Person <i>This proposal supports several initiatives related to the rebalancing efforts under Money Follows the Person and includes funds to: (1) provide grants to nursing facilities to support right-sizing (\$3.0 million); (2) develop a marketing plan for direct care workers, and provide job assistance and re-training (\$400,000); (3) create a standardized level of care process across long-term services and supports (\$300,000); (4) create an automated, web-based system to transition care from hospitals to the community (\$250,000); and (5) add independent support broker to the menu of services available under the PCA waiver.</i>	3,950,000
• Provide Funding for Nursing Home Services for High Need Individuals <i>Individuals who are transitioning from a correctional facility, have criminal justice involvement, or are transitioning from a higher level of care provided by DMHAS have been difficult to place in appropriate care settings. Partial year funding is provided to cover anticipated costs to the Medicaid program for nursing home services for this population. Funding is also included under DMHAS for this initiative.</i>	2,299,500
• Expand Personal Care Assistance Services <i>To be eligible for services under the Personal Care Assistance waiver, individuals must: (1) be between the ages of 18 and 64 at the time of application, (2) have a significant need for hands-on assistance, (3) lack family and community supports to meet the need, and (4) be at risk of institutionalization. Currently, when PCA waiver participants reach the age of 65, they have the option to remain on the PCA waiver or to transition to the Connecticut Home Care Program for Elders (CHCPE) waiver. Under this proposal, effective April 1, 2013, all participants age 65 and older will be required to transition to the CHCPE waiver where they will continue to receive the same services. This policy change will reduce the waitlist for program services under the PCA waiver and allow additional clients to receive waiver services.</i>	600,000
• Provide Funding to Support Improvements to the Child Support Enforcement System <i>This proposal will allow for the initial planning and development of various enhancements to DSS' Child Support Enforcement System.</i>	300,000
Revenue Adjustments	
• Shift Housing/Homeless Programs to the Social Services Block Grant <i>Under this proposal, Social Services Block Grant funding will be redistributed from the Department of Developmental Services (DDS) to DSS, to allow DDS to seek Medicaid reimbursement for case management services. Emergency Shelters will be funded through the Social Services Block Grant, thereby reducing the state's expenditures by an equivalent amount.</i>	-2,044,844

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,804	1,806	1,806	-14	1,792
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	105,769,683	120,436,042	116,581,562	-10,191,961	106,389,601
Other Expenses	85,989,616	89,316,801	88,800,670	24,838,990	113,639,660
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Children's Trust Fund	13,047,275	12,267,430	13,067,430	-2,341,402	10,726,028
Children's Health Council	191,185	218,317	218,317	-218,317	0
HUSKY Outreach	335,564	335,564	335,564	-33,556	302,008
Genetic Tests in Paternity Actions	103,080	191,142	191,142	0	191,142
State-Funded Suppl Nutrition Assistance	862,674	1,414,090	2,025,966	-692,000	1,333,966
Day Care Projects	478,820	0	0	0	0
HUSKY Program	35,730,368	37,700,000	42,600,000	-12,710,000	29,890,000
Charter Oak Health Plan	13,345,295	8,770,000	7,760,000	-4,410,000	3,350,000
HUSKY Performance Monitoring	0	0	0	175,000	175,000
TOTAL - Other Current Expenses	64,094,261	60,896,543	66,198,419	-20,230,275	45,968,144
<u>Pmts to Other Than Govts</u>					
Vocational Rehabilitation	7,386,666	0	0	0	0
Medicaid	4,465,884,094	4,632,073,500	4,755,161,500	-19,812,560	4,735,348,940
Lifestar Helicopter	1,388,190	0	0	0	0
Old Age Assistance	35,523,455	35,599,937	36,063,774	-31,000	36,032,774
Aid to the Blind	772,727	771,201	766,494	-17,000	749,494
Aid to the Disabled	61,168,548	61,785,351	61,977,284	-2,004,000	59,973,284
Temporary Assist to Families - TANF	117,216,523	120,551,266	122,160,034	-9,123,000	113,037,034
Emergency Assistance	0	1	1	0	1
Food Stamp Training Expenses	5,275	12,000	12,000	0	12,000
Conn Pharmaceutical Assist to Elderly	5,976,484	789,900	380,000	-70,000	310,000
Healthy Start	1,490,220	1,490,220	1,490,220	-149,022	1,341,198
DMHAS – Disproportionate Share	105,935,000	105,935,000	105,935,000	3,000,000	108,935,000
Connecticut Home Care Program	47,402,481	62,612,500	65,086,100	-17,770,000	47,316,100
Human Resource Dev-Hispanic Pgms	1,040,365	936,329	936,329	0	936,329
Services to the Elderly	3,836,871	3,911,369	3,911,369	0	3,911,369
Safety Net Services	2,035,582	1,890,807	1,890,807	-189,081	1,701,726
Transportation for Employment Indep	2,446,780	3,155,532	3,155,532	-3,155,532	0
Transitional Rental Assistance	337,720	0	0	0	0
Refunds of Collections	0	177,792	177,792	-120,000	57,792
Services for Persons with Disabilities	646,138	627,227	627,227	-126,362	500,865
Child Care Services - TANF/CCDBG	98,516,236	97,598,443	104,304,819	136,000	104,440,819
Nutrition Assistance	447,663	447,663	447,663	0	447,663
Housing/Homeless Services	46,506,576	55,311,780	59,824,050	-59,824,050	0
Employment Opportunities	931,101	0	0	0	0
Human Resource Development	38,581	0	0	0	0
Child Day Care	10,617,392	0	0	0	0
Independent Living Centers	643,927	0	0	0	0
AIDS Drug Assistance	606,678	0	0	0	0
Disproportionate Share-Med Emer Asst	51,725,000	268,486,847	268,486,847	0	268,486,847
DSH-Urban Hospitals/Distressed	31,550,000	0	0	0	0
State Administered General Assistance	-2,233,231	14,550,817	14,723,163	0	14,723,163
Child Care Quality Enhancements	4,561,766	3,745,687	3,745,687	-2,937,757	807,930
Connecticut Children's Medical Center	11,020,000	10,579,200	10,579,200	0	10,579,200
Community Services	2,597,998	1,847,615	1,798,865	-527,703	1,271,162
Alzheimer Respite Care	2,294,388	2,294,388	2,294,388	-229,439	2,064,949
Human Svcs Infrastructure CAP	3,300,267	3,418,970	3,418,970	0	3,418,970
Teen Pregnancy Prevention	1,476,883	1,914,339	1,914,339	0	1,914,339
TOTAL - Pmts to Other Than Govts	5,125,094,344	5,492,515,681	5,631,269,454	-112,950,506	5,518,318,948

Budget Summary

Pmts to Local Governments

Child Day Care	5,263,706	0	0	0	0
Human Resource Development	31,034	0	0	0	0
Human Resource Dev-Hispanic Pgms	5,900	5,310	5,310	0	5,310
Teen Pregnancy Prevention	459,191	143,600	143,600	0	143,600
Services to the Elderly	44,405	44,405	44,405	0	44,405
Housing/Homeless Services	666,597	634,026	634,026	-634,026	0
Community Services	116,358	87,268	87,268	0	87,268
TOTAL - Pmts to Local Governments	<u>6,587,191</u>	<u>914,609</u>	<u>914,609</u>	<u>-634,026</u>	<u>280,583</u>
TOTAL - General Fund	5,387,535,095	5,764,079,677	5,903,764,715	-119,167,778	5,784,596,937
Other Expenses	475,000	475,000	475,000	0	475,000
TOTAL - Insurance Fund	<u>475,000</u>	<u>475,000</u>	<u>475,000</u>	<u>0</u>	<u>475,000</u>
TOTAL - ALL FUNDS	5,388,010,095	5,764,554,677	5,904,239,715	-119,167,778	5,785,071,937



SOLDIERS, SAILORS AND MARINES' FUND

AGENCY PURPOSE

- To provide temporary financial assistance to needy wartime veterans and their families.
- To provide counseling and guidance to veterans by helping to alleviate the circumstances which create the need for assistance.
- To refer veterans to appropriate state, federal and local organizations in circumstances where long-term assistance is required.

RECENT HIGHLIGHTS

- The agency participated in demobilization briefings for redeploying Connecticut National Guard troops in order to ensure their awareness of the assistance available to them from the Soldiers, Sailors and Marines' Fund. The agency also participated in "Stand Down" at the Veterans Home in Rocky Hill in September 2011, as part of its outreach efforts to the veteran population.
- A statewide training seminar for volunteer fund representatives was held in Rocky Hill in January 2011.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize Wage Freeze Savings

FY 2013

-12,124

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><i>Permanent Full-Time Positions</i></u>					
Soldiers, Sailors and Marines Fund	9	9	9	0	9
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	568,455	614,866	604,504	-12,124	592,380
Other Expenses	37,727	54,397	42,397	0	42,397
<u><i>Other Current Expenses</i></u>					
Award Payments to Veterans	1,974,595	1,979,800	1,979,800	0	1,979,800
Fringe Benefits	384,005	411,973	424,835	0	424,835
TOTAL - Other Current Expenses	2,358,600	2,391,773	2,404,635	0	2,404,635
TOTAL - Soldiers, Sailors and Marines Fund	2,964,782	3,061,036	3,051,536	-12,124	3,039,412

BUREAU OF REHABILITATIVE SERVICES

<http://www.ct.gov/cbrs>

AGENCY PURPOSE

The Bureau of Rehabilitative Services directly provides and coordinates services to assist and enable people with disabilities to achieve and maintain success in employment, education and

independent living. The Bureau provides technical assistance to employers, school districts and community organizations on disability-related matters to ensure full inclusion and integration.

RECENT HIGHLIGHTS

AGENCY CONSOLIDATION

Public Act 11-44 consolidated the Bureau of Rehabilitation Services formerly in the Department of Social Services, the Board of Education and Services for the Blind, and the Commission on Deaf and Hearing Impaired, as well as the Workers' Rehabilitation Program from the Workers' Compensation Commission, and Driver Training Program for Individuals with Disabilities from the Department of Motor Vehicles into the new Bureau of Rehabilitative Services.

RECOMMENDED ADJUSTMENTS

Reductions

- Reduce Funding for Independent Living Centers

FY 2013

-273,669

Technical Adjustments

- Annualize Wage Freeze Savings
- Add Funds for Agency Head

-384,925

134,000

Public Act 11-44 established the Bureau of Rehabilitative Services with a director to oversee the agency, but the adopted budget did not provide funding for the director. This proposal adds one position and related funding.

- Add Position to the Driver's Training Program for Persons with Disabilities

78,800

Funding for a driver's training consultant will be provided to support the Driver's Training Program for Persons with Disabilities.

- Provide Funding to Support the Development of a Cost Allocation Plan for BRS

25,000

Currently, indirect costs vocational rehabilitation programs are included under the Department of Social Services' cost allocation plan. Funding is provided to support the development of a cost allocation plan for this new agency.

Expansion Adjustments

- Provide Administrative Support Positions

430,000

Public Act 11-44 established the Bureau of Rehabilitative Services within the Department of Social Services for administrative purposes only. Currently, the administrative support functions for the BRS are spread across three agencies, which has been ineffective at meeting the agency's needs. Seven positions and related funding will permit BRS to develop its own administrative capacity.

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	101	101	8	109
Special Transportation Fund	0	2	2	1	3
Workers' Compensation Fund	0	6	6	0	6

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	0	4,733,062	4,599,638	179,075	4,778,713
Other Expenses	0	991,631	991,631	25,000	1,016,631
<u>Capital Outlay</u>					
Equipment	0	2	2	0	2
<u>Other Current Expenses</u>					
Part-Time Interpreters	0	195,241	191,633	0	191,633
Educ Aid Blind/Visually Handicap Child	0	4,839,899	4,821,904	0	4,821,904
Enhanced Employment Opportunities	0	673,000	673,000	0	673,000
TOTAL - Other Current Expenses	0	5,708,140	5,686,537	0	5,686,537
<u>Pmts to Other Than Govts</u>					
Vocational Rehabilitation - Disabled	0	7,386,668	7,386,668	0	7,386,668
Supplementary Relief and Services	0	103,925	103,925	0	103,925
Vocational Rehabilitation	0	890,454	890,454	0	890,454
Special Training for the Deaf Blind	0	298,585	298,585	0	298,585
Connecticut Radio Information Service	0	87,640	87,640	0	87,640
Employment Opportunities	0	1,052,829	1,052,829	0	1,052,829
Independent Living Centers	0	547,338	547,338	-273,669	273,669
TOTAL - Pmts to Other Than Govts	0	10,367,439	10,367,439	-273,669	10,093,770
TOTAL - General Fund	0	21,800,274	21,645,247	-69,594	21,575,653
Personal Services	0	116,274	116,274	78,800	195,074
Other Expenses	0	14,436	14,436	0	14,436
TOTAL - Special Transportation Fund	0	130,710	130,710	78,800	209,510
Personal Services	0	503,698	487,578	0	487,578
Other Expenses	0	23,400	24,500	0	24,500
<u>Other Current Expenses</u>					
Rehabilitative Services	0	1,261,913	1,261,913	0	1,261,913
Fringe Benefits	0	337,478	336,429	0	336,429
TOTAL - Other Current Expenses	0	1,599,391	1,598,342	0	1,598,342
TOTAL - Workers' Compensation Fund	0	2,126,489	2,110,420	0	2,110,420
TOTAL - ALL FUNDS	0	24,057,473	23,886,377	9,206	23,895,583



DEPARTMENT OF EDUCATION

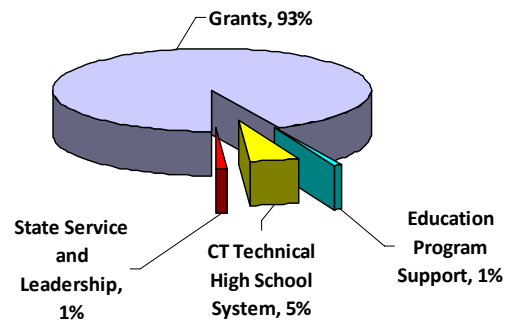
<http://www.state.ct.us/sde/>

AGENCY PURPOSE

- Support school districts with leadership, curriculum, research, planning, evaluation, education technology data analyses and other assistance as needed.
- Distribute funds to school districts through 26 grant programs, including Education Cost Sharing (by far the largest grant to districts) at \$1.9 billion.
- Operate the Connecticut Technical High School System (CTHSS) that consists of 16 degree-granting technical high schools, one technical education center, and two aviation maintenance programs serving approximately 11,200 full-time high school and adult day students with comprehensive education and training in 36 occupational areas and 2,000 apprenticeship students.
- Assess the achievements of students and schools through statewide testing, strategic school profiles and reporting on the condition of education.
- Ensure the quality of teachers by testing teacher candidates, supporting and assessing beginning teachers and providing professional development opportunities.
- Work with local school districts to improve student achievement.

MAJOR PROGRAM AREAS

(Based on FY 2012 Budget)



RECENT HIGHLIGHTS

EARLY CHILDHOOD

The revision process for updated early learning standards has been initiated. The Department of Education facilitated collaboration between higher education institutions and other stakeholders to develop and pilot an early childhood teacher credential. The Child Day Care Grant Program and Head Start Collaboration Office were consolidated into the Department of Education effective July 2011. Planning for the consolidation of School Readiness and Child Day Care Programs has begun as an initial step in creating a coordinated system of early care and education.

SECONDARY SCHOOL REFORM

In accordance with Public Act 11-135, Sec. 2, the department continues to offer professional development to districts, which will enable them to meet the legislative requirement of creating a student success plan for every student starting in Grade 6 by July 2012. The plan must include the student's career and academic choices in Grades 6 through 12.

NO CHILD LEFT BEHIND: STATE ACCOUNTABILITY

Under Section 10-223(e) of the General Statutes, the department will continue its intervention work with eighteen "partner" districts that

are in their fourth and fifth years of "improvement," as defined by *No Child Left Behind*. Under the new requirements of the American Recovery and Reinvestment Act (ARRA), school accountability will undergo significant changes, principally in four districts whose schools are among the lowest 5-10 percent performing across the state. Models for intervention and improvement will now be prescribed directly for these schools.

IMPLEMENT THE STIPULATED SHEFF AGREEMENT

For 2011, the department, Hartford Public Schools, and Capital Region Education Council (CREC) worked to enable 32.1 percent of Hartford's resident minority students to be educated in less racially-isolated settings. The department also met 66.9 percent of Hartford's resident minority students' demand for a less racially-isolated education setting. By 2012, the department expects to have fully implemented the goals of the Stipulated Sheff Agreement of 80 percent of the demand for such desegregated education being met or 41 percent of Hartford's minority students being educated in a reduced racial isolation setting.

RECOMMENDED ADJUSTMENTS

Reductions

- Eliminate Funding for Connecticut Writing Project

FY 2013
-50,000

Reallocations or Transfers

- Reallocate Various Child Care Programs and Charts-a-Course Funding from DSS
Afterschool programs for adolescents, before and after school programs for school age children and funding for quality enhancement grants for the Charts-A-Course program are transferred from DSS in order to further align statewide child care programs and child care quality initiatives under one agency.
- Transfer Audit Positions from the Department of Education to the Department of Construction Services

3,159,757

-138,836

• Reallocate Funding for Institutional Student Aid to DMHAS	-882,000
Technical Adjustments	
• Annualize Wage Freeze Savings	-8,829,261
• Annualize FY 2012 Holdbacks	-5,617,000
• Increase Funding for New Discovery Academy Magnet School	2,297,460
<i>Additional funding supports the cost of 40 new students that will enroll in this Sheff magnet school in FY 2013 beyond the 180 students estimated for FY 2012.</i>	
Expansion Adjustments	
• Reform Education	125,310,600
<i>Additional funding for the Education Cost Sharing (ECS) appropriation includes:</i>	
• \$50 million in general ECS aid and conditional funding for the lowest performing districts;	
• \$4.5 million in competitive funding; and	
• \$14.1 million in additional Charter School funding to increase the state per pupil grant and increase opportunities (\$59.8 million of current Charter School Funding is reallocated from a separate grant into the ECS appropriation).	
<i>Additional funding for other areas of education reform include:</i>	
• \$24.8 million in funding for the Commissioner's Network, with allocations including:	
o Turnaround Schools Start-Up;	
o Teacher and Leader Compensation Increases and Innovations;	
o Coordination of School and Student Support Services; and	
o Network support and leadership activities (additional personnel).	
• \$4 million to increase access in the early childhood School Readiness program;	
• \$3 million to develop a Tiered Quality Rating System (TQRIS) for early childhood;	
• \$2 million in new state funding and \$5 million in funds reallocated from the Interdistrict Cooperation grant to fund Sheff to reach the court ordered Hartford desegregation goal	
• \$5 million to increase non-Sheff Magnet Schools grants	
• \$12 million for Talent Development, which includes:	
o \$2.5 million for technical assistance and professional development for a new evaluation system;	
o \$5 million for additional professional development support;	
o \$1 million for a recruitment campaign;	
o \$2 million for talent development and pipeline organizations;	
o \$500,000 for accreditation reform; and	
o \$1 million for a Connecticut School Leadership Academy.	
• \$300,000 for technical assistance for regional cooperation and efficiency;	
• \$500,000 for college financial aid preparation assistance for needy families;	
• \$2.475 million for new schools and the replication of successful schools including CommPACT, Charters and other school models;	
• \$500,000 for a personalized learning pilot;	
• \$300,000 for a K-3 reading assessment pilot;	
• \$77,000 for a local food and nutrition education initiative in 5 communities and a pilot to establish school cafeteria food nutrition rating systems;	
• \$500,000 for Common Core/International Assessment alignment and curricula;	
• \$500,000 for college readiness assessment development and adoption; and	
• \$750,000 to increase the percent of students going to Agricultural Science programs from priority school districts while increasing overall enrollment.	
• Increase Funding for Trade Supplies for the Connecticut Technical High School System	500,000
• Provide Funding for Environmental Permitting and Remediation in the Connecticut Technical High School System	300,000

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,706	1,706	1,708	-34	1,674
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	135,742,820	24,598,200	23,833,611	-2,362,029	21,471,582
Other Expenses	20,059,463	3,324,506	3,124,506	1,077,000	4,201,506
<u>Capital Outlay</u>					
Equipment	300,001	1	1	0	1
<u>Other Current Expenses</u>					
Basic Skills Exam Teachers in Training	914,233	1,291,314	1,270,775	0	1,270,775
Teachers' Standards Implementation Pgm	2,896,508	3,296,508	3,096,508	0	3,096,508
Early Childhood Program	5,007,219	5,024,906	5,022,489	0	5,022,489
Admin - Magnet Schools	286,745	0	0	0	0
Admin - Adult Basic Education	942,665	0	0	0	0
Develop of Mastery Exams Grades 4,6&8	17,441,030	19,106,711	19,050,559	0	19,050,559
Admin - Interdistrict Cooperative Program	111,274	0	0	0	0
Primary Mental Health	507,283	507,294	507,294	0	507,294
Admin - Youth Service Bureaus	48,000	0	0	0	0
Leadership, Educ, Athletics-Partnership	0	765,000	765,000	0	765,000
Adult Education Action	192,362	240,687	240,687	0	240,687
Vocational Technical School Textbooks	499,626	0	0	0	0
Repair of Instructional Equipment	175,633	0	0	0	0
Minor Repairs to Plant	307,895	0	0	0	0
Connecticut Pre-Engineering Program	262,500	262,500	262,500	0	262,500
Connecticut Writing Project	50,000	50,000	50,000	-50,000	0
Resource Equity Assessment	115,769	301,980	299,683	0	299,683
Neighborhood Youth Centers	0	1,338,300	1,338,300	0	1,338,300
Longitudinal Data Systems	574,422	1,500,000	1,500,000	0	1,500,000
School Accountability	1,761,043	2,186,318	2,201,405	0	2,201,405
Sheff Settlement	7,351,440	9,265,012	10,293,799	7,000,000	17,293,799
Admin - After School Program	180,000	0	0	0	0
CommPACT Schools	0	712,500	712,500	0	712,500
Community Plans for Early Childhood	416,518	450,000	450,000	0	450,000
Improving Early Literacy	150,000	150,000	150,000	0	150,000
Parent Trust	500,000	500,000	500,000	0	500,000
Regional Vocational-Technical School Sys	0	149,618,414	143,702,045	-9,560,068	134,141,977
Child Care Services	0	18,422,653	18,419,752	0	18,419,752
Commissioner's Network	0	0	0	22,900,000	22,900,000
Technical Assistance-Regional Cooperation	0	0	0	300,000	300,000
College Financial Prep for Needy Families	0	0	0	500,000	500,000
New or Replicated Schools	0	0	0	2,475,000	2,475,000
Personalized Learning Pilot	0	0	0	500,000	500,000
K-3 Reading Assessment Pilot	0	0	0	300,000	300,000
Talent Development	0	0	0	12,000,000	12,000,000
TOTAL - Other Current Expenses	40,692,165	214,990,097	209,833,296	36,364,932	246,198,228
<u>Pmts to Other Than Govts</u>					
American School for the Deaf	9,480,242	9,768,242	10,264,242	0	10,264,242
Regional Education Services	1,384,613	1,434,613	1,384,613	0	1,384,613
Head Start Services	2,748,150	2,748,150	2,748,150	0	2,748,150
Head Start Enhancement	1,773,000	1,773,000	1,773,000	0	1,773,000
Family Resource Centers	6,041,488	6,041,488	6,041,488	0	6,041,488
Charter Schools	52,768,200	57,067,400	59,839,400	-59,839,400	0
Youth Service Bureau Enhancement	620,300	620,300	620,300	0	620,300
Head Start - Early Childhood Link	2,090,000	2,090,000	2,090,000	0	2,090,000
Institutional Student Aid	807,508	882,000	882,000	-882,000	0
Child Nutrition State Match	2,354,481	2,354,000	2,354,000	0	2,354,000
Health Foods Initiative	3,622,469	3,613,997	3,613,997	0	3,613,997
EvenStart	0	500,000	500,000	0	500,000

TOTAL - Pmts to Other Than Govts	83,690,451	88,893,190	92,111,190	-60,721,400	31,389,790
<u>Pmts to Local Governments</u>					
Vocational Agriculture	4,560,565	5,060,565	5,060,565	750,000	5,810,565
Transportation of School Children	28,739,924	25,784,748	24,884,748	0	24,884,748
Adult Education	19,564,652	21,032,980	21,025,690	0	21,025,690
Health Serv for Pupils Private Schools	4,297,500	4,297,500	4,297,500	0	4,297,500
Education Equalization Grants	1,889,022,782	1,889,609,057	1,889,609,057	128,485,000	2,018,094,057
Bilingual Education	1,886,582	1,916,130	1,916,130	0	1,916,130
Priority School Districts	115,656,209	116,626,966	116,100,581	4,000,000	120,100,581
Young Parents Program	229,330	229,330	229,330	0	229,330
Interdistrict Cooperation	11,080,829	11,136,173	11,131,935	-5,000,000	6,131,935
School Breakfast Program	1,604,172	2,220,303	2,220,303	0	2,220,303
Excess Cost - Student Based	139,810,650	139,805,731	139,805,731	0	139,805,731
Non-Public School Transportation	3,995,000	3,595,500	3,595,500	0	3,595,500
School to Work Opportunities	213,750	213,750	213,750	0	213,750
Youth Service Bureaus	2,888,321	2,947,268	2,947,268	0	2,947,268
OPEN Choice Program	16,757,152	19,839,066	22,090,956	0	22,090,956
Magnet Schools	183,330,028	215,855,338	235,364,251	7,297,460	242,661,711
After School Program	4,320,000	4,500,000	4,500,000	572,000	5,072,000
School Readiness Quality Enhancement	0	1,100,678	1,100,678	5,587,757	6,688,435
TOTAL - Pmts to Local Governments	2,427,957,446	2,465,771,083	2,486,093,973	141,692,217	2,627,786,190
TOTAL - General Fund	2,708,442,346	2,797,577,077	2,814,996,577	116,050,720	2,931,047,297

BOARD OF EDUCATION AND SERVICES FOR THE BLIND

AGENCY PURPOSE

Consistent with Public Act 11-44, the Board of Education and Services for the Blind was consolidated with the Bureau of Rehabilitative Services.

AGENCY SUMMARY

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personnel Summary					
<u>Permanent Full-Time Positions</u>					
General Fund	95	0	0	0	0
Financial Summary					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	3,920,631	0	0	0	0
Other Expenses	658,261	0	0	0	0
<u>Other Current Expenses</u>					
Educ Aid Blind/Visually Handicap Child	4,623,992	0	0	0	0
Enhanced Employment Opportunities	658,638	0	0	0	0
TOTAL - Other Current Expenses	5,282,630	0	0	0	0
<u>Pmts to Other Than Govts</u>					
Supplementary Relief and Services	75,286	0	0	0	0
Vocational Rehabilitation	890,454	0	0	0	0
Special Training for the Deaf Blind	244,905	0	0	0	0
Connecticut Radio Information Service	73,210	0	0	0	0
TOTAL - Pmts to Other Than Govts	1,283,855	0	0	0	0
TOTAL - General Fund	11,145,377	0	0	0	0

COMMISSION ON THE DEAF AND HEARING IMPAIRED

AGENCY PURPOSE

Consistent with Public Act 11-44, the Commission on the Deaf and Hearing Impaired was consolidated with the Bureau of Rehabilitative Services.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	7	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	478,506	0	0	0	0
Other Expenses	96,094	0	0	0	0
<u>Other Current Expenses</u>					
Part-Time Interpreters	389,156	0	0	0	0
TOTAL - General Fund	963,756	0	0	0	0



CONNECTICUT STATE LIBRARY

<http://www.cslib.org/>

AGENCY PURPOSE

The mission of the State Library is to preserve and make accessible the records of Connecticut's history and heritage, to advance the development of library services statewide, and to provide high-

quality library and information services to state government officials and to the citizens of Connecticut.

RECENT HIGHLIGHTS

ARCHIVES & PUBLIC RECORDS

Grants were awarded in the amount of \$573,000 to 149 municipalities supporting improvements in the preservation and management of historic documents across the state during FY 2011. As the result of the consolidation of probate court districts, the State Archives acquired 1,657 record books and 837 cubic feet of files from the 51 merging courts. Materials pertaining to the September 11, 2001 attacks and Bradley Field activities in World War II were digitized.

ACCESS SERVICES

Through visits, phone calls, e-mail, Instant Messaging, and texting, reference librarians responded to more than 22,000 requests for information.

COLLECTION SERVICES

More unique titles and the amount of links maintained has increased due to the addition of free e-journals to the Serials Solution account. Usage statistics indicate 137,745 sessions (virtual visits), 340,453 searches, and 392,581 online documents were viewed.

Major digitization initiatives included the scanning of 81 titles (25,359 pages) from the Connecticut State Publications collection <http://www.archive.org/details/connecticutstatelibrary> through a project funded by Historic Documents. A Rapid Imaging Project, which involved the purchase of a new digital camera, large flatbed scanner, a new server and special software, was initiated to produce high quality images of materials in the State Library's extensive collections. Legislative hearings and proceedings for 1971 & 2009;

WPA Architectural Survey for Hampton through Morris; *State Library echo* (staff newsletter 1925-1935); 10 indexes for the Personal and Family Vital Records collection have all been scanned. The Scan on Demand pilot project allowed for the electronic delivery of out of copyright books to be available to libraries via Inter-Library Loan through WorldCat and CONSULS. Various collections from the State Archives, including the WWI military questionnaires are also being scanned.

In FY 2011, 6,984 objects were added to the Connecticut Digital Archive including 692 into the Treasures of Connecticut Libraries. A total of 40,919 digital objects are in CONTENTdm, Flickr and the Internet Archive. The entire digital collection had 893,192 items viewed.

MUSEUM OF CONNECTICUT HISTORY

The Library received a significant donation of nearly 1,000 Connecticut political campaign buttons, including buttons from campaigns for Governor, Lieutenant Governor, Secretary of the State, Attorney General and State Comptroller, as well as buttons from races for U.S. Senator and Representative.

LIBRARY DEVELOPMENT

In the last year, more than 8 million full text articles and other resources have been viewed through iCONN www.iconn.org and reQuest catalog searches have reached beyond 2.3 million times. In addition, the use of Connecticutcard has increased with a record 4.9 million items being borrowed.

RECOMMENDED ADJUSTMENTS

Reductions

• Suspend Computer Access Program	FY 2013 -100,000
• Reduce State-Wide Digital Library Program Funding	-81,507
• Reduce State-Wide Data Base Funding	-28,735
• Reduce Other Expenses	-9,356

Reallocations or Transfers

• Reallocate the State-Wide Data Base Funding to the State-Wide Digital Library Account	0
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Technical Adjustments

• Annualize Wage Freeze Savings	-310,531
• Annualize FY 2012 Holdbacks	-591,008

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	61	61	61	-6	55
Financial Summary					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	5,213,214	5,747,837	5,560,728	-610,531	4,950,197
Other Expenses	701,327	767,111	767,111	-38,356	728,755
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
State-Wide Digital Library	1,497,523	1,630,136	1,630,136	464,454	2,094,590
Interlibrary Loan Delivery Service	263,615	282,342	275,751	0	275,751
Legal/Legislative Library Materials	926,830	1,000,000	1,000,000	-172,008	827,992
State-Wide Data Base Program	487,651	574,696	574,696	-574,696	0
Computer Access	140,455	190,000	190,000	-190,000	0
TOTAL - Other Current Expenses	3,316,074	3,677,174	3,670,583	-472,250	3,198,333
<u>Pmts to Other Than Govts</u>					
Support Cooperating Library Serv Units	263,003	350,000	350,000	0	350,000
<u>Pmts to Local Governments</u>					
Grants to Public Libraries	347,109	207,692	214,283	0	214,283
Connecticard Payments	1,226,028	1,000,000	1,000,000	0	1,000,000
TOTAL - Pmts to Local Governments	1,573,137	1,207,692	1,214,283	0	1,214,283
TOTAL - General Fund	11,066,755	11,749,815	11,562,706	-1,121,137	10,441,569

OFFICE OF FINANCIAL AND ACADEMIC AFFAIRS FOR HIGHER EDUCATION

<http://www.ctohe.org>

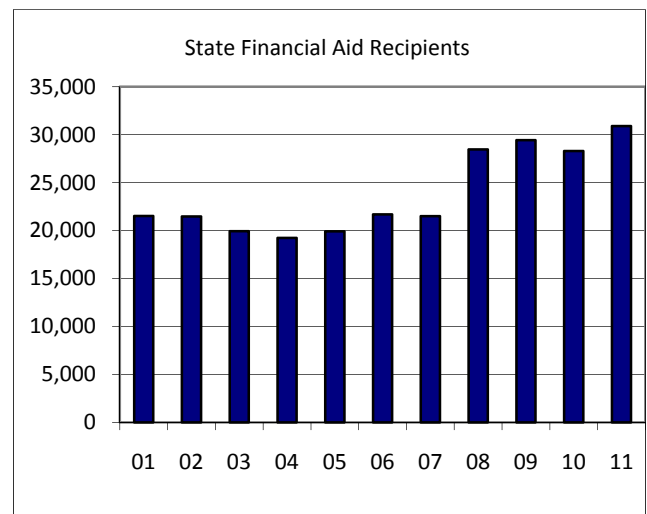
AGENCY PURPOSE

- The public system of higher education in Connecticut consists of 18 degree-granting institutions organized into: the University of Connecticut, its five regional branch campuses and health center and the Board of Regents including the four regional Connecticut State Universities, the twelve Connecticut Community-Technical Colleges, and Charter Oak State College, the state's only external degree-granting institution. Twenty-eight independent colleges and universities, the U.S. Coast Guard Academy and more than seventy private occupational schools also serve Connecticut.
- The Office of Financial and Academic Affairs, which continued many of the former DHE programs, was established in July 2011 to administer programs supporting Connecticut's higher education system. Those programs include academic program review and approval for independent institutions, as well as private occupational and hospital-based schools; three student financial aid programs for Connecticut undergraduates, in addition to programs for teachers, lawyers and veterinary medical students; and programs for students through the Minority Advancement Program, the Alternate Route to Certification, the Commission on Community Service, the Connecticut-Germany Student Exchange and Teacher Quality Partnership Grants.

FY 2011 Actual Expenditures reflect those of the former Dept. of Higher Education which are included here for historical reference.

RECENT HIGHLIGHTS

- In the fall of 2011, 202,902 students were enrolled in Connecticut's public and independent institutions of higher education. This total is the highest ever and the 14th consecutive year of growth. At the same time, 40,218 degrees were conferred by Connecticut's colleges and universities. Over the last decade, enrollment has increased 23 percent and degrees have increased 35 percent, which means more students are not only starting but completing their educations than ever before.
- Over the ten year period ending in 2011, financial aid funding increased 43 percent while recipients increased 44 percent resulting in an average award that remained slightly more than \$2,000. In 2011, the number of public college recipients increased significantly, spreading limited funding over a wider population to help them stay in school.



RECOMMENDED ADJUSTMENTS

Reductions

- | | |
|---|--------------------------------------|
| <ul style="list-style-type: none"> • Restructure Connecticut Independent College Student Grant Program
<i>Eliminate grants to private institutions of higher education with endowments over \$200 million.</i> | <p>FY 2013
-3,829,075</p> |
| <ul style="list-style-type: none"> • Reduce Funding to Reflect Anticipated FY 2012 Carryforward | <p>-1,357,888</p> |
| <ul style="list-style-type: none"> • Eliminate Education and Health Initiatives Program | <p>-522,500</p> |
| <ul style="list-style-type: none"> • Close the Kirklyn M. Kerr Veterinary Education Program
<i>The program will be closed to new enrollees. For existing cohorts, the program was pre-paid, thus no current program participants will lose benefits.</i> | <p>-400,000</p> |
| <ul style="list-style-type: none"> • Reduce Agency Personal Services and Other Expenses to Achieve Economies | <p>-77,000</p> |

Reallocations or Transfers

- | | |
|---|----------|
| <ul style="list-style-type: none"> • Reallocate Funds to Establish the Attract the Best Teacher Aid Program
<i>Funding from the CT Independent College Student Grant will be reallocated to create a new aid and loan forgiveness program for top teaching graduates to teach in Reform Districts.</i> | <p>0</p> |
|---|----------|

Technical Adjustments

- Annualize Wage Freeze Savings

-42,022

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	28	15	15	0	15
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	2,436,134	1,240,000	1,240,000	-104,022	1,135,978
Other Expenses	103,098	300,000	110,180	-15,000	95,180
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Minority Advancement Program	2,238,752	2,405,666	2,405,666	-728,849	1,676,817
Alternate Route to Certification	52,138	100,000	100,000	0	100,000
National Service Act	256,818	0	0	0	0
International Initiatives	64,952	66,500	66,500	0	66,500
Minority Teacher Incentive Program	381,362	471,374	471,374	0	471,374
Education and Health Initiatives	522,500	522,500	522,500	-522,500	0
CommPACT Schools	554,246	0	0	0	0
Attract the Best – Aid & Loan Forgiveness	0	0	0	1,000,000	1,000,000
TOTAL - Other Current Expenses	4,070,768	3,566,040	3,566,040	-251,349	3,314,691
<u>Pmts to Other Than Govts</u>					
Capitol Scholarship Program	8,811,346	4,451,390	4,451,390	-629,039	3,822,351
Awards Children Deceased/Disabled Vets	0	4,000	4,000	0	4,000
CT Independent College Student Grant	23,413,860	18,072,474	16,158,319	-4,829,075	11,329,244
CT Aid for Public College Students	30,208,469	29,808,469	29,808,469	0	29,808,469
Connecticut Aid to Charter Oak	59,393	59,393	59,393	0	59,393
Kirklyn M. Kerr Grant Program	500,000	400,000	400,000	-400,000	0
TOTAL - Pmts to Other Than Govts	62,993,068	52,795,726	50,881,571	-5,858,114	45,023,457
TOTAL - General Fund	69,603,068	57,901,767	55,797,792	-6,228,485	49,569,307



UNIVERSITY OF CONNECTICUT

<http://www.uconn.edu>

AGENCY PURPOSE

- Founded in 1881, the University of Connecticut serves as the flagship for public higher education and the primary doctoral degree granting public institution in the state, and is dedicated to excellence demonstrated through national and international recognition.
- Through freedom of academic inquiry and expression, creates and disseminates knowledge by means of scholarly and creative achievements, graduate and professional education, and outreach.
- Through a focus on teaching and learning, helps every student grow intellectually and become a contributing member of the state, national, and world communities.
- Through research, teaching, service, outreach, and public engagement, embraces diversity and cultivates leadership, integrity, and engaged citizenship in the University's students, faculty, staff, and alumni.
- As the state's flagship public university, and as a land and sea grant institution, promotes the health and well being of Connecticut's citizens through enhancing the social, economic, cultural, and natural environments of the state and beyond.
- To educate individuals pursuing careers in medical and dental health care and education, public health, biomedical, and behavioral sciences and to help health care professionals maintain their proficiency through continuing education programs.
- To advance knowledge through basic science, biomedical, clinical, behavioral and social research.
- To deliver health care services effectively and efficiently, applying the latest advances in research.
- To further Connecticut's economic development by developing its research discoveries through new technologies, products and jobs.
- To investigate fatalities and to protect the public health by diagnosing previously unsuspected contagious diseases; identifying hazardous environmental conditions; identifying trends in homicides, traffic fatalities, and drug or alcohol related deaths; identifying new types of drugs becoming subjects of abuse; and providing information that will lead to proper adjudication in criminal matters and prevent unnecessary litigation.

The University of Connecticut Health Center and the Office of the Chief Medical Examiner are recommended for consolidation with the University of Connecticut in the Governor's budget as part of his proposal to restructure state government.

RECENT HIGHLIGHTS

RANKING

For the 13th consecutive year, the University of Connecticut was named the top public university in New England in U.S. News & World Report: America's Best Colleges. The 2012 report ranked UConn 19th among 172 public American universities with national reputations.

FRESHMEN ENROLLMENT

In Fall 2011, 30,525 students were enrolled in degree credit programs at the Storrs campus, regional campuses (Avery Point, Stamford, Torrington, West Hartford, and Waterbury), School of Law and Graduate Business Learning Center in Hartford, School of Social Work in West Hartford, and Schools of Medicine and Dental Medicine and graduate programs at the Health Center in Farmington. The enrollment represents the largest number of students ever at the University.

Freshmen applications to UConn have risen dramatically, from 10,809 for Fall 1995 to 28,584 for Fall 2011. More than 4,600 new freshmen and almost 1,200 new transfers joined the UConn community in Fall 2011. At all of UConn's campuses, 75 percent of the new freshmen were Connecticut residents, and 29 percent were from minority groups.

STUDENT RETENTION

Student retention continued to be remarkably high, with 92 percent of all freshmen and 92 percent of minority freshman at Storrs remaining for their sophomore year. Six-year graduation rates at Storrs ranked UConn 15th among 58 public research universities for

all freshmen (with a rate of 81 percent) and 22nd out of 58 for minority freshmen (a rate of 72 percent). Fall 2011 rates at Storrs are even higher (83 percent and 74 percent).

DEGREES CONFERRED

More than 7,150 degrees were conferred in FY 2011 for completions of undergraduate, graduate, and professional programs at the Storrs, regional and Health Center campuses. Since its founding in 1881, the University has conferred 256,659 degrees.

RESEARCH GRANTS

UConn research, training and public service grants exceeded \$226 million in FY 2011 from federal, state and other external funding sources. There are more than 85 active centers and institutes involved in research, outreach and graduate education across all University campuses. A small sampling of the centers includes the following: Biotechnology/Bioservices Center; Booth Engineering Center for Advanced Technology; Center for Environmental Sciences and Engineering; Center for Health, Intervention and Prevention; Center for Land Use Education and Research; Center for Public Health and Health Policy; Center for Regenerative Biology; Connecticut Center for Economic Analysis; Connecticut Center for Entrepreneurship and Innovation; Connecticut Global Fuel Cell Center; Connecticut Information Technology Institute; Connecticut Sea Grant College Program; Institute of Materials Science; Marine Sciences and Technology Center; National Undersea Research Center; Neag Center for Gifted Education and Talent Development; and Roper Center for Public Opinion Research.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers	FY 2013
• Restructure State Government <i>The University of Connecticut Health Center and the Office of Chief Medical Examiner will be consolidated with the University of Connecticut.</i>	102,916,285
Technical Adjustments	
• Annualize Wage Freeze Savings	-15,650,154
• Annualize FY 2012 Holdbacks	-1,982,328
Expansion Adjustments	
• Increase Support for Additional Faculty <i>The UConn Board of Trustees approved tuition increases over the next four years and plan to hire 290 faculty and lower the student to faculty ratio from 18:1 to 15:1. The University anticipates that, without General Fund support, tuition would increase an additional 0.5% per year.</i>	1,023,542

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2,985	2,901	2,921	1,726	4,647
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
Operating Expenses	219,438,156	213,457,963	210,445,208	-16,608,940	193,836,268
AHEC for Bridgeport	0	0	0	505,707	505,707
Tuition Freeze	4,741,885	4,267,696	4,267,696	0	4,267,696
Regional Campus Enhancement	8,375,559	7,538,003	7,538,003	0	7,538,003
Veterinary Diagnostic Laboratory	100,000	90,000	90,000	0	90,000
Health Center Operating Expenses	0	0	0	97,310,920	97,310,920
Office of the Chief Medical Examiner	0	0	0	5,099,658	5,099,658
TOTAL - Other Current Expenses	<u>232,655,600</u>	<u>225,353,662</u>	<u>222,340,907</u>	<u>86,307,345</u>	<u>308,648,252</u>
TOTAL - General Fund	232,655,600	225,353,662	222,340,907	86,307,345	308,648,252

UNIVERSITY OF CONNECTICUT HEALTH CENTER



AGENCY PURPOSE

- To educate individuals pursuing careers in medical and dental health care and education, public health, biomedical, and behavioral sciences and to help health care professionals maintain their proficiency through continuing education programs.
- To advance knowledge through basic science, biomedical, clinical, behavioral and social research.
- To deliver health care services effectively and efficiently, applying the latest advances in research.
- To deliver health care and wellness services to underserved citizens.
- To further Connecticut's economic development by developing its research discoveries into new technologies, products and jobs.

The University of Connecticut Health Center is recommended for consolidation with the University of Connecticut in the Governor's budget as part of his proposal to restructure state government.

RECENT HIGHLIGHTS

- With the enactment of the Bioscience Connecticut initiative (PA 11-75), the state's economy will receive a much needed boost by creating construction related jobs immediately and generating long term sustainable economic growth based on bioscience research, innovation, entrepreneurship and commercialization. It is a multifaceted plan that will strengthen the state's position as a national and global center for bioscience innovation and improve access to quality healthcare for Connecticut's citizens while simultaneously securing the UConn Health Center's future as a top tier academic medical center. The components of the plan include construction of a new hospital tower, renovations to the original John Dempsey Hospital and research building and the construction of a new ambulatory care center. The plan also doubles the amount of small business incubator space currently available across the entire university to foster new business start-ups. In addition, the plan will increase the medical and dental school enrollments by 30 percent.
- The Health Center will partner with Connecticut Innovations Inc. to establish Jackson Laboratory's JAX Genomic Medicine in Farmington. Jackson's decision to co-locate on the Health Center's campus is a direct result of the enactment of Bioscience Connecticut. Jackson will collaborate with UCHC, Yale and Connecticut's clinical providers to research and commercialize discoveries that establish Connecticut as a global center of excellence in personalized medicine.
- The Connecticut Stem Cell Research Advisory Committee awarded \$1.29 million to a new start-up company based at the Health Center to develop stem cell therapies to repair joint cartilage damaged by injury or aging. Earlier this year, the University filed a patent for the novel process, while the UConn Research and Development Corp., which partners with faculty to develop the commercial potential of their research discoveries, founded the new company.
- The Pat and Jim Calhoun Cardiology Center has received the Get With The Guidelines®—Heart Failure Gold Quality Achievement Award from the American Heart Association. The recognition signifies that the UConn Health Center has reached an aggressive goal of treating heart failure patients with 85 percent compliance for at least 24 months to core standard levels of care as outlined by the American Heart Association/American College of Cardiology secondary prevention guidelines for heart failure patients.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Restructure State Government **FY 2013**
-97,816,627
The agency will be consolidated with the University of Connecticut.

Technical Adjustments

- Annualize Wage Freeze Savings -8,905,775
- Annualize FY 2012 Holdbacks -2,940,047

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	1,625	1,641	1,658	-1,658	0

Budget Summary

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u><i>Other Current Expenses</i></u>					
Operating Expenses	118,661,107	121,009,693	109,156,742	-109,156,742	0
AHEC for Bridgeport	505,707	505,707	505,707	-505,707	0
TOTAL - Other Current Expenses	<u>119,166,814</u>	<u>121,515,400</u>	<u>109,662,449</u>	<u>-109,662,449</u>	<u>0</u>
TOTAL - General Fund	119,166,814	121,515,400	109,662,449	-109,662,449	0

CHARTER OAK STATE COLLEGE

AGENCY PURPOSE

Consistent with Public Act 11-48, Charter Oak State College was consolidated with the Board of Regents for Higher Education.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	31	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
Operating Expenses	2,152,481	0	0	0	0
Distance Learning Consortium	690,786	0	0	0	0
DOC - Distance Learning	48,427	0	0	0	0
TOTAL - Other Current Expenses	2,891,694	0	0	0	0
TOTAL - General Fund	2,891,694	0	0	0	0



TEACHERS' RETIREMENT BOARD

<http://www.ct.gov/trb>

AGENCY PURPOSE

Administration of the retirement system is delegated by the 14 member Teachers' Retirement Board (TRB) to the Administrator.

The staff is responsible for determining eligibility of all funds received from various sources such as, but not limited to; to the Boards of Education, the members' financial companies or the member. Acceptance of all funds must be consistent with the statutes governing this system and the Internal Revenue Code governing 401(a) plans. Upon receipt of applications for various benefits such as retirement, disability, survivorship and health insurance benefits for participating members and their beneficiaries, the staff is responsible for determining eligibility and computing and initiating the benefit on behalf of the member, spouse, dependent or

beneficiaries. The staff determines eligibility and computes the cost to members purchasing additional service credits and maintains an installment payment program for the purchase of service credits. The staff also coordinates the medical records and agenda for the Medical Review Committee which provides the Board with recommendations on disability allowance eligibility.

The agency sponsors a retiree Medicare supplemental health insurance program funded through payroll deductions, active teacher contributions and state funds for eligible retired members, spouses, civil union partners or disabled dependents if there is no spouse or surviving spouse.

The Teachers' Retirement Board is recommended for consolidation with the Office of the State Comptroller in the Governor's budget as part of his proposal to restructure state government.

RECENT HIGHLIGHTS

During FY 2011, the agency processed 1,606 retirements. As of June 30, 2011, there were 53,969 active members, 10,136 inactive members, 1,108 deferred vested members, and 31,796 retired

members and beneficiaries. The annual average benefit for retirees and beneficiaries is \$50,407. The Teachers' Retirement System has assets with a market value of approximately \$13.3 billion.

RECOMMENDED ADJUSTMENTS

Reductions

- Revise the State's Share of Retiree Health Costs **FY 2013**
-7,625,100
The state's share of the premium for the TRB retiree health plan will be reduced from one-third to one-quarter. The retiree share of the premium will be 42 percent and the health fund share will be 33 percent.
- Utilize Medicare Part D Reimbursements to Offset State's Share of Retiree Health Costs -6,500,000
- Revise State's Share of Municipal Health Subsidy -1,971,870
The state's share of the TRB municipal health subsidy will be reduced from one-third to one-quarter. The TRB Health Fund share will be 75 percent.
- Reduce Other Expenses by 5% -34,255

Reallocations or Transfers

- Restructure State Government -811,924,047
The agency will be consolidated within the Office of the State Comptroller. The pension contributions, retiree health and municipal health subsidy accounts will be consolidated within the Miscellaneous Accounts administered by the Comptroller.

Technical Adjustments

- Annualize Wage Freeze Savings -72,929
- Annualize FY 2012 Holdbacks -211,572
- Adjust Contribution to Retiree Health to Reflect Increased Enrollment 3,999,204

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	30	27	27	-27	0

Budget Summary

Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,456,985	1,785,698	1,731,184	-1,731,184	0
Other Expenses	927,818	664,470	685,068	-685,068	0
<u>Capital Outlay</u>					
Equipment	0	1	1	-1	0
<u>Pmts to Other Than Govts</u>					
Retirement Contributions	581,593,215	757,246,000	787,536,000	-787,536,000	0
Retirees Health Service Cost	0	27,358,272	26,500,836	-26,500,836	0
Municipal Retiree Health Insurance Costs	0	7,372,720	7,887,480	-7,887,480	0
TOTAL - Pmts to Other Than Govts	581,593,215	791,976,992	821,924,316	-821,924,316	0
TOTAL - General Fund	583,978,018	794,427,161	824,340,569	-824,340,569	0



BOARD OF REGENTS FOR HIGHER EDUCATION

www.ctregents.org

AGENCY PURPOSE

- Established in 2011, the Board of Regents for Higher Education is the governing body for the 17 Connecticut State Colleges and Universities (ConnSCU) institutions across the state -- twelve community colleges, four Connecticut State Universities, and Charter Oak State College.
- The Board also holds broad responsibilities for development and coordination of statewide higher education policy.
- The Board sets statewide tuition and student fee policies; establishes financial aid policies; reviews and recommends any new academic programs and furthers the higher educational interests of the state.

RECENT HIGHLIGHTS

ENROLLMENT

In fall 2011, 95,962 students were enrolled in credit-bearing academic programs in the 17 ConnSCU institutions, representing just under half (47.2 percent) of all enrolled students in the state. While a 1.2 percent decrease for headcount and 2.5 percent for full-time equivalent (FTE) enrollment was realized from the previous fall, over the past five years, the average annual increase in enrollment has been 2.8 percent for both headcount and FTE enrollment.

DIVERSITY

ConnSCU institutions are committed to racial and economic diversity, with almost a third (32.8 percent) of all students from underrepresented races/ethnic groups. Just over a third (34.8 percent) of students in the community colleges and almost a quarter (23.8 percent) of those in the Connecticut State Universities qualify for Pell grants, federal aid that goes to students with the greatest financial need.

FOCUS ON CONNECTICUT

Over 95 percent of students attending ConnSCU institutions are from the state of Connecticut, and the overwhelming majority stays in the state following graduation. The Connecticut State Universities remain the primary destination of graduates from the state's community colleges, with 50 percent of incoming transfer students to CSUs coming from community colleges, an increase from 41 percent in 2008.

DEGREES AND CERTIFICATES CONFERRED

Over 14,100 degrees and certificates were conferred in FY 2011 for completions of undergraduate and graduate programs at the 17 institutions. Since 1983, the community-technical colleges, Connecticut State Universities, and Charter Oak State College have conferred 286,626 degrees and certificates.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

	FY 2013
• Annualize Wage Freeze Savings	-16,501,698
• Annualize FY 2012 Holdbacks	-2,797,699
• Provide Operating and Maintenance Costs for New Facilities	1,250,000
<i>Three new community-technical college buildings (Gateway CC, Norwalk CC, and Tunxis CC) are opening and require additional staff for their operation and maintenance.</i>	

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	4,463	4,500	28	4,528

Budget Summary

Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
National Service Act	0	328,365	328,365	0	328,365
Charter Oak State College	0	2,742,725	2,696,543	-240,460	2,456,083
Regional Community - Technical Colleges	0	153,831,652	150,084,931	-6,538,834	143,546,097
Connecticut State University	0	157,363,860	153,522,741	-11,228,081	142,294,660
Board of Regents for Higher Education	0	1,410,954	1,316,603	-42,022	1,274,581
TOTAL - Other Current Expenses	0	315,677,556	307,949,183	-18,049,397	289,899,786
TOTAL - General Fund	0	315,677,556	307,949,183	-18,049,397	289,899,786

REGIONAL COMMUNITY - TECHNICAL COLLEGES

AGENCY PURPOSE

Consistent with Public Act 11-48, Regional Community Technical Colleges were consolidated with the Board of Regents for Higher Education.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2,058	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
Operating Expenses	155,576,104	0	0	0	0
Tuition Freeze	2,160,925	0	0	0	0
Manufacturing Tech Pgm - Asnuntuck	345,000	0	0	0	0
Expand Manufacturing Technology Program	200,000	0	0	0	0
TOTAL - Other Current Expenses	<u>158,282,029</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL - General Fund	158,282,029	0	0	0	0

CONNECTICUT STATE UNIVERSITY SYSTEM

Central, Eastern, Southern & Western Connecticut State Universities

AGENCY PURPOSE

Consistent with Public Act 11-48, the Connecticut State University System was consolidated with the Board of Regents for Higher Education.

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2,294	0	0	0	0
Financial Summary					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Other Current Expenses</u>					
Operating Expenses	154,629,793	0	0	0	0
Tuition Freeze	6,561,971	0	0	0	0
Waterbury-Based Degree Programs	1,079,339	0	0	0	0
TOTAL - Other Current Expenses	162,271,103	0	0	0	0
TOTAL - General Fund	162,271,103	0	0	0	0



DEPARTMENT OF CORRECTION

<http://www.doc.state.ct.us/>

AGENCY PURPOSE

To protect the public, protect staff, and provide safe, secure, and humane supervision of offenders with opportunities that support restitution, rehabilitation and successful community reintegration.

RECENT HIGHLIGHTS

COST SAVINGS EFFICIENCIES

An October 2011 Vera Institute of Justice Report credited Connecticut with the second highest spending reduction in the country behind New York (9.5 percent). The reduction showed Connecticut having achieved a 7.6 percent reduction in correctional spending between fiscal years 2010 and 2011. The cuts were attributed to a decrease in overtime, use of stimulus funds to expand video conferencing, furloughs, hiring freezes and reducing programs and closing facilities.

With the state's inmate population holding steady at a 10-year low, the department closed Gates Correctional Institution on June 1, 2011, the second facility to be closed within a year and a half. The reduction in the inmate population allowed the agency to disperse the facility's more than 800 offenders to other institutions within the system. Inmates are also being housed in units between Gates Correctional and the York Correctional Institutions, in what has been named the Niantic Annex, which falls under the oversight of York Correctional Institution.

The department closed a third facility on August 5, 2011, bringing the total annual savings to state taxpayers to more than \$27 million. Bergin Correctional Institution, a level two minimum security prison, housed more than 1,000 adult male offenders.

The department created a Best Practices Unit, which will foster and promote evaluation-based and results-based policies and practices within the agency to improve policy and practice and to document these efforts and results. Through measuring and comparing the agency's operation, programming and performance with the highest correctional standards, the unit will assist in assuring that the best outcome is being achieved for the taxpayer's dollars that are spent.

REENTRY INITIATIVES

The department's Embracing Fatherhood Program was successfully awarded state certification by the Department of Social Services. The parenting program is considered to be an essential part of the department's reentry model as it helps insure that an intact family unit will be available to support the offender's reintegration into law abiding society. The program is certified until 2014. The Manson Youth Institution has instituted a Reentry Unit that assist in preparing offenders who have been granted discretionary community placement as they transition back into their home communities. A Re-Entry Work Group was also established. It is comprised of agencies that can offer support and resources to those community-based offenders.

PUBLIC SAFETY AND SECURITY ENHANCEMENTS

The department undertook several initiatives to enhance public safety in regard to the discharge of sex offenders. The Parole and Community Services Division, Special Management Unit adopted a strategy of conducting statewide sex offender compliance checks carried out in conjunction with local law enforcement. The Security Division's Special Intelligence Unit implemented the Hartford Shelter Notification Program, which provides Hartford Police with names of offenders discharging into local shelters. Osborn Correctional Institution created a Sex Offender/High Notoriety Checklist for the purpose of notifying applicable local agencies of sex offenders discharging into their communities.

The department continues to expand its Video Conferencing initiative. The agency completed more than 2,300 hearings via video link in fiscal year 2011, an increase of some 500 over last year. The hearings, conducted for a wide variety of reasons including parole, family court, social security and others, incur no transportation costs and enhance public safety because the offender stays within the correctional facility.

RECOMMENDED ADJUSTMENTS

Reductions

- Reduce Workers' Compensation Claims to Reflect Re-estimate of Anticipated Expenditures FY 2013
-1,800,000
- Reclassify Positions in the Board of Pardons and Paroles -304,122
The Board of Pardons and Paroles will reclassify existing positions and establish a Planning, Research, and Development Unit to track and measure the effectiveness of its methods and processes and achieve efficiencies. This effort will allow staff to perform duties consistent with their respective job classifications and reduce the overall number of hazardous duty positions in the agency.
- Reduce Inmate Medical Services to Reflect Pharmacy Costs Covered by Medicaid -300,000

Reallocations or Transfers

- Transfer Medical Costs for Medicaid Eligible Inmates to the Department of Social Services -8,817,940
Under this revenue maximization initiative, funds are transferred from the Department of Correction to the Department of Social Services to support Medicaid reimbursable acute care costs for inmates.
- Reallocate Mental Health Alternatives to Incarceration Funds to the Department of Mental Health and Addiction Services -300,000

Budget Summary

Technical Adjustments

- Annualize Wage Freeze Savings -2,254,593
 - Annualize FY 2012 Holdbacks -17,760,252
 - Restore Funds Related to Implementing a Revised Schedule for Correction Officers 10,000,000
- These funds were reduced in the FY 2012 budget prior to the agreement with SEBAC. As a result of the agreement, these savings are no longer viable.*

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	6,492	6,493	6,493	-145	6,348
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	433,096,431	440,501,363	397,466,166	-8,998,615	388,467,551
Other Expenses	75,373,742	78,932,503	75,245,412	-1,016,230	74,229,182
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Stress Management	34,235	0	0	0	0
Workers' Compensation Claims	26,984,567	30,623,609	29,936,219	-1,800,000	28,136,219
Inmate Medical Services	93,517,442	97,025,952	94,747,339	-9,117,940	85,629,399
Board of Pardons and Paroles	5,934,395	6,280,668	6,082,447	-304,122	5,778,325
Mental Health AIC	260,230	300,000	300,000	-300,000	0
Distance Learning	5,000	100,000	100,000	0	100,000
TOTAL - Other Current Expenses	126,735,869	134,330,229	131,166,005	-11,522,062	119,643,943
<u>Pmts to Other Than Govts</u>					
Aid to Paroled and Discharged Inmates	3,700	9,500	9,500	0	9,500
Legal Services to Prisoners	870,595	870,595	870,595	0	870,595
Volunteer Services	104,000	170,758	170,758	0	170,758
Community Support Services	39,830,451	40,370,121	40,370,121	0	40,370,121
TOTAL - Pmts to Other Than Govts	40,808,746	41,420,974	41,420,974	0	41,420,974
TOTAL - General Fund	676,014,788	695,185,070	645,298,558	-21,536,907	623,761,651



DEPARTMENT OF CHILDREN AND FAMILIES

www.ct.gov/dcf

AGENCY PURPOSE

The department is established under Section 17a-3 of the Connecticut General Statutes as a comprehensive, consolidated agency serving children (under age 18) and families. Its mandates include child protection, juvenile justice, behavioral health, prevention and educational services (acting in capacity of a school district for children in the agency's care).

DCF's mission is to support the holistic well-being of children - their health, safety, learning (in and out of school), the opportunity to develop special talents and the chance to give back to the community. A predominant focus on safety and placement has been reoriented to one based on broader and more holistic outcomes for children and youth.

Activities and staff development are oriented around:

- A family-centered approach to all service delivery;
- Trauma-informed practice related to children and families but also to the workforce that serves them;
- Application of the neuroscience of child and adolescent development to agency policy, practice and programs;
- Development of stronger community partnerships;
- Improvements in leadership, management, supervision and accountability.

RECENT HIGHLIGHTS

AGENCY TRANSFORMATION

A regionalized service system has been implemented to facilitate the delivery of integrated comprehensive services to children and their families regardless of their reason for seeking assistance.

Riverview Hospital and the Connecticut Children's Place are being consolidated and new brief treatment units have been developed for special populations.

Staff training has been enhanced by establishing an Academy for Family and Workforce Knowledge and Development, which focuses on pre-service and ongoing child protective services training.

Quality assurance has been strengthened by a merger of the former Bureau of Continuous Quality Improvement with the administrative case review division, the Office of the Ombudsman, the DCF Hotline and the Special Investigations Unit.

NEW CHILD WELFARE PRACTICE MODEL AND DIFFERENTIAL RESPONSE SYSTEM

The department's Strengthening Families Practice Model has been launched in each region with training. Full statewide implementation will occur during 2012. This model incorporates a focus on family strengths and protective factors. Core elements include family-centered practice, purposeful visits, family assessment and a family teaming model of engagement.

Beginning in early 2012, a new Differential Response System will support families who have been referred for child welfare services but whose child safety risk level is low. This system will serve as a family-assessment rather than investigative gateway to child welfare services.

W.R. SETTLEMENT SUCCESSFULLY COMPLETED

DCF completed the requirements of the W.R. Settlement Agreement reflecting improvements in its ability to serve youth with serious mental health needs. The agreement was reached in 2007, five years

after the filing of the original complaint, resulting in investments and major improvements in two areas of programming for youth with serious mental health and behavioral problems. The department strengthened and expanded statewide Emergency Mobile Psychiatric Services (EMPS) and implemented an Individualized Community Based Options (ICBO) program to provide necessary services in a community-based setting and reduce reliance on more restrictive levels of care.

Individualized plans were established for more than 350 youths; 56.1 percent were implemented as originally proposed and were considered either successful or proceeding as planned. 49.5 percent of the youths were considered to be functioning at a higher level or maintaining appropriate stability in their daily lives, and 88.2 percent of ICBO youth continued to live in community-based placements.

Intensive in-home supports were effective in preventing residential placements and preserving existing family placements for 63 percent of the youths living at home at the point of referral. Services to support an exit from residential care were very effective for 58 percent of referred cases. Only 25 percent were deemed to be unsuccessful. More than 64 percent of W.R. approved youths have remained in the same placement throughout the duration of their ICBO supported plan.

SUCCESSFUL OUTCOMES FOR CHILDREN AND YOUTH

• Achieving Permanency

Adoptions were finalized for 513 children and legal guardianship was transferred for an additional 150 children whose new families benefit from ongoing financial support from the Department.

• Supporting Higher Education

685 youth attended a post-secondary education program with the department's support in 2010. This represents a slight increase over the prior year (668) and a dramatic increase over 2008 (490).

RECOMMENDED ADJUSTMENTS

Reductions	FY 2013
• Adjust Funding for Congregate Care <i>Reduce funding for safe homes and therapeutic group homes to reflect reduced utilization.</i>	-6,108,713
• Implement USE Plans for At-Home Services <i>Achieve savings through implementation of a Unique Special Expenditure (USE) evaluation and approval process that will ensure at-home service plans meet a child's specific needs in the most cost effective manner.</i>	-2,076,539
• Reflect Savings from Overtime Reduction Plan	-1,650,000
• Reduce Utilization of Fleet Services	-439,983
• Implement Pilot GPS System for State Vehicles <i>Reduced fuel and overtime costs are anticipated from instituting a GPS vehicle monitoring system.</i>	-232,346
• Eliminate Funding for Low Priority Contracted Services	-71,424
Reallocations or Transfers	
• Restructure State Government <i>Housing programs will be consolidated within the Department of Economic and Community Development. Funding for the rental subsidies portion of the Supportive Housing for Families program will be transferred.</i>	-6,351,000
• Transfer Funding for Office of the Attorney General Positions	-428,829
• Maximize Insurance Coverage for Voluntary Services Clients <i>Transfer funding to support the Office of Healthcare Advocate's efforts to appeal denials of coverage by insurance companies.</i>	-60,000
Technical Adjustments	
• Annualize Wage Freeze Savings	-11,669,350
• Annualize FY 2012 Holdbacks	-14,455,954
• Re-estimate Caseload Driven Expenditures <i>Reflects updated expenditure projections for out-of-home care (adoption, subsidized guardianship, foster care, residential and no-nexus special education) and individualized payments.</i>	-24,380,420
• Re-estimate Personal Services Requirements	-7,996,647
• Adjust CJTS Operating Costs to Reflect Raise the Age	-455,271

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,456	3,364	3,393	-196	3,197
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	263,095,676	300,803,182	293,558,016	-36,609,433	256,948,583
Other Expenses	36,029,080	37,534,834	37,513,645	-745,329	36,768,316
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Short Term Residential Treatment	713,129	713,129	713,129	0	713,129
Substance Abuse Screening	1,644,377	1,745,896	1,745,896	0	1,745,896
Workers' Compensation Claims	9,345,490	10,391,768	10,322,750	0	10,322,750
Local Systems of Care	1,982,853	2,176,906	2,136,393	-33,618	2,102,775
Family Support Services	10,087,274	8,728,303	8,728,303	0	8,728,303
Emergency Needs	1,040,357	1,710,000	1,710,000	-210,000	1,500,000
Differential Response System	0	4,000,000	4,000,000	0	4,000,000
TOTAL - Other Current Expenses	24,813,480	29,466,002	29,356,471	-243,618	29,112,853
<u>Pmts to Other Than Govts</u>					
Health Assessment and Consultation	965,665	965,667	965,667	0	965,667
Gts Psychiatric Clinics for Children	14,085,316	14,120,807	14,120,807	0	14,120,807
Day Treatment Centers for Children	5,767,652	5,497,630	5,497,630	0	5,497,630
Juvenile Justice Outreach Services	11,233,795	12,575,467	13,376,467	0	13,376,467
Child Abuse and Neglect Intervention	5,335,933	5,379,261	5,379,261	0	5,379,261
Community Based Prevention Services	4,341,156	4,850,529	4,850,529	0	4,850,529

Budget Summary

Family Violence Outreach and Counseling	1,518,743	1,751,427	1,751,427	0	1,751,427
Support for Recovering Families	13,686,655	14,505,485	16,773,485	-6,422,424	10,351,061
No Nexus Special Education	6,077,585	8,682,808	8,682,808	-1,261,371	7,421,437
Family Preservation Services	5,352,662	5,385,396	5,385,396	0	5,385,396
Substance Abuse Treatment	3,679,111	4,228,046	4,228,046	0	4,228,046
Child Welfare Support Services	3,120,959	3,371,072	3,221,072	0	3,221,072
Board and Care for Children - Adoption	84,380,291	87,100,506	92,875,380	-3,627,374	89,248,006
Board and Care for Children - Foster	104,554,980	115,485,935	120,055,232	-2,115,413	117,939,819
Board & Care - Residential	181,128,556	189,186,108	196,913,618	-23,787,176	173,126,442
Individualized Family Supports	15,279,716	16,424,785	16,424,785	-1,564,338	14,860,447
Community KidCare	23,572,849	23,575,167	23,575,167	0	23,575,167
Covenant to Care	166,516	166,516	166,516	0	166,516
Neighborhood Center	258,725	261,010	261,010	0	261,010
TOTAL - Pmts to Other Than Govts	<u>484,506,865</u>	<u>513,513,622</u>	<u>534,504,303</u>	<u>-38,778,096</u>	<u>495,726,207</u>
TOTAL - General Fund	808,445,101	881,317,641	894,932,436	-76,376,476	818,555,960



JUDICIAL DEPARTMENT

<http://www.jud.ct.gov/>

AGENCY PURPOSE

- To operate a fair, efficient and open court system to adjudicate all state criminal, civil, family and administrative cases.
- To maintain secure and safe conditions in courthouses and other Judicial Department facilities.
- To create and sustain a full range of alternatives to incarceration and evidence-based services for adult and juvenile populations.
- To advocate for victims of violent crime and to ensure that they are provided with services and financial compensation.
- To effectively resolve family and interpersonal conflicts through a comprehensive program of negotiation, mediation, evaluation and education.
- To provide safe and secure custody, treatment and rehabilitative services for children and families through the juvenile justice system.
- To assist parents by enforcing, reviewing and adjusting child support orders.
- To utilize effective supervision practices and intervention strategies that promote recidivism reduction, positive behavior change and restorative justice principals with persons placed on probation.

RECENT HIGHLIGHTS

IMPACT OF BUDGET CUTS

The 2012 fiscal year has been extraordinarily challenging for the Judicial Department, as it has been for all of state government. Savings measures that have been implemented include, but are not limited to: a hiring freeze that will result in replacement of only a small fraction of the staff that have left the department through retirement or other means; a reduction in the number of days that Judge Trial Referees and temporary staff may work; closure of the New Haven Juvenile Detention Center; and a 5 percent reduction in funding for juvenile and adult offender contracted community programs that has resulted in the reduction in scope of several initiatives.

CONTINUED IMPLEMENTATION OF STRATEGIC PLAN

The Judicial Department is in the fourth year of implementing the Chief Justice's 2008 Strategic Plan. The Strategic Plan has provided a framework for the Judicial Department's implementation of hundreds of recommendations and a wide variety of initiatives designed to enhance public trust by increasing access to justice for all people in a collaborative and transparent manner. Most of these initiatives have been rolled out at little additional cost to the department, through more efficient management of existing resources.

The state of the economy has had a significant impact on the court system. The Judicial Department has seen an increase in the number of people who come to court without an attorney to represent them, growing numbers of people with limited English proficiency, and more demands for services and assistance, all at a time when resources are limited.

Great effort has been made to identify no-cost and low-cost ways to meet these challenges. To note a few:

- A \$1.2 million federal grant was obtained to install video-conferencing in courtrooms and probation offices. This service is being used by the Appellate Court, the Judicial District and Geographic Area courts, and the Court Support Services Division. Video conferencing saves on prisoner transportation costs, supports a safer environment in the facilities, reduces fuel cost and creates efficiencies in judge and staff time.
- In 2010 the department streamlined the prisoner transport system by creating a Centralized Transport Unit, cutting by nearly half the number of Judicial Marshal staff dedicated to moving approximately 1000 incarcerated defendants each day between jails, prisons and courthouses.
- Electronic filing of cases has been made mandatory for attorneys in all civil and small claims cases, thereby reducing the need for data entry functions and costly storage of paper documents. In addition, e-filing affords attorneys and judges with nearly real-time access to filed documents.

To oversee the fourth phase of implementation of the Strategic Plan, the Chief Justice established three new committees: the Access to Justice Commission, the Pro Bono Committee and the Advisory Board on the American with Disabilities Act. The charges of these committees vary, but they all support the development and implementation of ideas to increase access to justice and ensure accountability to the public.

Much of what has been accomplished over the past three years of implementation of the Strategic Plan was designed to benefit the greatest number of stakeholders, including the most vulnerable.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize FY 2012 Holdbacks

FY 2013
-28,188,936

AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	4,201	4,217	4,274	0	4,274
Banking Fund	50	51	51	0	51
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	314,757,262	331,983,792	324,964,531	-16,748,953	308,215,578
Other Expenses	70,196,752	68,451,443	69,762,607	-5,417,672	64,344,935
<u><i>Capital Outlay</i></u>					
Equipment	219,350	100,000	305,000	-280,000	25,000
<u><i>Other Current Expenses</i></u>					
Forensic Sex Evidence Exams	1,021,015	909,060	909,060	0	909,060
Alternative Incarceration Program	55,518,855	56,747,318	56,634,818	-1,739,000	54,895,818
Justice Education Center, Inc.	293,111	293,111	293,110	-16,650	276,460
Juvenile Alternative Incarceration	30,135,023	30,169,861	30,169,864	-2,000,000	28,169,864
Juvenile Justice Centers	3,104,877	3,104,877	3,104,877	0	3,104,877
Probate Court	11,250,000	8,200,000	7,300,000	-1,617,311	5,682,689
Youthful Offender Services	9,511,511	9,512,151	13,793,708	-38,850	13,754,858
Victim Security Account	8,576	48,000	48,000	-25,000	23,000
Children of Incarcerated Parents	350,000	350,000	350,000	-27,750	322,250
Legal Aid	0	1,500,000	1,500,000	-250,000	1,250,000
Juvenile Jurisdiction Policy/Operations	0	50,000	50,000	-27,750	22,250
TOTAL - Other Current Expenses	111,192,968	110,884,378	114,153,437	-5,742,311	108,411,126
TOTAL - General Fund	496,366,332	511,419,613	509,185,575	-28,188,936	480,996,639
<u><i>Other Current Expenses</i></u>					
Foreclosure Mediation Program	2,998,083	5,252,904	5,324,914	0	5,324,914
TOTAL - Banking Fund	2,998,083	5,252,904	5,324,914	0	5,324,914
<u><i>Other Current Expenses</i></u>					
Criminal Injuries Compensation Fund	3,393,978	3,493,813	3,602,121	0	3,602,121
TOTAL - Criminal Injuries Compensation Fund	3,393,978	3,493,813	3,602,121	0	3,602,121
TOTAL - ALL FUNDS	502,758,393	520,166,330	518,112,610	-28,188,936	489,923,674



PUBLIC DEFENDER SERVICES COMMISSION

<http://www.ocpd.state.ct.us/>

AGENCY PURPOSE

- To ensure the constitutional administration of criminal justice within the state criminal court system, by maintaining a public defender office at all juvenile and adult court locations throughout the state.
- To provide legal representation to indigent accused children and adults in criminal trials and appeals, extradition proceedings, habeas corpus proceedings arising from a criminal matter, delinquency and juvenile post-conviction matters, Psychiatric Security Review Board cases and post-conviction petitions for DNA testing, and to convicted persons seeking exoneration through the Connecticut Innocence Project.
- To provide social work services to clients for referrals to alternative pre-trial programs and sentencing alternatives to incarceration and to assist them in addressing personal and social problems to prevent recidivism within the criminal justice system.
- To provide legal representation and guardian ad-litem services to indigent children and parents in child welfare, family matters, support enforcement, and in accordance with Connecticut General Statutes and by order of the Superior Court.
- To contribute to public safety initiatives by participation in the development of specialized programs that promote successful reentry through reduced violence, homelessness and recidivism by utilizing Domestic Violence Courts, Community Courts, Diversionary Programs, Drug Intervention, Alternatives to Incarceration and Team Child programs.
- To provide a balanced perspective within the criminal justice community by participation on state policy boards, task forces, and committees involved in addressing criminal and juvenile justice issues.
- To fulfill the State's constitutional obligation to provide counsel for indigent accused in a professional, effective and cost efficient manner.

RECENT HIGHLIGHTS

CONSOLIDATION OF CHILD PROTECTION COMMISSION WITH THE DIVISION OF PUBLIC DEFENDER SERVICES

On July 1, 2011 pursuant to P.A. 11-51, the Child Protection Commission was legislatively consolidated with the Division of Public Defender Services. All responsibilities of the Office of the Chief Child Protection Attorney were transferred and are now administratively handled by the Office of Chief Public Defender and the Public Defender Services Commission. Since that date, this agency has been working to implement procedures to insure consistency in contract billing procedures, while planning for improvements that will insure best practices in child protection representation. The consolidation is expected to improve holistic representation for children who are often involved in both the juvenile justice and child welfare systems in Connecticut. While the child protection system is based almost entirely on contracted lawyers, the Division hopes to pilot a model that will place some full-time child protection lawyers in Public Defender offices that have the assistance of in-house social work and investigative services.

DOMESTIC VIOLENCE SOCIAL WORK FEDERAL GRANT PILOTS IN THE NEW HAVEN AND BRIDGEPORT PUBLIC DEFENDER GEOGRAPHIC AREA OFFICES

The Office of Chief Public Defender with the assistance of federal grant funding has initiated domestic violence pilot sites in the New Haven and Bridgeport GA offices. Public Defender Social Workers in

each court provide fulltime services to indigent defendants charged with domestic violence crimes to assure compliance with services, maximize program success, and reduce recidivism. They also assist clients with collateral social issues that may exacerbate domestic violence such as substance abuse, mental illness, and homelessness.

CONNECTICUT INNOCENCE PROJECT (CTIP)

The work of CTIP has resulted in the exoneration of three individuals who were wrongfully convicted and incarcerated in Connecticut prisons for 18-20 years before exoneration.

CTIP, recently was awarded federal grant funding from the Department of Justice, Office of Justice Programs, to expand the scope of the project's review of cases of persons who claim to be actually innocent, wrongfully convicted and imprisoned. The Wrongful Conviction Review Program grant will allow CTIP to expand their services to cases where DNA or other forensic evidence may not be available to prove factual innocence, and where mis-identification or false confession may be an issue.

RAISE THE AGE CONNECTICUT

The Director of Juvenile Delinquency Defense and Child Protection serves as an important resource for the agency and the General Assembly to effectuate implementation of the Raise the Age initiative slated to include the 17-year-old population under juvenile jurisdiction by 2012.

RECOMMENDED ADJUSTMENTS

Reductions	FY 2013
• Reduce Funding in the Contracted Attorney Account <i>Aligns account with estimates of expenditures based on a new contract payment structure implementing a flat-rate payment as opposed to an hourly rate model.</i>	-500,000
• Convert Contracted Attorneys Billing Process to In-House System	-80,000
• Adjust Funding to Reflect Contracted Attorneys Expenses	-40,774
Reallocations or Transfers	
• Consolidate Special Public Defenders Accounts <i>Consolidates the Special Public Defender accounts in order to avoid inequities in payments to attorneys and create a more efficient way of managing these funds. All Special Public Defenders are now contracted, so this reallocation reflects current practice.</i>	0
Technical Adjustments	
• Annualize FY 2012 Holdbacks	-2,433,686
• Provide Funding for Raise the Age <i>Provides funding for five Deputy Assistant Public Defenders and three Social Workers in order to complete implementation of the Raise the Age initiative which raises the age of juvenile jurisdiction to 18 on July 1, 2012.</i>	495,107

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	400	440	440	8	448
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	36,564,149	40,367,054	39,204,811	-1,212,017	37,992,794
Other Expenses	1,786,798	1,648,454	1,654,345	-183,141	1,471,204
<u>Other Current Expenses</u>					
Special Public Defenders - Contractual	2,687,870	3,097,000	3,097,000	-3,097,000	0
Special Public Defenders - NonContractual	6,249,962	6,090,250	5,590,250	2,983,198	8,573,448
Expert Witnesses	2,495,564	2,100,000	2,200,000	0	2,200,000
Training and Education	69,645	100,000	125,000	-29,781	95,219
Contracted Attorneys	0	12,816,407	10,825,552	-844,028	9,981,524
Contracted Attorneys Related Expenses	0	200,000	200,000	-48,423	151,577
Family Contracted Attorneys/AMC	0	736,310	736,310	-128,161	608,149
TOTAL - Other Current Expenses	<u>11,503,041</u>	<u>25,139,967</u>	<u>22,774,112</u>	<u>-1,164,195</u>	<u>21,609,917</u>
TOTAL - General Fund	49,853,988	67,155,475	63,633,268	-2,559,353	61,073,915

COMMISSION ON CHILD PROTECTION

AGENCY PURPOSE

Consistent with Public Act 11-51, the Commission on Child Protection was consolidated with the Public Defender Services Commission.

AGENCY SUMMARY

Personnel Summary	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	9	0	0	0	0
Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	517,786	0	0	0	0
Other Expenses	130,837	0	0	0	0
<u>Other Current Expenses</u>					
Training for Contracted Attorneys	23,801	0	0	0	0
Contracted Attorneys	12,128,608	0	0	0	0
Contracted Attorneys Related Expenses	154,208	0	0	0	0
Family Contracted Attorneys/AMC	736,310	0	0	0	0
TOTAL - Other Current Expenses	13,042,927	0	0	0	0
TOTAL - General Fund	13,691,550	0	0	0	0

MISCELLANEOUS APPROPRIATION TO THE GOVERNOR

AGENCY PURPOSE

A contingency appropriation is made available to the Governor for emergency expenditures in accordance with Section 4-84 C.G.S. A minimal amount is recommended to keep the account open.

AGENCY SUMMARY

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i><u>Other Current Expenses</u></i>					
Governor's Contingency Account	0	1	1	0	1
TOTAL - General Fund	0	1	1	0	1

DEBT SERVICE – STATE TREASURER

PURPOSE

The servicing of all state debt obligations is performed by the State Treasurer. Funds for the payment of debt service are appropriated to non-functional accounts.

RECOMMENDED ADJUSTMENTS

Technical Adjustments	FY 2013
• Revise Debt Service Estimates- General Fund	-19,355,481
• Revise Debt Service Estimates- Special Transportation Fund	-10,243,342

AGENCY SUMMARY

Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
Debt Service	1,446,428,026	1,690,697,515	1,678,331,881	-13,055,633	1,665,276,248
UConn 2000 - Debt Service	113,496,959	116,989,293	130,029,220	-6,299,848	123,729,372
CHEFA Day Care Security	4,398,087	5,500,000	5,500,000	0	5,500,000
Pension Obligation Bonds - TRB	65,349,255	80,894,031	121,386,576	0	121,386,576
TOTAL - Other Current Expenses	<u>1,629,672,327</u>	<u>1,894,080,839</u>	<u>1,935,247,677</u>	<u>-19,355,481</u>	<u>1,915,892,196</u>
TOTAL - General Fund	1,629,672,327	1,894,080,839	1,935,247,677	-19,355,481	1,915,892,196
<u>Other Current Expenses</u>					
Debt Service	441,433,329	478,835,373	492,217,529	-10,243,342	481,974,187
TOTAL - Special Transportation Fund	<u>441,433,329</u>	<u>478,835,373</u>	<u>492,217,529</u>	<u>-10,243,342</u>	<u>481,974,187</u>
<u>Other Current Expenses</u>					
Debt Service	63,524	38,338	7,147	0	7,147
TOTAL - Regional Market Operation Fund	<u>63,524</u>	<u>38,338</u>	<u>7,147</u>	<u>0</u>	<u>7,147</u>
TOTAL - ALL FUNDS	<u>2,071,169,180</u>	<u>2,372,954,550</u>	<u>2,427,472,353</u>	<u>-29,598,823</u>	<u>2,397,873,530</u>

RESERVE FOR SALARY ADJUSTMENTS

PURPOSE

Funds are provided to finance collective bargaining and related costs that were not included in individual agency budgets at the time of budget formulation.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

	<u>FY 2013</u>
• Adjust Reserve For Salary Adjustments Based on 2011 SEBAC Agreement - General Fund	-155,968,724
• Adjust Reserve For Salary Adjustments Based on 2011 SEBAC Agreement - Special Transportation Fund	-11,050,266

AGENCY SUMMARY

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i>Other Current Expenses</i>					
Reserve for Salary Adjustments	0	42,568,534	200,090,187	-155,968,724	44,121,463
TOTAL - General Fund	0	42,568,534	200,090,187	-155,968,724	44,121,463
<i>Other Current Expenses</i>					
Reserve for Salary Adjustments	0	2,363,787	14,081,949	-11,050,266	3,031,683
TOTAL - Special Transportation Fund	0	2,363,787	14,081,949	-11,050,266	3,031,683
TOTAL - ALL FUNDS	0	44,932,321	214,172,136	-167,018,990	47,153,146



WORKERS' COMPENSATION CLAIMS – DAS

PURPOSE

The Department of Administrative Services oversees the State of Connecticut workers' compensation program, establishes statewide reporting and processing procedures, manages statewide fiscal and

accounting functions, procures and manages the third party claim administration company and offers a wide array of loss control and safety services statewide.

RECOMMENDED ADJUSTMENTS

Technical Adjustments

- Annualize FY 2012 Holdbacks

FY 2013

-357,000

AGENCY SUMMARY

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i>Other Current Expenses</i>					
Workers' Compensation Claims	0	27,726,672	27,239,041	-275,000	26,964,041
TOTAL - General Fund	0	27,726,672	27,239,041	-275,000	26,964,041
<i>Other Current Expenses</i>					
Workers' Compensation Claims	0	6,756,577	6,626,481	-82,000	6,544,481
TOTAL - Special Transportation Fund	0	6,756,577	6,626,481	-82,000	6,544,481
TOTAL - ALL FUNDS	0	34,483,249	33,865,522	-357,000	33,508,522

JUDICIAL REVIEW COUNCIL

AGENCY PURPOSE

Consistent with Public Act 11-48, the Judicial Review Council was consolidated with the Office of Governmental Accountability.

AGENCY SUMMARY

Personnel Summary	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	0	0	0	0
Financial Summary					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	111,411	0	0	0	0
Other Expenses	16,910	0	0	0	0
TOTAL - General Fund	128,321	0	0	0	0

STATE COMPTROLLER - MISCELLANEOUS

PURPOSE

The Office of the State Comptroller is charged with payment of claims settled with or judicially decided against the State of Connecticut. Once presented with the required judicial order or settlement agreement, payments are processed against this appropriation, the amount of which is determined by the state legislature.

The Teachers' Retirement Board is recommended for consolidation with the Office of the State Comptroller. The funding of the Teachers' Retirement Board pension contributions, retirees health service costs and municipal retiree health insurance subsidy costs will be within the Comptroller's Miscellaneous Accounts.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers

- Restructure State Government

FY 2013
809,826,550

The Teachers' Retirement Board's pension, retiree health and municipal health subsidy accounts will be consolidated within the Miscellaneous Accounts administered by the Comptroller.

AGENCY SUMMARY

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u><i>Other Current Expenses</i></u>					
Adjudicated Claims	5,776,780	6,050,000	4,000,000	0	4,000,000
<u><i>Pmts to Other Than Govts</i></u>					
Maintenance of County Base Fire Radio	25,176	0	0	0	0
Maint of State-Wide Fire Radio Network	16,756	0	0	0	0
Police Association of Connecticut	149,540	0	0	0	0
Connecticut State Firefighter's Assoc	194,711	0	0	0	0
TRB Retirement Contributions	0	0	0	787,536,000	787,536,000
TRB Retirees Health Service Cost	0	0	0	16,374,940	16,374,940
TRB Municipal Retiree Health Ins Costs	0	0	0	5,915,610	5,915,610
TOTAL - Pmts to Other Than Govts	386,183	0	0	809,826,550	809,826,550
TOTAL - General Fund	6,162,963	6,050,000	4,000,000	809,826,550	813,826,550

STATE COMPTROLLER - FRINGE BENEFITS

PURPOSE

The Office of the State Comptroller is charged with administrative oversight over all statewide employee fringe benefit accounts in both the General Fund and Special Transportation Fund. These accounts cover employer contributions for state employee unemployment compensation, group life insurance, social security taxes, health

insurance for state employees and retirees, and state employee, judicial, elected official, and higher education retirement plans. Oversight of these accounts includes budgeting, analyzing, forecasting, and processing payments against legislatively approved appropriations.

RECOMMENDED ADJUSTMENTS

Reallocations or Transfers	FY 2013
• Adjust for Net Impact of Position Changes - Reallocation Option Adjustments to the General Fund	23,000
Technical Adjustments	
• Annualize FY 2012 Holdbacks - Reflect Impact of Negotiated SEBAC Savings - General Fund	-181,290,548
• Annualize FY 2012 Holdbacks - Reflect Impact of Negotiated SEBAC Savings - Special Transportation Fund	-17,395,899
• Adjust Employer's Social Security Tax Requirements - General Fund	-15,000,000
• Realign Disproportionate Share Hospital Funding to the General Fund Fringe Benefit Accounts <i>DSS makes Disproportionate Share Hospital (DSH) payments to DMHAS for the purposes of claiming federal reimbursement on state-funded inpatient psychiatric hospitals. A portion of the DSH payments to DMHAS are used to offset certain fringe benefit accounts administered by the Comptroller. The DSS appropriation for DMHAS DSH will increase by \$3.0 million to ensure revenue can be maximized in the event the federal cap for DMHAS DSH activities is increased in FFY 2013. This proposal does not result in any net costs; dollars will be offset by decreases in the Comptroller's fringe benefit accounts (\$0.8 million) as well as DMHAS' budget (\$2.2 million).</i>	-819,886
• Adjust For Net Impact of Position Changes - Technical Adjustments to the General Fund	584,900
Expansion Adjustments	
• Increase State's Contribution to State Employee Retirement System - General Fund <i>Reflects elimination of the SEBAC IV and V adjustments, which will result in improved funding for the state's pension plan.</i>	85,250,080
• Increase State's Contribution to State Employee Retirement System - Special Transportation Fund <i>Reflects elimination of the SEBAC IV and V adjustments, which will result in improved funding for the state's pension plan.</i>	12,738,091
• Adjust for Net Impact of Position Changes - Expansion Adjustments to the General Fund	1,599,500

AGENCY SUMMARY

Financial Summary	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
Unemployment Compensation	7,452,639	12,481,748	8,901,932	0	8,901,932
Employee Retirement Contribution	563,329,057	749,397,270	715,503,022	5,987,796	721,490,818
Higher Ed Alternative Retirement Sys	22,609,979	37,959,646	37,737,659	-6,582,532	31,155,127
Pension & Ret Other Statutory	1,781,284	1,822,697	1,842,652	0	1,842,652
Judges & Comp Commissioner Ret	0	15,095,489	16,005,904	0	16,005,904
Group Life Insurance	8,254,655	8,586,000	8,758,000	-3,193	8,754,807
Employers Social Security Tax	217,707,343	244,896,847	245,850,448	-23,426,820	222,423,628
State Employees Health Serv Cost	490,632,020	602,409,060	663,840,320	-85,628,205	578,212,115
Retired Employee Health Serv Cost	490,898,951	637,885,669	614,094,650	0	614,094,650
Tuition Reimburs Training, Travel	3,337,056	3,327,500	0	0	0
TOTAL - Other Current Expenses	<u>1,806,002,984</u>	<u>2,313,861,926</u>	<u>2,312,534,587</u>	<u>-109,652,954</u>	<u>2,202,881,633</u>
TOTAL - General Fund	1,806,002,984	2,313,861,926	2,312,534,587	-109,652,954	2,202,881,633

Other Current Expenses

Unemployment Compensation	333,046	459,165	644,928	0	644,928
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Budget Summary

Employee Retirement Contribution	82,437,000	101,436,000	105,694,000	2,175,254	107,869,254
Group Life Insurance	277,907	327,000	334,000	0	334,000
Employers Social Security Tax	14,555,654	18,632,021	18,545,161	-745,072	17,800,089
State Employees Health Serv Cost	33,997,343	42,129,085	42,504,880	-6,087,990	36,416,890
TOTAL - Other Current Expenses	<u>131,600,950</u>	<u>162,983,271</u>	<u>167,722,969</u>	<u>-4,657,808</u>	<u>163,065,161</u>
TOTAL - Special Transportation Fund	<u>131,600,950</u>	<u>162,983,271</u>	<u>167,722,969</u>	<u>-4,657,808</u>	<u>163,065,161</u>
TOTAL - ALL FUNDS	<u>1,937,603,934</u>	<u>2,476,845,197</u>	<u>2,480,257,556</u>	<u>-114,310,762</u>	<u>2,365,946,794</u>



SECTION C

PROPOSED APPROPRIATIONS

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
GENERAL FUND			
<u>LEGISLATIVE</u>			
LEGISLATIVE MANAGEMENT			
Personal Services	48,753,708	-3,185,152	45,568,556
Other Expenses	17,611,168	-2,380,524	15,230,644
Equipment	316,000		316,000
Flag Restoration	75,000		75,000
Minor Capitol Improvements	265,000		265,000
Interim Salary/Caucus Offices	464,100		464,100
Connecticut Academy of Science and Engineering	100,000		100,000
Old State House	616,523		616,523
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Interstate Conference Fund	380,584		380,584
New England Board of Higher Education	194,183		194,183
AGENCY TOTAL	68,776,266	-5,565,676	63,210,590
AUDITORS OF PUBLIC ACCOUNTS			
Personal Services	11,742,921	-807,297	10,935,624
Other Expenses	856,702	-143,445	713,257
Equipment	10,000		10,000
AGENCY TOTAL	12,609,623	-950,742	11,658,881
COMMISSION ON AGING			
Personal Services	271,048	-17,347	253,701
Other Expenses	8,021	-1,334	6,687
Equipment	1,500		1,500
AGENCY TOTAL	280,569	-18,681	261,888
PERMANENT COMMISSION ON THE STATUS OF WOMEN			
Personal Services	481,820	-31,358	450,462
Other Expenses	67,092	-10,008	57,084
Equipment	1,500		1,500
AGENCY TOTAL	550,412	-41,366	509,046
COMMISSION ON CHILDREN			
Personal Services	541,011	-35,361	505,650
Other Expenses	35,700	-5,337	30,363
AGENCY TOTAL	576,711	-40,698	536,013
LATINO AND PUERTO RICAN AFFAIRS COMMISSION			
Personal Services	306,637	-20,016	286,621
Other Expenses	40,748	-6,005	34,743
AGENCY TOTAL	347,385	-26,021	321,364
AFRICAN-AMERICAN AFFAIRS COMMISSION			
Personal Services	201,784	-13,344	188,440
Other Expenses	28,005	-4,670	23,335
AGENCY TOTAL	229,789	-18,014	211,775
ASIAN PACIFIC AMERICAN AFFAIRS COMMISSION			
Personal Services	158,491	-10,008	148,483
Other Expenses	5,000	-667	4,333
Equipment	1,500		1,500
AGENCY TOTAL	164,991	-10,675	154,316
TOTAL	83,535,746	-6,671,873	76,863,873
LEGISLATIVE			

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
GENERAL GOVERNMENT			
GOVERNOR'S OFFICE			
Personal Services	2,284,648		2,284,648
Other Expenses	236,995		236,995
Equipment	1		1
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
New England Governors' Conference	113,138		113,138
National Governors' Association	134,720		134,720
AGENCY TOTAL	2,769,502		2,769,502
SECRETARY OF THE STATE			
Personal Services	1,350,000	-245,743	1,104,257
Other Expenses	1,030,923	-442,840	588,083
Equipment	1		1
Commercial Recording Division	6,299,728	-462,613	5,837,115
Board of Accountancy	350,000	-12,716	337,284
AGENCY TOTAL	9,030,652	-1,163,912	7,866,740
LIEUTENANT GOVERNOR'S OFFICE			
Personal Services	840,350	-412,000	428,350
Other Expenses	69,201		69,201
Equipment	1		1
Health Reform and Innovation		427,000	427,000
AGENCY TOTAL	909,552	15,000	924,552
STATE TREASURER			
Personal Services	3,684,877	-87,482	3,597,395
Other Expenses	273,656	-74,060	199,596
Equipment	1		1
AGENCY TOTAL	3,958,534	-161,542	3,796,992
STATE COMPTROLLER			
Personal Services	23,417,739	517,825	23,935,564
Other Expenses	4,020,735	144,563	4,165,298
Equipment	1		1
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Governmental Accounting Standards Board	19,570		19,570
AGENCY TOTAL	27,458,045	662,388	28,120,433
DEPARTMENT OF REVENUE SERVICES			
Personal Services	62,059,477	-5,485,007	56,574,470
Other Expenses	8,516,033	16,500	8,532,533
Equipment	1		1
Collection and Litigation Contingency Fund	104,479		104,479
AGENCY TOTAL	70,679,990	-5,468,507	65,211,483
OFFICE OF GOVERNMENTAL ACCOUNTABILITY			
Personal Services	838,060		838,060
Other Expenses	462,378		462,378
Equipment	24,905		24,905
Child Fatality Review Board	95,010		95,010
Information Technology Initiatives	35,000		35,000
Citizens' Election Fund Administration Account	1,667,549	335,000	2,002,549
Elections Enforcement Commission	1,384,317	-377,597	1,006,720
Office of State Ethics	1,355,145	-61,507	1,293,638
Freedom of Information Commission	1,757,403	-45,168	1,712,235
Contracting Standards Board	175,000		175,000
Judicial Review Council	155,682	-2,921	152,761
Judicial Selection Commission	90,620		90,620
Office of the Child Advocate	578,480	-11,015	567,465
Office of Victim Advocate	327,606	-12,371	315,235
Board of Firearms Permit Examiners	81,086		81,086
AGENCY TOTAL	9,028,241	-175,579	8,852,662

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
OFFICE OF POLICY AND MANAGEMENT			
Personal Services	12,853,684	-1,566,209	11,287,475
Other Expenses	2,589,252		2,589,252
Equipment	1		1
Automated Budget System and Data Base Link	55,075		55,075
Cash Management Improvement Act	95		95
Justice Assistance Grants	1,131,353		1,131,353
Criminal Justice / CT Impaired Driving Records Info System	925,428	-925,428	
Criminal Justice Information System		2,133,605	2,133,605
Connecticut Sentencing Commission		85,000	85,000
Tax Credit Study		250,000	250,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Tax Relief for Elderly Renters	29,168,400	-29,168,400	
Private Providers		8,500,000	8,500,000
Regional Planning Agencies	500,000	-500,000	
PAYMENTS TO LOCAL GOVERNMENTS			
Reimbursement to Towns for Loss of Taxes on State Property	73,519,215		73,519,215
Reimbursements to Towns for Loss of Taxes on Private Tax-Exempt Property	115,431,737		115,431,737
Reimbursement Property Tax - Disability Exemption	400,000		400,000
Distressed Municipalities	5,800,000		5,800,000
Property Tax Relief Elderly Circuit Breaker	20,505,900		20,505,900
Property Tax Relief Elderly Freeze Program	390,000		390,000
Property Tax Relief for Veterans	2,970,098		2,970,098
Capital City Economic Development	6,300,000	-6,300,000	
AGENCY TOTAL	272,540,238	-27,491,432	245,048,806
DEPARTMENT OF VETERANS' AFFAIRS			
Personal Services	24,410,802	-2,418,491	21,992,311
Other Expenses	6,067,405	-276,800	5,790,605
Equipment	1		1
Support Services for Veterans	190,000		190,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Burial Expenses	7,200		7,200
Headstones	350,000		350,000
AGENCY TOTAL	31,025,408	-2,695,291	28,330,117
DEPARTMENT OF ADMINISTRATIVE SERVICES			
Personal Services	41,807,080	6,533,366	48,340,446
Other Expenses	34,871,197	-1,081,211	33,789,986
Equipment	1		1
Management Services	5,030,792	-262,806	4,767,986
Loss Control Risk Management	143,050	-15,000	128,050
Employees' Review Board	25,135	-1,257	23,878
Surety Bonds for State Officials and Employees	82,000		82,000
Refunds of Collections	28,500		28,500
Rents and Moving	12,724,000	-750,000	11,974,000
Capitol Day Care Center	127,250		127,250
W. C. Administrator	5,250,000		5,250,000
Hospital Billing System	114,951		114,951
Connecticut Education Network	3,291,493	-325,000	2,966,493
Claims Commissioner Operations	273,651	-15,000	258,651
Insurance and Risk Operations	13,000,000	-650,000	12,350,000
IT Services	13,416,019	390,767	13,806,786
AGENCY TOTAL	130,185,119	3,823,859	134,008,978
DEPARTMENT OF CONSTRUCTION SERVICES			
Personal Services	6,842,802	-6,842,802	
Other Expenses	2,647,132	-2,647,132	
AGENCY TOTAL	9,489,934	-9,489,934	
ATTORNEY GENERAL			
Personal Services	28,623,386	1,120,292	29,743,678
Other Expenses	1,015,272		1,015,272
Equipment	1		1
AGENCY TOTAL	29,638,659	1,120,292	30,758,951

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
DIVISION OF CRIMINAL JUSTICE			
Personal Services	47,245,107	-2,757,233	44,487,874
Other Expenses	2,100,000		2,100,000
Equipment	1		1
Witness Protection	220,000		220,000
Training and Education	70,000		70,000
Expert Witnesses	380,000		380,000
Medicaid Fraud Control	841,457		841,457
Criminal Justice Commission	415		415
AGENCY TOTAL	50,856,980	-2,757,233	48,099,747
TOTAL	647,570,854	-43,781,891	603,788,963
GENERAL GOVERNMENT			
 <u>REGULATION AND PROTECTION</u>			
DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION			
Personal Services	126,034,999	-8,595,820	117,439,179
Other Expenses	28,856,075	-1,808,298	27,047,777
Equipment	4		4
Stress Reduction	23,354		23,354
Fleet Purchase	7,035,596	-2,514,122	4,521,474
Workers' Compensation Claims	4,238,787		4,238,787
COLLECT	48,925	-44,033	4,892
Real Time Regional Training		500,000	500,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Fire Training School - Willimantic	161,798	-8,090	153,708
Maintenance of County Base Fire Radio Network	25,176		25,176
Maintenance of State-Wide Fire Radio Network	16,756		16,756
Police Association of Connecticut	190,000		190,000
Connecticut State Firefighter's Association	194,711		194,711
Fire Training School - Torrington	81,367	-4,068	77,299
Fire Training School - New Haven	48,364	-2,418	45,946
Fire Training School - Derby	37,139	-1,857	35,282
Fire Training School - Wolcott	100,162	-5,008	95,154
Fire Training School - Fairfield	70,395	-3,520	66,875
Fire Training School - Hartford	169,336	-8,467	160,869
Fire Training School - Middletown	59,053	-2,953	56,100
Fire Training School - Stamford	55,432	-2,772	52,660
AGENCY TOTAL	167,447,429	-12,501,426	154,946,003
DEPARTMENT OF MOTOR VEHICLES			
Personal Services	274,449		274,449
Other Expenses	216,404		216,404
AGENCY TOTAL	490,853		490,853
MILITARY DEPARTMENT			
Personal Services	3,242,611	-436,926	2,805,685
Other Expenses	3,228,762	-411,925	2,816,837
Equipment	1		1
Honor Guard	319,500		319,500
Veterans' Service Bonuses	160,000		160,000
AGENCY TOTAL	6,950,874	-848,851	6,102,023
DEPARTMENT OF CONSUMER PROTECTION			
Personal Services	13,534,627	1,676,632	15,211,259
Other Expenses	1,690,096	-156,077	1,534,019
Equipment	1		1
Gaming Policy Board	2,758		2,758
AGENCY TOTAL	15,227,482	1,520,555	16,748,037

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
LABOR DEPARTMENT			
Personal Services	8,741,719	-850,861	7,890,858
Other Expenses	1,094,210	-46,295	1,047,915
Equipment	2		2
CETC Workforce	850,000		850,000
Workforce Investment Act	27,387,262		27,387,262
Jobs Funnel Projects	425,000		425,000
Connecticut's Youth Employment Program	3,500,000		3,500,000
Jobs First Employment Services	17,657,471		17,657,471
Opportunity Industrial Centers	500,000	-100,000	400,000
Individual Development Accounts	95,000	-95,000	
STRIDE	770,000	-180,000	590,000
Apprenticeship Program	595,867		595,867
Spanish American Merchant Association	600,000	-150,000	450,000
Connecticut Career Resource Network	157,880		157,880
21st Century Jobs	447,955		447,955
Incumbent Worker Training	450,000		450,000
STRIVE	270,000		270,000
Film Industry Training Program	237,500	-237,500	
AGENCY TOTAL	63,779,866	-1,659,656	62,120,210
COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES			
Personal Services	5,950,016	-5,950,016	
Other Expenses	903,891	-903,891	
Equipment	1	-1	
Martin Luther King, Jr. Commission	6,650	-6,650	
AGENCY TOTAL	6,860,558	-6,860,558	
OFFICE OF PROTECTION AND ADVOCACY FOR PERSONS WITH DISABILITIES			
Personal Services	2,366,933	-2,366,933	
Other Expenses	216,038	-216,038	
Equipment	1	-1	
AGENCY TOTAL	2,582,972	-2,582,972	
DEPARTMENT ON HUMAN RIGHTS, PROTECTION AND ADVOCACY			
Personal Services		7,569,138	7,569,138
Other Expenses		619,929	619,929
Equipment		2	2
Martin Luther King, Jr. Commission		6,650	6,650
AGENCY TOTAL		8,195,719	8,195,719
TOTAL	263,340,034	-14,737,189	248,602,845
REGULATION AND PROTECTION			
CONSERVATION AND DEVELOPMENT			
DEPARTMENT OF AGRICULTURE			
Personal Services	3,750,000	-361,828	3,388,172
Other Expenses	700,668	-93,000	607,668
Equipment	1		1
Vibrio Bacterium Program	1		1
Senior Food Vouchers	404,500		404,500
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Collection of Agricultural Statistics	1,026		1,026
Tuberculosis and Brucellosis Indemnity	900		900
Fair Testing - Exhibits and Demonstrations	4,040		4,040
Connecticut Grown Product Promotion	10,000		10,000
WIC Coupon Program for Fresh Produce	184,090		184,090
AGENCY TOTAL	5,055,226	-454,828	4,600,398

* As adjusted by Public Act 11-61 and Public Act 11-1,
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PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION			
Personal Services	33,677,502	-4,449,543	29,227,959
Other Expenses	4,376,632		4,376,632
Equipment	1		1
Stream Gaging	199,561		199,561
Mosquito Control	268,518	-9,350	259,168
State Superfund Site Maintenance	241,100	300,000	541,100
Laboratory Fees	170,309		170,309
Dam Maintenance	126,016	-5,279	120,737
Emergency Spill Response	7,074,509	-175,532	6,898,977
Solid Waste Management	2,781,459	578,939	3,360,398
Underground Storage Tank	1,279,716	-304,440	975,276
Clean Air	5,014,450	-185,125	4,829,325
Environmental Conservation	9,008,720	-513,084	8,495,636
Environmental Quality	10,155,679	-401,697	9,753,982
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Interstate Environmental Commission	48,783		48,783
Agreement USGS-Hydrological Study	155,456		155,456
New England Interstate Water Pollution Commission	28,827		28,827
Northeast Interstate Forest Fire Compact	3,295		3,295
Connecticut River Valley Flood Control Commission	32,395		32,395
Thames River Valley Flood Control Commission	48,281		48,281
Agreement USGS-Water Quality Stream Monitoring	215,412		215,412
Operation Fuel	1,100,000	-1,100,000	
PAYMENTS TO LOCAL GOVERNMENTS			
Lobster Restoration	200,000	-100,000	100,000
AGENCY TOTAL	76,206,621	-6,365,111	69,841,510
COUNCIL ON ENVIRONMENTAL QUALITY			
Personal Services	163,640	-1,739	161,901
Other Expenses	3,634		3,634
Equipment	1		1
AGENCY TOTAL	167,275	-1,739	165,536
DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT			
Personal Services	9,138,901	533,732	9,672,633
Other Expenses	1,618,799	-684,159	934,640
Equipment	1		1
Elderly Rental Registry and Counselors	1,098,171		1,098,171
Statewide Marketing	15,000,001	10,039,981	25,039,982
Innovation Challenge Grant Program	500,000	-500,000	
Nanotechnology Study	119,000		119,000
CT Asso. Performing Arts/Schubert Theater	378,712	-378,712	
Hartford Urban Arts Grant	378,712	-378,712	
New Britain Arts Alliance	75,743	-75,743	
Fair Housing	308,750		308,750
Main Street Initiatives	171,000	-171,000	
Office of Military Affairs	153,508		153,508
Small Business Innovation Research Matching Grants (SBIR)	95,625		95,625
Ivoryton Playhouse	150,000	-150,000	
Economic Development Grants	1,817,937		1,817,937
Garde Arts Theatre	300,000	-300,000	
Capitol Region Development Authority		5,920,145	5,920,145
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Tax Relief for Elderly Renters		26,160,000	26,160,000
Subsidized Assisted Living Demonstration	2,272,000	-392,000	1,880,000
Congregate Facilities Operation Costs	6,884,547	405,000	7,289,547
Housing Assistance and Counseling Program	438,500		438,500
Elderly Congregate Rent Subsidy	2,389,796		2,389,796
Nutmeg Games		25,000	25,000
Discovery Museum	378,712	-378,712	
National Theatre for the Deaf	151,484	-151,484	
Culture, Tourism and Art Grant	1,979,165	-1,979,165	
CT Trust for Historic Preservation	210,396	-210,396	
Connecticut Science Center	630,603	-630,603	

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	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
Supportive Housing for Families		6,351,000	6,351,000
Emergency Shelters for Homeless		2,177,077	2,177,077
Residences for Persons with AIDS		3,920,675	3,920,675
Transitional Living		3,472,851	3,472,851
Shelters Victims/Household Abuse		5,171,422	5,171,422
Rental Assistance Program		41,328,922	41,328,922
Housing Mediation Services and Rent Bank		269,011	269,011
Security Deposit Guarantee		1,647,674	1,647,674
PAYMENTS TO LOCAL GOVERNMENTS			
Tax Abatement	1,704,890		1,704,890
Payment in Lieu of Taxes	2,204,000		2,204,000
Greater Hartford Arts Council	94,677	-94,677	
Stamford Center for the Arts	378,712	-378,712	
Stepping Stones Museum for Children	44,294	-44,294	
Maritime Center Authority	531,525	-531,525	
Basic Cultural Resources Grant	1,601,204	-1,601,204	
Tourism Districts	1,495,596	-171,994	1,323,602
Connecticut Humanities Council	2,157,633	-2,157,633	
Amistad Committee for the Freedom Trail	44,294	-44,294	
Amistad Vessel	378,712	-378,712	
New Haven Festival of Arts and Ideas	797,287	-797,287	
New Haven Arts Council	94,677	-94,677	
Palace Theater	378,712	-378,712	
Beardsley Zoo	354,350	-354,350	
Mystic Aquarium	620,112	-620,112	
Quinebaug Tourism	41,101	-4,727	36,374
Northwestern Tourism	41,101	-4,727	36,374
Eastern Tourism	41,101	-4,727	36,374
Central Tourism	41,101	-4,727	36,374
Twain/Stowe Homes	95,674	-95,674	
Emergency Shelters		560,208	560,208
Transitional Living		73,818	73,818
AGENCY TOTAL	59,780,816	93,913,065	153,693,881
AGRICULTURAL EXPERIMENT STATION			
Personal Services	5,910,000	-493,414	5,416,586
Other Expenses	923,511		923,511
Equipment	1		1
Mosquito Control	231,173	228,779	459,952
Wildlife Disease Prevention	89,571		89,571
AGENCY TOTAL	7,154,256	-264,635	6,889,621
TOTAL	148,364,194	86,826,752	235,190,946
CONSERVATION AND DEVELOPMENT			
HEALTH AND HOSPITALS			
DEPARTMENT OF PUBLIC HEALTH			
Personal Services	34,626,728	-2,647,002	31,979,726
Other Expenses	8,433,505		8,433,505
Equipment	1		1
Needle and Syringe Exchange Program	455,072		455,072
Children's Health Initiatives	2,435,161	-12,666	2,422,495
Childhood Lead Poisoning	75,000		75,000
AIDS Services	4,952,098		4,952,098
Breast and Cervical Cancer Detection and Treatment	2,181,483	-11,198	2,170,285
Children with Special Health Care Needs	1,271,627		1,271,627
Medicaid Administration	4,201,595	-238,012	3,963,583
Fetal and Infant Mortality Review	299,250	-299,250	
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Community Health Services	6,300,500	-666,822	5,633,678
Rape Crisis	439,684		439,684
X-Ray Screening and Tuberculosis Care	1,200,000		1,200,000
Genetic Diseases Programs	828,744		828,744
Immunization Services	9,044,950	11,730,902	20,775,852

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	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
PAYMENTS TO LOCAL GOVERNMENTS			
Local and District Departments of Health	4,563,700		4,563,700
Venereal Disease Control	195,210		195,210
School Based Health Clinics	10,440,646	-412,592	10,028,054
AGENCY TOTAL	91,944,954	7,443,360	99,388,314
OFFICE OF THE CHIEF MEDICAL EXAMINER			
Personal Services	5,050,652	-5,050,652	
Other Expenses	906,282	-906,282	
Equipment	15,500	-15,500	
Medicolegal Investigations	58,828	-58,828	
AGENCY TOTAL	6,031,262	-6,031,262	
DEPARTMENT OF DEVELOPMENTAL SERVICES			
Personal Services	275,149,434	-24,697,070	250,452,364
Other Expenses	21,990,274	480,117	22,470,391
Equipment	1		1
Human Resource Development	219,790		219,790
Family Support Grants	3,280,095		3,280,095
Cooperative Placements Program	22,576,043	468,144	23,044,187
Clinical Services	4,585,370	-264,650	4,320,720
Early Intervention	34,688,242		34,688,242
Community Temporary Support Services	67,315		67,315
Community Respite Care Programs	330,345		330,345
Workers' Compensation Claims	15,246,035		15,246,035
Autism Services	1,185,176	1,000,000	2,185,176
Voluntary Services	31,225,026		31,225,026
Supplemental Payments for Medical Services	13,400,000		13,400,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Rent Subsidy Program	4,537,554		4,537,554
Family Reunion Program	134,900		134,900
Employment Opportunities and Day Services	197,101,167	2,514,855	199,616,022
Community Residential Services	431,913,391	4,208,227	436,121,618
AGENCY TOTAL	1,057,630,158	-16,290,377	1,041,339,781
DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES			
Personal Services	211,068,124	-35,728,585	175,339,539
Other Expenses	28,599,021	31,469	28,630,490
Equipment	1	1	2
Housing Supports and Services	14,987,367	1,237,000	16,224,367
Managed Service System	38,736,053	-852,343	37,883,710
Legal Services	639,269	-100,000	539,269
Connecticut Mental Health Center	8,540,721		8,540,721
Professional Services	11,788,898		11,788,898
General Assistance Managed Care	195,756,101	-16,350,000	179,406,101
Workers' Compensation Claims	10,594,566		10,594,566
Nursing Home Screening	622,784		622,784
Young Adult Services	64,771,066	-480,000	64,291,066
TBI Community Services	12,711,421	1,500,000	14,211,421
Jail Diversion	4,569,358		4,569,358
Behavioral Health Medications	6,169,095		6,169,095
Prison Overcrowding	6,416,668	300,000	6,716,668
Medicaid Adult Rehabilitation Option	3,963,349	800,000	4,763,349
Discharge and Diversion Services	12,586,680	1,206,870	13,793,550
Home and Community Based Services	10,252,082		10,252,082
Persistent Violent Felony Offenders Act	703,333		703,333
Nursing Home Contract		300,000	300,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Grants for Substance Abuse Services	25,027,766	-725,800	24,301,966
Grants for Mental Health Services	76,394,230	-704,791	75,689,439
Employment Opportunities	10,417,746		10,417,746
AGENCY TOTAL	755,315,699	-49,566,179	705,749,520

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PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
PSYCHIATRIC SECURITY REVIEW BOARD			
Personal Services	320,081	-320,081	
Other Expenses	31,469	-31,469	
Equipment	1	-1	
AGENCY TOTAL	351,551	-351,551	
TOTAL	1,911,273,624	-64,796,009	1,846,477,615
HEALTH AND HOSPITALS			
 HUMAN SERVICES			
DEPARTMENT OF SOCIAL SERVICES			
Personal Services	116,581,562	-10,191,961	106,389,601
Other Expenses	88,800,670	24,838,990	113,639,660
Equipment	1		1
Children's Trust Fund	13,067,430	-2,341,402	10,726,028
Children's Health Council	218,317	-218,317	
HUSKY Outreach	335,564	-33,556	302,008
Genetic Tests in Paternity Actions	191,142		191,142
State-Funded Supplemental Nutrition Assistance Program	2,025,966	-692,000	1,333,966
HUSKY Program	42,600,000	-12,710,000	29,890,000
Charter Oak Health Plan	7,760,000	-4,410,000	3,350,000
HUSKY Performance Monitoring		175,000	175,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Medicaid	4,755,161,500	-19,812,560	4,735,348,940
Old Age Assistance	36,063,774	-31,000	36,032,774
Aid to the Blind	766,494	-17,000	749,494
Aid to the Disabled	61,977,284	-2,004,000	59,973,284
Temporary Assistance to Families - TANF	122,160,034	-9,123,000	113,037,034
Emergency Assistance	1		1
Food Stamp Training Expenses	12,000		12,000
Connecticut Pharmaceutical Assistance Contract to the Elderly	380,000	-70,000	310,000
Healthy Start	1,490,220	-149,022	1,341,198
DMHAS – Disproportionate Share	105,935,000	3,000,000	108,935,000
Connecticut Home Care Program	65,086,100	-17,770,000	47,316,100
Human Resource Development-Hispanic Programs	936,329		936,329
Services to the Elderly	3,911,369		3,911,369
Safety Net Services	1,890,807	-189,081	1,701,726
Transportation for Employment Independence Program	3,155,532	-3,155,532	
Refunds of Collections	177,792	-120,000	57,792
Services for Persons with Disabilities	627,227	-126,362	500,865
Child Care Services - TANF/CCDBG	104,304,819	136,000	104,440,819
Nutrition Assistance	447,663		447,663
Housing/Homeless Services	59,824,050	-59,824,050	
Disproportionate Share - Medical Emergency Assistance	268,486,847		268,486,847
State Administered General Assistance	14,723,163		14,723,163
Child Care Quality Enhancements	3,745,687	-2,937,757	807,930
Connecticut Children's Medical Center	10,579,200		10,579,200
Community Services	1,798,865	-527,703	1,271,162
Alzheimer Respite Care	2,294,388	-229,439	2,064,949
Human Service Infrastructure Community Action Program	3,418,970		3,418,970
Teen Pregnancy Prevention	1,914,339		1,914,339
PAYMENTS TO LOCAL GOVERNMENTS			
Human Resource Development-Hispanic Programs	5,310		5,310
Teen Pregnancy Prevention	143,600		143,600
Services to the Elderly	44,405		44,405
Housing/Homeless Services	634,026	-634,026	
Community Services	87,268		87,268
AGENCY TOTAL	5,903,764,715	-119,167,778	5,784,596,937
 BUREAU OF REHABILITATIVE SERVICES			
Personal Services	4,599,638	179,075	4,778,713
Other Expenses	991,631	25,000	1,016,631
Equipment	2		2

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	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
Part-Time Interpreters	191,633		191,633
Educational Aid for Blind and Visually Handicapped Children	4,821,904		4,821,904
Enhanced Employment Opportunities	673,000		673,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Vocational Rehabilitation - Disabled	7,386,668		7,386,668
Supplementary Relief and Services	103,925		103,925
Vocational Rehabilitation	890,454		890,454
Special Training for the Deaf Blind	298,585		298,585
Connecticut Radio Information Service	87,640		87,640
Employment Opportunities	1,052,829		1,052,829
Independent Living Centers	547,338	-273,669	273,669
AGENCY TOTAL	21,645,247	-69,594	21,575,653

TOTAL	5,925,409,962	-119,237,372	5,806,172,590
HUMAN SERVICES			

EDUCATION

DEPARTMENT OF EDUCATION

Personal Services	23,833,611	-2,362,029	21,471,582
Other Expenses	3,124,506	1,077,000	4,201,506
Equipment	1		1
Basic Skills Exam Teachers in Training	1,270,775		1,270,775
Teachers' Standards Implementation Program	3,096,508		3,096,508
Early Childhood Program	5,022,489		5,022,489
Development of Mastery Exams Grades 4, 6 and 8	19,050,559		19,050,559
Primary Mental Health	507,294		507,294
Leadership, Education, Athletics in Partnership (LEAP)	765,000		765,000
Adult Education Action	240,687		240,687
Connecticut Pre-Engineering Program	262,500		262,500
Connecticut Writing Project	50,000	-50,000	
Resource Equity Assessment	299,683		299,683
Neighborhood Youth Centers	1,338,300		1,338,300
Longitudinal Data Systems	1,500,000		1,500,000
School Accountability	2,201,405		2,201,405
Sheff Settlement	10,293,799	7,000,000	17,293,799
CommPACT Schools	712,500		712,500
Community Plans for Early Childhood	450,000		450,000
Improving Early Literacy	150,000		150,000
Parents' Trust Fund	500,000		500,000
Regional Vocational-Technical School System	143,702,045	-9,560,068	134,141,977
Child Care Services	18,419,752		18,419,752
Commissioner's Network		22,900,000	22,900,000
Technical Assistance for Regional Cooperation		300,000	300,000
College Financial Prep for Needy Families		500,000	500,000
New or Replicated Schools		2,475,000	2,475,000
Personalized Learning Pilot		500,000	500,000
K-3 Reading Assessment Pilot		300,000	300,000
Talent Development		12,000,000	12,000,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
American School for the Deaf	10,264,242		10,264,242
Regional Education Services	1,384,613		1,384,613
Head Start Services	2,748,150		2,748,150
Head Start Enhancement	1,773,000		1,773,000
Family Resource Centers	6,041,488		6,041,488
Charter Schools	59,839,400	-59,839,400	
Youth Service Bureau Enhancement	620,300		620,300
Head Start - Early Childhood Link	2,090,000		2,090,000
Institutional Student Aid	882,000	-882,000	
Child Nutrition State Match	2,354,000		2,354,000
Health Foods Initiative	3,613,997		3,613,997
EvenStart	500,000		500,000
PAYMENTS TO LOCAL GOVERNMENTS			
Vocational Agriculture	5,060,565	750,000	5,810,565

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PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
Transportation of School Children	24,884,748		24,884,748
Adult Education	21,025,690		21,025,690
Health and Welfare Services Pupils Private Schools	4,297,500		4,297,500
Education Equalization Grants	1,889,609,057	128,485,000	2,018,094,057
Bilingual Education	1,916,130		1,916,130
Priority School Districts	116,100,581	4,000,000	120,100,581
Young Parents Program	229,330		229,330
Interdistrict Cooperation	11,131,935	-5,000,000	6,131,935
School Breakfast Program	2,220,303		2,220,303
Excess Cost - Student Based	139,805,731		139,805,731
Non-Public School Transportation	3,595,500		3,595,500
School to Work Opportunities	213,750		213,750
Youth Service Bureaus	2,947,268		2,947,268
OPEN Choice Program	22,090,956		22,090,956
Magnet Schools	235,364,251	7,297,460	242,661,711
After School Program	4,500,000	572,000	5,072,000
School Readiness Quality Enhancement	1,100,678	5,587,757	6,688,435
AGENCY TOTAL	2,814,996,577	116,050,720	2,931,047,297
STATE LIBRARY			
Personal Services	5,560,728	-610,531	4,950,197
Other Expenses	767,111	-38,356	728,755
Equipment	1		1
State-Wide Digital Library	1,630,136	464,454	2,094,590
Interlibrary Loan Delivery Service	275,751		275,751
Legal/Legislative Library Materials	1,000,000	-172,008	827,992
State-Wide Data Base Program	574,696	-574,696	
Computer Access	190,000	-190,000	
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Support Cooperating Library Service Units	350,000		350,000
PAYMENTS TO LOCAL GOVERNMENTS			
Grants to Public Libraries	214,283		214,283
Connecticard Payments	1,000,000		1,000,000
AGENCY TOTAL	11,562,706	-1,121,137	10,441,569
OFFICE OF FINANCIAL AND ACADEMIC AFFAIRS FOR HIGHER EDUCATION			
Personal Services	1,240,000	-104,022	1,135,978
Other Expenses	110,180	-15,000	95,180
Equipment	1		1
Minority Advancement Program	2,405,666	-728,849	1,676,817
Alternate Route to Certification	100,000		100,000
International Initiatives	66,500		66,500
Minority Teacher Incentive Program	471,374		471,374
Education and Health Initiatives	522,500	-522,500	
Attract the Best – Aid and Loan Forgiveness		1,000,000	1,000,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Capitol Scholarship Program	4,451,390	-629,039	3,822,351
Awards to Children of Deceased/Disabled Veterans	4,000		4,000
Connecticut Independent College Student Grant	16,158,319	-4,829,075	11,329,244
Connecticut Aid for Public College Students	29,808,469		29,808,469
Connecticut Aid to Charter Oak	59,393		59,393
Kirklyn M. Kerr Grant Program	400,000	-400,000	
AGENCY TOTAL	55,797,792	-6,228,485	49,569,307
UNIVERSITY OF CONNECTICUT			
Operating Expenses	210,445,208	-16,608,940	193,836,268
AHEC for Bridgeport		505,707	505,707
Tuition Freeze	4,267,696		4,267,696
Regional Campus Enhancement	7,538,003		7,538,003
Veterinary Diagnostic Laboratory	90,000		90,000
Health Center Operating Expenses		97,310,920	97,310,920
Office of the Chief Medical Examiner		5,099,658	5,099,658
AGENCY TOTAL	222,340,907	86,307,345	308,648,252

* As adjusted by Public Act 11-61 and Public Act 11-1, June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
UNIVERSITY OF CONNECTICUT HEALTH CENTER			
Operating Expenses	109,156,742	-109,156,742	
AHEC for Bridgeport	505,707	-505,707	
AGENCY TOTAL	109,662,449	-109,662,449	
TEACHERS' RETIREMENT BOARD			
Personal Services	1,731,184	-1,731,184	
Other Expenses	685,068	-685,068	
Equipment	1	-1	
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Retirement Contributions	787,536,000	-787,536,000	
Retirees Health Service Cost	26,500,836	-26,500,836	
Municipal Retiree Health Insurance Costs	7,887,480	-7,887,480	
AGENCY TOTAL	824,340,569	-824,340,569	
BOARD OF REGENTS FOR HIGHER EDUCATION			
National Service Act	328,365		328,365
Charter Oak State College	2,696,543	-240,460	2,456,083
Regional Community - Technical Colleges	150,084,931	-6,538,834	143,546,097
Connecticut State University	153,522,741	-11,228,081	142,294,660
Board of Regents for Higher Education	1,316,603	-42,022	1,274,581
AGENCY TOTAL	307,949,183	-18,049,397	289,899,786
TOTAL	4,346,650,183	-757,043,972	3,589,606,211
EDUCATION			
<u>CORRECTIONS</u>			
DEPARTMENT OF CORRECTION			
Personal Services	397,466,166	-8,998,615	388,467,551
Other Expenses	75,245,412	-1,016,230	74,229,182
Equipment	1		1
Workers' Compensation Claims	29,936,219	-1,800,000	28,136,219
Inmate Medical Services	94,747,339	-9,117,940	85,629,399
Board of Pardons and Paroles	6,082,447	-304,122	5,778,325
Mental Health AIC	300,000	-300,000	
Distance Learning	100,000		100,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Aid to Paroled and Discharged Inmates	9,500		9,500
Legal Services to Prisoners	870,595		870,595
Volunteer Services	170,758		170,758
Community Support Services	40,370,121		40,370,121
AGENCY TOTAL	645,298,558	-21,536,907	623,761,651
DEPARTMENT OF CHILDREN AND FAMILIES			
Personal Services	293,558,016	-36,609,433	256,948,583
Other Expenses	37,513,645	-745,329	36,768,316
Equipment	1		1
Short Term Residential Treatment	713,129		713,129
Substance Abuse Screening	1,745,896		1,745,896
Workers' Compensation Claims	10,322,750		10,322,750
Local Systems of Care	2,136,393	-33,618	2,102,775
Family Support Services	8,728,303		8,728,303
Emergency Needs	1,710,000	-210,000	1,500,000
Differential Response System	4,000,000		4,000,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Health Assessment and Consultation	965,667		965,667
Grants for Psychiatric Clinics for Children	14,120,807		14,120,807
Day Treatment Centers for Children	5,497,630		5,497,630
Juvenile Justice Outreach Services	13,376,467		13,376,467
Child Abuse and Neglect Intervention	5,379,261		5,379,261
Community Based Prevention Services	4,850,529		4,850,529
Family Violence Outreach and Counseling	1,751,427		1,751,427
Support for Recovering Families	16,773,485	-6,422,424	10,351,061
No Nexus Special Education	8,682,808	-1,261,371	7,421,437

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
Family Preservation Services	5,385,396		5,385,396
Substance Abuse Treatment	4,228,046		4,228,046
Child Welfare Support Services	3,221,072		3,221,072
Board and Care for Children - Adoption	92,875,380	-3,627,374	89,248,006
Board and Care for Children - Foster	120,055,232	-2,115,413	117,939,819
Board and Care for Children - Residential	196,913,618	-23,787,176	173,126,442
Individualized Family Supports	16,424,785	-1,564,338	14,860,447
Community KidCare	23,575,167		23,575,167
Covenant to Care	166,516		166,516
Neighborhood Center	261,010		261,010
AGENCY TOTAL	894,932,436	-76,376,476	818,555,960
TOTAL CORRECTIONS	1,540,230,994	-97,913,383	1,442,317,611
 <u>JUDICIAL</u>			
JUDICIAL DEPARTMENT			
Personal Services	324,964,531	-16,748,953	308,215,578
Other Expenses	69,762,607	-5,417,672	64,344,935
Equipment	305,000	-280,000	25,000
Forensic Sex Evidence Exams	909,060		909,060
Alternative Incarceration Program	56,634,818	-1,739,000	54,895,818
Justice Education Center, Inc.	293,110	-16,650	276,460
Juvenile Alternative Incarceration	30,169,864	-2,000,000	28,169,864
Juvenile Justice Centers	3,104,877		3,104,877
Probate Court	7,300,000	-1,617,311	5,682,689
Youthful Offender Services	13,793,708	-38,850	13,754,858
Victim Security Account	48,000	-25,000	23,000
Children of Incarcerated Parents	350,000	-27,750	322,250
Legal Aid	1,500,000	-250,000	1,250,000
Juvenile Jurisdiction Policy and Operations Coordinating Council	50,000	-27,750	22,250
AGENCY TOTAL	509,185,575	-28,188,936	480,996,639
PUBLIC DEFENDER SERVICES COMMISSION			
Personal Services	39,204,811	-1,212,017	37,992,794
Other Expenses	1,654,345	-183,141	1,471,204
Special Public Defenders - Contractual	3,097,000	-3,097,000	
Special Public Defenders - Non-Contractual	5,590,250	2,983,198	8,573,448
Expert Witnesses	2,200,000		2,200,000
Training and Education	125,000	-29,781	95,219
Contracted Attorneys	10,825,552	-844,028	9,981,524
Contracted Attorneys Related Expenses	200,000	-48,423	151,577
Family Contracted Attorneys/AMC	736,310	-128,161	608,149
AGENCY TOTAL	63,633,268	-2,559,353	61,073,915
TOTAL JUDICIAL	572,818,843	-30,748,289	542,070,554
 <u>NON-FUNCTIONAL</u>			
MISCELLANEOUS APPROPRIATION TO THE GOVERNOR			
Governor's Contingency Account	1		1
AGENCY TOTAL	1		1
DEBT SERVICE - STATE TREASURER			
Debt Service	1,678,331,881	-13,055,633	1,665,276,248
UConn 2000 - Debt Service	130,029,220	-6,299,848	123,729,372
CHEFA Day Care Security	5,500,000		5,500,000
Pension Obligation Bonds - Teachers' Retirement System	121,386,576		121,386,576
AGENCY TOTAL	1,935,247,677	-19,355,481	1,915,892,196

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
RESERVE FOR SALARY ADJUSTMENTS			
Reserve for Salary Adjustments	200,090,187	-155,968,724	44,121,463
AGENCY TOTAL	200,090,187	-155,968,724	44,121,463
WORKERS' COMPENSATION CLAIMS - DEPARTMENT OF ADMINISTRATIVE SERVICES			
Workers' Compensation Claims	27,239,041	-275,000	26,964,041
AGENCY TOTAL	27,239,041	-275,000	26,964,041
STATE COMPTROLLER - MISCELLANEOUS			
Adjudicated Claims	4,000,000		4,000,000
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
TRB Retirement Contributions		787,536,000	787,536,000
TRB Retirees Health Service Cost		16,374,940	16,374,940
TRB Municipal Retiree Health Insurance Costs		5,915,610	5,915,610
AGENCY TOTAL	4,000,000	809,826,550	813,826,550
STATE COMPTROLLER - FRINGE BENEFITS			
Unemployment Compensation	8,901,932		8,901,932
State Employees Retirement Contributions	715,503,022	5,987,796	721,490,818
Higher Ed Alternative Retirement System	37,737,659	-6,582,532	31,155,127
Pensions and Retirements - Other Statutory	1,842,652		1,842,652
Judges and Compensation Commissioners Retirement	16,005,904		16,005,904
Insurance - Group Life	8,758,000	-3,193	8,754,807
Employers Social Security Tax	245,850,448	-23,426,820	222,423,628
State Employees Health Services Cost	663,840,320	-85,628,205	578,212,115
Retired Employees Health Service Cost	614,094,650		614,094,650
AGENCY TOTAL	2,312,534,587	-109,652,954	2,202,881,633
TOTAL NON-FUNCTIONAL	4,479,111,493	524,574,391	5,003,685,884
TOTAL - GENERAL FUND	19,918,305,927	-523,528,835	19,394,777,092
Unallocated Lapses	-91,676,192		-91,676,192
Unallocated Lapses - Legislative	-3,028,105		-3,028,105
Unallocated Lapses - Judicial	-5,400,672		-5,400,672
General Personal Services Reduction - Legislative	-476,000		-476,000
General Personal Services Reduction - Executive	-11,538,800		-11,538,800
General Other Expenses Reductions - Legislative	-374,000		-374,000
General Other Expenses Reductions - Executive	-9,066,200		-9,066,200
Labor Management Savings - Legislative	-6,671,872	6,671,872	
Labor Management Savings - Executive	-806,963,225	806,963,225	
Labor Management Savings - Judicial	-30,622,622		-30,622,622
Additional Legislative Savings		-2,000,000	-2,000,000
Additional Judicial Department Savings		-4,800,000	-4,800,000
NET - General Fund	18,952,488,239	313,928,884	19,266,417,123
SPECIAL TRANSPORTATION FUND			
<u>GENERAL GOVERNMENT</u>			
DEPARTMENT OF ADMINISTRATIVE SERVICES			
Insurance and Risk Operations	7,335,373		7,335,373
AGENCY TOTAL	7,335,373		7,335,373
TOTAL GENERAL GOVERNMENT	7,335,373		7,335,373

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
<u>REGULATION AND PROTECTION</u>			
DEPARTMENT OF MOTOR VEHICLES			
Personal Services	41,541,809	-1,780,804	39,761,005
Other Expenses	13,255,626		13,255,626
Equipment	600,000		600,000
Commercial Vehicle Information Systems and Networks Project	296,289		296,289
AGENCY TOTAL	55,693,724	-1,780,804	53,912,920
TOTAL REGULATION AND PROTECTION	55,693,724	-1,780,804	53,912,920
<u>TRANSPORTATION</u>			
DEPARTMENT OF TRANSPORTATION			
Personal Services	162,240,011	-14,112,857	148,127,154
Other Expenses	49,228,630	2,742,204	51,970,834
Equipment	1,743,000		1,743,000
Minor Capital Projects	332,500		332,500
Highway & Bridge Renewal-Equipment	7,000,000		7,000,000
Highway Planning and Research	3,105,000		3,105,000
Rail Operations	155,715,305	-10,633,085	145,082,220
Bus Operations	139,464,784	-2,151,732	137,313,052
Tweed-New Haven Airport Grant	1,000,000	500,000	1,500,000
ADA Para-transit Program	28,880,000	-90,233	28,789,767
Non-ADA Dial-A-Ride Program	576,361		576,361
Pay-As-You-Go Transportation Projects	22,687,740	7,000,000	29,687,740
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Transportation for Employment Independence Program		3,155,532	3,155,532
PAYMENTS TO LOCAL GOVERNMENTS			
Town Aid Road Grants	30,000,000		30,000,000
AGENCY TOTAL	601,973,331	-13,590,171	588,383,160
TOTAL TRANSPORTATION	601,973,331	-13,590,171	588,383,160
<u>HUMAN SERVICES</u>			
BUREAU OF REHABILITATIVE SERVICES			
Personal Services	116,274	78,800	195,074
Other Expenses	14,436		14,436
AGENCY TOTAL	130,710	78,800	209,510
TOTAL HUMAN SERVICES	130,710	78,800	209,510
<u>NON-FUNCTIONAL</u>			
DEBT SERVICE - STATE TREASURER			
Debt Service	492,217,529	-10,243,342	481,974,187
AGENCY TOTAL	492,217,529	-10,243,342	481,974,187
RESERVE FOR SALARY ADJUSTMENTS			
Reserve for Salary Adjustments	14,081,949	-11,050,266	3,031,683
AGENCY TOTAL	14,081,949	-11,050,266	3,031,683
WORKERS' COMPENSATION CLAIMS - DEPARTMENT OF ADMINISTRATIVE SERVICES			
Workers' Compensation Claims	6,626,481	-82,000	6,544,481
AGENCY TOTAL	6,626,481	-82,000	6,544,481

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
STATE COMPTROLLER - FRINGE BENEFITS			
Unemployment Compensation	644,928		644,928
State Employees Retirement Contributions	105,694,000	2,175,254	107,869,254
Insurance - Group Life	334,000		334,000
Employers Social Security Tax	18,545,161	-745,072	17,800,089
State Employees Health Services Cost	42,504,880	-6,087,990	36,416,890
AGENCY TOTAL	167,722,969	-4,657,808	163,065,161
TOTAL NON-FUNCTIONAL	680,648,928	-26,033,416	654,615,512
TOTAL - SPECIAL TRANSPORTATION FUND			
Estimated Unallocated Lapses	-11,000,000		-11,000,000
Labor-Management Savings	-56,949,138	56,949,138	
NET - Special Transportation Fund	1,277,832,928	15,623,547	1,293,456,475
MASHANTUCKET PEQUOT AND MOHEGAN FUND			
<u>GENERAL GOVERNMENT</u>			
OFFICE OF POLICY AND MANAGEMENT			
PAYMENTS TO LOCAL GOVERNMENTS			
Grants to Towns	61,779,907		61,779,907
AGENCY TOTAL	61,779,907		61,779,907
TOTAL GENERAL GOVERNMENT	61,779,907		61,779,907
TOTAL - MASHANTUCKET PEQUOT AND MOHEGAN FUND	61,779,907		61,779,907
SOLDIERS, SAILORS AND MARINES FUND			
<u>HUMAN SERVICES</u>			
SOLDIERS, SAILORS AND MARINES' FUND			
Personal Services	604,504	-12,124	592,380
Other Expenses	42,397		42,397
Award Payments to Veterans	1,979,800		1,979,800
Fringe Benefits	424,835		424,835
AGENCY TOTAL	3,051,536	-12,124	3,039,412
TOTAL HUMAN SERVICES	3,051,536	-12,124	3,039,412
TOTAL - SOLDIERS, SAILORS AND MARINES FUND	3,051,536	-12,124	3,039,412
REGIONAL MARKET OPERATION FUND			
<u>CONSERVATION AND DEVELOPMENT</u>			
DEPARTMENT OF AGRICULTURE			
Personal Services	386,193		386,193
Other Expenses	273,007		273,007
Equipment	1		1
Fringe Benefits	266,473		266,473
AGENCY TOTAL	925,674		925,674
TOTAL CONSERVATION AND DEVELOPMENT	925,674		925,674

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
<u>NON-FUNCTIONAL</u>			
DEBT SERVICE - STATE TREASURER			
Debt Service	7,147		7,147
AGENCY TOTAL	<u>7,147</u>		<u>7,147</u>
TOTAL NON-FUNCTIONAL	7,147		7,147
TOTAL - REGIONAL MARKET OPERATION FUND	932,821		932,821
BANKING FUND			
<u>REGULATION AND PROTECTION</u>			
DEPARTMENT OF BANKING			
Personal Services	10,600,000	-377,206	10,222,794
Other Expenses	1,014,443	468,359	1,482,802
Equipment	37,200		37,200
Fringe Benefits	7,314,500	-260,272	7,054,228
Indirect Overhead	1,217,182	-1,001,975	215,207
AGENCY TOTAL	<u>20,183,325</u>	<u>-1,171,094</u>	<u>19,012,231</u>
LABOR DEPARTMENT			
Customized Services	500,000		500,000
AGENCY TOTAL	<u>500,000</u>		<u>500,000</u>
TOTAL REGULATION AND PROTECTION	20,683,325	-1,171,094	19,512,231
<u>CONSERVATION AND DEVELOPMENT</u>			
DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT			
Fair Housing	168,639		168,639
AGENCY TOTAL	<u>168,639</u>		<u>168,639</u>
TOTAL CONSERVATION AND DEVELOPMENT	168,639		168,639
<u>JUDICIAL</u>			
JUDICIAL DEPARTMENT			
Foreclosure Mediation Program	5,324,914		5,324,914
AGENCY TOTAL	<u>5,324,914</u>		<u>5,324,914</u>
TOTAL JUDICIAL	5,324,914		5,324,914
TOTAL - BANKING FUND	26,176,878	-1,171,094	25,005,784
Branch Savings Target - Judicial	-63,729		-63,729
NET - Banking Fund	26,113,149	-1,171,094	24,942,055

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
INSURANCE FUND			
<u>GENERAL GOVERNMENT</u>			
OFFICE OF POLICY AND MANAGEMENT			
Personal Services	212,322	-3,395	208,927
Other Expenses	500		500
Fringe Benefits	146,503	-2,342	144,161
AGENCY TOTAL	359,325	-5,737	353,588
TOTAL GENERAL GOVERNMENT	359,325	-5,737	353,588
<u>REGULATION AND PROTECTION</u>			
INSURANCE DEPARTMENT			
Personal Services	12,996,951	739,267	13,736,218
Other Expenses	2,022,453		2,022,453
Equipment	40,060		40,060
Fringe Benefits	8,699,254	797,143	9,496,397
Indirect Overhead	59,842	413,131	472,973
AGENCY TOTAL	23,818,560	1,949,541	25,768,101
OFFICE OF THE HEALTHCARE ADVOCATE			
Personal Services	725,540	234,716	960,256
Other Expenses	136,374		136,374
Equipment	700		700
Fringe Benefits	495,294	161,954	657,248
Indirect Overhead	120,957	-101,746	19,211
AGENCY TOTAL	1,478,865	294,924	1,773,789
TOTAL REGULATION AND PROTECTION	25,297,425	2,244,465	27,541,890
<u>HUMAN SERVICES</u>			
DEPARTMENT OF SOCIAL SERVICES			
Other Expenses	475,000		475,000
AGENCY TOTAL	475,000		475,000
TOTAL HUMAN SERVICES	475,000		475,000
TOTAL - INSURANCE FUND	26,131,750	2,238,728	28,370,478

CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND

<u>REGULATION AND PROTECTION</u>			
OFFICE OF CONSUMER COUNSEL			
Personal Services	1,309,791	53,036	1,362,827
Other Expenses	396,029		396,029
Equipment	5,600		5,600
Fringe Benefits	901,742	31,695	933,437
Indirect Overhead	375,972	-308,277	67,695
AGENCY TOTAL	2,989,134	-223,546	2,765,588
TOTAL REGULATION AND PROTECTION	2,989,134	-223,546	2,765,588

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
<u>CONSERVATION AND DEVELOPMENT</u>			
DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION			
Personal Services	11,989,348	-387,294	11,602,054
Other Expenses	1,550,391	100,000	1,650,391
Equipment	26,000		26,000
Fringe Benefits	8,276,798	-267,233	8,009,565
Indirect Overhead	1,155,074	-957,282	197,792
OTHER THAN PAYMENTS TO LOCAL GOVERNMENTS			
Operation Fuel		1,100,000	1,100,000
AGENCY TOTAL	22,997,611	-411,809	22,585,802
TOTAL CONSERVATION AND DEVELOPMENT	22,997,611	-411,809	22,585,802
TOTAL - CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND	25,986,745	-635,355	25,351,390
WORKERS' COMPENSATION FUND			
<u>GENERAL GOVERNMENT</u>			
DIVISION OF CRIMINAL JUSTICE			
Personal Services	407,580		407,580
Other Expenses	30,653		30,653
Equipment	1		1
Fringe Benefits	281,230		281,230
AGENCY TOTAL	719,464		719,464
TOTAL GENERAL GOVERNMENT	719,464		719,464
<u>REGULATION AND PROTECTION</u>			
LABOR DEPARTMENT			
Personal Services		8,660,377	8,660,377
Other Expenses		2,182,102	2,182,102
Equipment		15,900	15,900
Occupational Health Clinics	682,731		682,731
Fringe Benefits		5,977,676	5,977,676
Indirect Overhead		716,918	716,918
AGENCY TOTAL	682,731	17,552,973	18,235,704
WORKERS' COMPENSATION COMMISSION			
Personal Services	9,022,493	-9,022,493	
Other Expenses	2,284,102	-2,284,102	
Equipment	15,900	-15,900	
Fringe Benefits	6,227,536	-6,227,536	
Indirect Overhead	974,714	-974,714	
AGENCY TOTAL	18,524,745	-18,524,745	
TOTAL REGULATION AND PROTECTION	19,207,476	-971,772	18,235,704

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session

PROPOSED APPROPRIATIONS

	FY 2013 Appropriated*	Net Adjustments	FY 2013 Revised Recommendations
<u>HUMAN SERVICES</u>			
BUREAU OF REHABILITATIVE SERVICES			
Personal Services	487,578		487,578
Other Expenses	24,500		24,500
Rehabilitative Services	1,261,913		1,261,913
Fringe Benefits	336,429		336,429
AGENCY TOTAL	<u>2,110,420</u>		<u>2,110,420</u>
TOTAL HUMAN SERVICES	2,110,420		2,110,420
TOTAL - WORKERS' COMPENSATION FUND	22,037,360	-971,772	21,065,588
CRIMINAL INJURIES COMPENSATION FUND			
<u>JUDICIAL</u>			
JUDICIAL DEPARTMENT			
Criminal Injuries Compensation Fund	3,602,121		3,602,121
AGENCY TOTAL	<u>3,602,121</u>		<u>3,602,121</u>
TOTAL JUDICIAL	3,602,121		3,602,121
TOTAL - CRIMINAL INJURIES COMPENSATION FUND	3,602,121		3,602,121

* As adjusted by Public Act 11-61 and Public Act 11-1,
June Special Session



SECTION D

CAPITAL PROGRAM

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CAPITAL BUDGET 1999-2013

RECOMMENDED							
<u>EXECUTIVE</u>							
<u>Fiscal Year</u>	<u>General</u> <u>Obligation Bonds</u>	<u>UCONN</u>	<u>Special Tax</u> <u>Obligation</u> <u>Bonds</u>	<u>Revenue</u> <u>Bonds</u>	<u>Connecticut</u> <u>State</u> <u>University</u> <u>System 2020</u>	<u>Connecticut</u> <u>Bioscience</u> <u>Collaboration</u> <u>Program</u>	<u>TOTAL (NET)*</u>
1999	\$ 1,253,497,298	0	175,500,000	213,300,000	0	0	\$ 1,642,297,298
2000	\$ 986,247,395	0	193,110,000	68,000,000	0	0	\$ 1,247,357,395
2001	\$ 1,139,578,433	0	155,191,000	106,900,000	0	0	\$ 1,401,669,433
2002	\$ 1,020,291,616	0	195,900,000	81,000,000	0	0	\$ 1,297,191,616
2003	\$ 1,172,342,100	0	196,000,000	158,000,000	0	0	\$ 1,526,342,100
2004	\$ 1,166,130,206	0	242,700,000	0	0	0	\$ 1,408,830,206
2005	\$ 810,716,521	0	195,000,000	0	0	0	\$ 1,005,716,521
2006	\$ 997,576,475	0	238,850,000	0	0	0	\$ 1,236,426,475
2007	\$ 1,254,295,241	0	770,800,000	100,000,000	0	0	\$ 2,125,095,241
2008	\$ 1,356,003,952	0	369,688,000	175,000,000	0	0	\$ 1,900,691,952
2009	\$ 1,320,474,780	0	232,300,000	175,000,000	0	0	\$ 1,727,774,780
2010	\$ 591,056,911	0	861,300,000	175,000,000	0	0	\$ 1,627,356,911
2011	\$ 563,009,173	0	270,225,000	80,000,000	0	0	\$ 913,234,173
2012	\$ 1,075,021,556	0	572,338,993	233,420,000	0	0	\$ 1,880,780,549
2013	\$ 1,432,398,455	0	605,239,168	238,360,000	0	0	\$ 2,275,997,623
ENACTED							
<u>GENERAL ASSEMBLY</u>							
1999	\$ 812,552,747	64,311,000	186,500,000	213,300,000	0	0	\$ 1,276,663,747
2000	\$ 1,183,159,531	130,000,000	208,010,000	84,600,000	0	0	\$ 1,605,769,531
2001	\$ 1,237,833,458	100,000,000	155,191,000	106,900,000	0	0	\$ 1,599,924,458
2002	\$ 1,181,743,741	100,000,000	207,900,000	81,000,000	0	0	\$ 1,570,643,741
2003	\$ 437,418,739	100,000,000	211,000,000	158,000,000	0	0	\$ 906,418,739
2004	\$ 1,146,053,528	100,000,000	248,700,000	0	0	0	\$ 1,494,753,528
2005	\$ 996,244,943	100,000,000	198,500,000	0	0	0	\$ 1,294,744,943
2006	\$ 1,164,214,765	79,000,000	238,850,000	0	0	0	\$ 1,482,064,765
2007	\$ 1,299,680,741	89,000,000	1,651,800,000	100,000,000	0	0	\$ 3,140,480,741
2008	\$ 1,643,111,638	115,000,000	649,680,000	235,000,000	0	0	\$ 2,642,791,638
2009	\$ 1,306,547,436	140,000,000	410,300,000	180,000,000	95,000,000	0	\$ 2,131,847,436
2010	\$ 768,916,316	140,500,000	679,200,000	80,000,000	95,000,000	0	\$ 1,763,616,316
2011	\$ 429,305,153	0	272,725,000	120,000,000	95,000,000	0	\$ 917,030,153
2012	\$ 1,438,396,556	157,200,000	628,649,193	233,420,000	95,000,000	34,162,000	\$ 2,586,827,749
2013	\$ 1,715,140,135	143,000,000	515,239,168	238,360,000	95,000,000	85,113,000	\$ 2,791,852,303

* The Recommended Executive totals do not include amounts authorized for the UConn 2000 Infrastructure Improvement Program, for pension obligation bonds, for the CSUS 2020 Infrastructure Improvement Program or for the Connecticut Bioscience Collaboration Program.

FEDERAL TAX LAW

Federal tax law severely restricts the flexibility of the State in issuing tax-exempt bonds and establishes tests for the tax-exemption of interest on bonds issued by governmental units. Therefore, the recommended bond authorizations on the following pages may not all be issued as tax-exempt due to federal tax law definitions of what constitutes governmental bonds.

STATUTORY DEBT LIMIT

Section 3-21 of the General Statutes, as amended, provides that "No bonds, notes or other evidences of indebtedness for borrowed money payable from General Fund tax receipts of the State shall be authorized by the general assembly except such as shall not cause the aggregate amount of (1) the total amount of bonds, notes or other evidences of indebtedness payable from General Fund tax receipts authorized by the general assembly but which have not been issued and (2) the total amount of such indebtedness which has been issued and remains outstanding, to exceed one and six-tenths times the total general fund tax receipts of the State for the fiscal year in which any such authorization will become effective, as estimated for such fiscal year by the joint standing committee of the general assembly having cognizance of finance, revenue and bonding in accordance with section 2-35. In computing such aggregate amount of indebtedness at any time, there shall be excluded or deducted, as the case may be, (1) the principal amount of all such obligations as may be certified by the Treasurer (A) as issued in anticipation of revenues to be received by the State during the period of 12 calendar months next following their issuance and to be paid by application of such revenue or (B) as issued to refund or replace any such indebtedness then existing and outstanding in an amount not exceeding such existing indebtedness or (C) as issued and outstanding in anticipation of particular bonds then unissued but fully authorized to be issued in the manner provided by law for such authorization, provided, so long as any of said obligations are outstanding, the entire principal amount of such particular bonds thus authorized shall be deemed to be outstanding and be included in such aggregate amount of indebtedness or (D) as payable solely from revenues of particular public improvements, (2) the amount which may be certified by the Treasurer as the aggregate value of cash and securities in debt retirement funds of the State to be used to meet principal of outstanding obligations included in such aggregate amount of indebtedness, (3) every such amount as may be certified by the Secretary of the Office of Policy and Management as the estimated payments on account of the costs of any public work or improvement thereafter to be received by the State from the United States or agencies thereof and to be used, in conformity with applicable federal law, to meet principal of obligations included in such aggregate amount of indebtedness, (4) all authorized and issued indebtedness to fund any budget deficits of the State for any fiscal year ending on or before June 30, 1991, (5) all authorized indebtedness to fund the program created pursuant to section 32-285, (6) all authorized and issued indebtedness to fund any budget deficits of the State for any fiscal year ending on or before June 30, 2002, (7) all indebtedness authorized and issued pursuant to section 1 of public act 03-1 of the September 8 special session, (8) all authorized indebtedness issued pursuant to section 3-62h, (9) any indebtedness represented by any agreement entered into pursuant to subsection (b) or (c) of section 3-20a as certified by the Treasurer, provided the indebtedness in connection with which such agreements were entered into shall be included in such aggregate amount of indebtedness, and (10) all indebtedness authorized and issued pursuant to section 2 of public act 09-2 of the June special session. In computing the amount of outstanding indebtedness, only the accreted value of any capital appreciation obligation or any zero coupon obligation which has accreted and been added to the stated initial value of such obligation as of the date of any computation shall be included."

The debt-incurring margins estimated as of January 1, 2012 and as of July 1, 2012 are calculated below.

	<u>January 1, 2012</u>	<u>July 1, 2012</u>
Revenues	\$14,019,100,000	\$14,608,500,000
Multiplier	1.6	1.6
Limit	\$22,430,560,000	\$23,373,600,000
Bonds Subject to Limit*	\$15,210,220,763	\$17,026,058,681
Debt Incurring Margin	\$ 7,220,339,237	\$ 6,347,541,319

In accordance with the General Statutes, the Treasurer shall compute the aggregate amount of indebtedness as of January 1, and July 1 each year and shall certify the results of such computation to the Governor and the General Assembly. If the aggregate amount of indebtedness reaches 90% of the statutory debt limit, the Governor shall review each bond act for which no bonds, notes or other evidences of indebtedness have been issued, and recommend to the General Assembly priorities for repealing authorizations for remaining projects.

*Does not include Tax Incremental Financings, Special Transportation, Bradley Airport, Clean Water Fund Revenue, Connecticut Unemployment Revenue Bonds, Economic Recovery Notes and Pension Obligation Bonds.

	Authorized FY 2013	Recommended Adjustments FY 2013	Total Revised Recommended FY 2013
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SUMMARY OF CAPITAL PROJECTS BY FUNCTION OF GOVERNMENT

Function of Government

Legislative	\$	0	\$	0
General Government		789,420,000		170,738,500
Regulation and Protection		17,212,000		13,207,985
Conservation and Development		816,360,000		83,500,000
Health and Hospitals		19,000,000		19,000,000
Transportation		540,239,168		90,000,000
Human Services		10,000,000		10,000,000
Education		259,223,135		59,145,000
Corrections		6,285,000		1,000,000
Judicial		11,000,000		11,000,000
Subtotal - All Agencies	\$	2,468,739,303	\$	417,591,485
Less: Reductions/Cancellations of Prior Authorizations				(11,842,165)
UCONN 21st Century Program		143,000,000		143,000,000
CSUS 2020 Program		95,000,000		95,000,000
Connecticut Bioscience Collaboration Program		85,113,000		85,113,000
GRAND TOTAL	\$	<u>2,791,852,303</u>	\$	<u>405,749,320</u>
			\$	<u>3,197,601,623</u>

SUMMARY OF FINANCING

General Obligation Bonds	\$	1,715,140,135	\$	327,591,485	\$	2,042,731,620
Less: Reductions/Cancellations of Prior Authorizations				(11,842,165)		
Subtotal - Net General Obligation Bonds				315,749,320		
Revenue Bonds		238,360,000				238,360,000
UCONN 21st Century Program		143,000,000				143,000,000
CSUS 2020 Program		95,000,000				95,000,000
Connecticut Bioscience Collaboration Program		85,113,000				85,113,000
Special Tax Obligation Bonds		515,239,168		90,000,000		605,239,168
GRAND TOTAL	\$	<u>2,791,852,303</u>	\$	<u>405,749,320</u>	\$	<u>3,197,601,623</u>

PROGRAM OR PROJECT BY AGENCY

	FY 2013 Authorized	FY 2013 Recommended Adjustments	FY 2013 Total Revised Recommended
SECRETARY OF THE STATE			
Development, implementation and upgrade of information technology systems	2,000,000	-	2,000,000
Estimated State Funds- \$5,000,000			
Prior Authorization- \$3,000,000			
TOTAL- SECRETARY OF THE STATE	\$ 2,000,000	\$ -	\$ 2,000,000
STATE COMPTROLLER			
Enhancements and upgrades to the CORE financial system	7,000,000	-	7,000,000
Estimated State Funds- \$157,131,490			
Prior Authorization- \$150,131,490			
TOTAL- STATE COMPTROLLER	\$ 7,000,000	\$ -	\$ 7,000,000
OFFICE OF POLICY AND MANAGEMENT			
Capital Equipment Purchase Fund	22,900,000	2,000,000	24,900,000
Estimated State Funds- \$389,100,000			
Prior Authorization- \$364,200,000			
Design and implementation of the Criminal Justice Information Sharing System	4,720,000	-	4,720,000
Estimated State Funds- \$35,810,000			
Prior Authorization- \$15,700,000			
Grants-in-aid to municipalities for the Local Capital Improvement Program	30,000,000	-	30,000,000
Estimated State Funds- \$705,000,000			
Prior Authorization- \$645,000,000			
Grants-in-aid to municipalities for the Small Town Economic Assistance Program	20,000,000	-	20,000,000
Estimated State Funds- \$220,000,000			
Prior Authorization- \$200,000,000			
Grants-in-aid for urban development projects including economic and community development, transportation, environmental protection, public safety, children and families and social services	50,000,000	-	50,000,000
Estimated State Funds- \$1,144,800,000			
Prior Authorization- \$1,094,800,000			
Grants-in-aid to municipalities for development of a computer-assisted mass appraisal in accordance with section 12-62f of the general statutes	-	38,500	38,500
Estimated State Funds- \$4,966,250			
Prior Authorization- \$4,927,750			
Design and implementation of state and local benchmarking systems, including technology development	2,000,000	-	2,000,000
Estimated State Funds- \$6,000,000			
Prior Authorization- \$4,000,000			
Grants-in-aid under the intertown capital equipment purchase incentive program	10,000,000	-	10,000,000
Estimated State Funds- \$20,000,000			
Prior Authorization- \$10,000,000			
Grants-in-aid to municipalities for the Main Street Investment Fund	5,000,000	-	5,000,000

PROGRAM OR PROJECT BY AGENCY

	FY 2013 Authorized	FY 2013 Recommended Adjustments	FY 2013 Total Revised Recommended
Estimated State Funds- \$10,000,000			
Prior Authorization- \$5,000,000			
Information Technology Capital Investment Program	-	50,000,000	50,000,000
Estimated State Funds- \$50,000,000			
TOTAL- OFFICE OF POLICY AND MANAGEMENT	\$ 144,620,000	\$ 52,038,500	\$ 196,658,500

DEPARTMENT OF ADMINISTRATIVE SERVICES

Exterior renovations and improvements to the State Office Building, Hartford	21,500,000	2,500,000	24,000,000
Estimated State Funds- \$25,500,000			
Prior Authorization- \$1,500,000			
Infrastructure repairs and improvements, including fire, safety and compliance with the Americans with Disabilities Act, improvements to state-owned buildings and grounds, including energy conservation and off-site improvements, and preservation of unoccupied buildings and grounds, including office development, acquisition, renovations for additional parking and security improvements	12,500,000	92,500,000	105,000,000
Estimated State Funds- \$314,825,000			
Prior Authorization- \$222,325,000			
Removal or encapsulation of asbestos in state-owned buildings	-	5,000,000	5,000,000
Estimated State Funds- \$158,500,000			
Prior Authorization- \$153,500,000			
School Construction Payments (Interest)	-	8,300,000	8,300,000
Estimated State Funds- \$356,400,000			
Prior Authorization- \$348,100,000			
School Construction Payments (Principal)	-	584,000,000	584,000,000
Estimated State Funds- \$7,680,875,000			
Prior Authorization- \$7,096,875,000			
Capital construction, improvements, repairs, renovations and land acquisition at Fire Training Schools	-	28,200,000	28,200,000
Estimated State Funds- \$28,000,000			
Prior Authorization- \$26,000,000			
TOTAL- DEPARTMENT OF ADMINISTRATIVE SERVICES	\$ 34,000,000	\$ 720,500,000	\$ 754,500,000

DEPARTMENT OF CONSTRUCTION SERVICES

Removal or encapsulation of asbestos in state-owned buildings	5,000,000	(5,000,000)	-
Estimated State Funds- \$158,500,000			
Prior Authorization- \$153,500,000			
Infrastructure repairs and improvements, including fire, safety and compliance with the Americans with Disabilities Act, improvements to state-owned buildings and grounds, including energy conservation and off-site improvements, and preservation of unoccupied buildings and grounds, including office development, acquisition, renovations for additional parking and security improvements	2,500,000	(2,500,000)	-
School Construction Payments (Interest)	8,300,000	(8,300,000)	-
School Construction Payments (Principal)	584,000,000	(584,000,000)	-
Notwithstanding the provisions of section 4b-1 of the general statutes, land acquisition, construction, improvements, repairs and renovations at fire training schools	2,000,000	(2,000,000)	-

PROGRAM OR PROJECT BY AGENCY

	FY 2013 Authorized	FY 2013 Recommended Adjustments	FY 2013 Total Revised Recommended
TOTAL- DEPARTMENT OF CONSTRUCTION SERVICES	\$ 601,800,000	\$ (601,800,000)	\$ -
TOTAL - General Government	\$ 789,420,000	\$ 170,738,500	\$ 960,158,500
DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION			
Alterations and improvements to buildings and grounds, including utilities, mechanical systems and energy conservation Estimated State Funds- \$27,924,000 Prior Authorization- \$25,712,000	2,212,000	1,375,000	3,587,000
Design and construction of alterations, renovations and improvements for an emergency services facility, including canine training and vehicle impound area and a fleet maintenance and administration facility, including acquisition of property and related costs Estimated State Funds- \$5,265,985	-	5,256,985	5,256,985
Design and construction of alterations, renovations and improvements for a firearms training facility and vehicle operations training center Estimated State Funds- \$8,001,000 Prior Authorization- \$1,425,000	-	6,576,000	6,576,000
TOTAL- DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION	\$ 2,212,000	\$ 13,207,985	\$ 15,419,985
MILITARY DEPARTMENT			
Alterations and Improvements to buildings and grounds, including utilities, mechanical systems and energy conservation Estimated State Funds- \$8,338,000 Prior Authorization- \$7,338,000	1,000,000	-	1,000,000
State matching funds for anticipated federal reimbursable projects Estimated State Funds- \$12,000,000 Estimated Federal Funds FY 2013- \$2,000,000	2,000,000	-	2,000,000
Alterations, renovations and improvements to the National Guard armory in New London and the storage facility at Stones Ranch in East Lyme for the 250th Engineering Company Estimated State Funds- \$2,000,000 Estimated Federal Funds FY 2013- \$1,000,000	2,000,000	-	2,000,000
TOTAL- MILITARY DEPARTMENT	\$ 5,000,000	\$ -	\$ 5,000,000
DEPARTMENT OF LABOR			
Subsidized Training and Employment program established pursuant to section 4 of public act 11-1 of the October special session Estimated State Funds- \$20,000,000 Prior Authorization- \$10,000,000	10,000,000	-	10,000,000
TOTAL- DEPARTMENT OF LABOR	\$ 10,000,000	\$ -	\$ 10,000,000
TOTAL - Regulation and Protection	\$ 17,212,000	\$ 13,207,985	\$ 30,419,985

PROGRAM OR PROJECT BY AGENCY

	FY 2013 Authorized	FY 2013 Recommended Adjustments	FY 2013 Total Revised Recommended
DEPARTMENT OF AGRICULTURE			
Preservation of Connecticut agricultural lands	\$ 10,000,000	\$ -	\$ 10,000,000
Estimated State Funds- \$150,250,000			
Prior Authorization- \$140,250,000			
TOTAL- DEPARTMENT OF AGRICULTURE	\$ 10,000,000	\$ -	\$ 10,000,000
DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION			
Clean Water Fund (General Obligations Bonds)	94,000,000	-	94,000,000
Estimated State Funds- \$1,227,625,976			
Prior Authorization- \$1,113,625,976			
Clean Water and Drinking Water Fund (Revenue Bonds)	238,360,000	-	238,360,000
Estimated State Funds- \$2,425,180,000			
Prior Authorization- \$2,186,820,000			
Dam repairs, including state-owned dams	4,000,000	-	4,000,000
Estimated State Funds- \$54,134,011			
Prior Authorization- \$50,134,011			
Alterations, renovations and new construction at state parks and other recreation facilities, including Americans with Disabilities Act improvements	15,000,000	-	15,000,000
Estimated State Funds- \$130,125,234			
Prior Authorization- \$115,125,234			
Grants-in-Aid to municipalities for acquisition of open space for conservation and recreation purposes	5,000,000	-	5,000,000
Estimated State Funds- \$94,250,000			
Prior Authorization- \$84,250,000			
Grants-in-aid for containment, removal or mitigation of identified hazardous waste disposal sites	10,000,000	-	10,000,000
Estimated State Funds- \$114,000,000			
Prior Authorization- \$104,000,000			
Energy efficiency fuel oil furnace and boiler replacement, upgrade and repair program	5,000,000	-	5,000,000
Underground storage tank petroleum clean-up program	-	5,000,000	5,000,000
Estimated State Funds- \$5,000,000			
Pilot program to allow a gas or heating oil company to finance the conversion to gas heat or home heating oil by potential residential customers who heat their homes with electricity	-	1,000,000	1,000,000
Estimated State Funds- \$1,000,000			
Grants-in-aid for a pilot program to establish energy microgrids to support critical municipal infrastructure	-	5,000,000	5,000,000
Estimated State Funds- \$5,000,000			
TOTAL- DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION	\$ 371,360,000	\$ 11,000,000	\$ 382,360,000
DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT			
Regional brownfield redevelopment loan fund	25,000,000	-	25,000,000
Estimated State Funds- \$55,000,000			
Prior Authorization- \$30,000,000			
Housing development and rehabilitation programs	25,000,000	62,500,000	87,500,000
Estimated State Funds- \$637,757,506			

PROGRAM OR PROJECT BY AGENCY

	FY 2013 Authorized	FY 2013 Recommended Adjustments	FY 2013 Total Revised Recommended
Prior Authorization- \$557,757,506			
Housing Trust Fund	25,000,000	-	25,000,000
Estimated State Funds- \$160,000,000			
Prior Authorization- \$110,000,000			
Economic Development and Manufacturing Assistance Act	280,000,000	-	280,000,000
Estimated State Funds- \$1,015,300,000			
Prior Authorization- \$735,300,000			
Small Business Express program established pursuant to section 1 of public act 11-1 of the October special session	50,000,000	-	50,000,000
Estimated State Funds- \$100,000,000			
Prior Authorization- \$50,000,000			
Grants-in-aid to nursing facilities for alterations, renovations and improvements for conversion to other uses in support of right-sizing	-	10,000,000	10,000,000
Estimated State Funds- \$10,000,000			
TOTAL- DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT	\$ 405,000,000	\$ 72,500,000	\$ 477,500,000
CONNECTICUT INNOVATIONS, INCORPORATED			
For the purpose of recapitalizing the programs established in chapter 581 of the general statutes	25,000,000	-	25,000,000
Estimated State Funds- \$143,500,000			
Prior Authorization- \$43,500,000			
TOTAL- CONNECTICUT INNOVATIONS, INCORPORATED	\$ 25,000,000	\$ -	\$ 25,000,000
TOTAL - Conservation and Development	\$ 811,360,000	\$ 83,500,000	\$ 894,860,000
DEPARTMENT OF PUBLIC HEALTH			
Grants-in-aid to community health centers, primary care organizations and municipalities for the purchase of equipment, renovations, improvements and expansion of facilities	2,000,000	-	2,000,000
Estimated State Funds- \$35,861,604			
Prior Authorization- \$33,861,604			
TOTAL- DEPARTMENT OF PUBLIC HEALTH	\$ 2,000,000	\$ -	\$ 2,000,000
DEPARTMENT OF DEVELOPMENTAL SERVICES			
Grants-in aid to private, nonprofit organizations for alterations and improvements to nonresidential facilities	2,000,000	-	2,000,000
Estimated State Funds- \$7,684,374			
Prior Authorization- \$5,684,374			
Fire, safety and environmental improvements to regional facilities for clients and staff needs, including improvements in compliance with current codes, including intermediate care facilities and site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning, and other interior and exterior building renovations at all state owned facilities	5,000,000	-	5,000,000
Estimated State Funds- \$75,432,007			
Prior Authorization- \$70,432,007			
TOTAL- DEPARTMENT OF DEVELOPMENTAL SERVICES	\$ 7,000,000	\$ -	\$ 7,000,000

PROGRAM OR PROJECT BY AGENCY

	FY 2013 Authorized	FY 2013 Recommended Adjustments	FY 2013 Total Revised Recommended
DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES			
Fire safety and environmental improvements and alterations, renovations, additions including improvements in compliance with current building codes, site improvements. repair and replacement of roofs and other exterior and interior building elements Estimated State Funds- \$87,497,300 Prior Authorization- \$82,497,300	5,000,000	-	5,000,000
Grants-in-aid to private, non-profit organizations that are exempt under Section 501(c)(3) of the Internal Revenue Code for community-based residential and outpatient facilities for purchases, repairs, alterations, and improvements Estimated State Funds- \$35,800,000 Prior Authorization- \$30,800,000	5,000,000	-	5,000,000
TOTAL - DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES	\$ 10,000,000	\$ -	\$ 10,000,000
TOTAL - Health and Hospitals	\$ 19,000,000	\$ -	\$ 19,000,000
DEPARTMENT OF TRANSPORTATION			
Interstate Highway Program Estimated State Funds- \$381,500,000 Prior Authorization- \$366,550,000 Estimated Federal Funds FY 2013- \$394,070,000	14,950,000	-	14,950,000
Urban Systems Program Estimated State Funds- \$192,500,000 Prior Authorization- \$184,000,000 Estimated Federal Funds FY 2013- \$40,452,683	8,500,000	-	8,500,000
Intrastate Highway Program Estimated State Funds- \$1,174,232,166 Prior Authorization- \$1,130,232,166 Estimated Federal Funds FY 2013- \$456,540,000	44,000,000	-	44,000,000
Environmental compliance, soil and groundwater remediation, hazardous materials abatement, demolition, salt shed construction and renovation, storage tank replacement, and environmental emergency response at or in the vicinity of state owned properties or related to Department of Transportation operations Estimated State Funds- \$217,385,700 Prior Authorization- \$206,180,700	11,205,000	-	11,205,000
State bridge improvement, rehabilitation and replacement projects Estimated State Funds- \$1,725,480,000 Prior Authorization- \$1,692,480,000 Estimated Federal Funds FY 2013- \$409,300,000	33,000,000	90,000,000	123,000,000
Capital resurfacing and related construction projects Estimated State Funds- \$1,379,900,000 Prior Authorization- \$1,310,900,000 Estimated Federal Funds FY 2013- \$8,100,000	68,900,000	-	68,900,000
Fix-it-first program to repair the state's roads	57,600,000	-	57,600,000

PROGRAM OR PROJECT BY AGENCY

	FY 2013 Authorized	FY 2013 Recommended Adjustments	FY 2013 Total Revised Recommended
Estimated State Funds- \$186,746,000 Prior Authorization- \$129,146,000			
Fix-it-first program to repair the state's bridges	64,129,000	-	64,129,000
Estimated State Funds- \$315,279,000 Prior Authorization- \$251,150,000			
Reconstruction and improvements to the warehouse and State Pier, New London, including site improvements and improvements to ferry slips	6,100,000	-	6,100,000
Estimated State Funds- \$52,696,000 Prior Authorization- \$46,596,000			
Development and improvement of general aviation airport facilities including grants-in-aid to municipal airports (excluding Bradley International Airport)	2,000,000	-	2,000,000
Estimated State Funds- \$54,414,000 Prior Authorization- \$52,414,000 Estimated Federal Funds FY 2013- \$7,550,000			
Bus and rail facilities and equipment, including rights-of-way, other property acquisition and related projects	167,000,000	-	167,000,000
Estimated State Funds- \$1,299,520,000 Prior Authorization- \$1,132,520,000 Estimated Federal Funds FY 2013- \$130,088,000			
Department facilities	16,555,168	-	16,555,168
Estimated State Funds- \$169,386,161 Prior Authorization- \$152,830,993			
Cost of issuance of special tax obligation bonds and debt service reserve	21,300,000	-	21,300,000
Estimated State Funds- \$786,566,000 Prior Authorization- \$765,266,000			
Grants-in-aid for improvements to deep water ports, including dredging (General Obligation Bonds)	25,000,000	-	25,000,000
Estimated State Funds- \$31,000,000 Prior Authorization- \$6,000,000			
TOTAL- DEPARTMENT OF TRANSPORTATION	\$ 540,239,168	\$ 90,000,000	\$ 630,239,168
TOTAL - Transportation	\$ 540,239,168	\$ 90,000,000	\$ 630,239,168
DEPARTMENT OF SOCIAL SERVICES			
Grants-in-aid for neighborhood facilities, elderly centers, multi-purpose human resource centers and related facilities	10,000,000	-	10,000,000
Estimated State Funds- \$102,264,133 Prior Authorization- \$92,264,133			
TOTAL- DEPARTMENT OF SOCIAL SERVICES	\$ 10,000,000	\$ -	\$ 10,000,000
TOTAL - Human Services	\$ 10,000,000	\$ -	\$ 10,000,000

PROGRAM OR PROJECT BY AGENCY

	FY 2013 Authorized	FY 2013 Recommended Adjustments	FY 2013 Total Revised Recommended
DEPARTMENT OF EDUCATION			
Grants-in-aid for the purpose of capital start-up costs related to the development of new interdistrict magnet school programs to assist the state in meeting the goals of the 2008 stipulation and order for Milo Sheff, et. al. v. William A. O'Neill, et. al Estimated State Funds- \$26,965,800 Prior Authorization- \$17,820,800	-	9,145,000	9,145,000
Alterations and improvements to buildings and grounds, including new and replacement equipment, tools and supplies necessary to update curricula, vehicles and technology upgrades at all Connecticut Technical High Schools Estimated State Funds- \$183,220,231 Prior Authorization- \$155,220,231	28,000,000	-	28,000,000
Grants-in-aid for alterations, repairs, improvements, technology, equipment and capital start-up costs including acquisition costs, to expand the availability of high quality school models Estimated State Funds- \$25,000,000	-	25,000,000	25,000,000
Grants-in-aid to assist targeted local and regional school districts for alterations, repairs, improvements, technology and equipment in low performing schools Estimated State Funds- \$20,000,000	-	20,000,000	20,000,000
Grants-in-aid to municipalities and organizations exempt from taxation under Section 501(c)(3) of the Internal Revenue Code for facility improvements and minor capital repairs to licensed school readiness programs and state-funded day care centers operated by such municipalities and organizations Estimated State Funds- \$5,000,000	-	5,000,000	5,000,000
TOTAL- DEPARTMENT OF EDUCATION	\$ 28,000,000	\$ 59,145,000	\$ 87,145,000
BOARD OF REGENTS FOR HIGHER EDUCATION			
At all Community Colleges: Alterations, renovations and improvements to facilities including fire, safety, energy conservation, code compliance and acquisition of property Estimated State Funds- \$81,750,000 Prior Authorization- \$76,750,000	5,000,000	-	5,000,000
At all Community Colleges: New and replacement instruction, research and/or laboratory equipment Estimated State Funds- \$119,135,947 Prior Authorization- \$110,135,947	9,000,000	-	9,000,000
At all Community Colleges: System technology initiative Estimated State Funds- \$57,000,000 Prior Authorization- \$52,000,000	5,000,000	-	5,000,000
At Norwalk Community College: Implementation of phase III of the master plan Estimated State Funds- \$38,572,323	3,720,936	-	3,720,936
At Naugatuck Valley Community College, Waterbury: Alterations, renovations and improvements to Founders Hall Estimated State Funds- \$43,478,828 Prior Authorization- \$4,470,446	39,008,382	-	39,008,382

PROGRAM OR PROJECT BY AGENCY

	FY 2013 Authorized	FY 2013 Recommended Adjustments	FY 2013 Total Revised Recommended
At Tunxis Community College, Farmington: Implementation of phase III of the master plan Estimated State Funds- \$35,374,370	4,993,817	-	4,993,817
Board of Regents for Higher Education to expand the precision manufacturing program at Asnuntuck Community College Estimated State Funds- \$2,200,000 Prior Authorization- \$1,100,000	1,100,000	-	1,100,000
Board of Regents for Higher Education to establish or expand manufacturing technology programs in three regional community-technical colleges, provided such colleges demonstrate a commitment to precision manufacturing and an ability to establish or expand such programs through space and faculty Estimated State Funds- \$17,800,000 Prior Authorization- \$8,900,000	8,900,000	-	8,900,000
TOTAL- BOARD OF REGENTS FOR HIGHER EDUCATION	\$ 76,723,135	\$ -	\$ 76,723,135
UNIVERSITY OF CONNECTICUT			
Development of a technology park and related buildings at the university, including planning, design, construction and improvements, land acquisition, purchase of equipment, on-site and off-site utilities and infrastructure improvements Estimated State Funds- \$172,500,000 Prior Authorization- \$18,000,000	154,500,000	-	154,500,000
TOTAL- UNIVERSITY OF CONNECTICUT	\$ 154,500,000	\$ -	\$ 154,500,000
TOTAL - Education	\$ 259,223,135	\$ 59,145,000	\$ 318,368,135
DEPARTMENT OF CHILDREN AND FAMILIES			
Alterations, renovations and improvements to buildings and grounds Estimated State Funds- \$31,609,899 Prior Authorization- \$30,324,899	1,285,000	-	1,285,000
Grants-in-aid for construction, alterations, repairs and improvements to residential facilities, group homes, shelters and permanent family residences Estimated State Funds- \$38,560,000 Prior Authorization- \$33,560,000	5,000,000	-	5,000,000
Grants-in-aid to private nonprofit mental health clinics for children for fire, safety and environmental improvements, including expansion Estimated State Funds- \$9,349,387 Prior Authorization- \$8,349,387	-	1,000,000	1,000,000
TOTAL- DEPARTMENT OF CHILDREN AND FAMILIES	\$ 6,285,000	\$ 1,000,000	\$ 7,285,000
TOTAL - Corrections	\$ 6,285,000	\$ 1,000,000	\$ 7,285,000

PROGRAM OR PROJECT BY AGENCY

	FY 2013 Authorized	FY 2013 Recommended Adjustments	FY 2013 Total Revised Recommended
JUDICIAL DEPARTMENT			
Alterations, renovations and improvements to buildings and grounds at state-owned and maintained facilities Estimated State Funds- \$102,708,760 Prior Authorization- \$97,708,760	5,000,000	-	5,000,000
Security Improvements at various facilities statewide Estimated State Funds- \$11,000,000 Prior Authorization- \$10,000,000	1,000,000	-	1,000,000
Implementation of the technology strategic plan project Estimated State Funds- \$23,500,000 Prior Authorization- \$18,500,000	5,000,000	-	5,000,000
TOTAL- JUDICIAL DEPARTMENT	\$ 11,000,000	\$ -	\$ 11,000,000
TOTAL - Judicial	\$ 11,000,000	\$ -	\$ 11,000,000



SECTION E
MUNICIPAL AID

PAYMENTS TO OR ON BEHALF OF LOCAL GOVERNMENTS - APPROPRIATED FUNDS

	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriation	FY 2013 Revised Recommended
GENERAL GOVERNMENT				
Office of Policy and Management				
Loss of Taxes on State Property	\$ 73,519,215	\$ 73,519,215	\$ 73,519,215	\$ 73,519,215
Grants to Towns	61,779,907	61,779,907	61,779,907	61,779,907
Loss Taxes Private Tax-Exempt Property	115,431,737	115,431,737	115,431,737	115,431,737
Reimb Property Tax-Disability Exempt	376,271	400,000	400,000	400,000
Distressed Municipalities	7,800,000	5,800,000	5,800,000	5,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,899	20,505,900	20,505,900	20,505,900
Prop Tax Relief Elderly Freeze Program	385,843	390,000	390,000	390,000
Property Tax Relief for Veterans	2,827,357	2,970,098	2,970,098	2,970,098
P.I.L.O.T. New Mfg Machine & Equip	47,895,199	0	0	0
Capital City Economic Development*	6,190,000	6,300,000	6,300,000	0
TOTAL - Office of Policy and Management	\$ 336,711,428	\$ 287,096,857	\$ 287,096,857	\$ 280,796,857
TOTAL - GENERAL GOVERNMENT	\$ 336,711,428	\$ 287,096,857	\$ 287,096,857	\$ 280,796,857
CONSERVATION AND DEVELOPMENT				
Department of Energy and Environmental Protection				
Lobster Restoration	\$ 156,850	\$ 200,000	\$ 200,000	\$ 100,000
TOTAL - Department of Energy and Environmental Protection	\$ 156,850	\$ 200,000	\$ 200,000	\$ 100,000
Commission on Culture and Tourism				
Greater Hartford Arts Council	\$ 94,677	\$ 0	\$ 0	\$ 0
Stamford Center for the Arts	378,712	0	0	0
Stepping Stones Museum for Children	44,294	0	0	0
Maritime Center Authority	531,525	0	0	0
Basic Cultural Resources Grant	1,324,477	0	0	0
Tourism Districts	1,687,500	0	0	0
Connecticut Humanities Council	2,103,953	0	0	0
Amistad Committee for the Freedom Trail	44,294	0	0	0
Amistad Vessel	378,712	0	0	0
New Haven Festival of Arts and Ideas	797,287	0	0	0
New Haven Arts Council	94,677	0	0	0
Palace Theater	378,712	0	0	0
Beardsley Zoo	354,350	0	0	0
Mystic Aquarium	620,112	0	0	0
Quinebaug Tourism	46,375	0	0	0
Northwestern Tourism	46,375	0	0	0
Eastern Tourism	46,375	0	0	0
Central Tourism	46,375	0	0	0
Twain/Stowe Homes	95,674	0	0	0
TOTAL - Commission on Culture and Tourism	\$ 9,114,456	\$ 0	\$ 0	\$ 0

*Note: For FY 2013, the recommended budget includes \$5,920,145 in the Department of Economic and Community Development for the Capitol Region Development Authority which consolidates the Capital City Economic Development Authority with the administrative functions of Rentschler Field for enhanced coordination of initiatives in Greater Hartford.

PAYMENTS TO OR ON BEHALF OF LOCAL GOVERNMENTS - APPROPRIATED FUNDS

	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriation	FY 2013 Revised Recommended
Dept of Economic and Community Development				
Capitol Region Development Authority	\$ 0	\$ 0	\$ 0	5,920,145
Tax Abatement	1,704,890	1,704,890	1,704,890	1,704,890
Payment in Lieu of Taxes	2,204,000	2,204,000	2,204,000	2,204,000
Greater Hartford Arts Council	0	94,677	94,677	0
Stamford Center for the Arts	0	378,712	378,712	0
Stepping Stones Museum for Children	0	44,294	44,294	0
Maritime Center Authority	0	531,525	531,525	0
Basic Cultural Resources Grant	0	1,601,204	1,601,204	0
Tourism Districts	0	1,495,596	1,495,596	1,323,602
Connecticut Humanities Council	0	2,157,633	2,157,633	0
Amistad Committee for the Freedom Trail	0	44,294	44,294	0
Amistad Vessel	0	378,712	378,712	0
New Haven Festival of Arts and Ideas	0	797,287	797,287	0
New Haven Arts Council	0	94,677	94,677	0
Palace Theater	0	378,712	378,712	0
Beardsley Zoo	0	354,350	354,350	0
Mystic Aquarium	0	620,112	620,112	0
Quinebaug Tourism	0	41,101	41,101	36,374
Northwestern Tourism	0	41,101	41,101	36,374
Eastern Tourism	0	41,101	41,101	36,374
Central Tourism	0	41,101	41,101	36,374
Twain/Stowe Homes	0	95,674	95,674	0
Emergency Shelters	0	0	0	560,208
Transitional Living	0	0	0	73,818
TOTAL - Dept of Economic and Community Development	\$ 3,908,890	\$ 13,140,753	\$ 13,140,753	\$ 11,932,159
TOTAL - CONSERVATION AND DEVELOPMENT	\$ 13,180,196	\$ 13,340,753	\$ 13,340,753	\$ 12,032,159
HEALTH AND HOSPITALS				
Department of Public Health				
Local & District Departments of Health	\$ 4,292,498	\$ 4,563,700	\$ 4,563,700	\$ 4,563,700
Venereal Disease Control	195,209	195,210	195,210	195,210
School Based Health Clinics	10,028,054	10,440,646	10,440,646	10,028,054
TOTAL - Department of Public Health	\$ 14,515,761	\$ 15,199,556	\$ 15,199,556	\$ 14,786,964
TOTAL - HEALTH AND HOSPITALS	\$ 14,515,761	\$ 15,199,556	\$ 15,199,556	\$ 14,786,964
TRANSPORTATION				
Department of Transportation				
Town Aid Road Grants	\$ 0	\$ 30,000,000	\$ 30,000,000	\$ 30,000,000
Emergency Relief - Town Repairs	340,400	0	0	0
TOTAL - Department of Transportation	\$ 340,400	\$ 30,000,000	\$ 30,000,000	\$ 30,000,000
TOTAL - TRANSPORTATION	\$ 340,400	\$ 30,000,000	\$ 30,000,000	\$ 30,000,000

Note: In FY 2011 \$30 million in Town Aid Road grants were provided through bond funds (\$8 million General Obligation and \$22 million in Special Transportation bonds), but are not reflected in this schedule since this is a review of appropriated funds.

PAYMENTS TO OR ON BEHALF OF LOCAL GOVERNMENTS - APPROPRIATED FUNDS

	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriation	FY 2013 Revised Recommended
HUMAN SERVICES				
Department of Social Services				
Child Day Care	\$ 5,263,706	\$ 0	\$ 0	\$ 0
Human Resource Development	31,034	0	0	0
Human Resource Dev-Hispanic Pgms	5,900	5,310	5,310	5,310
Teen Pregnancy Prevention	459,191	143,600	143,600	143,600
Services to the Elderly	44,405	44,405	44,405	44,405
Housing/Homeless Services	666,597	634,026	634,026	0
Community Services	116,358	87,268	87,268	87,268
TOTAL - Department of Social Services	\$ 6,587,191	\$ 914,609	\$ 914,609	\$ 280,583
TOTAL - HUMAN SERVICES	\$ 6,587,191	\$ 914,609	\$ 914,609	\$ 280,583
EDUCATION				
Department of Education				
Vocational Agriculture	\$ 4,560,565	\$ 5,060,565	\$ 5,060,565	\$ 5,810,565
Transportation of School Children	28,739,924	25,784,748	24,884,748	24,884,748
Adult Education	19,564,652	21,032,980	21,025,690	21,025,690
Health Serv for Pupils Private Schools	4,297,500	4,297,500	4,297,500	4,297,500
Education Equalization Grants	1,889,022,782	1,889,609,057	1,889,609,057	2,018,094,057
Bilingual Education	1,886,582	1,916,130	1,916,130	1,916,130
Priority School Districts	115,656,209	116,626,966	116,100,581	120,100,581
Young Parents Program	229,330	229,330	229,330	229,330
Interdistrict Cooperation	11,080,829	11,136,173	11,131,935	6,131,935
School Breakfast Program	1,604,172	2,220,303	2,220,303	2,220,303
Excess Cost - Student Based	139,810,650	139,805,731	139,805,731	139,805,731
Non-Public School Transportation	3,995,000	3,595,500	3,595,500	3,595,500
School to Work Opportunities	213,750	213,750	213,750	213,750
Youth Service Bureaus	2,888,321	2,947,268	2,947,268	2,947,268
OPEN Choice Program	16,757,152	19,839,066	22,090,956	22,090,956
Magnet Schools	183,330,028	215,855,338	235,364,251	242,661,711
After School Program	4,320,000	4,500,000	4,500,000	5,072,000
School Readiness Quality Enhancement	0	1,100,678	1,100,678	6,688,435
TOTAL - Department of Education	\$ 2,427,957,446	\$ 2,465,771,083	\$ 2,486,093,973	\$ 2,627,786,190
State Library				
Grants to Public Libraries	\$ 347,109	\$ 207,692	\$ 214,283	\$ 214,283
Connecticard Payments	1,226,028	1,000,000	1,000,000	1,000,000
TOTAL - State Library	\$ 1,573,137	\$ 1,207,692	\$ 1,214,283	\$ 1,214,283
TOTAL - EDUCATION	\$ 2,429,530,583	\$ 2,466,978,775	\$ 2,487,308,256	\$ 2,629,000,473
TOTAL - APPROPRIATED FUNDS	\$ 2,800,865,559	\$ 2,813,530,550	\$ 2,833,860,031	\$ 2,966,897,036
Summary of Appropriated Funds				
General Fund	\$ 2,738,745,252	\$ 2,721,750,643	\$ 2,742,080,124	\$ 2,869,788,998
Special Transportation Fund	\$ 340,400	\$ 30,000,000	\$ 30,000,000	\$ 30,000,000
Mashantucket Pequot and Mohegan Fund	\$ 61,779,907	\$ 61,779,907	\$ 61,779,907	\$ 61,779,907

Note: Net FY 2011 and FY 2012 expenditures have been adjusted for expenditures on appropriations carried forward from prior years and for additional lapses and expenditures in agency appropriations estimated after January 20, 2012.

BONDS AUTHORIZED FOR PAYMENT TO OR ON BEHALF OF LOCAL GOVERNMENTS

	FY 2013 Recommended/ Authorized
GENERAL GOVERNMENT	
Grants-in-aid for urban development projects	\$ 50,000,000
Local Capital Improvement Program	30,000,000
Small Town Economic Assistance Program	20,000,000
Main Street Investment Fund	5,000,000
Intertown Capital Equipment Purchase Incentive Program	10,000,000
Design and implementation of state and local benchmarking systems	2,000,000
Grants-in-aid to municipalities for development of a computer-assisted mass appraisal system	38,500
Capital construction and land acquisition at Fire Training Schools	28,200,000
Grants-in-aid to municipalities, regional school districts, and regional Education Services Centers for Local School Construction, rehabilitation and improvement projects - Principal and current payments only	\$ 584,000,000
Grants-in-aid to municipalities, regional school districts, and regional Education Services Centers for Local School Construction, rehabilitation and improvement projects - Interest payments	<u>8,300,000</u>
TOTAL - General Government	\$ 737,538,500
CONSERVATION AND DEVELOPMENT	
Grants-in-aid and low interest revolving loans under the Clean Water Fund, including Long Island Sound clean-up and Safe Drinking Water Program	\$ 332,360,000
Grants-in-aid for containment, removal or mitigation of identified hazardous waste disposal sites	5,000,000
Dam repairs, including state-owned dams	4,000,000
Grants-in-Aid to municipalities for acquisition of open space	5,000,000
Pilot program to establish energy microgrids to support critical municipal infrastructure	5,000,000
Underground storage tank petroleum clean-up program	5,000,000
Regional brownfield redevelopment loan fund	25,000,000
Housing Trust Fund	25,000,000
Grants-in-aid and loans for various housing projects and programs	<u>87,500,000</u>
TOTAL - Conservation and Development	\$ 493,860,000
HEALTH AND HOSPITALS	
Grants-in-aid to community health centers, primary care organizations and municipalities for the purchase of equipment, renovations, improvements and expansion of facilities	\$ <u>2,000,000</u>
TOTAL - Health and Hospitals	\$ 2,000,000
HUMAN SERVICES	
Grants-in-aid for neighborhood facilities, elderly centers, multi-purpose human resource centers and related facilities	\$ <u>10,000,000</u>
TOTAL - Human Services	\$ 10,000,000
TRANSPORTATION	
Grants-in-aid for improvements to deep water ports, including dredging	\$ 25,000,000
Development and Improvement of General Aviation Airport Facilities including grants-in-aid to municipal airports (excluding Bradley International Airport)	<u>2,000,000</u>
TOTAL - Transportation	\$ 27,000,000
EDUCATION	
Grants-in-aid for alterations, repairs, improvements, technology, equipment and capital start-up costs including acquisition costs, to expand the availability of high quality school models	\$ 25,000,000
Grants-in-aid to assist targeted local and regional school districts for alterations, repairs, improvements, technology and equipment in low performing schools	20,000,000
Grants-in-aid to municipalities and organizations exempt from taxation for facility improvements and minor capital repairs to licensed school readiness programs and state-funded day care centers operated by such municipalities and organizations	5,000,000
Grants-in-aid for capital start-up costs related to the development of new interdistrict magnet school programs to assist the state in meeting the goals of Sheff v. O'Neill	<u>9,145,000</u>
TOTAL - Education	\$ 59,145,000
GRAND TOTAL	\$ <u><u>1,329,543,500</u></u>

Note: Expenditures from bond authorizations may occur in years other than the year of authorization.

STATUTORY FORMULA GRANTS

INTRODUCTION

This publication provides recommended grant estimates for FY 2013, estimated payments for FY 2012 as well as actual grant payments for FY 2011, for certain ongoing grant programs under which the State of Connecticut's payments to municipalities are determined by statutory formulas.

Grantees include cities, towns, boroughs and regional school districts that receive education program funding directly from the state. Due to rounding and the exclusion of data for certain lesser taxing districts, the sum of the amounts in some columns does not reflect the total of the approved funding. Grantee-specific estimates are not available for programs listed under *Additional Grants* (descriptions of which begin on page 9). For each of these programs, the total actual expenditure for FY 2011, the estimated expenditure for FY 2012 and the recommended budget for 2012-13 appears on page E-34.

In the Grant Program Summaries section, **lower-case boldfaced text indicates proposed legislation that could, if enacted, make significant change(s) to the program referenced.** *Throughout this publication, lower-case italicized type reflects text with added emphasis.*

Please direct questions concerning grant programs to the appropriate agency. Staff from the Department of Education's Finance and Internal Operations (860-713-6455) answers questions concerning all education program grants and provides periodic updates of data for education grants under the Grants Management directory on the agency's web site (www.sde.ct.gov). Department of Transportation staff (860-594-2675) answers questions concerning the Town Aid Road Grant. The Department of Public Health (860-509-7703) is the contact for questions concerning the School-based Health Clinic grant program and the Department of Social Services (860-424-5842) is responsible for Child Day Care Grants. For questions regarding any other program in this publication, contact the Office of Policy and Management's Intergovernmental Affairs Unit (860-418-6484).

Note – CGS §12-62 governs real property revaluation requirements for Connecticut towns. A town's failure to implement a revaluation in accordance with statutory requirements could result in the imposition of a penalty equal to the forfeiture of 50% of its Mashantucket Pequot and Mohegan Grant and the loss of the amount otherwise allocable under the Local Capital Improvement Program (LoCIP). The Secretary of the Office of Policy and Management may waive the penalty for a reason set forth in CGS §12-62(d).

GRANT PROGRAM SUMMARIES

The grant estimates on pages E-15 through E-34 are for the programs described below.
Audit adjustments or the receipt of more current data can significantly impact actual payments.

1. STATE-OWNED REAL PROPERTY PAYMENT-IN-LIEU OF TAXES (PILOT)

The Office of Policy and Management administers this PILOT program pursuant to CGS §12-19a, §12-19b, §12-19c, §4b-39 and §32-666. This program provides payments for real property tax losses due to exemptions applicable to state-owned real property, certain real property that is the subject of a state lease or long-term financing contract, municipally-owned airports and certain land held in trust by the federal government.

Payments in FY 2010-2011 relate to exemptions on the 2008 Grand List; FY 2011-2012 and FY 2012-2013 payments are for exemptions on the 2009 and 2010 Grand Lists.

A property's use and the amount of state-owned real property in a town determine PILOT percentages, which are:

- (1) 100% for state prison facilities used for purposes of incarceration in the prior fiscal year, that portion of the John Dempsey Hospital used as a permanent medical ward for prisoners, the Connecticut Juvenile Training School, land

Municipal Aid

designated under the 1983 settlement boundary and taken into trust by the federal government for the Mashantucket Pequot Tribal Nation on or after June 8, 1999, and all state-owned property in a town in which the State of Connecticut owns more than 50% of the property within the town's boundaries;

- (2) 65% for the Connecticut Valley Hospital; and
- (3) 45% for all other state-owned real property, certain real property leased by the state as described in §4b-39, municipally-owned airports **and certain other real property owned or controlled by the federal government.**

A grantee's payment in any year may reflect a modification due to an audit of an amount previously paid. During each fiscal year, there is a transfer of moneys from the Bradley Airport Enterprise Fund in the amount necessary to pay a portion of the PILOT for certain Bradley International Airport property. In FY 2011, this amount was \$4.4 million. In both FY 2012 and FY 2013 the amount is estimated at \$4.6 million. There is also a proportionate reduction of PILOT totals to the amount of the appropriation in any year in which funding is insufficient.

Grantees receive PILOT payments on or before September 30th.

2. PRIVATE COLLEGES AND GENERAL AND FREE STANDING CHRONIC DISEASE HOSPITALS PILOT

The Office of Policy and Management administers this PILOT program pursuant to CGS §12-19b(b), §12-20a and §12-20b. This program provides payments for real property tax losses due to exemptions applicable to eligible private colleges and general and free standing chronic disease hospitals. Payments in FY 2010-2011 relate to exemptions on the 2008 Grand List; FY 2012 and FY 2013 payments are for exemptions on the 2009 and 2010 Grand Lists.

The calculation of the PILOT for towns and certain fire districts reflects 77% of their tax losses for the appropriate grand list. Exceptions to this calculation include the campuses of the Connecticut Healthcare Systems located in Newington and West Haven and owned by the United States Department of Veterans' Affairs. Additionally, CGS §12-20b and §12-19b specify the following payments: \$100,000 for the Connecticut Hospice in Branford; \$1,000,000 for the United States Coast Guard Academy in New London; and \$60,000 for the state-owned forest in Voluntown.

A grantee's payment in any year may reflect a modification due an audit of an amount previously paid. There is also a proportionate reduction of PILOT totals to the amount of the appropriation in any year in which funding is insufficient.

A total of \$115.4 million was available for this PILOT program in FY 2011 and FY 2012. The same amount is recommended for FY 2013.

Grantees receive PILOT payments on or before September 30th.

3. MASHANTUCKET PEQUOT AND MOHEGAN FUND GRANT

The Office of Policy and Management administers this program under which payments from the proceeds of the Mashantucket Pequot and Mohegan Fund are determined pursuant to CGS §3-55i, §3-55j, and §3-55k, and Section 96 of Public Act 06-187, which is not codified but remains in effect.

For FY 2011 and FY 2012 nearly \$61.78 million in funding was available. The same amount is recommended for FY 2013.

There is an allocation to the statutory amount cited for each formula, calculations for which are:

- (1) \$20 million on the basis of the PILOT for State-owned Real Property – the amount for each town is calculated at one-third of the difference between what the town receives as a PILOT (excluding prior year adjustments), and what it would have received if the PILOT program had been funded at \$85,205,085. After required minimum payments are reflected, town-specific amounts are prorated to \$20 million;

- (2) \$20.1 million on the basis of the PILOT for Private Colleges and General and Free Standing Chronic Disease Hospitals – the percent of each town’s PILOT (excluding prior year adjustments) to the total PILOT for all towns is calculated and the result is multiplied by the \$20,123,916 allocated for this portion of the formula;
- (3) \$35 million on the basis of CGS §3-55j(e) – a modification of the Property Tax Relief Fund formula in CGS §7-528;
- (4) \$5.475 million allocated to certain designated municipalities on the basis of said Property Tax Relief Fund formula; and
- (5) An additional \$47.5 million for all towns, distributed pro rata on the basis of each town’s grant determined under (1) through (4) above, to the total of all such grants, pursuant to CGS §3-55j(j).

Regardless of the formulas described in (1) through (4) above, the amounts allocated to 28 towns are specifically set forth in CGS §3-55j(g). In addition, Ledyard, Montville, North Stonington, Norwich and Preston each receive an additional \$750,000, annually.

In FY 2011, 21 towns received a proportionate share of an additional \$1.6 million. These towns are members of the Southeastern Connecticut Council of Governments, or Distressed Municipalities that are members of either the Northeastern Connecticut Council of Governments or the Windham Region Council of Governments. This is also recommended for each year of the biennium.

A town’s grant is its total formula-derived amount reduced proportionately to the program’s annual appropriation, although the additional amounts payable to the towns described in the preceding paragraph are not subject to this provision. Pursuant to CGS §22a-27j, a town’s first grant payment in any year may reflect a deduction of up to \$4,000 if the town has failed to make required payments to the Environmental Quality Fund. The estimates shown in this publication *do not* reflect these deductions, nor do they separately reflect that portion of the grant based on the PILOT formulas described above in (1) and (2) that certain towns must share with an eligible special services district located within their boundaries.

Grantees receive payments in three installments on or before January 1, April 1 and June 30th.

4. TOWN AID ROAD FUND GRANT

The Department of Transportation administers the Town Aid Road Fund grant pursuant to CGS §13a-175a through §13a-175e, inclusive, and §13a-175i. Towns and boroughs use these grants for various purposes, including the construction and maintenance of public highways, roads and bridges. Grant calculations depend upon factors that include population data and the number of a municipality’s improved and unimproved road miles. There is an allocation to the amounts the statutes specify for each formula calculation. Additionally, there is a proportionate reduction of grant totals, as calculated, to the appropriation.

A total of \$30.0 million was available for this grant program in FY 2010-2011 and FY 2012. The same amount is recommended for FY 2013.

Municipalities receive 50% of this grant in July and the balance in January.

5. LOCAL CAPITAL IMPROVEMENT PROGRAM (LoCIP)

LoCIP grants are administered pursuant to CGS §7-535 through §7-538. The Office of Policy and Management must approve LoCIP projects; eligibility parameters are described in CGS §7-536.

Towns and boroughs must request reimbursement for an approved project within 7 years of its approval date, although there may be a waiver of this provision under appropriate terms and conditions. Reimbursement cannot exceed the total of a grantee’s

unused entitlement. This includes the formula-generated amount for the current fiscal year (which is available on March 1) and the unused portion of all previous entitlements.

A total of \$30.0 million was available for this grant program in FY 2010-2011 and FY 2012. The same amount is recommended for FY 2013.

Grantees receive payments after they certify the completion of an approved project (or a portion of an approved project) and following the allotment of funds from state bond proceeds.

6. PUBLIC SCHOOL TRANSPORTATION

The Department of Education administers the Public School Transportation grant pursuant to CGS §10-54, §10-66ee, §10-97, §10-158a, §10-266m, §10-273a and §10-277. Percentages used to reimburse local districts for public school transportation expenditures depend on local wealth, based on the ranking of each district's Adjusted Equalized Net Grand List Per Capita (AENGLC).

The wealthiest 17 towns are assigned a reimbursement percentage of zero; the remaining districts are each assigned a reimbursement percentage that is more than zero and equal to or less than 60. Secondary and K-12 regional districts receive a 10 percentage point bonus. No local or regional board of education may receive an entitlement of less than \$1,000. There is a proportionate reduction of grant totals, as calculated, to the amount of the appropriation.

In FY 2011, \$28.6 million was available for this grant program. Due to the state's current fiscal constraints, this grant program was reduced by 10% in FY 2012 to \$25.8 million. The amount available for FY 2013 was reduced even further (to \$24.9 million) in anticipation of reduced transportation costs based on the outcome of the Connecticut Regional Educational Service Center Alliance study in FY 2012.

Since projected local expenditure estimates form the basis of the grant calculations for FY 2012 and FY 2013, actual revenue may vary significantly from the estimates shown based on the results of final expenditures as audited.

Grantees receive payments in April.

7. NON-PUBLIC SCHOOL TRANSPORTATION

The Department of Education administers the Non-public School Transportation grant pursuant to CGS §10-266m, §10-277 and §10-281. Percentages used to reimburse local districts for non-public school transportation expenditures are determined in the same manner as are the reimbursement percentages for the Public School Transportation Grant. Allowable transportation costs for non-public school children are capped at twice the per pupil public school transportation expenditure for the year prior to the expenditure year. There is a proportionate reduction of grant totals, as calculated, to the amount of the appropriation.

A total of \$3.99 million was available for this grant in FY 2011. Due to the state's current fiscal constraints, this grant program was reduced by 10% in FY 2012 and FY 2013 to \$3.59 million.

Since projected local expenditure estimates form the basis of the grant calculations for FY 2012 and FY 2013, actual revenue may vary significantly from the estimates shown based on the results of final expenditures as audited.

8. ADULT EDUCATION

The Adult Education grant is administered by the Department of Education pursuant to CGS §10-71 and §10-71a. Grants to reimburse adult education expenditures are determined on a sliding scale similar to that used in determining public and non-public school transportation grants, except that the percentage range is 0% to 65%. Districts identified under CGS §10-266p(a) as Priority School Districts (i.e., those with the largest numbers or highest percentages of poor and remedial students) cannot receive a reimbursement percentage of less than 20. There is a proportionate reduction of grant totals, as calculated, to the amount of the appropriation.

For FY 2011, the annual appropriation for the Adult Education grant program was \$20.6 million. \$21 million is available for FY2011-12 and FY 2013. Ninety-five percent of the annual appropriation is available for grants; 5% is set aside for administrative purposes. *Grant amounts for FY 2011, FY 2012 and FY 2013 reflect deductions for the Department of Education's administrative costs. The total grant amounts for FY 2012 and FY 2013 do not match the total appropriation as noted in PA 11-6 because \$420,000 of the total appropriation was set aside for a pilot program for three communities. The grant totals reflect the funding that is being distributed by the statutory formula only.*

Since projected local expenditure estimates form the basis of the grant calculations for FY 2012 and FY 2013, actual revenue may vary significantly from the estimates shown based on the results of final expenditures as audited.

Grantees receive 66% of this grant in August and the balance in May.

9. EDUCATION COST SHARING (ECS)

The Department of Education administers the ECS grant pursuant to CGS §10-262f, §10-262g, §10-262h, §10-262i and §10-262j. Section 72 of Public Act 09-3 of the June Special Session specifies the amount of each town's ECS entitlement for FY 2009-10 and FY 2011. The same amount is available for FY 2012. **For FY 2013, there is an increase of \$50 million in formula aid. The total grant amount for FY 2013 does not match the total appropriation because \$4.5 million is for a competitive grant program and \$74 million is for Charter Schools funding that is included in the ECS appropriation.**

The Minimum Budget Requirement (MBR) for FY 2013 for Non-Conditional Districts is the FY 2012 budgeted appropriation, except for (A) up to a one-half percent reduction for a decrease in resident students when comparing October 2011 and October 2009, (B) up to a one percent reduction for demonstrating new savings through increased interdistrict efficiencies or through regional collaboration, or (C) a reduction determined by the Commissioner for documentable savings for the closing of one or more schools. Any increases in ECS aid may be added to the board of education at the discretion of the municipality.

The 2012-13 MBR for Conditional Districts (the 30 lowest performing districts) equals the 2011-12 budgeted appropriation plus any additional local funds necessary to ensure that the local share of public school expenditures is at least 20%. Any increases in ECS aid will be conditional, subject to the Department of Education approval for the purpose of improving district-wide academic improvement and reduction of any achievement gaps.

Any town that fails to meet its MBR is subject to a penalty equal to twice the amount of the funding shortfall. Pursuant to statute the penalty is applied two years after the year of noncompliance.

Grantees receive 25% of their Non-Conditional payments in October, 25% in January and the balance in April.

ADDITIONAL GRANTS

GRANT PROGRAM SUMMARIES

The annual estimates for each of the grant programs described below appear on page E-34.

Grantee-specific estimates are not available for these programs.

PILOT: EXEMPT MACHINERY AND EQUIPMENT AND COMMERCIAL MOTOR VEHICLES

In FY 2011, the PILOT the Office of Policy and Management calculates pursuant to CGS §12-94b equals 80% of the tax loss due to property tax exemptions municipalities provide for (1) certain machinery and equipment used in manufacturing, biotechnology or recycling, and (2) certain commercial motor vehicles. The 100% exemption under CGS §12-81(72) and (74) is available for acquisitions of eligible property that occur during the five-year period preceding an October 1 assessment date.

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The Office of Policy and Management's PILOT calculation under CGS §12-94f equals 100% of the tax loss municipalities sustain due to property tax exemptions for certain manufacturing and biotechnology machinery and equipment, the acquisition of which occurs six or more years preceding an assessment date. Pursuant to CGS §12-94f, the FY 2011 PILOT is for the 80% exemption on the 2009 Grand List. Under the enacted budget, funding for this PILOT program is not available subsequent to FY 2011.

MUNICIPAL REVENUE SHARING: Manufacturing Transition & Population/Property Tax Relief Grants

Effective July 1, 2011, PA 11-61 established the Municipal Revenue Sharing Account funded by portion of the sales, luxury and state real estate conveyance tax, within the General Fund. The account will support payments estimated at \$93.3 million in FY 12 and \$99.0 million in FY 13, as follows:

- (A) **\$49.87 million** in each year to towns, boroughs, and lesser taxing districts for Manufacturing Transition Grants; and
- (B) **A one-time grant, paid through the FY 2012 fourth quarterly payment to Montville in the amount of \$62,954 and Ledyard of \$39,411;**
- (C) The remaining balance estimated at **\$43.32 million** in FY 2012 and **\$49.12 million** in FY 2013, is to be distributed as follows:
 - 50 percent a per capita basis;
 - with the remaining 50 percent distributed according to a property tax relief formula that apportions funds based on a municipality's population, adjusted equalized net grand list per capita, and per capita income of town residents.

Grant payments will be made quarterly, payable on November fifteenth, February fifteenth, May fifteenth and August fifteenth

PILOT: VESSELS

In FY 2011, the Department of Motor Vehicles certified the PILOT for Vessels in an amount equal to each grantee's property tax receipts for boats on the 1978 Grand List - the last year in which boats were subject to property taxation. As a result, each eligible municipality's FY 2011 Vessels PILOT, pursuant to Section 21 of Public Act 09-8 (September Special Session), received a proportionate share of the \$1.9 million grant that was available. Under the enacted budget, funding for this PILOT program is not available subsequent to FY 2011.

Grantees receive payments by the end of December.

CHILD DAY CARE

Prior to FY 2012, the Department of Social Services issued Child Day Care grants pursuant to CGS §8-210 in order to fund a portion of the costs needed to develop and operate licensed day care centers for children disadvantaged by reasons of economic, social or environmental conditions. Effective July 1, 2011, the administration of the Child Day Care grants was reallocated to the Department of Education.

\$18.4 million is available for each year of the biennium within the Department of Education's budget.

Grantees receive payments at various times, in accordance with contracts entered into with the Department of Social Services.

SCHOOL-BASED HEALTH CLINICS

The Department of Public Health distributes grants for school based health centers pursuant to its powers under CGS §19a-2a. Funding supports the operation of 72 school based health centers, 10 enhanced sites, and 1 school-linked site in FY 2012. School-based health centers provide comprehensive primary health care (including medical, mental and oral health care) to enrolled students in communities that have large numbers of low income, high risk children and adolescents.

For FY 2011, the actual expenditure under this line item was \$10,028,054. The FY 2012 estimated expenditure is \$9,918,614 and \$10,028,054 is recommended for FY 2013.

The Commissioner of Public Health certifies payments at various times.

LOCAL AND DISTRICT DEPARTMENTS OF HEALTH

A statutory per capita grant is paid by the Department of Public Health to eligible local health authorities, pursuant to CGS §19a-202 and §19a-245. Upon application:

- Each health district that has a population of at least 50,000 or serves at least three municipalities receives \$1.85 per capita for each town, city and borough of such district, provided (1) the commissioner approves the district's public health program and budget, and (2) the towns, cities and boroughs of such district appropriate for the maintenance of the health district not less than one dollar per capita; and
- Each municipal health department receives \$1.18 per capita, provided the municipality (1) employs a full-time director of health, (2) submits a public health program and budget which is approved by the commissioner, (3) appropriates not less than one dollar per capita from annual tax receipts for health services, and (4) has a population of at least 50,000. By law, every city or town having a population over 40,000 for a period of five consecutive years must hire a full-time local director of health.

For FY 2011, the actual expenditure under this line item was \$4,292,498. The FY 2012 estimated expenditure is \$4,563,700 and the same amount is recommended for FY 2013.

SPECIAL EDUCATION: EXCESS COSTS-STUDENT BASED

The Department of Education administers the Excess Costs-Student Based grant pursuant to CGS §10-76d, §10-76g and §10-253. Costs in excess of four and one-half times a town's average cost per pupil for the prior year are paid for students placed in a special education program by a school district, pursuant to CGS §10-76g(b).

For placements initiated by a state agency, a Superior Court or a federally recognized Native American tribe (rather than by a local school district), this program provides 100% reimbursement of costs in excess of the district's prior year Net Current Expenditure Per Pupil (NCEP), pursuant to CGS §10-76d(e)(3) and §10-76g(a)(1). For certain no-nexus students and special education students who reside on state property, 100% of the current year cost is covered, pursuant to CGS §10-76g(a)(1) and §10-76d(e)(3).

There is a proportionate reduction of grant totals, as calculated, to the amount of the appropriation. For certain no-nexus students and special education students who reside on state property, 100% of the current year cost is covered, pursuant to CGS §10-76g(a)(1) and §10-76d(e)(3).

A total of \$139.8 million is available for this grant program in FY2010-11. The same amount is available for FY 2012 and FY 2013.

Grantees receive 75% of their payments in February and the balance in May.

OPEN CHOICE GRANT

The Department of Education administers the OPEN Choice grant, pursuant to CGS §10-266aa to encourage inter-district attendance between the cities and suburbs. Both the sending and receiving districts equally share the credit for these students for those state grants that use resident students or average daily membership data. The department shall provide, within available appropriations, an annual grant to the local or regional board of education for each receiving district in an amount equal to (A) three thousand dollars for each out-of-district student who attends school in the receiving district under the program if the number of such out-of district students is less than two per cent of the total student population of such receiving district, (B) four

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thousand dollars for each out-of-district student who attends school in the receiving district under the program if the number of such out-of-district students is greater than or equal to two per cent but less than three per cent of the total student population of such receiving district, and (C) six thousand dollars for each out-of-district student who attends school in the receiving district under the program if the number of such out-of-district students is greater than or equal to three per cent of the total student population of such receiving district.

OPEN Choice inter-district school attendance between Hartford and other districts may include preschool programs in addition to all-day kindergarten. Grants are available for before- and after-school care and remedial services for preschool students, as well as, for subsidies to receiving districts.

A total of \$14.5 million is available for OPEN Choice in FY 2011. \$19.8 million and \$22.1 million are available for FY 2012 and FY 2013 respectively.

Grantees receive a portion of their grant in November and the balance in April.

MAGNET SCHOOLS

The Department of Education provides grants for the operation of inter-district magnet schools pursuant to CGS §10-264i, as amended by Section 22 of Public Act 09-6 (September Special Session). In FY 2011, \$174.1 million is available for the Magnet School program. \$215.9 million and \$235.4 million are available for FY 2012 and FY 2013 respectively.

Percentages of student enrollment determine per-student grants under a sliding scale formula. The determination of grant amounts depend upon factors such as a magnet school's resident and non-resident student counts and whether it is run by a Regional Educational Service Center (RESA), the town in which the school is located or another entity.

Greater per pupil grant amounts are available for inter-district magnet schools that assist Connecticut in meeting the goals of the 2008 stipulation and order for *Milo Sheff, et al. v. William A. O'Neill, et al.*; supplemental operating grants may be available to entities that operate such a school.

The Department of Education's certification of payments varies, depending on the grant's purpose.

Operation portion – grantees receive 70% by September 1st and the balance by January 1st.

Transportation portion – grantees receive 50% in October and the balance in May.

YOUTH SERVICE BUREAUS

The Youth Service Bureau program that the Department of Education administers pursuant to CGS §10-19m through §10-19p, assists in the provision of comprehensive services to delinquent and troubled youth, including prevention and intervention programs, treatment and follow-up services.

This program's appropriation is \$3.0 million for FY 2011. The same amount is available for FY 2012 and for FY 2013. Ninety-eight percent of the annual appropriation is available for grants; 2% is set aside for administrative purposes. The amount shown for FY 2011 reflects deductions for the Department of Education's administrative costs. *Youth Service Bureau Grant estimates for FY 2012 and FY 2013 do not reflect such deductions.*

SCHOOL-BASED CHILD HEALTH

Pursuant to CGS §10-76d (a), the Department of Social Services remits grants to those local and regional boards of education that voluntarily determine the Medicaid eligibility of their special education students and furnish the information the agency needs to obtain federal reimbursement for certain services that eligible students receive (e.g., physical, occupational and speech therapies, mental health services, nursing and the provision of medical supplies and specialized transportation).

Eligible boards of education receive 50% of the amount of the federal reimbursement that the state obtains, based on the federal financial participation plan in effect on January 1, 2003.

In FY 2011, \$6.35 million was expended for the School-Based Child Health program. Estimated expenditures for FY 2012 are \$4.21 million and \$4.5 million estimated in FY 2013.

Estimates are preliminary projections that may change, depending on the actual number of claims processed. Grantees must reimburse the state if they receive an amount in excess of that to which they are entitled.

Grantees receive payments at least quarterly.

PRIORITY SCHOOL DISTRICT PROGRAM

The Department of Education administers the four grants that comprise the Priority School District Program: those for Priority School Districts, Early Childhood (or School Readiness), Extended School Hours and School Year Accountability (or Summer School).

In FY 2011, \$117.2 million is available for the Priority School District program. \$116.6 million and \$116.1 are available for FY 2012 and FY 2013 respectively.

The table shown below reflects appropriations for the components of the Priority School District Program. Descriptions of each grant follow.

Priority School District Program Grants	FY 2011 In Millions	FY 2012 In Millions	FY 2013 In Millions
Priority School Districts	\$ 40.93	\$ 40.32	\$ 39.79
Early Childhood (School Readiness)	69.81	69.81	73.81
Extended School Hours	2.99	2.99	2.99
School Year Accountability (Summer School)	3.50	3.50	3.50
Total	\$ 117.23	\$116.62	\$ 120.10

PRIORITY SCHOOL DISTRICTS

Payments for Priority School Districts are determined pursuant to CGS §10-266p. Among the factors used to determine grant amounts are population, mastery test scores and the number of students receiving Temporary Family Assistance. Each Priority School District must receive a grant of at least \$150 per student. The town with the 6th highest population in the state also receives an additional \$650,000 per year.

There is also a distribution of supplemental funds in each fiscal year in proportion to each town's regular Priority School District grant. The supplemental grant total is \$4,160,122, commencing in FY 2008-09.

Grantees receive payments monthly.

EARLY CHILDHOOD (SCHOOL READINESS)

The purpose of the Early Childhood (School Readiness) grant is to initiate and expand pre-kindergarten programs. This grant is administered in accordance with CGS §10-16o through §10-16r, inclusive, and §10-266p.

The grant distribution formula is based on each district's School Readiness program capacity multiplied by its per child cost (which cannot exceed \$8,346 per child). Additionally, the use of available appropriations may fund grants for programs providing

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academic student support to assist in meeting the goals of the 2008 stipulation and order for *Milo Sheff, et al. v. William A. O'Neill, et al.*

Certain school districts that serve at least 40% of lunches free or at a reduced price are eligible for a separate grant. Non-priority school districts that are ranked between one and fifty, in descending order according to wealth are eligible to compete for this grant. *Because of its competitive nature, funding for this grant is not reflected in this publication.*

Grantees receive payments monthly for the non-competitive grants described above.

EXTENDED SCHOOL HOURS

The Extended School Hours grant, administered pursuant to CGS §10-266p, §10-266t and §10-266u, allows an expansion of the number of schools in Priority School Districts that can be kept open for academic enrichment and recreational programs after school hours, on Saturdays and during school vacations. Multiplying the appropriation by the ratio of each Priority School District's average daily membership to the total average daily membership of all such districts determines payment amounts.

Grantees receive payments monthly.

SCHOOL YEAR ACCOUNTABILITY (SUMMER SCHOOL)

The School Year Accountability (Summer School) grant, administered in accordance with CGS §10-265m and §10-266m, assists school children in Priority School Districts by allowing the provision of additional instruction to those students whose mastery test scores indicate it is needed.

Grantees receive payments monthly.

Grantee	PILOT: State-Owned Real Property			PILOT: Colleges & Hospitals			Mashantucket Pequot And Mohegan Fund Grant		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Andover	24,957	22,293	22,257	-	-	-	14,184	14,629	15,070
Ansonia	100,812	98,288	98,131	-	-	-	138,325	167,836	168,171
Ashford	6,086	6,002	5,993	-	-	-	22,011	24,875	25,309
Avon	92,641	92,465	92,318	7,284	3,232	3,232	14,968	15,035	15,475
Barkhamsted	18,663	18,346	18,318	-	-	-	14,605	14,911	15,351
Beacon Falls	45,046	45,500	45,428	-	-	-	27,012	29,489	29,919
Berlin	25,151	25,505	25,465	-	-	-	52,965	57,254	57,665
Bethany	37,862	36,934	36,875	19,074	17,182	17,182	17,299	18,185	18,623
Bethel	26,355	26,249	26,208	19,002	17,396	17,396	42,357	47,727	48,144
Bethlehem	1,360	1,290	1,288	-	-	-	12,928	13,298	13,740
Bloomfield	117,252	130,970	130,762	150,557	218,858	218,858	155,903	158,907	158,798
Bolton	38,899	38,204	38,143	-	-	-	19,275	19,721	20,158
Bozrah	4,834	4,841	4,833	-	-	-	13,922	15,171	15,612
Branford	57,228	56,013	55,924	116,750	114,615	114,615	57,808	63,105	63,512
Bridgeport	2,918,308	2,931,955	2,927,289	8,700,529	8,537,526	8,537,526	6,123,615	6,241,594	6,237,305
Bridgewater	1,479	1,442	1,439	-	-	-	6,750	6,645	7,091
Bristol	53,808	103,328	103,164	624,627	573,474	573,474	585,201	596,475	596,066
Brookfield	29,963	30,199	30,151	-	-	-	21,991	24,137	24,571
Brooklyn	159,640	153,160	152,916	-	-	-	184,997	196,670	191,869
Burlington	56,598	55,681	55,592	-	-	-	19,519	20,621	21,057
Canaan	102,688	100,755	100,595	2,516	2,279	2,279	7,029	6,769	7,214
Canterbury	10,562	10,913	10,895	-	-	-	31,622	36,374	36,799
Canton	31,366	30,513	30,464	-	-	-	21,093	23,448	23,882
Chaplin	72,103	69,625	69,514	-	-	-	82,603	84,194	84,137
Cheshire	2,402,844	2,336,875	2,333,156	158,658	142,485	142,485	2,054,219	2,115,317	2,042,579
Chester	14,873	14,844	14,820	-	-	-	11,107	11,502	11,945
Clinton	35,904	35,487	35,430	-	-	-	36,848	41,732	42,153
Colchester	49,800	50,470	50,389	-	-	-	71,152	73,709	74,116
Colebrook	6,339	26,037	25,995	-	-	-	8,437	9,202	9,646
Columbia	8,574	8,390	8,376	-	-	-	18,683	19,509	19,946
Cornwall	43,301	22,490	22,454	-	-	-	6,331	6,149	6,595
Coventry	48,088	50,878	50,797	-	-	-	46,106	50,670	51,086
Cromwell	15,911	15,607	15,582	55,283	49,673	49,673	42,256	44,877	45,297
Danbury	2,444,158	2,262,402	2,258,801	1,503,574	1,289,278	1,289,278	939,867	957,975	957,317
Darien	116,265	114,769	114,586	-	-	-	7,323	7,724	8,169
Deep River	10,270	9,819	9,803	-	-	-	13,618	13,880	14,321
Derby	117,979	117,064	116,877	1,109,687	1,018,767	1,018,767	251,881	256,734	256,558
Durham	18,881	18,498	18,469	-	-	-	22,836	23,611	24,045
Eastford	7,261	7,265	7,253	-	-	-	12,001	12,264	12,706
East Granby	858,600	842,692	841,351	-	-	-	15,177	16,227	16,666
East Haddam	21,018	33,418	33,365	-	-	-	25,733	29,008	29,438
East Hampton	117,342	112,810	112,631	-	-	-	58,496	64,163	64,570
East Hartford	614,865	627,734	626,735	256,121	281,580	281,580	304,488	310,354	310,141
East Haven	224,678	253,137	252,734	-	-	-	155,918	172,986	173,318
East Lyme	768,259	750,938	749,743	48,740	43,847	43,847	329,458	334,320	334,121
Easton	59,638	58,855	58,762	-	-	-	8,063	8,641	9,085
East Windsor	86,581	92,796	92,648	-	-	-	43,108	44,058	44,478
Ellington	6,428	6,219	6,209	-	-	-	49,123	52,532	52,946
Enfield	1,145,647	1,095,269	1,093,526	38,667	34,136	34,136	1,120,832	1,160,819	1,131,722
Essex	10,372	10,314	10,298	17,460	16,032	16,032	11,551	12,009	12,451
Fairfield	34,171	33,307	33,254	2,375,643	2,145,031	2,145,031	278,999	264,012	264,281

Grantee	PILOT: State-Owned Real Property			PILOT: Colleges & Hospitals			Mashantucket Pequot And Mohegan Fund Grant		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Farmington	2,859,708	2,832,352	2,827,845	33,440	30,567	30,567	30,308	32,102	32,531
Franklin	16,950	16,415	16,389	-	-	-	12,574	12,910	13,353
Glastonbury	58,817	65,287	65,183	-	-	-	37,620	39,753	40,176
Goshen	28,026	27,910	27,866	-	-	-	9,038	8,937	9,382
Granby	17,456	16,875	16,849	-	-	-	26,224	28,125	28,556
Greenwich	27,361	25,140	25,100	782,395	721,374	721,374	89,898	85,809	86,200
Griswold	42,517	44,949	44,878	-	-	-	92,994	98,876	99,267
Groton (Town of)	1,040,850	1,021,135	1,019,510	52,664	47,710	47,710	1,374,940	1,395,130	1,394,298
Guilford	19,621	19,496	19,465	21,888	20,085	20,085	29,313	32,516	32,944
Haddam	130,368	129,867	129,660	-	-	-	20,003	22,702	23,137
Hamden	482,476	613,167	612,191	2,117,783	2,230,298	2,230,298	928,039	945,919	945,269
Hampton	33,396	33,148	33,096	-	-	-	15,255	15,524	15,964
Hartford	12,356,406	12,691,078	12,670,364	25,661,374	25,078,308	25,078,308	8,215,507	7,236,716	7,437,118
Hartland	122,654	118,522	118,333	-	-	-	11,804	12,261	12,703
Harwinton	4,667	3,880	3,874	-	-	-	15,654	17,173	17,612
Hebron	13,604	13,362	13,341	-	-	-	27,510	30,087	30,516
Kent	65,973	62,669	62,570	-	-	-	7,474	7,427	7,872
Killingly	253,583	254,323	253,919	-	-	-	145,597	167,861	168,210
Killingworth	115,539	109,974	109,799	-	-	-	16,323	17,172	17,610
Lebanon	32,293	32,032	31,981	-	-	-	30,306	32,541	32,970
Ledyard	61,714	59,000	117,203	-	-	-	985,315	998,112	992,660
Lisbon	7,251	6,933	6,922	-	-	-	28,758	28,749	29,182
Litchfield	78,584	77,609	77,486	-	-	-	19,490	21,837	22,272
Lyme	17,055	17,328	17,301	230	216	216	6,580	6,360	6,806
Madison	541,717	536,795	535,940	-	-	-	19,029	20,352	20,788
Manchester	771,299	805,334	804,052	826,554	775,658	775,658	591,142	602,531	602,117
Mansfield	7,265,843	7,058,654	7,047,421	-	-	-	195,911	211,700	212,005
Marlborough	22,221	21,324	21,290	-	-	-	15,959	16,779	17,218
Meriden	412,206	410,379	409,726	792,558	968,536	968,536	896,350	913,619	912,992
Middlebury	10,216	9,659	9,644	-	-	-	15,454	15,928	16,368
Middlefield	10,276	9,428	9,413	-	-	-	18,080	18,723	19,161
Middletown	2,565,308	2,543,686	2,539,638	4,746,748	4,388,769	4,388,769	1,238,512	1,262,374	1,261,506
Milford	443,092	459,581	458,850	405,439	370,161	370,161	394,312	401,909	401,633
Monroe	10,529	11,533	11,514	-	-	-	30,224	33,255	33,682
Montville	843,285	842,695	907,956	-	-	-	1,785,551	1,838,096	1,807,949
Morris	19,910	19,035	19,004	-	-	-	8,559	8,880	9,325
Naugatuck	58,850	57,155	57,064	-	-	-	185,744	230,075	230,368
New Britain	3,180,263	3,200,316	3,195,223	2,565,148	2,399,090	2,399,090	2,271,582	2,315,346	2,313,755
New Canaan	41,853	45,602	45,529	-	-	-	7,091	7,065	7,511
New Fairfield	15,896	19,429	19,398	-	-	-	22,417	25,231	25,665
New Hartford	19,852	18,971	18,941	-	-	-	19,190	20,482	20,919
New Haven	4,415,217	4,848,701	4,840,984	34,363,776	37,557,507	37,557,507	7,201,281	6,836,736	6,876,864
Newington	661,635	649,450	648,416	1,367,743	1,367,680	1,367,680	230,754	243,497	243,780
New London	420,998	399,938	399,301	5,602,493	5,129,497	5,129,497	1,788,196	1,814,455	1,813,373
New Milford	50,847	48,792	48,714	174,219	155,032	155,032	75,907	85,960	86,351
Newtown	912,401	888,105	886,692	-	-	-	684,286	710,966	688,160
Norfolk	94,146	103,186	103,022	55,182	50,843	50,843	13,057	12,458	12,900
North Branford	4,091	4,026	4,019	1,233	1,231	1,231	43,405	47,287	47,705
North Canaan	24,850	23,757	23,719	-	-	-	22,494	23,951	24,385
North Haven	91,375	115,113	114,930	105,125	317,891	317,891	156,540	159,556	159,447
North Stonington	24,263	23,487	23,450	-	-	-	884,757	889,592	890,028
Norwalk	347,092	344,163	343,615	1,776,676	1,633,256	1,633,256	845,915	862,213	861,620
Norwich	623,750	669,300	668,235	833,541	768,384	768,384	1,984,517	2,004,389	2,003,711

Grantee	PILOT: State-Owned Real Property			PILOT: Colleges & Hospitals			Mashantucket Pequot And Mohegan Fund Grant		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Old Lyme	32,652	30,375	30,326	38,399	38,844	38,844	13,248	13,936	14,376
Old Saybrook	59,564	59,275	59,181	-	-	-	15,621	15,396	15,836
Orange	15,711	15,020	14,997	74,764	188,954	188,954	31,954	43,455	43,875
Oxford	170,848	168,750	168,482	-	-	-	33,699	36,346	36,772
Plainfield	44,592	43,356	43,287	3,996	9,088	9,088	124,044	157,508	157,851
Plainville	390	397	396	-	-	-	86,189	81,522	81,916
Plymouth	12,477	12,226	12,206	-	-	-	72,688	76,224	76,622
Pomfret	29,768	49,230	49,152	-	-	-	18,953	20,781	21,218
Portland	31,427	29,573	29,526	-	-	-	34,088	35,327	35,753
Preston	187,659	11,223	11,205	-	-	-	1,174,448	1,182,411	1,182,223
Prospect	2,182	2,108	2,105	-	-	-	34,226	38,776	39,200
Putnam	38,986	34,154	34,100	279,502	246,748	246,748	109,768	113,877	114,259
Redding	189,057	184,226	183,933	-	-	-	8,947	9,234	9,678
Ridgefield	189,721	179,610	179,320	-	-	-	13,583	14,331	14,771
Rocky Hill	620,695	606,967	606,001	-	-	-	278,569	283,935	283,740
Roxbury	4,128	4,127	4,121	-	-	-	6,252	5,992	6,439
Salem	55,582	55,875	55,786	-	-	-	19,520	20,996	21,434
Salisbury	8,592	8,324	8,311	-	-	-	7,480	7,511	7,956
Scotland	23,442	24,652	24,613	-	-	-	14,179	15,169	15,609
Seymour	23,368	23,189	23,152	-	-	-	68,936	78,077	78,474
Sharon	17,258	17,376	17,348	-	-	-	6,919	6,641	7,086
Shelton	16,576	15,847	15,822	-	-	-	79,621	85,009	85,401
Sherman	14	14	14	-	-	-	8,636	8,753	9,198
Simsbury	118,923	115,393	115,210	-	-	-	31,909	34,982	35,409
Somers	1,401,594	1,407,239	1,404,999	-	-	-	1,231,716	1,314,209	1,269,105
Southbury	310,289	305,160	304,675	-	-	-	34,950	38,870	39,294
Southington	34,009	33,562	33,508	145,713	136,284	136,284	145,034	156,828	157,170
South Windsor	10,917	10,567	10,550	-	-	-	56,307	63,626	64,033
Sprague	12,855	12,290	12,271	-	-	-	28,023	31,600	32,031
Stafford	31,147	30,284	30,236	248,774	225,674	225,674	95,586	105,909	106,287
Stamford	1,338,187	1,303,760	1,301,685	2,057,269	1,843,648	1,843,648	915,506	933,145	932,503
Sterling	7,492	7,292	7,280	-	-	-	29,518	34,985	35,411
Stonington	21,107	22,213	22,178	-	-	-	34,001	39,466	39,893
Stratford	250,034	376,294	375,695	-	-	-	134,204	159,014	159,356
Suffield	3,466,399	3,350,440	3,345,108	-	-	-	2,245,497	2,253,944	2,185,774
Thomaston	29,719	29,169	29,123	-	-	-	37,908	42,188	42,609
Thompson	9,455	11,229	11,212	1,726	2,662	2,662	58,281	69,739	70,141
Tolland	52,736	53,022	52,937	-	-	-	41,412	44,618	45,038
Torrington	208,454	244,418	244,029	334,116	273,189	273,189	261,478	292,477	292,726
Trumbull	89,274	87,010	86,872	-	-	-	44,681	50,692	51,108
Union	32,286	31,221	31,171	-	-	-	22,207	22,635	22,619
Vernon	199,681	206,413	206,084	341,964	318,886	318,886	245,131	217,972	218,273
Voluntown	116,910	111,769	111,591	60,000	60,000	60,000	105,902	107,457	107,393
Wallingford	48,502	48,128	48,051	405,525	371,582	371,582	171,406	179,312	179,640
Warren	13,492	13,567	13,546	-	-	-	6,611	6,336	6,782
Washington	34,448	33,700	33,646	-	-	-	7,391	7,185	7,630
Waterbury	4,154,116	4,158,423	4,151,805	6,925,975	6,405,076	6,405,076	3,018,912	3,077,075	3,074,960
Waterford	365,154	374,140	373,544	52,558	49,646	49,646	47,871	47,195	47,618
Watertown	34,503	33,767	33,714	-	-	-	70,898	84,988	85,381
Westbrook	37,048	37,484	37,425	-	-	-	14,100	14,507	14,947
West Hartford	280,797	273,168	272,714	1,064,110	953,749	953,749	263,280	240,337	240,622
West Haven	36,611	21,576	21,542	3,844,858	3,813,595	3,813,595	754,768	853,415	853,279
Weston	7,089	6,849	6,838	-	-	-	7,033	7,022	7,468

Municipal Aid

Estimates of Statutory Formual Grants for FY 2011, FY 2012, and FY 2013

Grantee	PILOT: State-Owned Real Property			PILOT: Colleges & Hospitals			Mashantucket Pequot And Mohegan Fund Grant		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Westport	645,919	636,372	635,359	171,874	156,365	156,365	25,764	24,611	25,045
Wethersfield	243,998	233,117	232,746	-	-	-	216,600	220,773	220,622
Willington	47,790	46,059	45,986	-	-	-	26,088	29,185	29,615
Wilton	96,505	94,994	94,843	-	-	-	8,643	8,813	9,257
Winchester	85,499	84,091	83,957	46,781	42,571	42,571	65,784	69,913	70,315
Windham	2,824,999	2,832,635	2,828,127	765,474	702,387	702,387	882,822	895,785	895,251
Windsor	75,777	45,760	45,663	-	-	-	106,244	123,812	126,068
Windsor Locks	4,063,531	4,154,003	4,147,392	-	-	-	439,947	448,423	448,115
Wolcott	2,193	2,095	2,092	-	-	-	71,190	71,048	71,450
Woodbridge	19,609	17,712	17,684	3,258	109	109	11,454	11,787	12,229
Woodbury	560	544	543	-	-	-	15,863	17,044	17,482
Woodstock	14,571	14,265	14,242	-	-	-	32,089	36,718	37,143
Bantam (Bor.)	-	-	-	-	-	-	N/E	N/E	N/E
Danielson (Bor.)	12,682	11,999	11,980	-	-	-	N/E	N/E	N/E
Fenwick (Bor.)	-	-	-	-	-	-	N/E	N/E	N/E
Groton (City of)	102,670	48,920	48,842	-	-	-	N/E	N/E	N/E
Groton Long Point	-	-	-	-	-	-	N/E	N/E	N/E
Jewett City (Bor.)	436	417	416	-	-	-	N/E	N/E	N/E
Litchfield (Bor.)	697	666	665	-	-	-	N/E	N/E	N/E
Newtown (Bor.)	137	137	137	-	-	-	N/E	N/E	N/E
Stonington (Bor.)	-	-	-	-	-	-	N/E	N/E	N/E
Woodmont (Bor.)	-	-	-	-	-	-	N/E	N/E	N/E
District No. 1	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 4	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 5	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 6	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 7	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 8	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 9	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 10	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 11	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 12	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 13	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 14	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 15	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 16	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 17	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 18	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 19	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
CREC	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Education Connection	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
CES	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
ACES	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
LEARN	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
EASTCONN	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
SDE Administrative Costs	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Bloomfield: Center FD	N/E	N/E	N/E	4,026	5,853	5,853	N/E	N/E	N/E

Grantee	PILOT: State-Owned Real Property			PILOT: Colleges & Hospitals			Mashantucket Pequot And Mohegan Fund Grant		
	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>
Enfield: Hazardville Fire #3	N/E	N/E	N/E	2,834	2,502	2,502	N/E	N/E	N/E
Groton: Poq. Bridge FD	N/E	N/E	N/E	14,083	12,433	12,433	N/E	N/E	N/E
Middletown: South FD	N/E	N/E	N/E	5,185	4,725	4,725	N/E	N/E	N/E
New Milford: N. Milford Fire	N/E	N/E	N/E	4,757	3,929	3,929	N/E	N/E	N/E
Putnam: W. Putnam District	N/E	N/E	N/E	103	101	101	N/E	N/E	N/E
W. Haven First Center	N/E	N/E	N/E	523,593	523,593	523,593	N/E	N/E	N/E
W. Haven: West Shore FD	N/E	N/E	N/E	257,266	247,005	247,005	N/E	N/E	N/E
W. Haven: Allingtown FD	N/E	N/E	N/E	262,874	275,054	275,054	N/E	N/E	N/E
Grantee subtotals:	77,877,353	78,128,126	78,128,126	115,431,737	115,431,737	115,431,737	61,779,907	61,779,907	61,779,907

Municipal Aid

Estimates of Statutory Formual Grants for FY 2011, FY 2012, and FY 2013

Grantee	Town Aid Road			Local Capital Improvement			Public School		
	Fund Grant			Program (LoCIP)			Pupil Transportation		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Andover	94,954	95,091	95,091	28,465	28,735	28,735	15,234	13,553	14,436
Ansonia	158,813	158,296	158,296	174,849	179,367	179,367	147,627	150,092	146,517
Ashford	150,822	146,418	146,418	52,989	51,315	51,315	49,957	72,661	69,991
Avon	153,837	153,609	153,609	105,627	106,650	106,650	12,019	8,628	8,317
Barkhamsted	98,438	98,112	98,112	36,227	36,903	36,903	22,788	21,401	18,780
Beacon Falls	92,861	93,158	93,158	38,309	38,903	38,903	0	0	0
Berlin	167,317	167,967	167,967	133,059	127,659	127,659	126,687	116,296	103,655
Bethany	106,136	106,207	106,207	48,193	48,050	48,050	13,681	13,508	13,016
Bethel	158,659	158,381	158,381	117,193	115,982	115,982	74,301	75,809	71,464
Bethlehem	106,974	107,491	107,491	34,019	34,259	34,259	0	0	0
Bloomfield	170,999	170,718	170,718	131,427	127,115	127,115	118,043	109,814	100,539
Bolton	101,416	101,489	101,489	40,706	39,588	39,588	47,277	34,377	30,342
Bozrah	90,414	90,484	90,484	26,366	26,909	26,909	19,037	21,401	21,903
Branford	206,623	206,299	206,299	164,947	157,841	157,841	86,213	76,759	83,846
Bridgeport	665,532	665,404	665,404	2,069,582	2,215,017	2,215,017	1,439,179	1,135,901	1,191,485
Bridgewater	90,835	90,358	90,358	24,321	23,834	23,834	0	0	0
Bristol	340,369	339,668	339,668	503,379	478,988	478,988	434,422	433,246	394,981
Brookfield	152,025	152,931	152,931	106,326	103,969	103,969	26,888	34,231	32,982
Brooklyn	123,912	120,534	120,534	70,862	72,513	72,513	168,966	149,352	149,265
Burlington	127,738	129,425	129,425	73,633	73,551	73,551	0	0	0
Canaan	83,892	84,214	84,214	18,863	18,944	18,944	1,157	1,406	1,016
Canterbury	112,811	113,267	113,267	56,297	57,223	57,223	136,658	115,640	117,148
Canton	130,160	130,414	130,414	69,052	69,430	69,430	46,151	45,958	46,610
Chaplin	96,956	97,290	97,290	31,049	29,216	29,216	36,273	34,233	31,735
Cheshire	203,155	203,918	203,918	186,568	184,598	184,598	155,422	151,678	146,155
Chester	97,606	92,739	92,739	26,935	26,781	26,781	5,166	4,355	4,062
Clinton	137,624	137,494	137,494	90,328	88,091	88,091	63,585	55,136	59,259
Colchester	172,308	173,468	173,468	119,496	120,273	120,273	240,726	217,712	213,976
Colebrook	100,237	100,759	100,759	25,497	25,846	25,846	4,057	4,159	5,723
Columbia	102,638	102,714	102,714	40,197	40,257	40,257	53,405	40,512	44,962
Cornwall	112,031	113,273	113,273	33,293	32,989	32,989	367	323	311
Coventry	146,118	147,288	147,288	103,771	103,207	103,207	158,545	144,155	140,358
Cromwell	137,572	137,813	137,813	84,591	83,796	83,796	73,250	58,537	55,560
Danbury	421,296	421,913	421,913	550,428	543,365	543,365	448,458	542,186	522,334
Darien	166,082	165,881	165,881	109,397	110,210	110,210	367	323	311
Deep River	100,118	100,098	100,098	31,706	30,903	30,903	7,452	5,184	4,998
Derby	132,630	132,179	132,179	99,393	102,316	102,316	93,655	78,963	77,369
Durham	111,582	112,451	112,451	56,478	55,109	55,109	0	0	0
Eastford	87,877	87,923	87,923	24,289	23,161	23,161	23,604	25,046	24,132
East Granby	101,262	101,537	101,537	36,385	35,555	35,555	25,750	24,734	22,420
East Haddam	169,495	166,925	166,925	90,170	89,721	89,721	76,863	71,119	69,583
East Hampton	158,807	160,454	160,454	97,371	97,392	97,392	126,476	120,117	117,934
East Hartford	288,020	286,796	286,796	413,115	433,071	433,071	504,186	486,312	482,765
East Haven	203,614	201,362	201,362	223,056	225,354	225,354	268,244	255,748	250,442
East Lyme	160,313	162,169	162,169	123,543	121,913	121,913	79,574	58,299	56,197
Easton	113,430	113,610	113,610	66,534	66,717	66,717	367	323	311
East Windsor	131,415	133,170	133,170	78,322	76,564	76,564	118,389	92,476	86,194
Ellington	165,026	167,107	167,107	105,979	107,979	107,979	170,327	158,487	149,405
Enfield	276,034	276,351	276,351	340,314	337,821	337,821	340,299	304,722	300,545
Essex	108,732	108,861	108,861	41,754	40,613	40,613	1,005	1,051	1,268
Fairfield	352,484	351,992	351,992	366,931	368,430	368,430	23,005	18,809	18,128

Grantee	Town Aid Road			Local Capital Improvement			Public School		
	Fund Grant			Program (LoCIP)			Pupil Transportation		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Farmington	187,297	187,079	187,079	140,580	138,104	138,104	26,688	32,192	27,181
Franklin	62,159	62,186	62,186	17,645	17,349	17,349	16,156	15,050	15,181
Glastonbury	229,091	229,791	229,791	203,106	203,717	203,717	103,399	110,648	78,732
Goshen	137,302	139,227	139,227	43,897	43,047	43,047	0	0	0
Granby	134,158	130,384	130,384	84,542	83,585	83,585	80,056	68,630	62,940
Greenwich	376,305	376,774	376,774	327,880	317,252	317,252	367	323	311
Griswold	98,845	99,306	99,306	91,419	94,740	94,740	210,243	189,951	183,051
Groton (Town of)	203,490	189,622	189,622	224,744	222,876	222,876	382,036	290,588	283,132
Guilford	182,370	182,610	182,610	140,687	140,266	140,266	41,725	38,297	39,187
Haddam	120,302	121,089	121,089	73,487	75,155	75,155	0	0	0
Hamden	327,078	327,760	327,760	417,201	426,325	426,325	540,970	556,051	550,468
Hampton	95,019	95,337	95,337	31,302	29,612	29,612	23,954	21,706	20,559
Hartford	613,175	608,585	608,585	1,991,022	1,964,401	1,964,401	1,648,591	1,619,781	1,560,791
Hartland	71,374	71,487	71,487	20,273	19,932	19,932	26,305	23,827	22,962
Harwinton	113,810	114,236	114,236	51,927	51,287	51,287	0	0	0
Hebron	120,077	120,249	120,249	71,794	72,444	72,444	44,395	41,072	38,530
Kent	133,675	136,707	136,707	41,895	41,623	41,623	373	322	311
Killingly	184,202	184,821	184,821	145,242	147,994	147,994	219,409	256,926	242,230
Killingworth	127,060	126,935	126,935	56,211	55,303	55,303	0	0	0
Lebanon	158,093	159,953	159,953	73,675	72,778	72,778	118,496	100,446	96,805
Ledyard	149,506	149,735	149,735	118,532	116,894	116,894	229,107	196,173	190,769
Lisbon	88,038	88,173	88,173	30,847	30,838	30,838	85,815	67,154	63,610
Litchfield	189,606	191,835	191,835	85,355	84,457	84,457	34,560	33,877	33,574
Lyme	88,927	89,008	89,008	25,551	25,928	25,928	0	0	0
Madison	160,695	160,708	160,708	115,128	112,229	112,229	19,771	12,389	16,553
Manchester	318,978	320,157	320,157	432,789	427,213	427,213	341,177	310,819	291,587
Mansfield	206,217	208,125	208,125	183,979	183,703	183,703	135,357	116,879	104,543
Marlborough	106,769	106,688	106,688	51,653	50,812	50,812	18,814	17,488	15,129
Meriden	334,883	333,682	333,682	517,269	519,121	519,121	542,361	485,928	468,295
Middlebury	110,871	111,353	111,353	55,761	55,941	55,941	0	0	0
Middlefield	98,176	98,156	98,156	33,197	33,481	33,481	0	0	0
Middletown	304,908	307,332	307,332	342,126	317,435	317,435	657,346	557,523	485,479
Milford	307,381	310,455	310,455	410,905	384,191	384,191	138,139	271,857	261,813
Monroe	177,770	175,986	175,986	136,956	135,451	135,451	64,916	61,581	57,351
Montville	166,001	166,400	166,400	150,976	148,496	148,496	292,387	225,751	219,397
Morris	88,325	88,696	88,696	22,190	21,985	21,985	0	0	0
Naugatuck	216,163	215,912	215,912	259,583	254,126	254,126	282,206	273,938	266,675
New Britain	382,169	380,288	380,288	927,650	931,158	931,158	1,615,046	1,398,965	1,348,214
New Canaan	166,029	166,072	166,072	117,119	114,350	114,350	367	0	311
New Fairfield	140,011	139,740	139,740	80,164	78,386	78,386	35,105	35,001	37,692
New Hartford	135,938	136,961	136,961	64,320	64,369	64,369	40,479	37,866	33,882
New Haven	610,471	605,470	605,470	1,803,526	1,754,365	1,754,365	2,168,118	2,100,915	1,997,599
Newington	207,034	207,020	207,020	214,002	212,832	212,832	228,085	226,201	207,819
New London	190,413	191,018	191,018	293,373	292,042	292,042	301,300	271,892	262,016
New Milford	280,782	284,077	284,077	200,988	199,186	199,186	185,479	195,131	208,303
Newtown	234,339	234,239	234,239	191,605	204,621	204,621	79,579	82,299	87,634
Norfolk	134,585	120,505	120,505	32,349	32,201	32,201	2,434	2,136	2,059
North Branford	142,313	141,997	141,997	93,280	91,938	91,938	165,040	146,745	143,731
North Canaan	94,876	94,916	94,916	30,843	30,061	30,061	37,017	34,278	32,424
North Haven	182,510	181,685	181,685	157,592	156,038	156,038	93,003	46,609	46,168
North Stonington	121,094	121,976	121,976	49,283	49,841	49,841	62,671	56,783	62,371
Norwalk	437,325	436,988	436,988	623,199	625,905	625,905	69,339	58,019	68,845
Norwich	237,344	237,584	237,584	294,788	316,793	316,793	600,534	619,739	597,139

Municipal Aid

Estimates of Statutory Formual Grants for FY 2011, FY 2012, and FY 2013

Grantee	Town Aid Road			Local Capital Improvement			Public School		
	Fund Grant			Program (LoCIP)			Pupil Transportation		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Old Lyme	114,708	114,781	114,781	48,927	49,376	49,376	0	0	0
Old Saybrook	125,883	125,625	125,625	65,257	67,807	67,807	7,529	7,139	3,926
Orange	138,541	138,096	138,096	102,483	101,640	101,640	31,693	25,619	22,141
Oxford	136,870	138,318	138,318	100,725	98,972	98,972	78,773	59,713	57,557
Plainfield	147,387	147,120	147,120	133,668	132,668	132,668	272,265	364,893	309,021
Plainville	152,997	153,048	153,048	126,670	125,324	125,324	204,091	177,890	161,847
Plymouth	132,228	132,059	132,059	99,754	99,119	99,119	250,834	232,270	218,725
Pomfret	120,568	121,390	121,390	48,947	48,232	48,232	55,179	55,093	49,358
Portland	121,415	121,320	121,320	68,957	66,983	66,983	79,936	66,004	63,613
Preston	101,069	101,228	101,228	46,932	45,477	45,477	108,895	85,044	77,948
Prospect	119,533	120,312	120,312	67,240	67,150	67,150	0	0	0
Putnam	119,404	119,296	119,296	77,968	79,437	79,437	138,972	129,437	127,616
Redding	132,592	132,978	132,978	68,326	68,964	68,964	367	323	311
Ridgefield	188,204	188,760	188,760	154,290	153,002	153,002	1,585	1,915	1,845
Rocky Hill	168,442	168,473	168,473	112,473	113,338	113,338	71,654	45,308	47,504
Roxbury	166,434	169,220	169,220	36,470	36,146	36,146	0	0	0
Salem	96,890	96,981	96,981	35,221	34,519	34,519	56,979	50,824	52,003
Salisbury	145,943	147,249	147,249	44,987	44,190	44,190	1,462	1,144	1,102
Scotland	77,210	77,160	77,160	22,035	21,617	21,617	21,920	21,525	20,397
Seymour	149,243	148,936	148,936	117,907	118,207	118,207	124,323	114,719	116,979
Sharon	175,419	177,786	177,786	49,718	48,996	48,996	367	323	311
Shelton	251,003	251,480	251,480	273,609	265,176	265,176	160,466	127,103	122,506
Sherman	104,577	104,733	104,733	28,134	26,660	26,660	4,813	4,811	4,636
Simsbury	183,201	182,783	182,783	161,441	157,471	157,471	66,105	59,543	55,501
Somers	133,344	134,971	134,971	91,975	92,123	92,123	99,483	86,522	84,088
Southbury	193,687	190,467	190,467	130,696	131,817	131,817	0	0	0
Southington	261,967	261,519	261,519	289,838	285,572	285,572	197,852	179,720	165,157
South Windsor	193,307	194,385	194,385	172,070	166,439	166,439	144,420	119,814	115,473
Sprague	76,496	76,080	76,080	27,005	26,677	26,677	72,111	61,452	58,789
Stafford	199,806	197,692	197,692	111,986	112,724	112,724	266,631	243,884	236,893
Stamford	587,083	595,641	595,641	806,693	795,763	795,763	760,482	75,753	73,699
Sterling	97,915	98,070	98,070	40,913	42,224	42,224	79,892	78,029	84,606
Stonington	149,296	149,732	149,732	111,540	112,307	112,307	34,142	38,714	38,824
Stratford	290,974	289,990	289,990	373,582	386,453	386,453	270,432	235,693	250,350
Suffield	144,074	144,031	144,031	94,057	94,098	94,098	116,479	113,129	101,144
Thomaston	112,817	112,591	112,591	55,133	55,537	55,537	70,336	60,331	60,242
Thompson	126,482	126,664	126,664	91,556	92,234	92,234	122,151	104,967	99,602
Tolland	168,425	169,946	169,946	120,461	120,293	120,293	151,228	141,449	132,619
Torrington	235,841	235,134	235,134	270,349	276,268	276,268	452,063	442,299	435,766
Trumbull	228,403	228,436	228,436	243,639	246,364	246,364	82,854	75,604	79,845
Union	62,132	62,042	62,042	14,408	14,456	14,456	15,736	19,300	14,984
Vernon	206,408	208,231	208,231	242,371	226,662	226,662	224,899	173,242	160,860
Voluntown	86,500	86,834	86,834	25,244	25,304	25,304	57,453	46,800	46,690
Wallingford	273,681	273,774	273,774	299,589	294,525	294,525	289,083	272,162	266,145
Warren	90,553	90,746	90,746	21,967	21,955	21,955	0	0	0
Washington	164,936	165,191	165,191	53,621	53,067	53,067	0	0	0
Waterbury	539,308	537,097	537,097	1,209,957	1,226,831	1,226,831	1,251,019	1,126,340	1,078,014
Waterford	159,777	159,930	159,930	120,090	120,188	120,188	52,476	45,858	38,802
Watertown	178,800	178,920	178,920	160,408	159,863	159,863	143,696	136,733	130,310
Westbrook	107,944	108,110	108,110	42,292	42,835	42,835	7,471	5,063	5,192
West Hartford	338,547	338,922	338,922	459,555	461,222	461,222	354,666	271,281	236,126
West Haven	305,074	305,453	305,453	570,653	591,849	591,849	646,643	512,028	490,038
Weston	126,087	125,997	125,997	66,051	65,134	65,134	1,460	0	311

Grantee	Town Aid Road			Local Capital Improvement			Public School		
	Fund Grant			Program (LoCIP)			Pupil Transportation		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Westport	192,920	193,642	193,642	147,615	142,830	142,830	397	714	688
Wethersfield	199,948	199,762	199,762	186,678	189,799	189,799	110,287	111,310	114,706
Willington	130,589	130,006	130,006	60,591	59,907	59,907	52,430	43,963	46,826
Wilton	156,015	155,935	155,935	111,998	111,140	111,140	367	323	311
Winchester	150,373	150,234	150,234	88,890	89,318	89,318	105,119	61,219	70,056
Windham	180,821	180,593	180,593	226,613	247,091	247,091	345,725	315,937	308,546
Windsor	203,537	204,119	204,119	187,739	184,272	184,272	191,182	188,790	199,514
Windsor Locks	132,845	132,711	132,711	82,284	80,740	80,740	84,389	75,577	72,833
Wolcott	151,459	151,441	151,441	118,789	116,427	116,427	169,154	149,619	136,100
Woodbridge	122,315	122,213	122,213	66,690	65,813	65,813	3,206	3,143	4,324
Woodbury	144,093	144,914	144,914	76,913	77,067	77,067	0	0	0
Woodstock	188,014	190,702	190,702	88,510	87,112	87,112	76,351	72,116	70,978
Bantam (Bor.)	0	0	0	294	281	281	N/E	N/E	N/E
Danielson (Bor.)	0	0	0	3,142	2,957	2,957	N/E	N/E	N/E
Fenwick (Bor.)	636	635	635	722	711	711	N/E	N/E	N/E
Groton (City of)	57,606	58,829	58,829	17,320	16,708	16,708	N/E	N/E	N/E
Groton Long Point	0	0	0	3,040	3,291	3,291	N/E	N/E	N/E
Jewett City (Bor.)	35,073	35,016	35,016	2,519	2,327	2,327	N/E	N/E	N/E
Litchfield (Bor.)	0	0	0	585	562	562	N/E	N/E	N/E
Newtown (Bor.)	0	0	0	359	372	372	N/E	N/E	N/E
Stonington (Bor.)	8,900	8,840	8,840	1,627	1,625	1,625	N/E	N/E	N/E
Woodmont (Bor.)	10,101	10,136	10,136	256	225	225	N/E	N/E	N/E
District No. 1	N/E	N/E	N/E	N/E	N/E	N/E	4,778	1,544	1,352
District No. 4	N/E	N/E	N/E	N/E	N/E	N/E	25,538	14,895	16,395
District No. 5	N/E	N/E	N/E	N/E	N/E	N/E	98,912	48,037	69,889
District No. 6	N/E	N/E	N/E	N/E	N/E	N/E	0	27,854	29,469
District No. 7	N/E	N/E	N/E	N/E	N/E	N/E	167,317	111,009	88,743
District No. 8	N/E	N/E	N/E	N/E	N/E	N/E	121,055	110,770	95,018
District No. 9	N/E	N/E	N/E	N/E	N/E	N/E	27,087	53,163	11,505
District No. 10	N/E	N/E	N/E	N/E	N/E	N/E	261,230	61,957	157,396
District No. 11	N/E	N/E	N/E	N/E	N/E	N/E	30,094	36,192	39,563
District No. 12	N/E	N/E	N/E	N/E	N/E	N/E	49,002	33,532	33,759
District No. 13	N/E	N/E	N/E	N/E	N/E	N/E	203,119	166,613	144,572
District No. 14	N/E	N/E	N/E	N/E	N/E	N/E	131,465	109,043	81,909
District No. 15	N/E	N/E	N/E	N/E	N/E	N/E	211,972	217,325	165,880
District No. 16	N/E	N/E	N/E	N/E	N/E	N/E	163,526	149,111	210,493
District No. 17	N/E	N/E	N/E	N/E	N/E	N/E	136,373	241,299	204,292
District No. 18	N/E	N/E	N/E	N/E	N/E	N/E	0	30,349	25,412
District No. 19	N/E	N/E	N/E	N/E	N/E	N/E	135,166	160,017	148,720
CREC	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Education Connection	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
CES	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
ACES	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
LEARN	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
EASTCONN	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
SDE Administrative Costs	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Bloomfield: Center FD	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E

Municipal Aid

Estimates of Statutory Formual Grants for FY 2011, FY 2012, and FY 2013

Grantee	Town Aid Road Fund Grant			Local Capital Improvement Program (LoCIP)			Public School Pupil Transportation		
	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>
Enfield: Hazardville Fire #3	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Groton: Poq. Bridge FD	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Middletown: South FD	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
New Milford: N. Milford Fire	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Putnam: W. Putnam District	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
W. Haven First Center	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
W. Haven: West Shore FD	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
W. Haven: Allingtown FD	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Grantee subtotals:	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	28,702,424	25,786,706	24,884,748

Grantee	Non-Public School			Adult			Education Cost		
	Pupil Transportation			Education			Sharing Grant		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Andover	0	0	0	0	0	0	2,330,856	2,330,856	2,367,466
Ansonia	22,571	24,281	24,506	103,902	107,218	108,193	15,031,668	15,031,668	15,571,383
Ashford	0	0	0	0	0	0	3,896,069	3,896,069	3,931,796
Avon	0	0	0	1,552	1,499	1,502	1,232,688	1,232,688	1,232,688
Barkhamsted	0	0	0	1,624	1,626	1,514	1,615,872	1,615,872	1,654,360
Beacon Falls	0	0	0	0	0	0	4,044,804	4,044,804	4,109,097
Berlin	9,379	10,289	9,496	14,298	16,444	15,470	6,169,410	6,169,410	6,280,132
Bethany	0	0	0	0	0	0	2,030,845	2,030,845	2,042,361
Bethel	14,774	12,993	12,729	9,896	10,735	10,589	8,157,837	8,157,837	8,228,760
Bethlehem	0	0	0	0	0	0	1,318,171	1,318,171	1,318,800
Bloomfield	0	0	0	24,613	23,628	22,765	5,410,345	5,410,345	5,614,895
Bolton	0	0	0	4,779	4,790	4,453	3,015,660	3,015,660	3,038,788
Bozrah	0	0	0	4,245	5,505	5,811	1,229,255	1,229,255	1,242,936
Branford	2,740	2,739	3,151	21,403	21,115	23,099	1,759,095	1,759,095	1,824,612
Bridgeport	456,970	428,517	432,353	1,392,954	1,404,425	1,416,785	164,195,344	164,195,344	168,599,571
Bridgewater	0	0	0	0	0	0	137,292	137,292	137,292
Bristol	179,864	168,507	159,442	281,357	324,856	296,614	41,657,314	41,657,314	43,047,496
Brookfield	4,582	5,107	5,119	3,704	3,873	3,885	1,530,693	1,530,693	1,545,179
Brooklyn	0	0	0	33,062	34,129	35,352	6,978,295	6,978,295	7,058,407
Burlington	0	0	0	0	0	0	4,295,578	4,295,578	4,354,540
Canaan	0	0	0	0	0	0	207,146	207,146	209,258
Canterbury	0	0	0	12,819	13,062	13,684	4,733,625	4,733,625	4,754,383
Canton	0	0	0	2,190	2,307	2,403	3,348,790	3,348,790	3,421,074
Chaplin	0	0	0	3,092	3,283	3,181	1,880,888	1,880,888	1,893,247
Cheshire	27,506	34,786	34,866	30,529	31,321	32,806	9,298,837	9,298,837	9,376,495
Chester	0	0	0	0	0	0	665,733	665,733	665,733
Clinton	0	0	0	21,317	20,766	22,683	6,465,651	6,465,651	6,502,667
Colchester	0	0	0	24,172	25,107	25,622	13,547,231	13,547,231	13,723,859
Colebrook	0	0	0	310	350	457	495,044	495,044	506,256
Columbia	0	0	0	2,180	1,941	2,177	2,550,037	2,550,037	2,563,631
Cornwall	0	0	0	0	0	0	85,322	85,322	85,322
Coventry	0	0	0	10,902	11,383	11,515	8,845,691	8,845,691	8,918,028
Cromwell	0	0	0	15,347	15,560	15,419	4,313,692	4,313,692	4,423,837
Danbury	141,938	220,597	221,146	165,718	234,962	235,963	22,857,956	22,857,956	24,554,515
Darien	0	0	0	75	77	115	1,616,006	1,616,006	1,616,006
Deep River	0	0	0	0	0	0	1,687,351	1,687,351	1,711,882
Derby	16,468	7,006	7,129	96,184	99,933	101,722	6,865,689	6,865,689	7,146,221
Durham	0	0	0	0	0	0	3,954,812	3,954,812	3,986,743
Eastford	0	0	0	2,147	2,169	2,175	1,109,873	1,109,873	1,116,844
East Granby	0	0	0	1,189	1,244	1,190	1,301,142	1,301,142	1,349,822
East Haddam	0	0	0	5,025	5,364	5,448	3,718,223	3,718,223	3,765,035
East Hampton	0	0	0	22,589	23,672	24,136	7,595,720	7,595,720	7,665,929
East Hartford	57,975	51,413	53,088	205,924	173,558	177,777	41,710,817	41,710,817	43,425,561
East Haven	35,675	38,099	38,828	479,825	489,200	489,821	18,764,125	18,764,125	19,253,992
East Lyme	0	0	0	15,626	15,351	15,392	7,100,611	7,100,611	7,132,157
Easton	0	0	0	158	188	201	593,868	593,868	593,868
East Windsor	0	0	0	14,721	14,812	14,433	5,482,135	5,482,135	5,650,470
Ellington	0	0	0	14,186	14,951	14,716	9,504,917	9,504,917	9,649,604
Enfield	119,118	102,716	105,381	100,686	84,558	86,495	28,380,144	28,380,144	28,810,492
Essex	0	0	0	0	0	0	389,697	389,697	389,697
Fairfield	5,524	5,744	5,756	12,716	10,127	10,141	3,590,008	3,590,008	3,590,008

Grantee	Non-Public School			Adult			Education Cost		
	Pupil Transportation			Education			Sharing Grant		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Farmington	0	0	0	3,474	4,167	3,923	1,611,013	1,611,013	1,611,013
Franklin	0	0	0	2,617	2,801	2,914	941,077	941,077	948,235
Glastonbury	0	0	0	5,753	6,490	5,533	6,201,152	6,201,152	6,415,031
Goshen	0	0	0	0	0	0	218,188	218,188	218,188
Granby	0	0	0	3,141	3,273	3,107	5,394,276	5,394,276	5,477,633
Greenwich	0	0	0	0	0	0	3,418,642	3,418,642	3,418,642
Griswold	0	0	0	51,974	52,146	52,290	10,735,024	10,735,024	10,878,817
Groton (Town of)	35,596	33,859	34,308	135,251	113,340	122,161	25,374,989	25,374,989	25,625,179
Guilford	0	0	0	7,974	8,084	8,370	3,058,981	3,058,981	3,058,981
Haddam	0	0	0	0	0	0	1,728,610	1,728,610	1,776,625
Hamden	204,433	145,086	149,436	213,086	224,673	230,857	23,030,761	23,030,761	23,913,747
Hampton	0	0	0	1,695	1,741	1,719	1,337,582	1,337,582	1,339,928
Hartford	87,611	65,616	65,731	1,937,553	1,827,121	1,805,624	187,974,890	187,974,890	192,783,001
Hartland	0	0	0	1,917	1,983	1,988	1,350,837	1,350,837	1,358,660
Harwinton	0	0	0	0	0	0	2,728,401	2,728,401	2,760,313
Hebron	0	0	0	0	0	0	6,872,931	6,872,931	6,969,354
Kent	0	0	0	0	0	0	167,342	167,342	167,342
Killingly	16,156	17,556	17,219	107,467	115,332	113,479	15,245,633	15,245,633	15,625,767
Killingworth	0	0	0	0	0	0	2,227,467	2,227,467	2,237,730
Lebanon	0	0	0	7,758	7,762	7,783	5,467,634	5,467,634	5,523,871
Ledyard	0	0	0	22,120	23,466	23,718	12,030,465	12,030,465	12,141,501
Lisbon	0	0	0	12,319	12,259	12,087	3,899,238	3,899,238	3,927,193
Litchfield	119	223	230	1,515	1,602	1,639	1,479,851	1,479,851	1,508,386
Lyme	0	0	0	0	0	0	145,556	145,556	145,556
Madison	3,578	2,860	4,004	5,994	5,521	6,041	1,576,061	1,576,061	1,576,061
Manchester	142,059	93,176	90,847	321,662	447,928	439,433	30,619,100	30,619,100	31,962,679
Mansfield	0	0	0	0	0	0	10,070,677	10,070,677	10,156,014
Marlborough	0	0	0	0	0	0	3,124,421	3,124,421	3,171,682
Meriden	207,703	207,774	208,213	978,384	960,894	963,437	53,783,711	53,783,711	55,561,122
Middlebury	0	0	0	0	0	0	684,186	684,186	714,234
Middlefield	0	0	0	0	0	0	2,100,239	2,100,239	2,132,776
Middletown	154,219	122,530	110,914	1,382,928	1,506,905	1,401,141	16,652,386	16,652,386	17,449,023
Milford	34,561	68,920	69,102	40,773	64,951	65,254	10,728,519	10,728,519	11,048,292
Monroe	6,457	7,452	7,200	12,935	14,189	13,917	6,572,118	6,572,118	6,592,969
Montville	14,160	14,437	14,587	33,036	33,437	33,776	12,549,431	12,549,431	12,715,670
Morris	0	0	0	0	0	0	657,975	657,975	657,975
Naugatuck	44,692	49,155	49,979	198,894	230,699	234,526	29,211,401	29,211,401	29,846,550
New Britain	177,053	146,488	146,765	643,256	616,130	618,847	73,929,296	73,929,296	76,583,631
New Canaan	0	0	0	26	27	27	1,495,604	1,495,604	1,495,604
New Fairfield	0	0	0	3,243	3,449	3,707	4,414,083	4,414,083	4,451,451
New Hartford	0	0	0	2,395	2,497	2,367	3,143,902	3,143,902	3,167,099
New Haven	198,721	205,348	203,060	3,081,370	2,935,646	3,038,873	142,509,525	142,509,525	146,351,428
Newington	6,085	7,227	6,907	26,722	32,934	31,771	12,632,615	12,632,615	12,895,927
New London	48,882	54,429	54,549	1,048,271	1,164,875	1,168,668	22,940,565	22,940,565	23,749,566
New Milford	5,559	7,093	7,902	31,628	33,995	37,133	11,939,587	11,939,587	12,080,862
Newtown	15,035	17,934	19,986	3,439	3,695	3,920	4,309,646	4,309,646	4,338,374
Norfolk	0	0	0	251	254	255	381,414	381,414	381,414
North Branford	0	0	0	33,452	33,915	33,290	8,117,122	8,117,122	8,225,632
North Canaan	0	0	0	0	0	0	2,064,592	2,064,592	2,091,544
North Haven	0	0	0	17,426	13,973	14,270	3,174,940	3,174,940	3,295,851
North Stonington	0	0	0	7,469	7,193	8,026	2,892,440	2,892,440	2,906,538
Norwalk	10,902	13,804	17,094	85,322	83,819	89,616	10,095,131	10,095,131	10,672,607
Norwich	87,984	54,074	54,153	422,103	384,851	385,713	32,316,543	32,316,543	33,341,525

Grantee	Non-Public School			Adult			Education Cost		
	Pupil Transportation			Education			Sharing Grant		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Old Lyme	0	0	0	0	0	0	605,586	605,586	605,586
Old Saybrook	523	660	293	5,975	6,302	5,107	652,677	652,677	652,677
Orange	122	46	42	0	0	0	1,055,910	1,055,910	1,107,407
Oxford	0	0	0	1,359	1,339	1,343	4,606,861	4,606,861	4,667,270
Plainfield	0	0	0	108,302	114,591	114,937	15,353,204	15,353,204	15,560,284
Plainville	0	0	0	104,271	118,207	112,843	10,161,853	10,161,853	10,346,140
Plymouth	0	0	0	12,488	12,401	12,176	9,743,272	9,743,272	9,876,832
Pomfret	0	0	0	5,617	6,198	5,846	3,092,817	3,092,817	3,130,001
Portland	0	0	0	13,779	13,334	13,368	4,272,257	4,272,257	4,347,783
Preston	0	0	0	18,981	20,687	19,875	3,057,025	3,057,025	3,077,693
Prospect	0	0	0	0	0	0	5,319,201	5,319,201	5,377,654
Putnam	8,442	1,906	1,949	64,259	63,321	64,792	8,071,851	8,071,851	8,251,714
Redding	0	0	0	486	458	459	687,733	687,733	687,733
Ridgefield	0	0	0	695	844	778	2,063,814	2,063,814	2,063,814
Rocky Hill	0	0	0	11,170	10,413	8,902	3,355,227	3,355,227	3,481,162
Roxbury	0	0	0	0	0	0	158,114	158,114	158,114
Salem	0	0	0	3,917	4,136	4,375	3,099,694	3,099,694	3,114,216
Salisbury	0	0	0	0	0	0	187,266	187,266	187,266
Scotland	0	0	0	1,666	1,749	1,729	1,444,458	1,444,458	1,450,305
Seymour	0	0	0	63,783	68,346	72,011	9,836,508	9,836,508	10,004,094
Sharon	0	0	0	0	0	0	145,798	145,798	145,798
Shelton	32,775	14,415	14,426	42,852	39,199	39,288	4,975,852	4,975,852	5,146,279
Sherman	0	0	0	295	314	314	244,327	244,327	244,327
Simsbury	12,852	13,513	13,088	11,208	12,054	11,839	5,367,517	5,367,517	5,513,204
Somers	0	0	0	10,372	10,758	10,872	5,918,636	5,918,636	5,975,301
Southbury	0	0	0	0	0	0	2,422,233	2,422,233	2,518,902
Southington	46,751	53,714	51,269	19,444	20,527	14,633	19,839,108	19,839,108	20,191,195
South Windsor	0	0	0	10,880	11,686	11,722	12,858,826	12,858,826	13,017,444
Sprague	5,795	5,677	5,647	14,578	15,957	15,905	2,600,651	2,600,651	2,632,445
Stafford	19,235	13,158	17,510	24,837	25,480	25,736	9,809,424	9,809,424	9,930,162
Stamford	401,870	43,441	43,157	516,149	279,399	278,736	7,978,877	7,978,877	8,899,110
Sterling	0	0	0	10,450	10,498	11,354	3,166,394	3,166,394	3,211,166
Stonington	3,056	4,572	4,771	16,473	19,570	20,123	2,061,204	2,061,204	2,079,926
Stratford	55,036	67,302	74,224	86,370	82,445	97,158	20,495,602	20,495,602	21,072,199
Suffield	0	0	0	7,406	7,661	7,218	6,082,494	6,082,494	6,183,966
Thomaston	0	0	0	15,453	15,425	15,958	5,630,307	5,630,307	5,712,479
Thompson	4,083	3,941	3,888	47,642	50,623	50,081	7,608,489	7,608,489	7,674,408
Tolland	0	0	0	9,676	10,103	9,905	10,759,283	10,759,283	10,866,063
Torrington	56,474	55,959	57,340	124,399	139,349	142,602	23,933,343	23,933,343	24,402,168
Trumbull	24,907	24,049	26,410	13,799	15,036	17,178	3,031,988	3,031,988	3,195,332
Union	0	0	0	1,045	1,542	1,236	239,576	239,576	241,460
Vernon	19,319	15,774	15,222	253,754	268,351	260,250	17,645,165	17,645,165	18,316,776
Voluntown	0	0	0	7,218	7,777	8,045	2,536,177	2,536,177	2,550,166
Wallingford	14,998	17,921	18,227	252,469	265,445	269,315	21,440,233	21,440,233	21,712,580
Warren	0	0	0	0	0	0	99,777	99,777	99,777
Washington	0	0	0	0	0	0	240,147	240,147	240,147
Waterbury	393,275	472,810	470,647	2,387,335	2,435,229	2,427,504	113,617,182	113,617,182	118,012,691
Waterford	0	0	0	14,437	14,346	13,279	1,445,404	1,445,404	1,485,842
Watertown	22,450	23,922	23,708	4,509	4,985	4,955	11,749,383	11,749,383	11,886,760
Westbrook	0	0	0	1,924	1,724	1,784	427,677	427,677	427,677
West Hartford	105,155	79,042	71,378	167,901	144,414	132,285	16,076,120	16,076,120	16,996,060
West Haven	56,314	125,453	124,905	216,546	164,203	163,373	41,399,303	41,399,303	42,781,151
Weston	0	0	0	431	455	456	948,564	948,564	948,564

Municipal Aid

Estimates of Statutory Formual Grants for FY 2011, FY 2012, and FY 2013

Grantee	Non-Public School			Adult			Education Cost		
	Pupil Transportation			Education			Sharing Grant		
	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013	FY 2011	FY 2012	FY 2013
Westport	0	0	0	2,043	2,158	1,437	1,988,255	1,988,255	1,988,255
Wethersfield	16,517	15,410	16,555	29,332	27,121	28,046	8,018,422	8,018,422	8,313,255
Willington	0	0	0	0	0	0	3,676,637	3,676,637	3,710,213
Wilton	0	0	0	537	567	683	1,557,195	1,557,195	1,557,195
Winchester	22,762	18,739	18,003	11,225	11,544	11,162	7,823,991	7,823,991	8,031,362
Windham	23,081	21,260	21,588	274,307	277,790	281,928	24,169,717	24,169,717	24,933,574
Windsor	43,197	45,948	46,761	47,088	49,089	49,824	11,547,663	11,547,663	11,854,648
Windsor Locks	0	0	0	20,336	18,370	18,409	4,652,368	4,652,368	4,904,674
Wolcott	0	0	0	4,857	4,691	4,467	13,539,371	13,539,371	13,685,912
Woodbridge	558	682	977	0	0	0	721,370	721,370	721,370
Woodbury	0	0	0	0	0	0	876,018	876,018	895,683
Woodstock	0	0	0	8,811	9,493	9,695	5,390,055	5,390,055	5,453,688
Bantam (Bor.)	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Danielson (Bor.)	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Fenwick (Bor.)	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Groton (City of)	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Groton Long Point	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Jewett City (Bor.)	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Litchfield (Bor.)	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Newtown (Bor.)	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Stonington (Bor.)	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Woodmont (Bor.)	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
District No. 1	0	0	0	9,196	9,324	9,350	N/E	N/E	N/E
District No. 4	0	0	0	8,383	8,281	8,303	N/E	N/E	N/E
District No. 5	1,383	1,200	1,172	3,170	3,343	3,272	N/E	N/E	N/E
District No. 6	0	0	0	396	414	430	N/E	N/E	N/E
District No. 7	0	0	0	4,854	4,995	4,936	N/E	N/E	N/E
District No. 8	0	0	0	21,429	21,833	21,632	N/E	N/E	N/E
District No. 9	0	0	0	0	0	0	N/E	N/E	N/E
District No. 10	0	0	0	1,615	1,747	1,697	N/E	N/E	N/E
District No. 11	0	0	0	0	0	0	N/E	N/E	N/E
District No. 12	7,421	7,151	7,167	99	92	92	N/E	N/E	N/E
District No. 13	0	0	0	12,981	12,703	12,432	N/E	N/E	N/E
District No. 14	0	0	0	5,402	5,015	5,492	N/E	N/E	N/E
District No. 15	0	0	0	714	755	726	N/E	N/E	N/E
District No. 16	0	0	0	2,164	2,134	2,260	N/E	N/E	N/E
District No. 17	0	0	0	7,267	7,765	8,056	N/E	N/E	N/E
District No. 18	0	0	0	1,978	2,063	2,214	N/E	N/E	N/E
District No. 19	0	0	0	52,685	55,808	54,777	N/E	N/E	N/E
CREC	N/E	N/E	N/E	112,946	123,617	121,827	N/E	N/E	N/E
Education Connection	N/E	N/E	N/E	130,304	130,504	132,492	N/E	N/E	N/E
CES	N/E	N/E	N/E	0	0	0	N/E	N/E	N/E
ACES	N/E	N/E	N/E	0	0	0	N/E	N/E	N/E
LEARN	N/E	N/E	N/E	0	0	0	N/E	N/E	N/E
EASTCONN	N/E	N/E	N/E	30,324	31,128	31,217	N/E	N/E	N/E
SDE Administrative Costs	N/E	N/E	N/E	1,029,719	\$1,030,649	\$1,030,285	N/E	N/E	N/E
Bloomfield: Center FD	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E

Grantee	Non-Public School Pupil Transportation			Adult Education			Education Cost Sharing Grant		
	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>
Enfield: Hazardville Fire #3	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Groton: Poq. Bridge FD	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Middletown: South FD	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
New Milford: N. Milford Fire	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Putnam: W. Putnam District	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
W. Haven First Center	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
W. Haven: West Shore FD	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
W. Haven: Allingtown FD	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E	N/E
Grantee subtotals:	3,995,000	3,595,500	3,595,500	20,594,371	20,612,980	20,605,690	1,889,607,093	1,889,607,093	1,939,607,087

Grantee	TOTAL		
	Statutory Formula Grants		
	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>
Andover	2,508,649	2,505,157	2,543,055
Ansonia	15,878,567	15,917,046	16,454,565
Ashford	4,177,933	4,197,341	4,230,822
Avon	1,620,616	1,613,806	1,613,791
Barkhamsted	1,808,217	1,807,172	1,843,338
Beacon Falls	4,248,032	4,251,854	4,316,505
Berlin	6,698,266	6,690,823	6,787,508
Bethany	2,273,090	2,270,911	2,282,314
Bethel	8,620,374	8,623,109	8,689,653
Bethlehem	1,473,452	1,474,510	1,475,578
Bloomfield	6,279,140	6,350,355	6,544,449
Bolton	3,268,012	3,253,830	3,272,962
Bozrah	1,388,073	1,393,566	1,408,489
Branford	2,472,808	2,457,581	2,532,899
Bridgeport	187,962,014	187,755,683	192,222,735
Bridgewater	260,677	259,571	260,014
Bristol	44,660,341	44,675,856	45,989,892
Brookfield	1,876,172	1,885,141	1,898,788
Brooklyn	7,719,733	7,704,653	7,780,855
Burlington	4,573,066	4,574,855	4,634,165
Canaan	423,290	421,513	423,521
Canterbury	5,094,394	5,080,104	5,103,400
Canton	3,648,801	3,650,859	3,724,277
Chaplin	2,202,964	2,198,730	2,208,320
Cheshire	14,517,738	14,499,815	14,497,058
Chester	821,420	815,954	816,080
Clinton	6,851,257	6,844,357	6,887,778
Colchester	14,224,886	14,207,970	14,381,703
Colebrook	639,922	661,396	674,682
Columbia	2,775,714	2,763,359	2,782,063
Cornwall	280,645	260,546	260,945
Coventry	9,359,221	9,353,272	9,422,279
Cromwell	4,737,903	4,719,554	4,826,976
Danbury	29,473,394	29,330,635	31,004,633
Darien	2,015,515	2,014,991	2,015,279
Deep River	1,850,515	1,847,235	1,872,006
Derby	8,783,566	8,678,651	8,959,138
Durham	4,164,589	4,164,481	4,196,817
Eastford	1,267,053	1,267,701	1,274,194
East Granby	2,339,505	2,323,132	2,368,542
East Haddam	4,106,527	4,113,778	4,159,515
East Hampton	8,176,802	8,174,329	8,243,046
East Hartford	44,355,512	44,361,636	46,077,514
East Haven	20,355,135	20,400,011	20,885,850
East Lyme	8,626,124	8,587,448	8,615,539
Easton	842,058	842,202	842,554
East Windsor	5,954,671	5,936,011	6,097,957
Ellington	10,015,986	10,012,192	10,147,967
Enfield	31,861,741	31,776,537	32,176,470
Essex	580,572	578,578	579,221
Fairfield	7,039,481	6,787,460	6,787,021

Grantee	TOTAL		
	Statutory Formula Grants		
	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>
Farmington	4,892,509	4,867,577	4,858,243
Franklin	1,069,178	1,067,788	1,075,607
Glastonbury	6,838,938	6,856,838	7,038,163
Goshen	436,451	437,309	437,709
Granby	5,739,853	5,725,148	5,803,054
Greenwich	5,022,848	4,945,313	4,945,653
Griswold	11,323,016	11,314,992	11,452,348
Groton (Town of)	28,824,560	28,689,249	28,938,796
Guilford	3,502,560	3,500,335	3,501,908
Haddam	2,072,770	2,077,424	2,125,667
Hamden	28,261,828	28,500,040	29,386,351
Hampton	1,538,203	1,534,650	1,536,215
Hartford	240,486,129	239,066,496	243,973,922
Hartland	1,605,164	1,598,848	1,606,065
Harwinton	2,914,458	2,914,977	2,947,322
Hebron	7,150,312	7,150,144	7,244,434
Kent	416,732	416,090	416,425
Killingly	16,317,290	16,390,447	16,753,639
Killingworth	2,542,601	2,536,851	2,547,377
Lebanon	5,888,255	5,873,147	5,926,141
Ledyard	13,596,758	13,573,845	13,732,480
Lisbon	4,152,266	4,133,343	4,158,005
Litchfield	1,889,080	1,891,291	1,919,879
Lyme	283,899	284,395	284,814
Madison	2,441,973	2,426,915	2,432,325
Manchester	34,364,759	34,401,917	35,713,744
Mansfield	18,057,984	17,849,739	17,911,812
Marlborough	3,339,837	3,337,512	3,382,819
Meriden	58,465,425	58,583,644	60,345,123
Middlebury	876,488	877,067	907,540
Middlefield	2,259,968	2,260,028	2,292,988
Middletown	28,044,482	27,658,940	28,261,238
Milford	12,903,121	13,060,544	13,369,750
Monroe	7,011,905	7,011,564	7,028,071
Montville	15,834,827	15,818,742	16,014,231
Morris	796,960	796,570	796,984
Naugatuck	30,457,533	30,522,461	31,155,200
New Britain	85,691,462	85,317,077	87,916,971
New Canaan	1,828,090	1,828,720	1,829,404
New Fairfield	4,710,919	4,715,320	4,756,039
New Hartford	3,426,077	3,425,048	3,444,537
New Haven	196,352,006	199,354,213	203,226,150
Newington	15,574,675	15,579,456	15,822,153
New London	32,634,491	32,258,711	33,060,031
New Milford	12,944,995	12,948,852	13,107,560
Newtown	6,430,330	6,451,505	6,463,625
Norfolk	713,420	702,996	703,198
North Branford	8,599,936	8,584,261	8,689,544
North Canaan	2,274,672	2,271,554	2,297,049
North Haven	3,978,512	4,165,805	4,286,279
North Stonington	4,041,977	4,041,312	4,062,230
Norwalk	14,290,900	14,153,298	14,749,546
Norwich	37,401,104	37,371,657	38,373,236

Grantee	TOTAL		
	Statutory Formula Grants		
	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>
Old Lyme	853,519	852,897	853,289
Old Saybrook	933,029	934,881	930,452
Orange	1,451,177	1,568,740	1,617,152
Oxford	5,129,135	5,110,300	5,168,714
Plainfield	16,187,458	16,322,428	16,474,255
Plainville	10,836,461	10,818,241	10,981,515
Plymouth	10,323,741	10,307,571	10,427,740
Pomfret	3,371,849	3,393,742	3,425,197
Portland	4,621,859	4,604,798	4,678,347
Preston	4,695,008	4,503,095	4,515,648
Prospect	5,542,382	5,547,548	5,606,421
Putnam	8,909,152	8,860,028	9,039,912
Redding	1,087,508	1,083,916	1,084,056
Ridgefield	2,611,892	2,602,276	2,602,290
Rocky Hill	4,618,229	4,583,661	4,709,120
Roxbury	371,398	373,599	374,039
Salem	3,367,804	3,363,025	3,379,314
Salisbury	395,730	395,684	396,074
Scotland	1,604,910	1,606,330	1,611,429
Seymour	10,384,067	10,387,982	10,561,853
Sharon	395,479	396,920	397,326
Shelton	5,832,754	5,774,081	5,940,378
Sherman	390,796	389,612	389,881
Simsbury	5,953,156	5,943,257	6,084,505
Somers	8,887,120	8,964,457	8,971,459
Southbury	3,091,855	3,088,548	3,185,155
Southington	20,979,716	20,966,833	21,296,307
South Windsor	13,446,728	13,425,342	13,580,045
Sprague	2,837,515	2,830,384	2,859,845
Stafford	10,807,426	10,764,229	10,882,913
Stamford	15,362,116	13,849,426	14,763,942
Sterling	3,432,574	3,437,491	3,490,111
Stonington	2,430,818	2,447,779	2,467,754
Stratford	21,956,234	22,092,793	22,705,425
Suffield	12,156,405	12,045,796	12,061,338
Thomaston	5,951,673	5,945,548	6,028,539
Thompson	8,069,864	8,070,549	8,130,892
Tolland	11,303,222	11,298,714	11,396,802
Torrington	25,876,516	25,892,436	26,359,223
Trumbull	3,759,545	3,759,179	3,931,544
Union	387,390	390,771	387,968
Vernon	19,378,692	19,280,695	19,931,243
Voluntown	2,995,404	2,982,118	2,996,023
Wallingford	23,195,485	23,163,082	23,433,838
Warren	232,401	232,381	232,806
Washington	500,543	499,289	499,681
Waterbury	133,497,078	133,056,063	137,384,625
Waterford	2,257,767	2,256,707	2,288,849
Watertown	12,364,647	12,372,561	12,503,610
Westbrook	638,456	637,400	637,970
West Hartford	19,110,131	18,838,255	19,703,078
West Haven	47,830,770	47,786,875	49,145,184
Weston	1,156,715	1,154,021	1,154,768

Grantee	TOTAL		
	Statutory Formula Grants		
	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>
Westport	3,174,788	3,144,947	3,143,621
Wethersfield	9,021,782	9,015,715	9,315,491
Willington	3,994,125	3,985,757	4,022,553
Wilton	1,931,260	1,928,967	1,929,365
Winchester	8,400,424	8,351,620	8,566,979
Windham	29,693,559	29,643,195	30,399,086
Windsor	12,402,427	12,389,453	12,710,869
Windsor Locks	9,475,700	9,562,192	9,804,874
Wolcott	14,057,012	14,034,692	14,167,888
Woodbridge	948,460	942,829	944,719
Woodbury	1,113,447	1,115,587	1,135,689
Woodstock	5,798,402	5,800,460	5,863,560
Bantam (Bor.)	294	281	281
Danielson (Bor.)	15,824	14,956	14,937
Fenwick (Bor.)	1,358	1,346	1,346
Groton (City of)	177,596	124,456	124,379
Groton Long Point	3,040	3,291	3,291
Jewett City (Bor.)	38,028	37,760	37,759
Litchfield (Bor.)	1,282	1,228	1,227
Newtown (Bor.)	496	509	509
Stonington (Bor.)	10,527	10,465	10,465
Woodmont (Bor.)	10,357	10,361	10,361
District No. 1	13,974	10,868	10,702
District No. 4	33,921	23,176	24,698
District No. 5	103,465	52,580	74,333
District No. 6	396	28,268	29,899
District No. 7	172,171	116,004	93,679
District No. 8	142,484	132,603	116,650
District No. 9	27,087	53,163	11,505
District No. 10	262,845	63,704	159,093
District No. 11	30,094	36,192	39,563
District No. 12	56,522	40,775	41,018
District No. 13	216,100	179,316	157,004
District No. 14	136,867	114,058	87,401
District No. 15	212,686	218,080	166,606
District No. 16	165,690	151,245	212,753
District No. 17	143,640	249,064	212,348
District No. 18	1,978	32,412	27,626
District No. 19	187,851	215,825	203,497
CREC	112,946	123,617	121,827
Education Connection	130,304	130,504	132,492
CES	0	0	0
ACES	0	0	0
LEARN	0	0	0
EASTCONN	30,324	31,128	31,217
SDE Administrative Costs	1,029,719	1,030,649	1,030,285
Bloomfield: Center FD	4,026	5,853	5,853

Grantee	TOTAL		
	FY 2011	FY 2012	FY 2013
Enfield: Hazardville Fire #3	2,834	2,502	2,502
Groton: Poq. Bridge FD	14,083	12,433	12,433
Middletown: South FD	5,185	4,725	4,725
New Milford: N. Milford Fire	4,757	3,929	3,929
Putnam: W. Putnam District	103	101	101
W. Haven First Center	523,593	523,593	523,593
W. Haven: West Shore FD	257,266	247,005	247,005
W. Haven: Allingtown FD	262,874	275,054	275,054
Grantee subtotals:	2,257,987,885	2,254,942,049	2,304,032,794

Non-grantee specific programs:	FY 2011	FY 2012	FY 2013
PILOT: Exempt Machinery and Equipment and Commerical Motor Vehicles	47,895,199	0	0
Municipal Revenue Sharing: Manufacturing Transition Grants & Property Tax Relief	0	93,300,000	99,000,000
PILOT: Vessels	1,224,811	0	0
Child Day Care	5,263,706	18,422,653	18,419,752
School Based Health Clinics	10,028,054	9,918,614	10,028,054
Special Education: Excess Costs-Students Based	139,805,731	139,805,731	139,805,731
OPEN Choice	14,465,002	19,839,066	22,090,956
Magnet Schools*	174,131,395	215,855,338	235,364,251
Youth Service Bureaus	2,947,268	2,947,268	2,947,268
School-Based Child Health (LEA)	6,350,000	4,210,000	4,500,000
Local and District Departments of Health	4,292,498	4,563,700	4,563,700
Priority School Districts	40,929,547	40,319,325	39,792,940
Early Childhood (School Readiness)	69,813,190	69,813,190	73,813,190
Extended School Hours	2,994,752	2,994,752	2,994,752
School Year Accountability	3,449,699	3,499,699	3,499,699
Subtotal non-grantee specific programs:	523,590,852	625,489,336	656,820,293

GRAND TOTAL: 2,781,578,737 2,880,431,385 2,960,853,087



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