

GENERAL GOVERNMENT

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GOVERNOR'S OFFICE

AGENCY DESCRIPTION

[HTTP://WWW.STATE.CT.US/GOVERNOR/](http://www.state.ct.us/governor/)

The Governor is an elected constitutional officer whose responsibilities include: executive direction and supervision of the general administration of the state; appointments of

various officials; presentation of the budget recommendations to the General Assembly; and approval or veto of legislation passed by the General Assembly.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-3,415	-9,207
• Transfer Equipment to CEPF	-76,400	-23,900
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-63,364	-161,789

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	29	5	3	37	37	37	37	37

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund		2	3	3	3	3

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Direction and Supervision of the State	2,552,488	2,890,564	3,417,651	3,487,042	3,343,863	3,535,830	3,605,221	3,410,325
TOTAL Agency Programs - All Funds Gross	2,552,488	2,890,564	3,417,651	3,487,042	3,343,863	3,535,830	3,605,221	3,410,325
Less Turnover								
TOTAL Agency Programs - All Funds Net	2,552,488	2,890,564	3,417,651	3,487,042	3,343,863	3,535,830	3,605,221	3,410,325
<i>Summary of Funding</i>								
General Fund Net	2,538,813	2,890,564	3,417,651	3,487,042	3,343,863	3,535,830	3,605,221	3,410,325
Bond Funds	13,675	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	2,552,488	2,890,564	3,417,651	3,487,042	3,343,863	3,535,830	3,605,221	3,410,325

OVERALL DIRECTION AND SUPERVISION OF THE STATE

Statutory Reference

C.G.S. Section 3-1

Statement of Need and Program Objectives

To direct and supervise the operation of state government.

Program Description

The Governor is responsible for the executive direction and supervision of the general administration of the state.

The office provides staff assistance and liaison in all areas of state government administration and direct agency programs and policies.

The cornerstone on which the Governor sets the fiscal policies is the submission of her budget recommendations to the

General Assembly. In odd-numbered years, the Governor makes operating and capital budget recommendations for the biennium and in even-numbered years, recommends adjustments to the biennial budget as necessary.

The Governor appoints commissioners to state agencies and appoints members of boards and commissions, trustees and other officials.

The Governor also has the power to approve, or veto, legislation passed by the General Assembly, including the authority to veto appropriation line items. A two-thirds vote by each house of the General Assembly is required to override any veto.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	29	5	3	37	37	37	37	37

Budget-in-Detail

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007		
<i>Other Positions Equated to Full Time</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>		
General Fund	2	3	3	3	3	3		
<i>Financial Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,101,592	2,401,891	2,889,411	2,889,411	2,826,047	3,048,298	3,048,298	2,886,509
Other Expenses	267,045	257,116	263,140	332,531	329,116	268,932	338,323	329,116
<i>Capital Outlay</i>								
Equipment	0	100	76,500	76,500	100	24,000	24,000	100
<i>Pmts to Other Than Local Governments</i>								
New England Governors' Conference	79,378	138,687	88,000	88,000	88,000	92,000	92,000	92,000
National Governors' Association	90,798	92,770	100,600	100,600	100,600	102,600	102,600	102,600
TOTAL-General Fund	2,538,813	2,890,564	3,417,651	3,487,042	3,343,863	3,535,830	3,605,221	3,410,325
<i>Additional Funds Available</i>								
Bond Funds	13,675	0	0	0	0	0	0	0
TOTAL - All Funds	2,552,488	2,890,564	3,417,651	3,487,042	3,343,863	3,535,830	3,605,221	3,410,325

Direction and Supervision of the State

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	1,985,781	2,115,213	2,629,110	2,438,390	2,780,628	2,473,520
Other Positions	72,500	141,525	139,826	163,037	142,970	172,819
Other	43,311	145,153	120,475	224,620	124,700	240,170
TOTAL-Personal Services Gross	2,101,592	2,401,891	2,889,411	2,826,047	3,048,298	2,886,509
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	2,101,592	2,401,891	2,889,411	2,826,047	3,048,298	2,886,509
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	11,904	11,837	11,991	11,837	12,255	11,837
Rentals, Storage and Leasing	74,198	73,782	74,741	143,782	76,386	143,782
Telecommunication Services	51,121	50,834	51,495	50,834	52,628	50,834
General Repairs	6,606	6,570	6,655	6,570	6,801	6,570
Motor Vehicle Expenses	14,611	14,529	14,718	14,529	15,042	14,529
Fees for Outside Professional Services	7,436	7,395	7,492	7,395	7,656	7,395
Fees for Non-Professional Services	6,530	721	731	721	747	721
DP Services, Rentals and Maintenance	23,699	23,566	23,872	23,566	24,397	23,566
Postage	16,319	16,227	16,422	16,227	16,784	16,227
Travel	11,409	11,345	11,493	11,345	11,745	11,345
Other Contractual Services	8,243	8,198	8,304	8,198	8,487	8,198
Advertising	1,354	1,346	1,363	1,346	1,393	1,346
Printing & Binding	7,415	7,373	7,469	7,373	7,633	7,373
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	4,098	4,075	4,140	4,075	4,231	4,075
Books	1,414	1,406	1,424	1,406	1,455	1,406
Maintenance and Motor Vehicle Supplies	1,574	1,565	1,612	1,565	1,652	1,565
Office Supplies	17,200	14,444	17,290	16,444	17,670	16,444
Refunds of Expenditures Not Otherwise Classified	1,914	1,903	1,928	1,903	1,970	1,903
TOTAL-Other Expenses Gross	267,045	257,116	263,140	329,116	268,932	329,116
Less Reimbursements						
TOTAL-Other Expenses Net	267,045	257,116	263,140	329,116	268,932	329,116

Pmts to Other Than Local Govts

New England Governors' Conference	79,378	138,687	88,000	88,000	92,000	92,000
National Governors' Association	90,798	92,770	100,600	100,600	102,600	102,600
TOTAL-Pmts to Other Than Local Govts	170,176	231,457	188,600	188,600	194,600	194,600

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	2,101,592	2,401,891	2,889,411	2,889,411	2,826,047	3,048,298	3,048,298	2,886,509
Other Expenses Net	267,045	257,116	263,140	332,531	329,116	268,932	338,323	329,116
Capital Outlay	0	100	76,500	76,500	100	24,000	24,000	100
Payments to Other Than Local Governments	170,176	231,457	188,600	188,600	188,600	194,600	194,600	194,600
TOTAL-General Fund Net	2,538,813	2,890,564	3,417,651	3,487,042	3,343,863	3,535,830	3,605,221	3,410,325

Additional Funds Available

Bond Funds	13,675	0	0	0	0	0	0	0
TOTAL-All Funds Net	2,552,488	2,890,564	3,417,651	3,487,042	3,343,863	3,535,830	3,605,221	3,410,325

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

SECRETARY OF THE STATE

AGENCY DESCRIPTION

The Secretary of the State is an elected constitutional officer who is the repository and resource for a variety of public records and documents including legislative, business, uniform commercial code, and elections.

The Secretary is the keeper of the Great Seal of the State and the Commissioner of Elections.

The Secretary grants commissions to notaries public, publishes the *State Register and Manual* (Blue Book). The Secretary oversees compliance with the federal "Motor Voter" law and promotes other voter registration efforts in both the public and private sectors.

[HTTP://WWW.SOTS.STATE.CT.US/](http://www.sots.state.ct.us/)

The Secretary's office also coordinates a number of programs designed to enhance citizen participation and involvement in schools and the community such as Youth Vote, Excellence in Citizenship Awards, and the Report and Conference on the State of Democracy in Connecticut.

The Governor has recommended establishing the Board of Accountancy as a separate agency effective July 1, 2005; the board is currently a program, Regulation of Licensed Accounts, within the Secretary of the State.

AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-16,285	-44,202
• Transfer Equipment to CEPF	-264,300	-44,900
• Fund Non-ERIP accruals through the RSA account	-2,100	-2,100
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-6,095	-14,779
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-5,929
• Carryforward FY05 Funds <i>Personal Services - \$65,565 and Other Expenses - \$36,303</i>	-101,868	0
<i>Reallocations or Transfers</i>		
• Establish the Board of Accountancy as a Separate Agency	-317,487	-312,057

AGENCY PROGRAMS

<i>Personnel Summary</i>	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	30	4	0	34	34	30	34	30
<i>Agency Programs by Total Funds (Net of Reimbursements)</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Commercial Recording	4,182,767	6,124,461	6,297,385	6,306,483	6,306,483	6,371,776	6,381,662	6,381,662
Legislation & Elections Administration	1,786,578	9,722,247	19,111,346	19,357,380	19,332,329	5,003,785	5,278,462	5,245,753
Records and Legislative Services	287,074	257,313	66,397	0	0	69,717	0	0
Regulation of Licensed Accountants	151,584	212,553	349,930	318,138	0	356,612	313,825	0
Management and Support Services	2,354,658	2,225,356	2,877,718	2,616,769	2,251,823	2,846,043	2,497,425	2,419,992
TOTAL Agency Programs - All Funds Gross	8,762,661	18,541,930	28,702,776	28,598,770	27,890,635	14,647,933	14,471,374	14,047,407
Less Turnover								
TOTAL Agency Programs - All Funds Net	8,762,661	18,541,930	28,702,776	28,598,770	27,890,635	14,647,933	14,471,374	14,047,407
<i>Summary of Funding</i>								
General Fund Net	3,036,494	3,166,495	3,899,796	3,795,790	3,087,655	3,841,174	3,664,615	3,240,648
Bond Funds	4,246	0	0	0	0	0	0	0
Federal Contributions	196,773	7,890,000	16,930,000	16,930,000	16,930,000	2,780,000	2,780,000	2,780,000
Private Contributions	5,525,148	7,485,435	7,872,980	7,872,980	7,872,980	8,026,759	8,026,759	8,026,759
TOTAL Agency Programs - All Funds Net	8,762,661	18,541,930	28,702,776	28,598,770	27,890,635	14,647,933	14,471,374	14,047,407

COMMERCIAL RECORDING

Statutory Reference

C.G.S. Titles 33, 34, 35 et al

Statement of Need and Program Objective

To make information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited liability partnerships, Uniform Commercial Code and trademarks by reviewing, recording, copying, computerizing, and certifying documents for and of public record.

Program Description

The major activities and responsibilities of the division include generating and mailing annual reports to businesses of record; reviewing submitted documents for compliance with statutes; receiving records and forwarding writs, summonses and complaints; creating and updating data records of businesses; and handling public inquiries.

The division reviews documents submitted for filing, providing certified copies and legal existence certificates within twenty-

four hours of receipt; verifies funds deposited at the time of document review; and reviews and records all Uniform Commercial Code documents such as financial statements, amendments, notices of attachment and vessel liens. The division prepares abstracts or copies of recorded documents; creates and updates data records of the liens; and researches and answers in writing and in person inquiries for information and status of filings. The division also identifies and investigates foreign businesses liable for penalties and past due license fees, if applicable, for transacting business in Connecticut prior to obtaining a certificate of authority to do so. The division assesses penalties, monitors and processes payments, issues demand letters, reviews dispute letters, and recommends proceedings to be initiated by the Attorney General's Office. The division also administers the Address Confidentiality Program; procures, replenishes, and distributes supplies, mail, and equipment within the agency and provides some in-house copy services.

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	94,974	148,361	12,167	21,265	21,265	12,167	22,053	22,053
Other Expenses	2,630	2,649	0	0	0	0	0	0
TOTAL-General Fund	97,604	151,010	12,167	21,265	21,265	12,167	22,053	22,053
<u>Additional Funds Available</u>								
Private Contributions	4,085,163	5,973,451	6,285,218	6,285,218	6,285,218	6,359,609	6,359,609	6,359,609
TOTAL - All Funds	4,182,767	6,124,461	6,297,385	6,306,483	6,306,483	6,371,776	6,381,662	6,381,662

Commercial Recording

LEGISLATION AND ELECTIONS ADMINISTRATION DIVISION

Statutory Reference

C.G.S. Sections 9-3 and 9-4 et al; Article Third State Constitution

Statement of Need and Program Objectives

To preserve the integrity and ensure the accuracy of Connecticut's elections, primaries, and referenda through timely communications and training for election officials concerning their duties; approval of tamper resistant voting systems; monitoring implementation of absentee ballot and voter registration laws; tabulation of vote totals for state and district offices and coordination of voter registration and outreach efforts aimed at young people and other voters. To increase the availability of information to the public by reviewing, recording, and filing a variety of documents including all bills, acts, and resolutions of the General Assembly; commissioning notaries; publishing the State Register and Manual and other publications; and collecting, recording and filing information with timeliness and accuracy.

Program Description

The division administers, interprets and implements all state and federal laws pertaining to election, primaries, nominating procedures, campaign finance and the acquisition and exercise of voting rights. Financial disclosure statements from party, political and candidate committees are filed with the office and are available for public view electronically on the Secretary of the State's website.

The division also administers and monitors the implementation of the National Voter Registration Act, the Help America Vote Act and other federally mandated voter registration and election administration laws. This includes oversight of the development of the content of all election and primary forms including absentee ballot materials and

instructions and the implementation of provisional ballot and voter identification programs.

In conjunction with local town clerks and registrars, the division provides training for local elected officials and poll workers. The office regularly convenes conferences and meetings around the state in an attempt to educate the local election officials (including registrants of voters, town clerks, moderators, and voting machine mechanics) of their statutory responsibilities regarding voter registration and the electoral process.

The division also responds to inquiries involving state election and primary law; provides advice to local officials in matters concerning home rule; charter revision, and local referenda and verifies each municipality's printed absentee ballot and sample ballots for accuracy and, where serious errors exist, orders the reprinting of such materials.

In addition, the division is the official keeper of all acts, orders, grants and resolutions of the General Assembly. The division reviews, records and files all General Assembly bills, acts, and resolutions; transmits all acts passed to the Governor; records executive actions and returns vetoed bills and messages to the General Assembly. The division certifies all legislation regarding appropriations, bond authorizations, and orders on the Treasurer; and notifies members of the General Assembly of veto and special sessions.

The division compiles, publishes and distributes the *Connecticut State Register and Manual* and maintains the "Interactive" State Register and Manual on the Secretary of the State's website, updating information on state, local, and federal government on a weekly basis.

The division records and files approved agency regulations, schedules of state agency meetings, municipal ordinances and special acts.

Budget-in-Detail

The division also administers Connecticut's notary public program.

Program Measure	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Advisory legal opinions issued/yr	2,500	2,500	2,500	2,500
Informational Mailings distributed/yr	250,000	250,000	250,000	250,000
Exams administered/yr	1,200	1,200	1,200	1,200
Manuals/Handbooks distributed/yr	30,500	30,500	30,500	30,500
Primaries, elections/referenda supervised/yr	900	900	900	900
Absentee ballots sets distributed/yr	500,000	500,000	500,000	500,000
Mail-in registration distributed/yr	750,000	900,000	900,000	900,000
Request for information by telephone or written communication	50,000	50,000	50,000	50,000
Campaign Finance reports filed	8,000	15,000	15,000	15,000
Attendees at conferences conducted by this office and exams administered handbooks	4,000	4,000	4,000	4,000
5-yr Notaries commissioned/maintained/yr	52,000	52,000	52,000	52,000
General Assembly actions recorded/yr	2,500	4,000	4,000	4,000
Documents received and processed/yr	31,500	32,000	32,000	32,000
Register & Manuals distributed-sold/yr	12,000	12,000	12,000	12,000
Notary Appointments	3,600	3,600	3,600	3,600
Notary Renewals	10,460	10,324	10,324	10,324
Document Images microfilmed/scanned	549,000	613,000	613,000	613,000

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	11	1	0	12	12	12	12	12
General Fund	11	1	0	12	12	12	12	12

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	309,050	482,770	654,827	748,845	748,845	658,814	776,522	776,522
Other Expenses	919,352	925,889	864,970	950,589	938,538	883,995	971,247	938,538

Capital Outlay								
Equipment	0	0	13,000	13,000	0	0	0	0
TOTAL-General Fund	1,228,402	1,408,659	1,532,797	1,712,434	1,687,383	1,542,809	1,747,769	1,715,060

Additional Funds Available								
Private Contributions	648,477	680,901	714,946	714,946	714,946	750,693	750,693	750,693
Federal Contributions	196,773	7,890,000	16,930,000	16,930,000	16,930,000	2,780,000	2,780,000	2,780,000
TOTAL - All Funds	2,073,652	9,979,560	19,177,743	19,357,380	19,332,329	5,073,502	5,278,462	5,245,753

Legislation and Elections Administration

REGULATION OF LICENSED ACCOUNTANTS

The Governor has recommended establishing the Board of Accountancy as a separate agency effective July 1, 2005.

Statutory Reference

C.G.S. Chapter 389

Statement of Need and Program Objectives

To protect the users of services rendered by Connecticut licensed accountants by regulating the authorized practice of public accountancy by certified public accountants (CPA) and public accountants as well as the unauthorized practice of public accountancy by unlicensed individuals and firms.

Program Description

The Board has five distinct program areas: licensing, continuing education, quality review, examination and regulation and discipline.

The Board votes monthly to accept or reject requests, initial certification, licenses, registrations, and permits, after insuring that all regulatory requirements have been met. Yearly the Board renews currently held licenses, registrations and permits.

Permit holders, as a condition of renewal, must undergo a peer review to determine compliance with technical standards. The results are reported to the Board for review and action. All licensees must report 40 hours of continuing education each year. Disclosure forms are received, reviewed and audited for compliance.

The Board administers the uniform national CPA exam. Certification requires fulfillment of education, exam and experience requirements.

Allegations of violations are received and investigated. Formal hearing, or settlements may result in suspension, revocation, reprimand, probation or censure of a respondent's Connecticut certificate, license or permit or the issuance of an Order of Immediate Discontinuance in the case of unauthorized practice and use of title. Civil penalties of up to \$1000 may be imposed.

Program Measure	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Licenses and Permits Issued Initial				
CPA Certificates	454	475		
CPA Licenses	554	575		
CPA Certificates Registered	195	200		
Firm Permits	173	180		
Renewal				
CPA Certificates Registered	2153	2200		
CPA Licenses	4221/27	4500/25		
Firm Permits	1692	1725		
Exam Applicants	725	700		
Written Complaints				
Received/Resolved	38/50	50/40		
Pending	48	60		
Disciplinary Hearings Held	16	20		

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	4	0	0	4	4	0	4	0

Financial Summary (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	107,912	168,571	233,090	228,305	0	237,280	232,375	0
Other Expenses	43,672	43,982	116,840	89,833	0	119,332	81,450	0
TOTAL-General Fund	151,584	212,553	349,930	318,138	0	356,612	313,825	0

Regulation of Licensed Accountants**MANAGEMENT AND SUPPORT SERVICES***Statutory Reference*

C.G.S. Chapter 33; Article Third and Fourth State Constitution

Statement of Need and Program Objective

To support the Secretary of the State in the organization, direction, and control of all office operations through the promotion of effective and efficient delivery of office fiscal, personnel, procurement, and computerized information systems.

Program Description

The program provides for the overall coordination of the office by performing budget, personnel, affirmative action, payroll, accounting, training, publication distribution/sales, public communication, revenue deposit, and information technology support including support to the Centralized Voter Registration System, Connecticut Online Commercial Recording Database (CONCORD), Connecticut Finance Information System (CFIS), the agency web site and all E-Government initiatives within the office.

Program Measure	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Days to process vendor payment	2	2	2	2
General Statutes distributed and/or sold	500	5,000	5,000	5,000
Public & Special Acts distributed and/or sold	3,000	1,000	1,000	1,000
Revenue deposited/yr (\$M)	17.5	17.8	17.8	17.8

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	15	3	0	18	18	18	18	18

Financial Summary (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,263,993	1,113,122	962,498	1,143,219	1,069,459	997,871	1,186,592	1,163,784
Other Expenses	294,911	280,151	787,504	349,334	309,448	802,715	349,376	339,651

Budget-in-Detail

Capital Outlay

Equipment	0	1,000	254,900	251,400	100	129,000	45,000	100
TOTAL-General Fund	1,558,904	1,394,273	2,004,902	1,743,953	1,379,007	1,929,586	1,580,968	1,503,535
<u>Additional Funds Available</u>								
Bond Funds	4,246	0	0	0	0	0	0	0
Private Contributions	791,508	831,083	872,816	872,816	872,816	916,457	916,457	916,457
TOTAL - All Funds	2,354,658	2,225,356	2,877,718	2,616,769	2,251,823	2,846,043	2,497,425	2,419,992

Management and Support Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	1,748,061	1,869,291	1,826,458	1,805,503	1,868,915	1,931,296
Other	14,854	23,204	23,124	21,566	23,717	18,063
Overtime	13,014	20,329	13,000	12,500	13,500	13,000
TOTAL-Personal Services Gross	1,775,929	1,912,824	1,862,582	1,839,569	1,906,132	1,962,359
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	1,775,929	1,912,824	1,862,582	1,839,569	1,906,132	1,962,359

Other Expenses-Contractual Services

Dues and Subscriptions	11,798	11,882	14,398	6,020	14,715	6,020
Rentals, Storage and Leasing	520	524	531	524	543	524
Telecommunication Services	1,389	1,399	1,417	1,399	1,448	1,399
General Repairs	22,903	23,067	21,474	23,067	21,947	23,067
Motor Vehicle Expenses	3,961	3,989	4,004	3,952	4,092	3,952
Fees for Outside Professional Services	242,728	244,454	314,251	275,892	320,708	275,892
Fees for Non-Professional Services	8,604	8,664	6,304	3,165	6,443	3,165
DP Services, Rentals and Maintenance	482,786	482,316	854,496	461,840	879,031	504,043
Postage	144,567	149,500	160,056	157,060	164,566	157,060
Travel	9,254	9,320	35,437	2,055	36,217	2,055
Other Contractual Services	19,592	19,731	19,988	5,742	20,428	5,742
Advertising	8,546	8,607	8,719	8,607	8,911	8,607
Printing & Binding	221,698	223,275	235,676	221,447	240,861	221,447

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	0	0	115	0	118	0
Books	1,941	1,955	2,062	1,955	2,346	1,955
Maintenance and Motor Vehicle Supplies	2,279	2,295	2,360	2,295	2,418	2,295
Medical Supplies	341	343	347	343	355	343
Office Supplies	66,963	50,578	71,090	56,600	58,167	42,600
Refunds of Expenditures Not Otherwise Classified	1,635	1,647	1,668	1,647	1,705	1,647

Other Expenses-Sundry

Sundry - Other Items	9,060	9,125	14,921	14,376	21,023	16,376
TOTAL-Other Expenses Gross	1,260,565	1,252,671	1,769,314	1,247,986	1,806,042	1,278,189
Less Reimbursements						
TOTAL-Other Expenses Net	1,260,565	1,252,671	1,769,314	1,247,986	1,806,042	1,278,189

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,775,929	1,912,824	1,862,582	2,141,634	1,839,569	1,906,132	2,217,542	1,962,359
Other Expenses Net	1,260,565	1,252,671	1,769,314	1,389,756	1,247,986	1,806,042	1,402,073	1,278,189

Capital Outlay	0	1,000	267,900	264,400	100	129,000	45,000	100
TOTAL-General Fund Net	<u>3,036,494</u>	<u>3,166,495</u>	<u>3,899,796</u>	<u>3,795,790</u>	<u>3,087,655</u>	<u>3,841,174</u>	<u>3,664,615</u>	<u>3,240,648</u>
<i><u>Additional Funds Available</u></i>								
Bond Funds	4,246	0	0	0	0	0	0	0
Federal Contributions	196,773	7,890,000	16,930,000	16,930,000	16,930,000	2,780,000	2,780,000	2,780,000
Private Contributions	5,525,148	7,485,435	7,872,980	7,872,980	7,872,980	8,026,759	8,026,759	8,026,759
TOTAL-All Funds Net	<u>8,762,661</u>	<u>18,541,930</u>	<u>28,702,776</u>	<u>28,598,770</u>	<u>27,890,635</u>	<u>14,647,933</u>	<u>14,471,374</u>	<u>14,047,407</u>

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

LIEUTENANT GOVERNOR'S OFFICE

AGENCY DESCRIPTION

[HTTP://WWW.STATE.CT.US/OTLG/](http://www.state.ct.us/otlg/)

The Lieutenant Governor is an elected constitutional officer who is charged with the following responsibilities: succeeding the Governor in the event the office becomes vacant during the Governor's term; operating the state

government during the Governor's absence from the state; providing assistance to the Governor; presiding over the state Senate and casting the tie-breaking vote when the Senate is equally divided.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-547	-1,485
• Transfer Equipment to CEPF	-11,700	-9,700
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-8,085	-19,930

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	5	0	0	5	5	5	5	5
<i>Other Positions Equated to Full Time</i>			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			0	1	1	1	1	1

Agency Programs by Total Funds

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Assisting in Supervision of the State	350,384	582,881	536,094	486,956	466,624	566,221	503,883	472,768
TOTAL Agency Programs - All Funds Gross	350,384	582,881	536,094	486,956	466,624	566,221	503,883	472,768
Less Turnover								
TOTAL Agency Programs - All Funds Net	350,384	582,881	536,094	486,956	466,624	566,221	503,883	472,768
<i>Summary of Funding</i>								
General Fund Net	349,320	582,881	536,094	486,956	466,624	566,221	503,883	472,768
Bond Funds	1,064	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	350,384	582,881	536,094	486,956	466,624	566,221	503,883	472,768

ASSISTING IN SUPERVISION OF THE STATE

Statutory Reference

Connecticut State Constitution Article IV, Sections 17-19

Statement of Need and Program Objectives

To provide assistance to the Governor in the operation of state government and to assure the continued operation of state government in the Governor's absence from the state or in the event that the Governor's office becomes vacant.

Program Description

The Lieutenant Governor provides assistance to the Governor. The Connecticut Constitution provides for the election of the Lieutenant Governor and conditions for the succession to the Office of Governor. In case of the governor's death,

resignation, refusal to serve or removal from office, the lieutenant governor shall become governor until the next regular election. In the case of the inability of the governor to exercise the powers and perform the duties of office, or in case of impeachment or absence from the state, the lieutenant governor shall exercise the powers and authority and perform the duties of governor until the disability is removed, or the governor is acquitted or returns.

The Lieutenant Governor is, by virtue of the office, president of the Senate. The Lieutenant Governor has a right to debate, when in committee of the whole and when the Senate is equally divided, the Lieutenant Governor may cast the tie-breaking vote.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	5	0	0	5	5	5	5	5

<i>Other Positions Equated to Full Time</i>	2003-2004		2004-2005		2005-2006		2006-2007	
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	1	1	1	1	1	1	1
<i>Financial Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	305,394	415,711	435,033	432,539	424,454	454,074	450,528	430,598
Other Expenses	43,926	167,070	89,261	42,617	42,070	102,347	43,555	42,070
<i>Capital Outlay</i>								
Equipment	0	100	11,800	11,800	100	9,800	9,800	100
TOTAL-General Fund	349,320	582,881	536,094	486,956	466,624	566,221	503,883	472,768
<i>Additional Funds Available</i>								
Bond Funds	1,064	0	0	0	0	0	0	0
TOTAL - All Funds	350,384	582,881	536,094	486,956	466,624	566,221	503,883	472,768

Assisting in Supervision of the State**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	282,040	395,111	406,683	321,241	424,751	321,241
Other Positions	21,140	18,200	25,750	98,013	26,523	103,894
Other	2,214	2,400	2,600	5,200	2,800	5,463
TOTAL-Personal Services Gross	305,394	415,711	435,033	424,454	454,074	430,598
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	305,394	415,711	435,033	424,454	454,074	430,598
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	740	3,046	700	740	800	740
Rentals, Storage and Leasing	26	107	108	26	110	26
Telecommunication Services	3,884	15,987	18,119	4,034	19,842	4,034
General Repairs	26	107	1,000	107	1,200	107
Motor Vehicle Expenses	4,380	18,029	6,000	4,380	6,500	4,380
Fees for Non-Professional Services	697	2,869	4,000	697	4,400	697
DP Services, Rentals and Maintenance	2,821	11,612	3,000	2,821	3,200	2,821
Postage	6,762	27,833	8,075	6,762	8,677	6,762
Travel	795	3,272	2,800	795	3,000	795
Other Contractual Services	798	3,285	1,259	798	1,469	798
Printing & Binding	3,266	13,443	4,000	3,266	4,200	3,266
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	1,962	8,075	6,000	1,962	6,700	1,962
Office Supplies	15,994	52,098	27,200	13,907	33,749	13,907
Refunds of Expenditures Not Otherwise Classified	1,775	7,307	7,000	1,775	8,500	1,775
TOTAL-Other Expenses Gross	43,926	167,070	89,261	42,070	102,347	42,070
Less Reimbursements						
TOTAL-Other Expenses Net	43,926	167,070	89,261	42,070	102,347	42,070

Budget-in-Detail

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	305,394	415,711	435,033	432,539	424,454	454,074	450,528	430,598
Other Expenses Net	43,926	167,070	89,261	42,617	42,070	102,347	43,555	42,070
Capital Outlay	0	100	11,800	11,800	100	9,800	9,800	100
TOTAL-General Fund Net	<u>349,320</u>	<u>582,881</u>	<u>536,094</u>	<u>486,956</u>	<u>466,624</u>	<u>566,221</u>	<u>503,883</u>	<u>472,768</u>
<i>Additional Funds Available</i>								
Bond Funds	1,064	0	0	0	0	0	0	0
TOTAL-All Funds Net	<u>350,384</u>	<u>582,881</u>	<u>536,094</u>	<u>486,956</u>	<u>466,624</u>	<u>566,221</u>	<u>503,883</u>	<u>472,768</u>

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

STATE ELECTIONS ENFORCEMENT COMMISSION

AGENCY DESCRIPTION

[HTTP://WWW.SEEC.STATE.CT.US/](http://www.seec.state.ct.us/)

The Elections Enforcement Commission is a non-partisan independent agency that has exclusive civil authority to enforce election laws. Its principal functions are to conduct investigations of complaints concerning violations of the laws

governing elections, primaries and referenda, monitor compliance with campaign financing laws by performing audits and disseminate advice and information that is necessary for compliance by regulated persons.

RECOMMENDED SIGNIFICANT CHANGES

Within Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Add a Fiscal Administrative Supervisor Position <i>Transfer position and funding from the Freedom of Information Commission.</i>	79,889	79,889
• Add an Elections Enforcement Staff Attorney Position <i>Provides half-year funding in FY2006 and annualization in FY2007</i>	23,954	56,024

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	11	0	1	12	14	14	14	14

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Election Law Enforcement and Control	789,338	964,748	1,100,318	1,100,318	1,100,318	1,125,791	1,125,791	1,125,791
TOTAL Agency Programs - All Funds Gross	789,338	964,748	1,100,318	1,100,318	1,100,318	1,125,791	1,125,791	1,125,791
Less Turnover								
TOTAL Agency Programs - All Funds Net	789,338	964,748	1,100,318	1,100,318	1,100,318	1,125,791	1,125,791	1,125,791
<u>Summary of Funding</u>								
General Fund Net	786,046	943,490	1,100,318	1,100,318	1,100,318	1,125,791	1,125,791	1,125,791
Bond Funds	3,292	21,258	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	789,338	964,748	1,100,318	1,100,318	1,100,318	1,125,791	1,125,791	1,125,791

ELECTION LAW ENFORCEMENT AND CONTROL

Statutory Reference

C.G.S. Sections 9-7a, 9-7b and Chapter 150

Statement of Need and Program Objectives

To improve public confidence in our electoral process and in the officials who seek or hold a public office by monitoring compliance with and swift enforcement of the election laws.

Program Description

The Commission is the only administrative agency that conducts investigations of complaints concerning violations of state election laws. The procedures utilized by an investigation may include the issuance of subpoenas, examination of documents and conduct of hearings. Civil enforcement sanctions, including monetary fines, may be imposed directly by the Commission against violators of these laws. Evidence of criminal conduct is referred to the Chief State's Attorney for prosecution.

The Commission monitors compliance with the campaign financing laws by auditing disclosure reports and disseminating information and advice to thousands of candidates, political action committees and political parties. Auditing of financial disclosure statements is intended to ensure that errors and omissions are promptly corrected and that violations are deterred.

The Commission is the designated agency for resolution of complaints filed under the Federal Help America Vote Act of 2002 (HAVA).

The Commission also prepares and distributes campaign guides for compliance with election financing laws; conducts seminars for candidates, campaign treasurers, business, professional and labor organizations and other political action groups and party officials, renders formal and informal advisory opinions and rulings upon request and recommends revisions of election laws to the General Assembly.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Cases investigated, resolved	193	210	240	240
Committees' audited	151	200	250	250
Formal/informal opinions issued	215	225	250	225

Budget-in-Detail

Personnel Summary

Permanent Full-Time Positions General Fund	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	11	0	1	12	14	14	14	14

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	711,558	876,122	989,207	989,207	989,207	1,030,775	1,030,775	1,030,775
Other Expenses	73,488	63,368	87,611	87,611	87,611	87,516	87,516	87,516
<u>Capital Outlay</u>								
Equipment	1,000	4,000	23,500	23,500	23,500	7,500	7,500	7,500
TOTAL-General Fund	786,046	943,490	1,100,318	1,100,318	1,100,318	1,125,791	1,125,791	1,125,791
<u>Additional Funds Available</u>								
Bond Funds	3,292	21,258	0	0	0	0	0	0
TOTAL - All Funds	789,338	964,748	1,100,318	1,100,318	1,100,318	1,125,791	1,125,791	1,125,791

Election Law Enforcement and Control

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

Personal Services	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Fulltime Positions	688,872	851,522	961,133	961,133	1,002,150	1,002,150
Other	21,606	24,120	27,086	27,086	27,606	27,606
Overtime	1,080	480	988	988	1,019	1,019
TOTAL-Personal Services Gross	711,558	876,122	989,207	989,207	1,030,775	1,030,775
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	711,558	876,122	989,207	989,207	1,030,775	1,030,775

Other Expenses-Contractual Services

Dues and Subscriptions	2,985	2,700	2,735	2,735	2,795	2,795
Rentals, Storage and Leasing	20,522	20,247	20,510	20,510	20,961	20,961
Telecommunication Services	4,558	4,850	4,914	4,914	5,022	5,022
Motor Vehicle Expenses	1,584	1,450	2,700	2,700	2,732	2,732
Fees for Outside Professional Services	3,145	2,431	2,463	2,463	2,518	2,518
Fees for Non-Professional Services	298	10	10	10	10	10
DP Services, Rentals and Maintenance	8,194	3,400	11,200	11,200	11,276	11,276
Postage	5,163	4,730	5,291	5,291	5,396	5,396
Travel	6,632	7,000	11,776	11,776	11,933	11,933
Other Contractual Services	4,098	4,500	4,559	4,559	4,659	4,659
Printing & Binding	600	250	253	253	259	259

Other Expenses-Commodities

Books	0	100	500	500	502	502
Maintenance and Motor Vehicle Supplies	472	300	550	550	558	558
Office Supplies	15,237	11,000	17,900	17,900	16,795	16,795

Other Expenses-Sundry

Sundry - Other Items	0	400	2,250	2,250	2,100	2,100
TOTAL-Other Expenses Gross	73,488	63,368	87,611	87,611	87,516	87,516
Less Reimbursements						
TOTAL-Other Expenses Net	73,488	63,368	87,611	87,611	87,516	87,516

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	711,558	876,122	989,207	989,207	989,207	1,030,775	1,030,775	1,030,775
Other Expenses Net	73,488	63,368	87,611	87,611	87,611	87,516	87,516	87,516
Capital Outlay	1,000	4,000	23,500	23,500	23,500	7,500	7,500	7,500
TOTAL-General Fund Net	<u>786,046</u>	<u>943,490</u>	<u>1,100,318</u>	<u>1,100,318</u>	<u>1,100,318</u>	<u>1,125,791</u>	<u>1,125,791</u>	<u>1,125,791</u>
<i>Additional Funds Available</i>								
Bond Funds	3,292	21,258	0	0	0	0	0	0
TOTAL-All Funds Net	<u>789,338</u>	<u>964,748</u>	<u>1,100,318</u>	<u>1,100,318</u>	<u>1,100,318</u>	<u>1,125,791</u>	<u>1,125,791</u>	<u>1,125,791</u>

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

CITIZENS' ETHICS AND GOVERNMENT INTEGRITY COMMISSION

AGENCY DESCRIPTION

[HTTP://WWW.ETHICS.STATE.CT.US/](http://www.ethics.state.ct.us/)

The Governor's recommended budget transforms the previous Ethics Commission into the Citizens' Ethics and Government Integrity Commission in an effort to encourage fair and proper conduct while improving the procedures of monitoring the practices of Connecticut State Government entities and state employees. The Commission administers and enforces a code of ethics for public officials and state employees (also covering officials and employees of the state's quasi-public

agencies and state consultants) including conflict of interest provisions, post-state employment restrictions and financial disclosure requirements. It also administers and enforces a code of ethics for lobbyists including a lobbyist registration and reporting requirement and a limitation on gifts from lobbyists to public officials, state employees and members of their staffs and families.

RECOMMENDED SIGNIFICANT CHANGES

New or Expanded Services

- Add New Positions

	2005-2006	2006-2007	2007-2008
	346,464	346,052	366,815

Transforms the Commission by adding an Enforcement Counsel, a General Counsel and two Legal Investigators, costs for per diem payments to Judge Trial Referees and all other associated costs.

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	10	0	2	12	12	16	12	16

Agency Programs by Total Funds

(Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Ethics Code Public Employ & Lobbyists	806,056	907,078	1,083,537	1,085,020	1,431,484	1,097,157	1,098,695	1,444,747
TOTAL Agency Programs - All Funds Gross	806,056	907,078	1,083,537	1,085,020	1,431,484	1,097,157	1,098,695	1,444,747
Less Turnover								
TOTAL Agency Programs - All Funds Net	806,056	907,078	1,083,537	1,085,020	1,431,484	1,097,157	1,098,695	1,444,747

Summary of Funding

General Fund Net	806,056	907,078	1,083,537	1,085,020	1,431,484	1,097,157	1,098,695	1,444,747
TOTAL Agency Programs - All Funds Net	806,056	907,078	1,083,537	1,085,020	1,431,484	1,097,157	1,098,695	1,444,747

CODES OF ETHICS FOR PUBLIC EMPLOYEES AND LOBBYISTS

Statutory Reference

C.G.S. Chapter 10, Parts I and II

Statement of Need and Program Objective

To build and maintain the confidence of Connecticut citizens in their government through administration and enforcement of a code of ethics for public officials and state employees and a code of ethics for lobbyists.

Program Description

The major activities of the commission are as follows:

Educate those subject to the codes and members of the public as to the codes' requirements. Obtain and make available for public inspection, statements revealing: the financial interests of designated public officials and senior state employees (except judges), their spouses and their dependent children and the income earned by state marshals

in their official capacity. Register lobbyists and provide means of identifying them while lobbying. Obtain from lobbyists and make available for public inspection, periodic financial reports disclosing lobbyists' receipts and expenditures in furtherance of lobbying. Notify each public official whose name, or the name of a family or staff member, appears in a lobbyist's financial report. Provide formal and informal interpretations of the codes of ethics when requested and advise those subject to the codes regarding compliance with them. Enforce the code of ethics for public officials and the code of ethics for lobbyists. Conduct random audits of lobbyist financial record.

Both statutes administered by the Commission operate on a calendar year basis. Lobbyists register for the biennium. Statements of financial interest cover a calendar year.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Statements of financial interests due	1,450	2,500	2,500	2,500
Lobbyists registered	1,050	1,100	4,000	4,000
Lobbyists financial reports due	8,250	8,400	8,400	8,400
Percentages of Req. Reports Filed Electronically	75%	75%	75%	75%
Written requests for advice	325	350	400	400
Enforcement proceedings completed	25	50	50	50
Publications distributed	10,000	10,000	15,000	15,000
Conduct random audits-lobbyist records	0	10	15	15

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	10	0	2	12	12	16	12	16

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	674,500	761,540	910,883	912,266	1,238,730	920,704	922,142	1,268,194
Other Expenses	93,862	81,438	107,822	107,822	107,822	110,195	110,195	110,195
<u>Capital Outlay</u>								
Equipment	0	100	0	100	20,100	0	100	100
<u>Other Current Expenses</u>								
Lobbyist Electronic Filing Program	37,694	64,000	64,832	64,832	64,832	66,258	66,258	66,258
TOTAL-General Fund	806,056	907,078	1,083,537	1,085,020	1,431,484	1,097,157	1,098,695	1,444,747

Ethics Code Public Employ & Lobbyists**AGENCY FINANCIAL SUMMARY - GENERAL FUND****Current Expenses by Minor Object**

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	664,440	749,997	899,298	1,227,145	908,699	1,256,189
Other	10,060	11,543	11,585	11,585	12,005	12,005
TOTAL-Personal Services Gross	674,500	761,540	910,883	1,238,730	920,704	1,268,194
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	674,500	761,540	910,883	1,238,730	920,704	1,268,194
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	3,301	2,938	2,976	2,976	3,042	3,042
Rentals, Storage and Leasing	13,200	11,750	11,902	11,902	12,164	12,164
Telecommunication Services	7,640	6,800	6,888	6,888	7,040	7,040
General Repairs	1,311	1,167	1,182	1,182	1,208	1,208
Fees for Outside Professional Services	18,621	18,554	44,122	44,122	45,093	45,093
DP Services, Rentals and Maintenance	17,992	16,015	16,223	16,223	16,580	16,580
Postage	3,607	3,211	3,253	3,253	3,325	3,325
Travel	10,360	5,128	5,195	5,195	5,309	5,309
Other Contractual Services	104	93	94	94	96	96
Advertising	1,408	1,253	1,269	1,269	1,297	1,297
Printing & Binding	1,230	1,095	1,109	1,109	1,133	1,133
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	17	19	19	19	19	19
Office Supplies	15,071	13,415	13,590	13,590	13,889	13,889
TOTAL-Other Expenses Gross	93,862	81,438	107,822	107,822	110,195	110,195
Less Reimbursements						
TOTAL-Other Expenses Net	93,862	81,438	107,822	107,822	110,195	110,195
<u>Other Current Expenses</u>						
Lobbyist Electronic Filing Program	37,694	64,000	64,832	64,832	66,258	66,258
TOTAL-Other Current Expenses	37,694	64,000	64,832	64,832	66,258	66,258

Budget-in-Detail

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	674,500	761,540	910,883	912,266	1,238,730	920,704	922,142	1,268,194
Other Expenses Net	93,862	81,438	107,822	107,822	107,822	110,195	110,195	110,195
Capital Outlay	0	100	0	100	20,100	0	100	100
Other Current Expenses	37,694	64,000	64,832	64,832	64,832	66,258	66,258	66,258
TOTAL-General Fund Net	806,056	907,078	1,083,537	1,085,020	1,431,484	1,097,157	1,098,695	1,444,747

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

FREEDOM OF INFORMATION COMMISSION

AGENCY DESCRIPTION

[HTTP://WWW.STATE.CT.US/FOI/](http://www.state.ct.us/foi/)

The Freedom of Information Commission is charged with ensuring that the people of Connecticut have access to the

records and meetings of all public agencies and that all public agencies fully comply with the Freedom of Information Act.

RECOMMENDED SIGNIFICANT CHANGES

Within Current Services

	2005-2006	2006-2007
• Transfer a Fiscal Administrative Supervisor Position <i>Transfer position and funding to the State Elections Enforcement Commission.</i>	-79,889	-79,889
• Add New Positions <i>Funding for a Fiscal Administrative Assistant and Administrative Advisor and associated costs.</i>	122,784	110,184

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	15	0	0	15	17	17	17	17

Agency Programs by Total Funds

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Admin & Enforce of Freedom of Info Act	1,294,255	1,445,945	1,643,228	1,646,834	1,646,834	1,605,780	1,608,490	1,608,490
TOTAL Agency Programs - All Funds Gross	1,294,255	1,445,945	1,643,228	1,646,834	1,646,834	1,605,780	1,608,490	1,608,490
Less Turnover								
TOTAL Agency Programs - All Funds Net	1,294,255	1,445,945	1,643,228	1,646,834	1,646,834	1,605,780	1,608,490	1,608,490

Summary of Funding

General Fund Net	1,285,522	1,445,945	1,643,228	1,646,834	1,646,834	1,605,780	1,608,490	1,608,490
Bond Funds	8,733	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	1,294,255	1,445,945	1,643,228	1,646,834	1,646,834	1,605,780	1,608,490	1,608,490

ADMINISTRATION AND ENFORCEMENT OF THE STATE'S FREEDOM OF INFORMATION ACT

Statutory Reference

C.G.S. Section 1-200 *et seq.*

Statement of Need and Program Objectives

To ensure that the people of Connecticut have access to the non-exempt records and meetings of all state, local and regional public agencies and to ensure that all public agencies fully comply with the state's Freedom of Information Act.

Program Description

The major activities of the Commission are as follows:

Hear and decide citizen complaints and requests for declaratory rulings by means of a speedy and inexpensive process designed to be used by laypersons. The objective of this activity is to resolve cases fairly, quickly and inexpensively. To this end, the commission attempts to resolve as many cases as possible without formal hearings by use of ombudsmans (mediators) and its statutory powers to summarily terminate meritless cases.

Inform and educate the public of their rights under the Freedom of Information Act; inform and educate public officials of their obligations under the Freedom of Information Act; provide information to legislators and other policy-makers and introduce and comment on proposed legislation.

The objective of this activity is not only to inform and educate people so that they understand their rights and obligations, but by providing these services, minimize the potential for

disputes, thereby reducing the rate of growth in formal complaints to the commission, making the law more effective and the commission more efficient. The commission employs a wide variety of means in furtherance of this activity; it sponsors an annual conference, conducts numerous workshops and provides speakers. In 2002, the commission conducted workshops and provided speakers on 72 occasions. Due to substantial budget and staff cutbacks during 2003, the number of workshops and speaking engagements was significantly reduced to only 32. It responds to thousands of written and oral inquiries. It publishes and distributes current versions of the Freedom of Information Act and other literature about the act and the commission. It has established a popular inter-active website (www.state.ct.us/foi/), on which its decisions can be researched and downloaded. It sponsors symposia and studies concerning the Freedom of Information Act, compliance with that law and privacy rights. The commission is actively involved in policy initiatives and projects with other agencies concerning privacy, information technology and public records administration. It also has begun working cooperatively with statutorily authorized local Freedom of Information advisory boards and others who act as Freedom of Information liaisons for municipalities, school districts and state agencies.

Provide annual training opportunities for municipalities and regional agencies, including their Freedom of Information

Budget-in-Detail

advisory boards and liaisons; school districts, including their liaisons; and all state agencies, including their liaisons.

Represent the commission, through staff counsel, in all court appeals and other litigation affecting the commission.

By statute, the commission's own staff attorneys represent the commission as counsel, including in court cases. The objective of this activity is for staff counsel to advise the commission and present the commission's legal position in court fully, competently and creatively so that its decisions and interests are upheld.

<i>Program Measure</i>	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Contested Cases	650	650	700	700
Cases Settled by Ombudsman	340	340	400	400
Court Appeals and Other Litigation	15	15	15	20
Advisory Opinions	2	2	3	3
Education Programs and Speaking Engagements	75	65	75	80
Commission Meetings- Regular	24	24	24	24

<i>Personnel Summary</i>	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	15	0	0	15	17	17	17	17

<i>Financial Summary</i> (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,168,906	1,341,141	1,447,068	1,450,674	1,450,674	1,419,288	1,421,998	1,421,998
Other Expenses	115,616	103,804	147,160	147,160	147,160	148,292	148,292	148,292

<i>Capital Outlay</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
Equipment	1,000	1,000	49,000	49,000	49,000	38,200	38,200	38,200
TOTAL-General Fund	1,285,522	1,445,945	1,643,228	1,646,834	1,646,834	1,605,780	1,608,490	1,608,490

<i>Additional Funds Available</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
Bond Funds	8,733	0	0	0	0	0	0	0
TOTAL - All Funds	1,294,255	1,445,945	1,643,228	1,646,834	1,646,834	1,605,780	1,608,490	1,608,490

Admin & Enforce of Freedom of Info Act

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	1,158,612	1,323,325	1,307,968	1,311,574	1,357,207	1,359,917
Other	10,249	17,738	139,100	139,100	62,081	62,081
Overtime	45	78	0	0	0	0
TOTAL-Personal Services Gross	1,168,906	1,341,141	1,447,068	1,450,674	1,419,288	1,421,998
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	1,168,906	1,341,141	1,447,068	1,450,674	1,419,288	1,421,998

<i>Other Expenses-Contractual Services</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
Dues and Subscriptions	4,994	4,444	5,865	5,865	6,041	6,041
Rentals, Storage and Leasing	14,982	13,829	19,100	19,100	19,521	19,521
Telecommunication Services	8,465	7,472	9,081	9,081	9,392	9,392
General Repairs	352	228	200	200	250	250
Motor Vehicle Expenses	937	772	782	782	799	799
Fees for Outside Professional Services	10,521	9,483	11,500	11,500	12,500	12,500
Fees for Non-Professional Services	6,249	5,512	18,900	18,900	19,468	19,468
DP Services, Rentals and Maintenance	13,214	11,986	12,596	12,596	13,279	13,279
Postage	8,412	7,423	8,450	8,450	8,700	8,700
Travel	12,085	10,937	27,250	27,250	27,523	27,523
Other Contractual Services	2,719	2,329	2,359	2,359	2,411	2,411
Printing & Binding	701	552	3,500	3,500	3,605	3,605

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	229	113	360	360	368	368
Books	164	53	54	54	55	55
Office Supplies	29,472	26,902	26,963	26,963	24,130	24,130
Refunds of Expenditures Not Otherwise Classified	493	356	200	200	250	250

Other Expenses-Sundry

Sundry - Other Items	1,627	1,413	0	0	0	0
TOTAL-Other Expenses Gross	115,616	103,804	147,160	147,160	148,292	148,292
Less Reimbursements						
TOTAL-Other Expenses Net	115,616	103,804	147,160	147,160	148,292	148,292

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,168,906	1,341,141	1,447,068	1,450,674	1,450,674	1,419,288	1,421,998	1,421,998
Other Expenses Net	115,616	103,804	147,160	147,160	147,160	148,292	148,292	148,292
Capital Outlay	1,000	1,000	49,000	49,000	49,000	38,200	38,200	38,200
TOTAL-General Fund Net	1,285,522	1,445,945	1,643,228	1,646,834	1,646,834	1,605,780	1,608,490	1,608,490
<u>Additional Funds Available</u>								
Bond Funds	8,733	0	0	0	0	0	0	0
TOTAL-All Funds Net	1,294,255	1,445,945	1,643,228	1,646,834	1,646,834	1,605,780	1,608,490	1,608,490

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

JUDICIAL SELECTION COMMISSION

AGENCY DESCRIPTION

The Judicial Selection Commission evaluates, investigates and recommends qualified candidates for consideration by the

Governor for nomination as judges for the superior court, appellate court and supreme court.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-278	-718
• Transfer Equipment to CEPF	-3,400	0
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-2,198	-5,419

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	1	0	0	1	1	1	1	1

Agency Programs by Total Funds

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Merit Selection of Judges	92,816	101,688	119,233	109,707	102,831	121,223	113,323	107,186
TOTAL Agency Programs - All Funds Gross	92,816	101,688	119,233	109,707	102,831	121,223	113,323	107,186
Less Turnover								
TOTAL Agency Programs - All Funds Net	92,816	101,688	119,233	109,707	102,831	121,223	113,323	107,186

Summary of Funding

General Fund Net	92,816	101,688	119,233	109,707	102,831	121,223	113,323	107,186
TOTAL Agency Programs - All Funds Net	92,816	101,688	119,233	109,707	102,831	121,223	113,323	107,186

MERIT SELECTION OF JUDGES

Statutory Reference

C.G.S. Section 51-44a

Statement of Need and Program Objectives

To recommend qualified candidates to the Governor for nomination as judges.

Program Description

The Judicial Selection Commission consists of 12 members. No more than six members can be from the same political party and none can be an elected or appointed state official or

hold statewide political party office. Of the 12 members, 6 must not be attorneys.

The Commission seeks qualified candidates for consideration by the governor for nomination as judges for the superior court, appellate court and supreme court. It must evaluate incumbent judges who seek reappointment to the same court.

It also develops a listing of qualified candidates by investigating and interviewing the candidates, including incumbent judges seeking appointment to a different court.

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	1	0	0	1	1	1	1	1

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	72,621	81,897	84,238	84,238	81,040	90,814	90,814	85,395
Other Expenses	20,195	19,691	29,995	21,969	21,691	30,409	22,409	21,691

Capital Outlay

Equipment	0	100	5,000	3,500	100	0	100	100
TOTAL-General Fund	92,816	101,688	119,233	109,707	102,831	121,223	113,323	107,186

Merit Selection of Judges

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	69,390	78,818	80,660	77,462	85,572	80,153
Other	3,231	3,079	3,578	3,578	5,242	5,242
TOTAL-Personal Services Gross	72,621	81,897	84,238	81,040	90,814	85,395
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	72,621	81,897	84,238	81,040	90,814	85,395
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	425	442	448	442	458	442
Rentals, Storage and Leasing	4,179	4,037	4,089	4,037	4,179	4,037
Telecommunication Services	457	476	481	476	492	476
General Repairs	692	719	728	719	744	719
Postage	3,159	3,282	3,324	3,282	3,397	3,282
Travel	331	221	224	221	229	221
Advertising	600	623	631	623	645	623
Printing & Binding	307	319	323	319	330	319
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture,Dairy & Food	8,845	8,325	18,484	10,325	18,644	10,325
Books	95	99	100	99	102	99
Office Supplies	1,105	1,148	1,163	1,148	1,189	1,148
TOTAL-Other Expenses Gross	20,195	19,691	29,995	21,691	30,409	21,691
Less Reimbursements						
TOTAL-Other Expenses Net	20,195	19,691	29,995	21,691	30,409	21,691

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	72,621	81,897	84,238	84,238	81,040	90,814	90,814	85,395
Other Expenses Net	20,195	19,691	29,995	21,969	21,691	30,409	22,409	21,691
Capital Outlay	0	100	5,000	3,500	100	0	100	100
TOTAL-General Fund Net	92,816	101,688	119,233	109,707	102,831	121,223	113,323	107,186

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

STATE PROPERTIES REVIEW BOARD

AGENCY DESCRIPTION

WWW.SPRB.STATE.CT.US/

The State Properties Review Board consists of six members appointed on a bi-partisan basis for overlapping four-year terms. The Speaker of the House and the President Pro Tempore of the Senate appoint three members jointly, and the Minority Leader of the House and the Minority Leader of

the Senate appoint three members jointly. The Board provides oversight for the Legislature of real estate transactions and related consultant contracts proposed by the Executive Branch.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove Inflation
- Reduce Compensation Increases for Managers & Confidentials in FY07
Provide 2% general wage increase and delay PARS by 6 months.

	2005-2006	2006-2007
Remove Inflation	-367	-998
Reduce Compensation Increases for Managers & Confidentials in FY07	0	-3,984

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	4	1	0	5	5	5	5	5

Agency Programs by Total Funds

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Approve Prop Transactions & Cap Projs	391,519	496,814	488,765	490,265	447,586	499,446	499,946	494,964
TOTAL Agency Programs - All Funds Gross	391,519	496,814	488,765	490,265	447,586	499,446	499,946	494,964
Less Turnover								
TOTAL Agency Programs - All Funds Net	391,519	496,814	488,765	490,265	447,586	499,446	499,946	494,964
<u>Summary of Funding</u>								
General Fund Net	391,173	496,814	488,765	490,265	447,586	499,446	499,946	494,964
Bond Funds	346	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	391,519	496,814	488,765	490,265	447,586	499,446	499,946	494,964

APPROVE PROPOSED REAL ESTATE TRANSACTIONS AND CONSULTANT CONTRACTS

Statutory Reference

C.G.S. Sections 4b-3, 4b-23 and 13a-73

Statement of Need and Program Objectives

To ensure that the State's real estate transactions, farm development rights acquisitions and consultant contracts are in the best interest of the State and are made in conformance with State statutes and prudent business practices.

Program Description

In discharging its responsibilities, the Board: reviews and approves the acquisition, construction, development, and leasing of offices and other facilities for State agencies, the

acquisition of land for transportation systems, and the lease or sale of State-owned real estate to third parties; approves the selection of and contracts with architects, engineers and other consultants for major building projects, as proposed by Public Works; reviews, evaluates and approves the acquisition of development rights for farm land proposed by the Department of Agriculture under the Agricultural Land Preservation Pilot Program.

On average the Board approves over 600 proposed transactions each year in less than 10.5 calendar days, including weekends and holidays. Typically, the Board saves the State taxpayers over \$1.0 million each year.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	Actual	Estimated	Projected	Projected
Items Reviewed	449	600	600	600
Savings Realized as a Result of the Board's Actions (\$)	797,391	1,000,000	1,000,000	1,000,000

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	4	1	0	5	5	5	5	5

Financial Summary (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	233,620	306,090	304,104	305,604	268,604	314,154	314,654	310,670
Other Expenses	156,640	183,294	183,661	183,661	177,982	184,292	184,292	183,294
<u>Capital Outlay</u>								
Equipment	913	7,430	1,000	1,000	1,000	1,000	1,000	1,000
TOTAL-General Fund	391,173	496,814	488,765	490,265	447,586	499,446	499,946	494,964
<u>Additional Funds Available</u>								
Bond Funds	346	0	0	0	0	0	0	0
TOTAL - All Funds	391,519	496,814	488,765	490,265	447,586	499,446	499,946	494,964

Approve Prop Transactions & Cap Projs**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

Current Expenses by Minor Object	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	224,534	294,389	299,691	262,691	309,462	305,478
Other Positions	5,888	7,317	0	0	0	0
Other	3,198	4,384	4,413	5,913	4,692	5,192
TOTAL-Personal Services Gross	233,620	306,090	304,104	268,604	314,154	310,670
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	233,620	306,090	304,104	268,604	314,154	310,670
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	635	635	643	635	657	635
Telecommunication Services	460	525	532	525	544	525
General Repairs	1,634	1,875	1,899	1,875	1,941	1,875
Fees for Non-Professional Services	12	0	0	0	0	0
DP Services, Rentals and Maintenance	1,115	4,250	4,305	4,250	4,400	4,250
Postage	10	0	0	0	0	0
Travel	16,435	16,584	16,800	16,584	17,170	16,584
Other Contractual Services	132,200	155,000	155,000	149,688	155,000	155,000
Printing & Binding	164	50	50	50	50	50
<u>Other Expenses-Commodities</u>						
Books	50	115	116	115	119	115
Office Supplies	3,925	4,260	4,316	4,260	4,411	4,260
TOTAL-Other Expenses Gross	156,640	183,294	183,661	177,982	184,292	183,294
Less Reimbursements						
TOTAL-Other Expenses Net	156,640	183,294	183,661	177,982	184,292	183,294

Character & Major Object Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	233,620	306,090	304,104	305,604	268,604	314,154	314,654	310,670
Other Expenses Net	156,640	183,294	183,661	183,661	177,982	184,292	184,292	183,294
Capital Outlay	913	7,430	1,000	1,000	1,000	1,000	1,000	1,000
TOTAL-General Fund Net	391,173	496,814	488,765	490,265	447,586	499,446	499,946	494,964
<u>Additional Funds Available</u>								
Bond Funds	346	0	0	0	0	0	0	0
TOTAL-All Funds Net	391,519	496,814	488,765	490,265	447,586	499,446	499,946	494,964

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

CONTRACTING STANDARDS BOARD

AGENCY DESCRIPTION

The Contracting Standards Board is a five member board appointed to oversee all state contracts. The Board shall conduct a comprehensive review of existing state contracting and procurement laws, regulations and practices. The Board

is also charged with drafting and maintaining a uniform procurement code and developing a procurement training program for state officials.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Transfer Equipment to CEPF

New or Expanded Services

- Provide Staffing and Operating Funds

Provide 10 positions and associated funding to carry out agency mandates

2005-2006 2006-2007

• -114,000 • -49,000 •

2005-2006 2006-2007 2007-2008

• 915,750 • 945,988 • 1,246,778

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	0	0	0	0	0	10	0	10

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Board Operations	0	0	0	0	915,750	0	0	945,988
TOTAL Agency Programs - All Funds Gross	0	0	0	0	915,750	0	0	945,988
Less Turnover								
TOTAL Agency Programs - All Funds Net	0	0	0	0	915,750	0	0	945,988

Summary of Funding

General Fund Net	0	0	0	0	915,750	0	0	945,988
TOTAL Agency Programs - All Funds Net	0	0	0	0	915,750	0	0	945,988

BOARD OPERATIONS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	0	0	0	0	0	10	0	10

Financial Summary (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	0	0	0	0	639,750	0	0	669,988
Other Expenses	0	0	0	0	275,000	0	0	275,000
<u>Capital Outlay</u>								
Equipment	0	0	0	0	1,000	0	0	1,000
TOTAL-General Fund	0	0	0	0	915,750	0	0	945,988

Board Operations

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Actual	Estimated	Requested	Recommended	Requested	Recommended
<u>Personal Services</u>						
Permanent Fulltime Positions	0	0	0	604,750	0	634,988
Other Positions	0	0	0	35,000	0	35,000
TOTAL-Personal Services Gross	0	0	0	639,750	0	669,988

Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	0	0	0	639,750	0	669,988
<i><u>Other Expenses-Contractual Services</u></i>						
Dues and Subscriptions	0	0	0	1,000	0	1,000
Rentals, Storage and Leasing	0	0	0	30,000	0	30,000
Telecommunication Services	0	0	0	1,000	0	1,000
General Repairs	0	0	0	3,000	0	3,000
Fees for Outside Professional Services	0	0	0	50,000	0	50,000
DP Services, Rentals and Maintenance	0	0	0	4,300	0	4,300
Postage	0	0	0	1,000	0	1,000
Travel	0	0	0	10,000	0	10,000
Other Contractual Services	0	0	0	130,000	0	130,000
Advertising	0	0	0	2,000	0	2,000
Printing & Binding	0	0	0	200	0	200
<i><u>Other Expenses-Commodities</u></i>						
Office Supplies	0	0	0	22,500	0	22,500
<i><u>Other Expenses-Sundry</u></i>						
Sundry - Other Items	0	0	0	20,000	0	20,000
TOTAL-Other Expenses Gross	0	0	0	275,000	0	275,000
Less Reimbursements						
TOTAL-Other Expenses Net	0	0	0	275,000	0	275,000

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	0	0	0	0	639,750	0	0	669,988
Other Expenses Net	0	0	0	0	275,000	0	0	275,000
Capital Outlay	0	0	0	0	1,000	0	0	1,000
TOTAL-General Fund Net	0	0	0	0	915,750	0	0	945,988

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

STATE TREASURER

AGENCY DESCRIPTION

[HTTP://WWW.STATE.CT.US/OTT](http://www.state.ct.us/ott)

The State Treasurer, elected for a term of four years as prescribed by the State Constitution, is responsible for the custody of the property and money of the State and pays out those monies on warrants drawn and presented by the State Comptroller.

The Treasurer invests any temporarily idle monies in the State's General Fund as well as the assets of the State pension and other funds.

With the approval of the State Bond Commission, the Treasurer administers the sale of State bonds and is responsible for the payment of principal and interest thereon. The Treasurer also manages the process of the borrowing of those funds, the repayment of which is a limited or contingent liability of the State.

With the permission of the Governor, the Treasurer may borrow through short-term notes, which are a general obligation backed by the full faith and credit of the State, and repay them as monies become available to do so.

The Treasurer also serves as the custodian for all unclaimed property remitted to the State. The Treasurer safeguards these assets, publicizes the names of the rightful owners and returns those assets to the owners as they come forward.

The Second Injury Fund, a workers' compensation fund financed by assessments on businesses, is also under the jurisdiction of the Treasurer.

AGENCY PROGRAM INDEX

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Investment Services	108	Unclaimed Property and Escheats	110
Cash Management	108	Management Services	110

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-4,323	-11,665
• Transfer Equipment to CEPF	-259,900	0
• Fund Non-ERIP accruals through the RSA account	-14,409	-14,409
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-19,546
• Carryforward FY05 Funds	-94,943	0
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-25,396	-61,588

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	44	8	0	52	52	52	52	52
Unclaimed Property Fund	26	4	0	30	30	30	30	30
Bond Funds	4	1	0	5	5	5	5	5
Second Injury & Compensation Assurance	49	12	0	61	61	61	61	61
Investment trust Fund	22	10	0	32	32	32	32	32
Private Contributions	6	1	0	7	7	7	7	7

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	1	1	1	1	1

Agency Programs by Total Funds

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Debt Management	52,818,002	51,157,665	3,452,887	3,383,887	3,383,305	3,410,319	3,410,319	3,408,737
Investment Services	50,139,549	55,067,306	57,033,576	57,033,576	57,033,576	58,419,383	58,419,383	58,419,383
Cash Management	5,775,736	6,359,545	6,750,593	6,651,306	6,645,410	6,705,584	6,706,326	6,700,194
Second Injury Fund	19,951,615	19,648,690	20,129,982	20,129,982	20,129,982	19,732,226	19,732,226	19,732,226
Unclaimed Property and Escheats	3,120,202	4,110,095	3,885,398	3,885,398	3,885,398	4,001,585	4,001,585	4,001,585

Management Services	4,737,617	5,455,310	6,272,301	6,234,238	5,841,745	6,156,562	6,178,388	6,078,894
TOTAL Agency Programs - All Funds Gross	136,542,721	141,798,611	97,524,737	97,318,387	96,919,416	98,425,659	98,448,227	98,341,019
Less Turnover	0	0	-126,208	-338,913	-338,913	-130,037	-342,742	-342,742
TOTAL Agency Programs - All Funds Net	136,542,721	141,798,611	97,398,529	96,979,474	96,580,503	98,295,622	98,105,485	97,998,277
<i>Summary of Funding</i>								
General Fund Net	3,263,926	3,929,228	4,908,700	4,493,645	4,094,674	4,559,854	4,369,717	4,262,509
Unclaimed Property Fund	3,552,596	4,621,175	4,411,811	4,411,811	4,411,811	4,543,791	4,543,791	4,543,791
Bond Funds	38,890	107,736	130,460	126,460	126,460	131,078	131,078	131,078
2nd Injury & Comp Assurance	7,135,148	9,133,676	9,856,185	9,856,185	9,856,185	9,686,869	9,686,869	9,686,869
Investment trust Fund	50,820,857	56,004,083	57,998,455	57,998,455	57,998,455	59,413,209	59,413,209	59,413,209
Private Contributions	71,731,304	68,002,713	20,092,918	20,092,918	20,092,918	19,960,821	19,960,821	19,960,821
TOTAL Agency Programs - All Funds Net	136,542,721	141,798,611	97,398,529	96,979,474	96,580,503	98,295,622	98,105,485	97,998,277

DEBT MANAGEMENT

Statutory Reference

C.G.S. Section 3-13

Statement of Need and Program Objectives

To raise funds for all State capital projects through issuance of State bonds in the financial markets. To qualify as much State borrowing for exemption from federal taxes as law permits. To manage the State's existing debt portfolio and meet all bond requirements in a manner that minimizes State interest payments and maximizes return on related State investments.

Program Description

The State of Connecticut finances a wide array of capital projects and programs through the issuance of general obligation bonds backed by the general taxing power of the State. The projects include construction or repair of State offices, institutions, colleges and universities and prisons. The programs include loans or grants for housing, school construction, economic development, venture capital, community care facilities, development rights for farmland and open space acquisition.

Special tax obligation bonds, backed by a dedicated stream of gasoline tax and motor vehicle fines, fees and related charges, are issued to fund the non-federal share of the Transportation Infrastructure Renewal Program including mass transit facilities and highway projects.

The Division manages the issuance of the general and special obligation bonds of the University of Connecticut under a capital investment program to rebuild and refurbish the University of Connecticut. That program, which began in 1995, was extended to 2015 by the 2002 General Assembly in a third phase that will include the University Health Center.

The Division also manages the issuance of Economic Recovery Notes to finance prior years' deficits. In FY2004, the Division developed a new financing structure to provide funding to the State General Fund while preserving the environmental and

load management programs of the State's investor owned utilities.

The Debt Management Division has developed several revenue bonding programs that are not included in the program measures. The Clean Water Fund bonding program enhances the State's Clean Water Fund, which provides grants and loans to finance the planning, design and construction of water quality projects throughout the State including projects to improve water quality in Long Island Sound. Other specialized bonding programs include the Second Injury Fund Bonding Program, the Connecticut Unemployment Revenue Bond Program, the Bradley International Airport bonding program and the Rate Reduction Bonding program for electric utility stranded costs.

Elements of the bond issuance process include: preparing an official statement to disclose all pertinent information regarding the State's economy and fiscal condition to underwriters and bond investors; making presentations to Moody's, Standard and Poor's and Fitch to obtain a credit rating on each issue; reviewing with bond and tax attorneys individual projects which may be included in a bond issue to assure that each qualifies for the federal tax exemption; structuring the issue for market acceptance; pricing the issue by competitive bid or negotiated sale and investing and releasing bond proceeds in accordance with bond indenture and federal tax requirements.

Elements of the effective management of the State's existing debt portfolio include: arranging for payment of principal and interest; projecting, budgeting and reporting debt service requirements; screening existing debt portfolio for refunding opportunities; defeasing, redeeming or calling existing bonds as appropriate; coordinating with other agencies and the Legislature regarding the State's bonding programs; communicating with individual and institutional investors and, complying with tax, disclosure and bond indenture requirements under the various bonding programs.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	6	1	0	7	7	7	7	7
Bond Funds	4	1	0	5	5	5	5	5
Second Injury & Compensation Assurance	1	0	0	1	1	1	1	1

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
(Net of Reimbursements)								
Personal Services	306,000	471,426	560,961	560,961	560,961	576,628	576,628	576,628
Other Expenses	44,374	44,814	45,396	45,396	44,814	46,396	46,396	44,814

Budget-in-Detail

Capital Outlay

Equipment	0	0	65,000	0	0	0	0	0
TOTAL-General Fund	350,374	516,240	671,357	606,357	605,775	623,024	623,024	621,442
<u>Additional Funds Available</u>								
Bond Funds	32,763	85,736	108,460	104,460	104,460	109,078	109,078	109,078
2nd Injury & Comp Assurance	126,374	166,573	173,070	173,070	173,070	178,217	178,217	178,217
Private Contributions	52,308,491	50,389,116	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
TOTAL - All Funds	52,818,002	51,157,665	3,452,887	3,383,887	3,383,305	3,410,319	3,410,319	3,408,737

Debt Management

INVESTMENT SERVICES

Statutory Reference

C. G. S. Section 3-13a

Statement of Need and Program Objectives

To maximize the investment return over time on pension assets within an acceptable degree of risk so that benefit payments over time, when due, can be made to all beneficiaries and contributions required from State and municipal plan sponsors are minimized.

Program Description

Under the direction of the Treasurer and with the advice of the Investment Advisory Council, which is made up of State union representatives and gubernatorial and legislative appointed members, the Pension Funds Management division manages the Connecticut Combined Investment Funds for the benefit of six pension funds and eight trust funds involving approximately 160,000 active and retired participants. The pension funds are the Teachers' Retirement Fund, State Employees' Retirement Fund, Municipal Employees' Retirement Fund, Probate Court Retirement Fund and the State Judges and State Attorneys Retirement Funds.

The Treasurer maintains seven active investment funds in which the pension and trust funds' cash flow is invested through the purchase of units. The investment funds that comprise the Combined Investment Funds are the Cash

Reserve Account (CRA) - money market instruments; the Mutual Equity Fund (MEF) - domestic stocks; the Mutual Fixed Income Fund (MFIF) - bonds and other fixed income securities; the Real Estate Fund (REF) - equity commercial real estate funds; the International Stock Fund (ISF) - international stocks and bonds; the Private Investment Fund (PIF) and the Commercial Mortgage Fund (CMF).

The investment funds are externally managed with performance oversight maintained by the Pension Funds Management division.

The principal activities involved in attaining the program's goals are the appropriate allocation of each pension fund's cash flow into the investment funds and the supervision of external portfolio managers to ensure superior long-term investment results. The allocation process includes cash flow projections and formulating and reviewing short and long-term investment policy, implementing policy with cash flow and redemption of assets and monitoring performance. The program is also charged with ensuring that all investments are in compliance with State statutes, pertinent investment guidelines, which are encompassed by the Investment Policy Statement, which is posted on the Treasury Web site and the Treasurer's corporate governance policies, including the voting of proxies to maximize long-term shareholder value.

Personnel Summary

Permanent Full-Time Positions

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Investment trust Fund	17	10	0	27	27	27	27	27

Financial Summary

(Net of Reimbursements)

Additional Funds Available

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Investment trust Fund	50,139,549	55,067,306	57,033,576	57,033,576	57,033,576	58,419,383	58,419,383	58,419,383
TOTAL - All Funds	50,139,549	55,067,306	57,033,576	57,033,576	57,033,576	58,419,383	58,419,383	58,419,383

Investment Services

CASH MANAGEMENT

Statutory Reference

C. G. S. Section 3-13

Statement of Need and Program Objectives

To protect State deposits through effective internal operations and use of financially strong banks. To maximize investment balances, while meeting the State's obligations, by speeding deposits, controlling disbursements, minimizing banking costs and balances and providing accurate cash

forecasts. To achieve as high a level of current income in the Treasurer's Short-Term Investment Fund (STIF), which is an investment pool for the operating cash of State and local governments, as is consistent with the safety of principal and the provision of liquidity. To prudently invest

fund balances for longer periods and higher yields, including in banks that meet standards for financial strength and community support. To provide responsive services to STIF investors, including municipalities and local entities. To improve operating efficiency through more use of electronic payments, electronic data interchange (EDI), credit card payments and automation. To provide technical assistance and help with banking services to State agencies, authorities, municipalities and local entities.

Program Description

The Cash Management Division is responsible for managing the State's cash movements, banking relationships, and the Short-Term Investment Fund (STIF).

The Bank Control and Reconciliation Unit operates the State's cash accounting system to record and track the State's internal and external cash flow. The unit is also responsible for the reconciliation of 24 Treasury bank accounts with more than 3 million annual transactions, administers stop payments and check reissues and processes State payroll and vendor checks.

The Cash Control Unit forecasts daily and long-term cash availability, funds disbursement accounts, concentrates cash from depository banks, sweeps idle cash into short-term investment vehicles to maximize investment balances and executes electronic transfers.

The Short-Term Investments Unit invests STIF assets, monitors custodian activity and prepares quarterly and annual reports. The unit also administers 1,154 STIF accounts for 60 State agencies and authorities and 258 municipal and local entities. In addition, the unit manages the Grant Express program that enables municipalities to deposit certain grant payments directly into their STIF accounts.

The Client Services Unit consults with State agencies on initiatives to speed the deposit of funds, identifies mechanisms to reduce banking costs, reviews requests by State agencies for new bank accounts, maintains records of the State's bank accounts, and reviews bank invoices and compensation.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	14	2	0	16	16	16	16	16
Private Contributions	6	1	0	7	7	7	7	7

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	597,118	959,801	1,078,668	1,079,381	1,073,624	1,089,882	1,090,624	1,084,867
Other Expenses	10,658	10,765	10,904	10,904	10,765	11,140	11,140	10,765
<u>Capital Outlay</u>								
Equipment	0	0	100,000	0	0	0	0	0
TOTAL-General Fund	607,776	970,566	1,189,572	1,090,285	1,084,389	1,101,022	1,101,764	1,095,632
<u>Additional Funds Available</u>								
Bond Funds	0	8,000	8,000	8,000	8,000	8,000	8,000	8,000
Private Contributions	5,167,960	5,380,979	5,553,021	5,553,021	5,553,021	5,596,562	5,596,562	5,596,562
TOTAL - All Funds	5,775,736	6,359,545	6,750,593	6,651,306	6,645,410	6,705,584	6,706,326	6,700,194

Cash Management

SECOND INJURY FUND

Statutory Reference

C.G.S. Chapter 568, Part E; Section 31-349 and 31-354

Statement of Need and Program Objectives

To relieve employers from liabilities under the Workers' Compensation Act by providing benefits for certain types of workers' compensation claims.

Program Description

The Second Injury Fund ("the Fund"), administered by the Office of the Treasurer, is a State-run workers' compensation insurance fund that pays lost wages and medical benefits to qualified injured workers. The Fund manages and has liability for workers' compensation claims which involve; an uninsured employer or a bankrupt employer who fails to secure workers'

compensation insurance; a pro rata liability for indemnity payments paid to any worker who had more than one employer at the time of injury; benefits to widows and dependents when the deceased died as a result of a work related injury and COLA payments for certain types of claims.

The Second Injury Fund operations are financed by assessments on Connecticut employers. Insured employers pay a surcharge on their workers' compensation insurance policies based on annual standard premiums. The assessment for self-insured employers is based on workers' compensation loss costs for medical and indemnity benefits incurred in the prior calendar year.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
Second Injury & Compensation Assurance	42	12	0	54	54	54	54	54

Budget-in-Detail

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Additional Funds Available</u>								
2nd Injury & Comp Assurance	5,784,276	7,508,690	8,185,482	8,185,482	8,185,482	7,966,226	7,966,226	7,966,226
Private Contributions	14,167,339	12,140,000	11,944,500	11,944,500	11,944,500	11,766,000	11,766,000	11,766,000
TOTAL - All Funds	19,951,615	19,648,690	20,129,982	20,129,982	20,129,982	19,732,226	19,732,226	19,732,226

Second Injury Fund

UNCLAIMED PROPERTY AND ESCHEATS

Statutory Reference

C. G. S. Chapter 32, Part III; Section 3-56a through 3-76; PA 03-1, Secs 66-84; Secs 46-47; PA 04-216, Secs 53, 56, 57, 58

Statement of Need and Program Objectives

To locate and reunite owners with their unclaimed property and to ensure that all unclaimed property as defined by statute is rendered to the State for safeguarding. To administer Connecticut's unclaimed property and escheats statutes. To deposit into the General Fund for the use of the State those funds collected in excess of claims paid and certain costs incident to the collection and recovery of such funds and property.

Program Description

Under Connecticut General Statutes, the Treasurer is custodian of all unclaimed property remitted to the State. A primary activity of the Division is to reunite owners with their property, administered through outreach efforts and advertising of its *Name It and Claim It* program. Another core

activity of the Division is its *Holder Outreach* Program. Targeted to businesses and organizations, this program promotes compliance with the statutory obligation to report and remit unclaimed property to the State Treasurer's Office by the annual March 31st deadline. The Division encourages a positive relationship between State government and the business community, emphasizing the key role each plays as partners in upholding unclaimed property laws and strengthening consumer protection for the citizens of our State.

The Division administers the statutes and is authorized and required to: ensure that unclaimed or abandoned property is transferred to the Treasurer; safeguard all unclaimed property; examine holder records and maintain permanent files; insure that all unclaimed property as defined by statute is rendered to the State for safeguarding; process claims filed; conduct audits to ensure holder reporting compliance; pay rightful owners without deduction for costs incurred and pay interest to rightful owners as required.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Unclaimed Property Fund	24	4	0	28	28	28	28	28

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Additional Funds Available</u>								
Unclaimed Property Fund	3,120,202	4,110,095	3,885,398	3,885,398	3,885,398	4,001,585	4,001,585	4,001,585
TOTAL - All Funds	3,120,202	4,110,095	3,885,398	3,885,398	3,885,398	4,001,585	4,001,585	4,001,585

Unclaimed Property and Escheats

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Chapter 32 and Article Fourth of the State Constitution

Statement of Need and Program Objectives

To direct and support the operations of the Office of the State Treasurer by establishing long and short-term goals and objectives and coordinating and providing management services. To provide support services for Pension Funds Management, Cash Management, Debt Management, Unclaimed Property and the Second Injury Fund, to increase operating efficiencies within the Treasury and to ensure compliance with State statutes and regulations.

Program Description

The Executive Office is responsible for overall policy, planning and general administration designed to: enhance the financial integrity and soundness of Treasury operations; provide direction and leadership in carrying out Treasury functions

and foster economic well-being of the State and its citizens and businesses within the confines of fiduciary standards. Specific activities include legislative affairs and public information, responsible investment relations, management services, legal services, community outreach and development of financial literacy programs.

The main objective of the Executive Office is to ensure that the Treasury adheres to the highest order of public values, fiscal prudence and ethics in the conduct of the people's business.

The Policy Unit administers the State's corporate governance program, which was launched in fiscal year 2000, marking Connecticut an active responsible institutional investor with the most comprehensive series of proxy voting policies in the State's history.

Connecticut's shareholder activism includes both exercising proxy voting responsibility and taking steps such as filing

shareholder resolutions and supporting resolutions filed by other shareholders on issues including: independence of board directors, electing board members, executive compensation, global working conditions, board diversity and environmental risk.

The Management Services unit includes the personnel, information services and business office services activities.

Personnel Services establishes and implements personnel standards and procedures; processes and maintains personnel records and administers the Treasury's training and employee assistance programs.

Information Services furnishes overall LAN system management and support; maintains all electronic data processing equipment; formulates system standards and controls and provides analysis, application development and program support services.

Business Office Services performs the accounting, purchasing, payroll and property requirements of the Treasury; prepares the agency budget; oversees allocation of resources to meet operational requirements and establishes and maintains business control over internal operations of all Treasury divisions.

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	24	5	0	29	29	29	29	29
Unclaimed Property Fund	2	0	0	2	2	2	2	2
Second Injury & Compensation Assurance	6	0	0	6	6	6	6	6
Investment trust Fund	5	0	0	5	5	5	5	5
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			1	1	1	1	1	1

Financial Summary (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,020,473	2,159,513	2,567,296	2,589,505	2,475,593	2,668,056	2,695,054	2,605,268
Other Expenses	285,203	282,809	291,683	286,411	267,730	297,789	292,517	282,809
<u>Capital Outlay</u>								
Equipment	100	100	315,000	260,000	100	0	100	100
TOTAL-General Fund	2,305,776	2,442,422	3,173,979	3,135,916	2,743,423	2,965,845	2,987,671	2,888,177
<u>Additional Funds Available</u>								
Unclaimed Property Fund	432,394	511,080	526,413	526,413	526,413	542,206	542,206	542,206
Bond Funds	6,127	14,000	14,000	14,000	14,000	14,000	14,000	14,000
2nd Injury & Comp Assurance	1,224,498	1,458,413	1,497,633	1,497,633	1,497,633	1,542,426	1,542,426	1,542,426
Investment trust Fund	681,308	936,777	964,879	964,879	964,879	993,826	993,826	993,826
Private Contributions	87,514	92,618	95,397	95,397	95,397	98,259	98,259	98,259
TOTAL - All Funds	4,737,617	5,455,310	6,272,301	6,234,238	5,841,745	6,156,562	6,178,388	6,078,894

Management Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	2,863,308	3,516,633	4,096,175	3,993,750	4,223,816	4,145,631
Other Positions	29,892	35,675	36,375	36,375	36,375	36,375
Other	30,391	38,432	74,375	80,053	74,375	84,757
TOTAL-Personal Services Gross	2,923,591	3,590,740	4,206,925	4,110,178	4,334,566	4,266,763
Less Reimbursements						
Less Turnover	0	0	-126,208	-338,913	-130,037	-342,742
TOTAL-Personal Services Net	2,923,591	3,590,740	4,080,717	3,771,265	4,204,529	3,924,021
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	18,022	18,202	18,439	18,202	18,845	18,202
Rentals, Storage and Leasing	24,870	25,112	25,439	25,112	25,999	25,112
Telecommunication Services	41,583	42,006	42,552	42,006	43,488	42,006
General Repairs	3,303	3,337	3,380	3,337	3,454	3,337
Motor Vehicle Expenses	4,814	4,862	4,925	4,862	5,033	4,862
Fees for Outside Professional Services	18,137	18,322	18,560	18,322	18,968	18,322

Budget-in-Detail

Fees for Non-Professional Services	54,916	55,472	56,193	55,472	57,430	55,472
DP Services, Rentals and Maintenance	31,635	31,956	32,372	31,956	33,084	31,956
Postage	10,933	11,042	11,185	11,042	11,431	11,042
Travel	44,520	39,693	45,550	24,614	46,552	39,693
Other Contractual Services	2,357	2,380	2,411	2,380	2,464	2,380
Advertising	1,830	1,848	1,872	1,848	1,913	1,848
Printing & Binding	446	450	456	450	466	450
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture,Dairy & Food	2,048	2,068	2,102	2,068	2,149	2,068
Books	5,673	5,732	5,807	5,732	5,934	5,732
Maintenance and Motor Vehicle Supplies	4,860	4,907	5,009	4,907	5,126	4,907
Medical Supplies	94	95	96	95	98	95
Office Supplies	35,392	35,750	36,214	35,750	37,011	35,750
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	34,802	35,154	35,421	35,154	35,880	35,154
TOTAL-Other Expenses Gross	340,235	338,388	347,983	323,309	355,325	338,388
Less Reimbursements						
TOTAL-Other Expenses Net	340,235	338,388	347,983	323,309	355,325	338,388

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	2,923,591	3,590,740	4,080,717	3,890,934	3,771,265	4,204,529	4,019,564	3,924,021
Other Expenses Net	340,235	338,388	347,983	342,711	323,309	355,325	350,053	338,388
Capital Outlay	100	100	480,000	260,000	100	0	100	100
TOTAL-General Fund Net	3,263,926	3,929,228	4,908,700	4,493,645	4,094,674	4,559,854	4,369,717	4,262,509
<i>Additional Funds Available</i>								
Unclaimed Property Fund	3,552,596	4,621,175	4,411,811	4,411,811	4,411,811	4,543,791	4,543,791	4,543,791
Bond Funds	38,890	107,736	130,460	126,460	126,460	131,078	131,078	131,078
2nd Injury & Comp Assurance	7,135,148	9,133,676	9,856,185	9,856,185	9,856,185	9,686,869	9,686,869	9,686,869
Investment trust Fund	50,820,857	56,004,083	57,998,455	57,998,455	57,998,455	59,413,209	59,413,209	59,413,209
Private Contributions	71,731,304	68,002,713	20,092,918	20,092,918	20,092,918	19,960,821	19,960,821	19,960,821
TOTAL-All Funds Net	136,542,721	141,798,611	97,398,529	96,979,474	96,580,503	98,295,622	98,105,485	97,998,277

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

STATE COMPTROLLER

AGENCY DESCRIPTION

[HTTP://WWW.OSC.STATE.CT.US/](http://www.osc.state.ct.us/)

The State Comptroller is one of six constitutional state officers. The Office of the State Comptroller (OSC) is

responsible for administering the state payroll, retirement, employee benefits, health insurance and accounting systems.

AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	2005-2006	2006-2007
• Remove Inflation	-12,474	-34,591
• Transfer Equipment to CEPF	-78,900	0
• Fund Non-ERIP accruals through the RSA account	-124,000	-124,000
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-19,522	-48,125
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-69,362
• Reduce Funded Vacancies	0	0
• Carryforward FY05 Funds <i>Personal Services - \$131,317</i>	-131,317	0

Reallocations or Transfers

• Reassign Funded Vacancies and Expand Core-CT Staff Resources <i>Three funded vacancies will be reassigned to offset the additional fourteen Core-CT positions that will be required in FY 2006. Three additional positions will be required in FY 2007. Position counts also reflect the elimination of ten unfunded positions.</i>	956,190	1,120,029
• Reallocate Core-CT Positions <i>Based on the nature of the work being performed, two positions are transferred from the Department of Information Technology.</i>	230,898	235,399

New or Expanded Services

	2005-2006	2006-2007	2007-2008
• Use FY05 Funds for Reducing the Retirement Backlog	300,000	120,000	0
• Use FY05 Funds for Analysis of GASB 45	100,000	0	0
• Use FY05 Funds for Analysis of Medicare Act	100,000	0	0

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	217	27	3	247	238	250	238	253

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Budget and Financial Analysis	1,770,781	1,842,488	1,788,585	1,788,585	1,784,585	1,830,016	1,830,016	1,826,016
Information Technology	3,485,224	5,271,986	5,484,899	5,484,899	6,589,087	5,013,376	5,013,376	6,364,804
Payroll Services	1,799,200	1,858,633	1,910,631	1,910,631	1,908,631	1,950,526	1,950,526	1,948,526
Retirement and Benefit Services	6,431,356	6,175,685	6,392,659	6,645,990	6,432,199	6,523,956	6,779,409	6,605,456
Accounts Payable	1,406,980	1,502,835	1,675,590	1,675,590	1,640,590	1,713,618	1,713,618	1,678,618
Management Services	4,860,599	4,621,572	19,340,543	5,052,543	22,312,021	6,156,548	5,188,548	6,099,423
TOTAL Agency Programs - All Funds Gross	19,754,140	21,273,199	36,592,907	22,558,238	40,667,113	23,188,040	22,475,493	24,522,843
Less Turnover	0	0	0	-169,659	-169,659	0	-176,046	-176,046
TOTAL Agency Programs - All Funds Net	19,754,140	21,273,199	36,592,907	22,388,579	40,497,454	23,188,040	22,299,447	24,346,797

Budget-in-Detail

Summary of Funding

General Fund Net	18,772,675	21,273,199	22,304,907	22,388,579	23,209,454	22,220,040	22,299,447	23,378,797
Special Funds, Non-Appropriated	972,698	0	0	0	0	0	0	0
Bond Funds	8,767	0	14,288,000	0	17,288,000	968,000	0	968,000
TOTAL Agency Programs - All Funds Net	19,754,140	21,273,199	36,592,907	22,388,579	40,497,454	23,188,040	22,299,447	24,346,797

BUDGET AND FINANCIAL ANALYSIS DIVISION

Statutory Reference

Article Fourth, State Constitution; and Sections 3-112 and 3-115 of the General Statutes

Statement of Need and Program Objectives

To record and analyze state expenditures and receipts. To monitor agency spending from appropriated accounts to ensure compliance with established accounting and budgeting procedures. To work with relevant agencies to correct problems when financial reporting discrepancies are discovered.

Program Description

The Budget and Financial Analysis Division prepares monthly financial statements on the financial position of the state and

its funds in accordance with the Generally Accepted Accounting Principles (GAAP) and Connecticut's budget reporting standards. The division also prepares the Comptroller's Annual Report on Connecticut's Economic Health, the Comprehensive Annual Financial Report (GAAP) and the Budgetary Basis (statutory) Financial Report; and prepares the statewide cost allocation plan for the U.S. Department of Health and Human Services. The division establishes and negotiates the fringe benefit cost recovery rate; develops the per capita cost rate for state humane institutions and Department of Correction facilities; and calculates billing rates for state institutions for Medicare, Medicaid and other third-party payers.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Percentage of Agencies Visited	47%	47%	47%	47%
Number of Audits Completed	40	40	40	40

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	20	1	0	21	21	21	21	21

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,720,707	1,748,030	1,692,900	1,692,900	1,688,900	1,732,226	1,732,226	1,728,226
Other Expenses	46,859	94,458	95,685	95,685	95,685	97,790	97,790	97,790
TOTAL-General Fund	1,767,566	1,842,488	1,788,585	1,788,585	1,784,585	1,830,016	1,830,016	1,826,016

Additional Funds Available

Bond Funds	3,215	0	0	0	0	0	0	0
TOTAL - All Funds	1,770,781	1,842,488	1,788,585	1,788,585	1,784,585	1,830,016	1,830,016	1,826,016

Budget and Financial Analysis

INFORMATION TECHNOLOGY DIVISION

Statutory Reference

Article Fourth, State Constitution and Sections 3-112, 3-113, 3-119, and 3-121 through 3-123c of the General Statutes

Statement of Need and Program Objectives

To implement and support the information technology (IT) infrastructure for the Office of the State Comptroller. To assist the Comptroller in strategic IT planning, coordinate procurement of IT resources and represent the office on major statewide system initiatives.

Program Description

The Information Technology Division (ITD) provides information technology support for the agency. The division is also responsible for developing and maintaining the Comptroller's internet website, continually expanding the information available and the number of uses it supports.

The division is also involved in the Core-CT project to modernize and integrate the state's core financial and administrative systems.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	21	6	0	27	27	30	27	33

Financial Summary (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,617,925	1,942,011	1,984,722	1,984,722	3,167,810	2,030,455	2,030,455	3,381,883
Other Expenses	1,327,140	3,329,975	3,421,177	3,421,177	3,421,177	2,982,921	2,982,921	2,982,921
Capital Outlay								
Equipment	0	0	79,000	79,000	100	0	0	0
Other Current Expenses								
CORE Financial Systems	540,159	0	0	0	0	0	0	0
TOTAL-General Fund	3,485,224	5,271,986	5,484,899	5,484,899	6,589,087	5,013,376	5,013,376	6,364,804
Information Technology								

POLICY SERVICES DIVISION

Statutory Reference

Article Fourth, State Constitution and CGS Sections 3-112 and 3-115

Statement of Need and Program Objectives

To provide overall policy and program direction to the agency and to maintain and monitor the state's accounting procedures.

Program Description

The Policy Services Division is responsible for establishing and monitoring accounting and financial policies; developing and

promulgating complex accounting systems and procedures to maximize accountability, standardization and cost effectiveness; monitoring agency compliance with these systems and procedures; reviewing reports of the Auditors of Public Accounts to ensure agency compliance with auditing recommendations; conducting independent audits each year to supplement the work of the Auditors of Public Accounts; and establishing procedures for state property inventory control records (including software management), trustee funds, internal control, purchasing card and bank account establishment.

PAYROLL SERVICES DIVISION

Statutory Reference

Article Fourth, State Constitution and Sections 3-112, 3-119, 5-260, 5-261 and 5-262 of the General Statutes

Statement of Need and Program Objectives

To ensure that all state employees are paid, payroll tax records are maintained, and that federal and state income tax withholding and social security contributions are deposited.

Program Description

The Payroll Services Division is responsible for paying all state employees, handling all payroll deductions, maintaining records on payroll taxes, and depositing federal and state income tax withholding and social security contributions. The division pre-audits and issues state employee reports, maintains wage execution records and administers savings bonds and direct deposit programs.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Number of Payroll Checks	1,877,731	1,877,731	1,878,000	1,878,000
Percentage of Checks on Direct Deposit	70%	75%	75%	75%
Percentage of Checks Cut On Time	100%	100%	100%	100%

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	24	1	0	25	25	25	25	25

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,562,891	1,645,225	1,695,981	1,695,981	1,693,981	1,729,176	1,729,176	1,727,176
Other Expenses	236,309	213,408	214,650	214,650	214,650	221,350	221,350	221,350
TOTAL-General Fund	1,799,200	1,858,633	1,910,631	1,910,631	1,908,631	1,950,526	1,950,526	1,948,526

Payroll Services

RETIREMENT AND BENEFIT SERVICES DIVISION

Statutory Reference

Article Fourth, State Constitution and C.G.S. Sections 3-119, 3-123a, 5-257, 5-259, 5-259b, 5-259c, 5-264 and 5-264a

Statement of Need and Program Objectives

To administer and maintain the records and accounts of the State Employees' Retirement System and other state pension plans except the Teachers' Retirement System. To administer

state employee benefits and the state deferred compensation plan.

Program Description

As the agent of the State Employees Retirement Commission, the Retirement and Benefit Services Division administers all state pension plans except the Teachers' Retirement System, providing a comprehensive package of services ranging from pre-retirement counseling to post-mortem accounting.

The division also administers state employee benefits and manages the state deferred compensation plan. In addition, the division is responsible for providing staff support to the Health Care Cost Containment Committee and administers continuation coverage (COBRA) for terminated employees and/or their dependents.

The division also provides counseling services to members of the pension plans it administers; manages computer, accounting, investigatory, payroll, training, recordkeeping and compliance activities attendant to the state's complex retirement programs; analyzes and implements statutory, collectively-bargained and federally-mandated revisions to the pension plans within its jurisdiction; plans and develops new products and services and conducts, as part of this effort, research and analysis of retirement conditions and trends; provides direction for plan design, benefit administration and interpretation and policy for all state insurance benefits, including medical, surgical, hospital and life insurance; negotiates with providers to supply insurance; monitors providers and monitors utilization and costs.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	77	12	0	89	83	89	83	89

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	4,871,157	5,479,094	5,536,159	5,833,339	5,632,022	5,556,956	5,864,150	5,724,788
Other Expenses	583,727	696,591	856,500	812,651	800,177	967,000	915,259	880,668
<u>Other Current Expenses</u>								
State Employees Retirement Data Base	3,774	0	0	0	0	0	0	0
TOTAL-General Fund	5,458,658	6,175,685	6,392,659	6,645,990	6,432,199	6,523,956	6,779,409	6,605,456
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	972,698	0	0	0	0	0	0	0
TOTAL - All Funds	6,431,356	6,175,685	6,392,659	6,645,990	6,432,199	6,523,956	6,779,409	6,605,456

Retirement and Benefit Services

ACCOUNTS PAYABLE DIVISION

Statutory Reference

Article Fourth, State Constitution and Sections 3-112, 3-113 and 3-117 of the General Statutes

Statement of Need and Program Objectives

To provide, maintain and modify an integrated central accounting system for the state's accounting function and maintain expenditure integrity through audits of encumbrances and payment claims. To decentralize, as appropriate, the expenditure audit and payment authorization with the goal of lowering costs through the reduction in processing time and availing of the discounts available for timely payments.

Program Description

The Accounts Payable Division approves the state's assumption of obligations and the reservation of corresponding funds from the agencies' appropriations; provides detailed financial information needed by municipal, state and federal agencies; processes payments to and maintains the files on over 60,000 vendors that provide goods and services to the state; maintains a vendor payment offset system for the recovery of delinquent state and federal taxes and court-ordered judgments; and provides technical accounting and information system support in the upgrading of the state's core financial system.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Number of Checks Cut	618,000	620,000	408,000	400,000
Percentage of Transactions Using Electronic Funds Transfer	6%	7%	14%	15%

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	22	2	0	24	24	24	24	24

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	1,391,071	1,460,372	1,630,614	1,630,614	1,595,614	1,666,762	1,666,762	1,631,762
Other Expenses	15,909	42,463	44,976	44,976	44,976	46,856	46,856	46,856
TOTAL-General Fund	1,406,980	1,502,835	1,675,590	1,675,590	1,640,590	1,713,618	1,713,618	1,678,618

Accounts Payable

MANAGEMENT SERVICES DIVISION

Statutory Reference

Article Fourth, State Constitution; CGS Sections 3-112, 3-112a, 3-119, and 3-121 through 3-123e

Statement of Need and Program Objectives

To provide policy and program direction for the administrative functions of the Office of the State Comptroller. To develop, implement and execute the agency budget, including miscellaneous appropriations assigned to the Comptroller. To serve as the administrative services arm of the Office of the Claims Commissioner.

Program Description

The Management Services Division is responsible preparing, analyzing and monitoring OSC's budget and preparing and

monitoring the budget for the Office of the Claims Commissioner. In administering the various miscellaneous appropriations, the division makes refunds of payments, disability and death benefits to state fire and police officers and the dependents of state employees and makes grant payments to cities and towns. The division manages the administrative process for adjudicated claims in conjunction with the Office of the Attorney General; manages a comprehensive Employee Assistance Program; and administers the state's insurance program for fire, health and liability claims in conjunction with the State Insurance and Risk Management Board.

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	53	5	3	61	58	61	58	61

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	3,497,935	3,652,062	4,077,103	4,077,103	4,048,581	4,193,277	4,193,277	4,136,152
Other Expenses	1,337,542	949,840	955,870	955,870	955,870	975,701	975,701	975,701
<u>Capital Outlay</u>								
Equipment	0	100	0	0	0	0	0	0
<u>Pmts to Other Than Local Governments</u>								
Governmental Accounting Standards Bd	19,570	19,570	19,570	19,570	19,570	19,570	19,570	19,570
TOTAL-General Fund	4,855,047	4,621,572	5,052,543	5,052,543	5,024,021	5,188,548	5,188,548	5,131,423
<u>Additional Funds Available</u>								
Bond Funds	5,552	0	14,288,000	0	17,288,000	968,000	0	968,000
TOTAL - All Funds	4,860,599	4,621,572	19,340,543	5,052,543	22,312,021	6,156,548	5,188,548	6,099,423

Management Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

Current Expenses by Minor Object	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Actual	Estimated	Requested	Recommended	Requested	Recommended
<u>Personal Services</u>						
Permanent Fulltime Positions	13,668,216	14,737,029	15,241,514	16,488,578	15,500,852	16,961,594
Other Positions	161,540	193,459	219,500	219,500	242,500	242,500
Other	301,503	361,076	424,865	387,230	472,500	432,893
Overtime	530,427	635,230	731,600	731,600	693,000	693,000
TOTAL-Personal Services Gross	14,661,686	15,926,794	16,617,479	17,826,908	16,908,852	18,329,987
Less Reimbursements						
Less Turnover	0	0	0	-169,659	0	-176,046
TOTAL-Personal Services Net	14,661,686	15,926,794	16,617,479	17,657,249	16,908,852	18,153,941
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	16,224	19,610	21,215	19,610	22,137	19,610
Utility Services	9,336	10,750	0	0	0	0
Rentals, Storage and Leasing	41,711	43,062	43,423	43,062	45,613	43,062
Telecommunication Services	85,217	85,300	108,500	108,350	110,371	108,350
General Repairs	25,624	31,865	32,339	31,865	33,212	31,865
Motor Vehicle Expenses	11,580	11,636	11,857	11,636	12,116	11,636
Fees for Outside Professional Services	209,926	263,300	286,975	286,975	304,756	302,975
Fees for Non-Professional Services	54,562	59,013	58,151	58,975	59,351	59,006
DP Services, Rentals and Maintenance	2,423,023	4,144,091	4,249,166	4,249,166	3,901,839	3,900,525
Postage	425,834	441,500	450,000	441,500	459,855	441,500
Travel	1,473	11,252	25,191	920	27,420	920

Budget-in-Detail

Other Contractual Services	8,200	9,783	10,912	9,783	11,434	9,783
Advertising	13,299	4,710	5,713	4,710	6,795	4,710
Printing & Binding	8,792	9,445	13,435	9,445	18,614	9,445
<i>Other Expenses-Commodities</i>						
Books	524	458	464	458	475	458
Maintenance and Motor Vehicle Supplies	2,182	5,118	5,500	5,118	7,055	5,118
Medical Supplies	5	0	0	0	0	0
Office Supplies	204,851	149,842	186,017	170,962	189,575	176,323
Refunds of Expenditures Not Otherwise Classified	13	0	0	0	0	0
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	5,110	26,000	80,000	80,000	81,000	80,000
TOTAL-Other Expenses Gross	3,547,486	5,326,735	5,588,858	5,532,535	5,291,618	5,205,286
Less Reimbursements						
TOTAL-Other Expenses Net	3,547,486	5,326,735	5,588,858	5,532,535	5,291,618	5,205,286
<i>Other Current Expenses</i>						
CORE Financial Systems	540,159	0	0	0	0	0
State Employees Retirement Data Base	3,774	0	0	0	0	0
TOTAL-Other Current Expenses	543,933	0	0	0	0	0
<i>Pmts to Other Than Local Govts</i>						
Governmental Accounting Standards Bd	19,570	19,570	19,570	19,570	19,570	19,570
TOTAL-Pmts to Other Than Local Govts	19,570	19,570	19,570	19,570	19,570	19,570

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	14,661,686	15,926,794	16,617,479	16,745,000	17,657,249	16,908,852	17,040,000	18,153,941
Other Expenses Net	3,547,486	5,326,735	5,588,858	5,545,009	5,532,535	5,291,618	5,239,877	5,205,286
Capital Outlay	0	100	79,000	79,000	100	0	0	0
Other Current Expenses	543,933	0	0	0	0	0	0	0
Payments to Other Than Local Governments	19,570	19,570	19,570	19,570	19,570	19,570	19,570	19,570
TOTAL-General Fund Net	18,772,675	21,273,199	22,304,907	22,388,579	23,209,454	22,220,040	22,299,447	23,378,797
<i>Additional Funds Available</i>								
Special Funds, Non-Appropriated	972,698	0	0	0	0	0	0	0
Bond Funds	8,767	0	14,288,000	0	17,288,000	968,000	0	968,000
TOTAL-All Funds Net	19,754,140	21,273,199	36,592,907	22,388,579	40,497,454	23,188,040	22,299,447	24,346,797

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.



DEPARTMENT OF REVENUE SERVICES

AGENCY DESCRIPTION

[HTTP://WWW.DRS.STATE.CT.US](http://www.drs.state.ct.us)

The Department of Revenue Services ascertains the correctness of state taxes paid and insures compliance with the tax laws and regulations of the State of Connecticut. It also researches and estimates the effects of various taxing options proposed by policy makers. The agency is organized into four programs designed to accomplish these objectives:

Management Services consists of the department's administration, information, appellate and legal functions which provide technical, legal, taxpayer information, assistance, support services and records management needs to the entire department and the general public.

Operations consists of two functions: operations and information services. This program internally accounts for all

tax documents and payments and serves the data processing needs of the agency.

Audit administers audit compliance and administrative programs for Connecticut taxes.

Collection and Enforcement assures that all tax, penalty and interest due the state are paid.

Energy Conservation Statement

The Department has no plans for additional energy conservation activities in the next biennium. Energy conservation efforts made in the past two years include an effort to reduce lighting in common areas and regular maintenance of hot water systems.

AGENCY PROGRAM INDEX

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Operations	120	Collection and Enforcement	122
Information Services Division	121	Management Services	123

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-143,784	-391,462
• Transfer Equipment to CEPF	-254,365	-324,114
• Fund Non-ERIP accruals through the RSA account	-77,363	-85,530
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07.</i>	-11,697	-27,781
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months.</i>	0	-80,942

Reallocations or Transfers

• Return IT Positions to Agencies <i>returning unionized IT personnel to their original agencies per the Governor's decision</i>	2,785,083	2,787,703
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Revenues

• Increase Tax Appellate Resolutions <i>Two Tax Appellate Officers are added to reduce the nearly 900 appeals in the Agency's inventory. Increased revenues are expected to be approximately \$6 million in FY 06 and approximately \$7.4 million in FY 07.</i>	110,615	134,518
• Increase Audits for Withholding Tax, Small Businesses and Self-Employed Individuals <i>Twenty Revenue Examiners and 2 Systems Developers are added to increase audits in the Withholding, Small Business and Self-Employed Tax areas. Increased revenues are expected to be approximately \$16 million in FY 06 and approximately \$20 million in FY 07.</i>	1,131,340	1,334,800

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	687	51	-77	661	661	729	661	729

Agency Programs by Total Funds (Net of Reimbursements)

Agency Programs	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Operations								
Operations	12,761,633	12,630,816	14,304,875	14,192,873	14,159,524	14,443,622	14,379,946	14,346,597
Information Services Division	4,904,487	3,035,217	3,214,604	5,973,139	5,835,399	2,745,067	5,536,522	5,888,216
TOTAL Program	17,666,120	15,666,033	17,519,479	20,166,012	19,994,923	17,188,689	19,916,468	20,234,813
Audit	19,786,879	20,881,536	21,527,063	21,409,563	22,438,772	21,617,448	21,519,948	22,773,552
Collection and Enforcement	5,149,182	5,488,572	5,628,080	5,620,580	5,614,221	5,697,942	5,663,250	5,663,250

Budget-in-Detail

Management Services	11,814,347	13,048,291	13,867,773	13,686,312	12,281,372	14,841,279	14,745,562	14,057,216
TOTAL Agency Programs - All Funds Gross	54,416,528	55,084,432	58,542,395	60,882,467	60,329,288	59,345,358	61,845,228	62,728,831
Less Turnover	0	0	-661,257	-661,257	-661,257	-681,095	-681,095	-681,095
TOTAL Agency Programs - All Funds Net	54,416,528	55,084,432	57,881,138	60,221,210	59,668,031	58,664,263	61,164,133	62,047,736
<u>Summary of Funding</u>								
General Fund Net	54,106,299	54,872,360	57,881,138	60,221,210	59,413,666	58,664,263	61,164,133	61,723,622
Bond Funds	294,172	150,800	0	0	254,365	0	0	324,114
Federal Contributions	11,584	53,098	0	0	0	0	0	0
Private Contributions	4,473	8,174	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	54,416,528	55,084,432	57,881,138	60,221,210	59,668,031	58,664,263	61,164,133	62,047,736

OPERATIONS

Statutory Reference

C.G.S. Title 12

Statement of Need and Program Objectives

To process and deposit revenues from 40 different state taxes in a timely manner and to administer and maintain the agency's Information Services System.

Program Description

This program is made up of two subprograms: the Operations Division and the Information Services Division that support the program's objectives.

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	225	12	-47	190	190	234	190	234

Financial Summary

(Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	12,997,238	10,193,094	11,604,436	14,389,519	14,389,519	11,724,457	14,512,160	14,512,160
Other Expenses	4,668,882	5,472,939	5,644,028	5,644,028	5,472,939	5,211,770	5,154,594	5,472,939
Capital Outlay	0	0	271,015	132,465	0	252,462	249,714	0
TOTAL-General Fund	17,666,120	15,666,033	17,519,479	20,166,012	19,862,458	17,188,689	19,916,468	19,985,099
<u>Additional Funds Available</u>								
Bond Funds	0	0	0	0	132,465	0	0	249,714
TOTAL Agency Programs - All Funds Net	17,666,120	15,666,033	17,519,479	20,166,012	19,994,923	17,188,689	19,916,468	20,234,813

Operations

OPERATIONS DIVISION

Statutory Reference

C.G.S. Title 12

Statement of Need and Program Objectives

To rapidly process and deposit all state tax revenues which finance the operations of the entire state government.

Program Description

Annually, more than \$10 billion from more than 40 different tax sources are deposited on the day of receipt. The processing of 5.1 million tax returns involves the following key activities:

Registering taxpayers and issuing tax registration numbers, permits, licenses and motor carrier tax decals; processing incoming tax returns and payments and depositing revenues on day of receipt; verifying tax returns and creating bills and delinquencies to ensure collection of all revenues due to the state; verifying the timely issuance of any refunds; generating various reports on tax collection revenues; developing tax forms and publications and entering data and controlling all types of tax returns, corrections, registrations and accounts receivable batches.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	Actual	Estimated	Projected	Projected
Tax Returns processed/year (000)	5,555	5,600	5,700	5,800
Permits/decals issued (000)	28	30	30	30
Refunds processed	1,038,808	1,100,000	1,150,000	1,200,000
Payments on billings	N/A	N/A	N/A	N/A
Amount of payments on billings (\$000)	N/A	N/A	N/A	N/A
Tax return errors resolved within quarterly filing cycle (%)	90%	90%	90%	90%

Tax refunds issued without interest expense (%)	99.1%	99.2%	99.2%	99.2%
New Registrants	45,596	50,155	50,155	50,155
Number of returns filed electronically(included in total returns processed)	838,995	1,100,000	1,400,000	1,600,000
New systems designed/implemented	8	8	9	9

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	187	12	-10	189	189	189	189	189

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	10,580,350	10,064,538	11,475,744	11,475,744	11,475,744	11,595,765	11,595,765	11,595,765
Other Expenses	2,181,283	2,566,278	2,599,627	2,599,627	2,566,278	2,656,803	2,599,627	2,566,278

Capital Outlay

Equipment	0	0	229,504	117,502	0	191,054	184,554	0
TOTAL-General Fund	12,761,633	12,630,816	14,304,875	14,192,873	14,042,022	14,443,622	14,379,946	14,162,043

Additional Funds Available

Bond Funds	0	0	0	0	117,502	0	0	184,554
TOTAL - All Funds	12,761,633	12,630,816	14,304,875	14,192,873	14,159,524	14,443,622	14,379,946	14,346,597

Operations**INFORMATION SERVICES DIVISION***Statutory Reference*

C.G.S. Title 12

Statement of Need and Program Objectives

To effectively support the department in the efficient and cost effective administration of taxes through the use of technology. To further develop and maintain all agency Information Systems and supporting technology. To enhance the capacity of other agency programs to secure additional tax revenue by supplying vital information through a management information system.

Program Description

All divisions of the agency depend on the Information Services Division for: Systems design, development and implementation; Data communications network; Technical support for data processing, office automation, word processing and personal computers; Technological Training; Equipment evaluation, acquisition and maintenance; and Storage and retrieval of records.

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	38	0	-37	1	1	45	1	45

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,416,888	128,556	128,692	2,913,775	2,913,775	128,692	2,916,395	2,916,395
Other Expenses	2,487,599	2,906,661	3,044,401	3,044,401	2,906,661	2,554,967	2,554,967	2,906,661

Capital Outlay

Equipment	0	0	41,511	14,963	0	61,408	65,160	0
TOTAL-General Fund	4,904,487	3,035,217	3,214,604	5,973,139	5,820,436	2,745,067	5,536,522	5,823,056

Additional Funds Available

Bond Funds	0	0	0	0	14,963	0	0	65,160
TOTAL - All Funds	4,904,487	3,035,217	3,214,604	5,973,139	5,835,399	2,745,067	5,536,522	5,888,216

Information Services Division**AUDIT***Statutory Reference*

C.G.S. Title 12 and Chapters 216, 217 and 218

Statement of Need and Program Objectives

To determine the accuracy of tax reporting through a comprehensive field and office audit of targeted accounts in order to maximize tax revenue and induce compliance among the entire taxpayer population.

Program Description

The Audit Division is organized to administer audit programs for approximately 40 state taxes. The division performs the following functions:

Performs field audits of Connecticut and out-of-state based taxpayers to determine, by independent verification, the extent of their tax obligation to the state. The division operates seven field audit units and conducts more than

Budget-in-Detail

3,400 field audits annually resulting in more than \$171 million in assessments.

Conducts office audits of Connecticut and out-of-state based taxpayers to determine the extent of their tax obligation to the state. The division conducts approximately 60,000 office audits annually resulting in more than \$123 million in assessments.

Develops computer and manual audit selection programs that analyze the total population of tax returns to determine the most eligible candidates for audit and maintains automated and centralized control programs to develop pertinent audit and statistical information.

Directs agency, interagency and interstate compliance programs that include liaison and reciprocal agreements with

the federal government, other state governments, other Connecticut agencies and private organizations.

Assists taxpayers in the preparation of tax returns and the maintenance of related tax records. Reviews, verifies and processes claims for tax refunds and credits.

Conducts a discovery program for investigations, special projects and analysis of tax specific and industry specific issues that typically results in an additional \$25 million per year in state revenue based on compliance from newly found taxpayers.

Monitors internal activities to review and evaluate the division's compliance with established policies, procedures and performance standards. Develops and administers an EDP Audit Program and administers all aspects of Inheritance taxation.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Field Audit Assessments (\$000)	171,448	172,280	182,300	184,700
Office Audit Assessments (\$000)	123,967	123,120	129,820	131,420
Field Audits Conducted (#)	3,404	3,375	3,556	3,603
Office Audit Cases Conducted (#)	54,995	54,775	57,749	58,461
Total refunds (#)	1,053	1,000	1,000	1,000
Total refunds (\$000)	18,429	20,000	20,000	20,000
Assessments per Field Audit (\$)	50,367	51,046	51,259	51,259
Assessments per Office Audit (\$)	2,254	2,248	2,248	2,248

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	268	24	-24	268	268	290	268	290

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	18,709,780	19,620,613	20,012,009	20,012,009	21,056,809	20,132,829	20,132,829	21,438,829
Other Expenses	1,077,099	1,260,923	1,312,554	1,312,554	1,296,963	1,342,119	1,342,119	1,289,723

Capital Outlay

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Equipment	0	0	202,500	85,000	0	142,500	45,000	0
TOTAL-General Fund	19,786,879	20,881,536	21,527,063	21,409,563	22,353,772	21,617,448	21,519,948	22,728,552

Additional Funds Available

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Bond Funds	0	0	0	0	85,000	0	0	45,000
TOTAL - All Funds	19,786,879	20,881,536	21,527,063	21,409,563	22,438,772	21,617,448	21,519,948	22,773,552

Audit

COLLECTION AND ENFORCEMENT

Statutory Reference

C.G.S. Title 12

Statement of Need and Program Objectives

To ensure the collection of overdue state taxes and enforce compliance by those who do not voluntarily pay taxes through an efficient, comprehensive and highly visible collection and enforcement program.

Program Description

Through a progression of specific collection, compliance and enforcement procedures, 75 employees collect more than \$100 million in overdue revenue annually.

The office collection agents conduct the first level of the collection process. The agents are primarily responsible for initiating telephone contact to resolve overdue accounts prior to referring cases to the next level. Upon identification, chronic debtors or high-risk cases are immediately referred

for enforcement action. Additionally, more than 100,000 overdue notices are mailed annually. The agents respond to inquiries, complaints and requests for repayment schedules initiated by the taxpayer in response to those mailings.

Each year approximately 10,000 overdue accounts are referred to the next level in the collection process. This would include collection of tax warrants, permit suspension hearings, imposition of security bonds and filing of tax liens and bankruptcy proofs of claims. The division's compliance/enforcement efforts begin at this level. These efforts include on-site investigations of citizen complaints pertaining to tax violations; routine inspections of problematic vendors; and follow-up of leads gained through audit examinations, other state regulatory agencies and advertisements. These civil-related matters are performed by revenue agents assigned to the Field Section.

The last level in this process involves criminal matters. Criminal investigations are referred to enforcement agents in the Special Investigation Section. Their activities may lead to

arrests in cases involving operating without valid permits, bad checks, refusal to file/pay or filing of fraudulent returns and smuggling of contraband fuel, cigarettes and alcohol.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Revenue collected (\$000)	112,121	110,000	112,000	112,000
Tax debtor contacts	89,027	0	0	0
Field inspections	24,826	26,000	26,000	26,000
New Registrants	5,193	4,500	4,600	4,600
Criminal investigations opened	204	600	600	600
Arrests	85	100	100	100
Tax Warrants	7,289	7,500	11,000	11,000
Permit Suspension Hearings	252	300	300	300
Percentage of overdue taxpayers to known taxpayer base (%)	2.50%	2.50%	2.50%	2.50%

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	75	4	-3	76	76	76	76	76

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,797,078	5,027,848	5,138,497	5,138,497	5,138,497	5,187,526	5,187,526	5,187,526
Other Expenses	352,104	460,724	467,083	467,083	460,724	477,416	460,724	460,724

Capital Outlay

Equipment	0	0	22,500	15,000	0	33,000	15,000	0
TOTAL-General Fund	5,149,182	5,488,572	5,628,080	5,620,580	5,599,221	5,697,942	5,663,250	5,648,250

Additional Funds Available

Bond Funds	0	0	0	0	15,000	0	0	15,000
TOTAL - All Funds	5,149,182	5,488,572	5,628,080	5,620,580	5,614,221	5,697,942	5,663,250	5,663,250

Collection and Enforcement

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Title 12

Statement of Need and Program Objectives

To set department policy and direction; to allocate, manage and monitor programs and resources; to provide support services and legal and research assistance within the department and to legislators, the Governor's office and the public.

Program Description

The Executive Office sets departmental policy and direction; oversees legislative activities and legislatively mandated programs; determines public relations policy; and handles relations with the Governor's office, legislature and taxpayers. It administers the department's taxpayer records confidentiality and internal audit programs.

The Legal Division serves as in-house legal counsel to the department. The division is responsible for drafting regulations and legislation, issuing rulings to taxpayers and tax practitioners, on all Connecticut taxes, issuing legal opinions to the commissioner and other department officials on the proper interpretation of Connecticut tax statutes, reviewing issues of tax policy and representing the commissioner in all succession tax litigation.

The Taxpayer Services Division promotes voluntary taxpayer compliance through public information, taxpayer education and assistance activities. Assistance activities include responding to taxpayer inquiries received by telephone, letter,

in person and by e-mail. Employees assist in completing State applications, returns and offer preparation and electronic filing of Federal and State income tax returns. The division provides speakers about state taxes to organizations and businesses. The division is also responsible for responding to requests from taxpayers and other state agencies for copies of taxpayers' tax returns and other confidential information and for administering exemption programs for farmers, fishermen and nonprofit organizations. The division maintains and updates the Department's internet site.

The Research Unit analyzes, prepares and disseminates the statistics generated by the Department, including preparation of the Annual Report and statistical overview of the Income Tax. The unit acts as liaison with the Connecticut General Assembly and is responsible for the submission of the Department's legislative package as well as working with the various committees of cognizance to facilitate other legislative initiatives. In addition, the unit administers the Neighborhood Assistance Act Tax Credit Program and responds to requests for information from other states as well as Connecticut's legislative and executive branches of government.

The Appellate Division receives, acknowledges and reviews all taxpayer protests (after final billing) of audit assessments and of corporate officer and successor liability impositions. It also reviews taxpayer protests of proposed disallowances of claims for refund and denials of requests for penalty waiver. This division conducts hearings of appeals and is the final administrative adjudicator of the issues presented. It works

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closely with taxpayers, their legal and accounting representatives, other divisions of the department and the Office of the Attorney General.

The Administrative Services staff prepares and administers the agency budget, controls and monitors expenses. It also oversees all procurement, printing, budgetary, accounting and facilities management for the agency. Its Education Unit establishes, implements and evaluates personnel training and educational programs to help support management effectiveness, technical expertise and personal development of agency employees. The Personnel and Payroll units administer the rules, regulations, contracts and directives concerning state employment and recruit the staff for the agency.

The Litigation Division represents the Department in litigated tax appeals before the Tax Session of the Connecticut Superior Court. The Litigation Division is responsible for all aspects of these litigated tax appeals, including conducting discovery, filing and responding to pleadings, drafting and submitting pre and post trial memoranda of law and conducting all legal research related thereto, preparation of witnesses, conducting trials and drafting settlement agreements and closing documents. In addition, the Litigation Division represents the Department in all court

ordered pretrial/settlement conferences held by and before the presiding judge of the Tax Session of the Connecticut Superior Court. The Litigation Division also acts as the Department liaison with the Office of the Attorney General, whereby it assists the Office of the Attorney General in preparing and arguing appeals of decisions of the Tax Session to the State's Appellate and Supreme Courts.

The Office of Planning and Organizational Development manages the agency's strategic planning process to ensure that business objectives are clearly defined and communicated, and that they are focused on what is best from an enterprise-wide perspective. It objectively evaluates what processes need to change, identifies and assesses opportunities and establishes measures to monitor business progress. The Unit assists in defining and rolling out strategies, exploring new ways of doing business, implementing new technology, and streamlining processes and procedures. This Office also includes the Internal Audit Division whose function is to serve the agency by performing independent evaluations of the adequacy and effectiveness of the agency's internal control structure and operations in order to provide reasonable assurance that agency assets and information are properly safeguarded and business risks are adequately mitigated.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Taxpayer Inquiries	263,137	264,000	264,000	264,000
Training programs/Individuals trained	252/2601	30/1250	35/1350	35/1400
Appeals Hearings	1,178	1,000	1,000	1,000
Taxpayer Appeals - Received/Resolved	800/1164	900/1220	1000/1320	1000/1350
Dollar resolved/yr (\$000)	157,000	125,000	131,000	132,400
Percentage of caseload resolved that do not require litigation (%)	97%	94%	94%	94%
Taxpayer rulings issued within 120 days of receipt of a sufficiently completed ruling request	100	100	100	100

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	119	11	-3	127	127	129	127	129

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	8,314,014	9,139,825	9,733,443	9,721,111	9,375,015	9,936,677	9,926,645	9,866,910
Other Expenses	2,871,786	3,267,727	3,602,830	3,511,899	2,458,590	4,378,102	4,361,039	3,750,039
Capital Outlay								
Equipment	0	2,900	31,500	22,000	100	26,500	14,500	100
Other Current Expenses								
Collection and Litigation Contingency	318,318	425,767	500,000	431,302	425,767	500,000	443,378	425,767
TOTAL - General Fund	11,504,118	12,836,219	13,867,773	13,686,312	12,259,472	14,841,279	14,745,562	14,042,816
Additional Funds Available								
Bond Funds	294,172	150,800	0	0	21,900	0	0	14,400
Private Contributions	4,473	8,174	0	0	0	0	0	0
Federal Contributions								
20205 Highway Planning & Construction	11,584	53,098	0	0	0	0	0	0
TOTAL - All Funds	11,814,347	13,048,291	13,867,773	13,686,312	12,281,372	14,841,279	14,745,562	14,057,216

Management Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Personal Services						
Permanent Fulltime Positions	41,598,539	40,601,082	42,746,642	46,218,097	43,047,823	47,071,759

Other Positions	1,772,163	2,045,517	2,143,399	2,143,399	2,245,769	2,245,769
Other	672,504	659,535	889,335	889,335	943,431	943,431
Overtime	774,904	675,246	709,009	709,009	744,466	744,466
TOTAL-Personal Services Gross	44,818,110	43,981,380	46,488,385	49,959,840	46,981,489	51,005,425
Less Reimbursements						
Less Turnover	0	0	-661,257	-661,257	-681,095	-681,095
TOTAL-Personal Services Net	44,818,110	43,981,380	45,827,128	49,298,583	46,300,394	50,324,330

Other Expenses-Contractual Services

Dues and Subscriptions	120,496	141,763	143,607	141,763	146,767	141,763
Utility Services	9,184	10,805	11,027	10,805	11,333	10,805
Rentals, Storage and Leasing	348,705	410,251	415,584	410,251	424,727	410,251
Telecommunication Services	476,914	561,090	568,383	561,090	580,887	561,090
General Repairs	141,102	166,006	168,162	166,006	171,862	166,006
Motor Vehicle Expenses	95,280	112,097	113,554	112,097	116,051	112,097
Fees for Outside Professional Services	214,541	252,407	256,212	252,407	262,338	252,407
DP Services, Rentals and Maintenance	4,026,521	4,763,674	5,160,753	3,863,606	5,414,226	5,155,055
Postage	1,701,106	1,895,422	2,012,173	1,986,353	2,056,443	1,986,353
Travel	627,816	727,075	736,527	727,075	752,732	727,075
Other Contractual Services	58,629	68,978	69,874	68,978	71,411	68,978
Advertising	4,280	5,035	5,100	5,035	5,212	5,035
Printing & Binding	471,654	554,901	562,114	554,901	574,480	554,901

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	836	984	1,000	984	1,022	984
Books	5,637	6,632	6,718	6,632	6,865	6,632
Clothing and Personal Supplies	794	934	946	934	967	934
Maintenance and Motor Vehicle Supplies	22,244	26,440	27,232	26,440	27,912	26,440
Medical Supplies	645	759	769	759	786	759
Office Supplies	634,117	746,037	755,737	782,077	772,363	774,837

Other Expenses-Sundry

Sundry - Other Items	9,370	11,023	11,023	11,023	11,023	11,023
TOTAL-Other Expenses Gross	8,969,871	10,462,313	11,026,495	9,689,216	11,409,407	10,973,425
Less Reimbursements						
TOTAL-Other Expenses Net	8,969,871	10,462,313	11,026,495	9,689,216	11,409,407	10,973,425

Other Current Expenses

Collection and Litigation Contingency	318,318	425,767	500,000	425,767	500,000	425,767
TOTAL-Other Current Expenses	318,318	425,767	500,000	425,767	500,000	425,767

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services Net	44,818,110	43,981,380	45,827,128	48,599,879	49,298,583	46,300,394	49,078,065	50,324,330
Other Expenses Net	8,969,871	10,462,313	11,026,495	10,935,564	9,689,216	11,409,407	11,318,476	10,973,425
Capital Outlay	0	2,900	527,515	254,465	100	454,462	324,214	100
Other Current Expenses	318,318	425,767	500,000	431,302	425,767	500,000	443,378	425,767
TOTAL-General Fund Net	54,106,299	54,872,360	57,881,138	60,221,210	59,413,666	58,664,263	61,164,133	61,723,622
<u>Additional Funds Available</u>								
Bond Funds	294,172	150,800	0	0	254,365	0	0	324,114
Federal Contributions	11,584	53,098	0	0	0	0	0	0
Private Contributions	4,473	8,174	0	0	0	0	0	0
TOTAL-All Funds Net	54,416,528	55,084,432	57,881,138	60,221,210	59,668,031	58,664,263	61,164,133	62,047,736

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

DIVISION OF SPECIAL REVENUE

AGENCY DESCRIPTION

<http://www.dosr.state.ct.us/>

The mission of the Division of Special Revenue is to ensure the highest degree of integrity in the conduct of all forms of legalized gambling within the State of Connecticut and the Federally recognized Tribal Nations within the State. We accomplish our mission by licensing or permitting all individuals and entities that are involved with legalized gambling and by monitoring and educating to ensure compliance with the gaming laws and the Tribal-State agreements. We assure public confidence in the honesty and fairness of all facets of gambling for the highest quality benefit to the State.

With nearly \$21 billion wagered last year on all forms of legalized gambling in Connecticut, the Division's oversight is critical to assuring public confidence in the integrity of this thriving industry. The General Fund received in excess of \$691 million from gambling, making it the third largest revenue source for the State, surpassed only by the income tax and sales tax.

The Division discharges its responsibilities through three primary types of program activities:

Gambling Regulation Program: (Legalized Gambling Regulation Program)

The Casino Section monitors compliance with gaming procedures and with agreements between the State and the Mashantucket Pequot and Mohegan Tribes.

At the present time, there are eight (8) on-site field staff at Foxwoods Casino and seven (7) field staff at Mohegan Sun. The field staff assists in ensuring compliance with the Memoranda of Understanding between the Tribes and the State. They monitor the drops and buys (removing money and recording the amount collected from each slot machine), in addition to overseeing count room activities to verify slot revenues. They review the daily cash count to discover and reconcile any differences.

The field staff inspects the inventory of slot machines on each casino floor to maintain records of the status of the machines and to ensure that each machine is approved by the Independent Gaming Lab. Other duties include performing tests on slot machines to ensure that the public receives the expected payout ("Kobetron testing"), as well as obtaining required information regarding casino activity.

Foxwoods Casino has 315,000 square feet of gambling area with 6,614 slot machines. The Mohegan Sun Casino has 295,000 square feet of gambling area with 6,252 slot machines. Foxwoods and the Mohegan Sun are the two largest and most successful casinos in the world. In the year ending June 2004, \$402.7 million was contributed to the State under the Memoranda of Understanding, with Foxwoods contributing \$196.9 million and the Mohegan Sun contributing \$205.8 million.

The Lottery Section ensures the integrity of the operation of the Connecticut Lottery Corporation by reviewing procedures governing operation of the Lottery; enforcing

statutory mandates and regulations; conducting field inspections of CLC headquarters, the Lottery on-line vendor and approximately 3,000 lottery agent locations; and overseeing the testing of new lottery games. The Lottery transferred nearly \$280.8 million into the General Fund in the year ending June 30, 2004. The Division regulated lottery sales of almost \$907.7 million during this most recent fiscal year.

The Pari-Mutuel Section ensures the integrity of licensed greyhound racing facilities by maintaining an on-site presence to oversee daily operations in order to guard against statutory or regulatory violations; monitors licensing and integrity issues; and oversees pari-mutuel wagering activity to protect the public against unfair or illegal practices. The General Fund received a total of \$152,510. in fiscal 2004, from Pari-Mutuel facilities including \$109,394 from Plainfield Greyhound Park, \$43,116 from Shoreline Star (Greyhound) in Bridgeport.

The Off-Track Betting Section ensures the integrity of the Off-Track Betting system operations and betting activity by monitoring compliance with statutes and regulations involving licensing and integrity issues; oversees daily operations of telephone betting and fourteen (14) facilities, eight (8) of which are simulcast facilities. Off-Track Betting provided nearly \$5.8 million to the General Fund in the year ending June 30, 2004

.Charitable Games Program (Charitable Games and Administration Program) sells sealed tickets, issues registrations, and/or permits for authorized charitable gaming activities, and assures compliance with applicable statutes and regulations through field oversight. Gambling activities by charitable organizations produced total receipts in excess of \$51.3 million. The Charitable Games program provided almost \$1.4 million to the General Fund in the year ending June 30, 2004.

Management Services Program (Regulatory Administration Program) includes Executive Management and four support units - Integrity Assurance/Technical Services Administration, Licensing and Security Administration, Planning and Research, and Business Management - which provide essential services relating to the gambling programs and the Division's operational responsibilities. Other support services include: Human Resources, Administrative Hearings, Legislation, Legal, and Affirmative Action.

Energy Conservation Statement

Planned energy conservation activities for the next biennium include regular maintenance of HVAC, the use of energy efficient lighting technologies, and resetting or adjusting building temperature controls. This is a continuation of the efforts undertaken in the past two fiscal years.

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-19,833	-52,170
• Transfer Equipment to CEPF	-88,537	-75,537
• Fund Non-ERIP accruals through the RSA account	-1,796	-1,850
• Postpone Funding for 10-year Gaming Study to FY09	0	-532,000
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07.</i>	-8,468	-22,945
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months.</i>	0	-17,617
• Reduce Funded Vacancies	-159,600	-162,600
Reallocations or Transfers		
• Return IT Positions to Agencies <i>returning unionized IT personnel to their original agencies per the Governor's decision</i>	518,652	518,760

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	98	54	-39	113	113	119	113	119
 <i>Other Positions Equated to Full Time</i>			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			5	5	5	4	5	4
 Agency Programs by Total Funds (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Gambling Regulation	9,475,492	9,296,866	9,871,382	9,871,382	9,703,664	9,938,027	9,938,027	9,760,665
Charitable Games	1,141,496	1,297,526	1,315,996	1,315,996	1,310,588	1,335,631	1,335,631	1,321,097
Management Services	3,138,204	3,038,434	3,462,233	3,939,542	3,683,137	4,138,279	4,546,936	3,949,650
TOTAL Agency Programs - All Funds Gross	13,755,192	13,632,826	14,649,611	15,126,920	14,697,389	15,411,937	15,820,594	15,031,412
Less Turnover	0	0	-203,101	-203,101	-203,101	-212,503	-212,503	-212,503
TOTAL Agency Programs - All Funds Net	13,755,192	13,632,826	14,446,510	14,923,819	14,494,288	15,199,434	15,608,091	14,818,909
 Summary of Funding								
General Fund Net	5,988,124	6,239,894	6,534,358	7,011,667	6,493,599	7,267,582	7,676,239	6,811,520
Special Funds, Non-Appropriated	4,744,014	4,756,000	4,790,600	4,790,600	4,790,600	4,810,300	4,810,300	4,810,300
Bond Funds	74,792	134,596	0	0	88,537	0	0	75,537
Private Contributions	2,948,262	2,502,336	3,121,552	3,121,552	3,121,552	3,121,552	3,121,552	3,121,552
TOTAL Agency Programs - All Funds Net	13,755,192	13,632,826	14,446,510	14,923,819	14,494,288	15,199,434	15,608,091	14,818,909

GAMBLING REGULATION

Statutory Reference

Section 12-571, 12-572, 12-574, 12-575 and 12-576

Statement of Need and Program Objectives

To ensure the integrity of licensed gambling activities by monitoring compliance with statutes, regulations, and agreements governing the operation and management of casino gambling, the Connecticut Lottery, greyhound racing and off-track betting. Field staff oversee the daily operation of gambling and off-track betting facilities which includes monitoring facilities for public safety issues, insuring that the facilities comply with the prohibition against minors entering the premises, posting information pertaining to the availability of treatment for chronic gambling and oversight of all signal transmissions within and outside of the State. Other staff assess and collect applicable taxes and make payments to host municipalities. Additionally, field staff inspect the premises of lottery agents and ensure compliance with restrictions on the

presence of minors at gambling facilities. They also verify that the facilities post information pertaining to the availability of treatment for chronic gambling.

Program Description

The Casino Section of the Gambling Regulation Program has liaison officers stationed at the casinos. The casino liaison officers are responsible for monitoring compliance with gaming procedures and Tribal-State Agreements relating to video facsimile ("slot") machines.

Lottery regulatory functions include ensuring compliance with the statutes, regulations and official procedures including on-site inspection of approximately 3,000 lottery agent sites. Central office regulatory staff has the authority to initiate hearings for violations. They also coordinate and review tests for lottery products and betting systems; review all procedures; review denials of lottery agent applications for licensure; and provide testimony at administrative hearings.

The Pari-Mutuel central office staff ensures compliance with the statutes and regulations and implements safeguards against fraudulent practices and abuse or threats against any patron. The central office responds to written complaints and pari-mutuel inquiries.

Pari-mutuel field staff maintain high visibility at the facilities in order to resolve complaints, detect and report any violations, or any activity which endangers the safety of the patrons at the facilities. The field staff serves on the Board of Judges and hears cases involving ejection of patrons and requests for reinstatement. They conduct inspections of the kennels, and observe the selection of greyhounds for each race and post position, as well as the weighing-in of each dog. To ensure compliance with regulations against drug use, the field staff collects urine specimens from the greyhounds. In addition, the field staff judges the official results of each greyhound race.

The Off-Track Betting operation presently includes fourteen (14) OTB facilities, (six (6) non-simulcast and eight (8) simulcast facilities), telephone betting, and the totalizator hub for all OTB wagering. OTB section employees are located at the Division of Special Revenue central office in Newington, the hub site in New Haven and the simulcast sites in New Haven and Windsor Locks. All other OTB facilities located throughout the State are visited by regulatory personnel on a random basis.

The central office staff ensure compliance with the regulations that govern the OTB operation, simulcasting,

and telephone betting. Compliance includes the enforcement of rules for each of the wagering pools and approval of the procedures which govern common-pool wagering with out-of-state tracks or with locations outside of the United States, and the manual merging of pools from within and outside of the State.

The field staff ensures compliance with applicable statutes, regulations and policies including monitoring facilities for public safety issues, ensure that the facilities comply with the prohibition against minors entering the premises and posting information pertaining to the availability of treatment for chronic gambling. Hub liaison officers monitor the closing of wagering pools, report on totalizator and race control incidents, and monitor currency exchange rates.

The Integrity Assurance/Technical Services section audits all licensed legalized gambling entities including the casinos, the Connecticut Lottery Corporation ("CLC"), and the pari-mutuel associations. The unit produces accounting and financial reports on State revenues from the casino slot machines, pari-mutuel wagering, and charitable gaming. The auditing section of the unit performs acceptance-testing on the wagering systems of the CLC and the pari-mutuel industry. The unit also manages the agency information systems. Support services include maintaining the Division's database of all casino, lottery and pari-mutuel licensees, and all Charitable Games permittees.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Gambling Regulation -State share of parimutuel and off-track betting revenue (\$M)	5.9	6	6	6

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	41	17	-10	48	48	46	48	46

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	2	1	2	1	2	1

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,271,687	2,491,310	2,596,752	2,596,752	2,437,152	2,637,053	2,637,053	2,474,453
Other Expenses	313,477	273,811	281,929	281,929	273,811	288,573	288,573	273,811
TOTAL-General Fund	2,585,164	2,765,121	2,878,681	2,878,681	2,710,963	2,925,626	2,925,626	2,748,264

Additional Funds Available

Special Funds, Non-Appropriated	4,725,338	4,751,000	4,770,600	4,770,600	4,770,600	4,790,300	4,790,300	4,790,300
Bond Funds	66,229	0	0	0	0	0	0	0
Private Contributions	2,098,761	1,780,745	2,222,101	2,222,101	2,222,101	2,222,101	2,222,101	2,222,101
TOTAL - All Funds	9,475,492	9,296,866	9,871,382	9,871,382	9,703,664	9,938,027	9,938,027	9,760,665

Gambling Regulation

CHARITABLE GAMES

Statutory Reference

Chapter 98 of the General Statutes

Statement of Need and Program Objectives

To ensure the integrity of authorized charitable gaming activities, including the sale of sealed tickets.

Program Description

The Charitable Games Program sells sealed tickets, issues registrations, and/or permits for authorized charitable gaming activities, and assures compliance with applicable statutes and regulations through field oversight. The program's functions are divided between central office administrative operations and field activities.

Central office staff review registration and permit applications to ensure conformance with statutory and regulatory requirements. Staff members design and develop sealed ticket games, distribute and sell sealed tickets to charitable organizations and account for revenue derived from registration and permit fees, and sealed ticket sales. Central office staff also initiate administrative proceedings for statutory and regulatory violations reported by the field staff.

Field staff inspect the conduct of charitable gaming activities, and audit the books and records of permittees. Field staff are liaisons between the central office and the permittees, assisting the permittees in complying with applicable rules, and maintain high visibility at the events they inspect. They also report statutory and regulatory violations.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Charitable Games total revenue (\$M)	1.4	1.4	1.4	1.4

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	17	11	-10	18	18	18	18	18

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	778,809	885,114	883,176	883,176	883,176	893,685	893,685	893,685
Other Expenses	344,011	407,412	412,820	412,820	407,412	421,946	421,946	407,412
TOTAL-General Fund	1,122,820	1,292,526	1,295,996	1,295,996	1,290,588	1,315,631	1,315,631	1,301,097
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	18,676	5,000	20,000	20,000	20,000	20,000	20,000	20,000
TOTAL - All Funds	1,141,496	1,297,526	1,315,996	1,315,996	1,310,588	1,335,631	1,335,631	1,321,097

Charitable Games**MANAGEMENT SERVICES***Statutory Reference*

Section 12-559, Chapter 54

Program Description:

This program supports operational units as summarized below.

Executive Management directs efforts of all agency units, and provides management oversight of agency operations. Executive Management includes: Office of the Executive Director; Legislation; Legal; Affirmative Action; Human Resources; Administrative Hearings. In accordance with Chapter 54 of the Connecticut General Statutes, organizations or individuals who are denied gaming licenses or licensees who are found in violation of the statutes, regulations, or Tribal-State Agreements may have an administrative hearing. The Administrative Hearings Section (AHS) of the Division of Special Revenue conducts all hearings other than those held at greyhound track facilities, which may then be appealed to the AHS.

The Licensing Section has administrative authority over the gambling license issuance process. The Security section is responsible for upholding statutes and regulations as the law enforcement component of Division operations.

Together the sections process all initial applications and annual renewals for lottery retailers and lottery employees as well as the owners, vendors and employees of pari-mutuel facilities, and all gambling-related employees and vendors at the casinos. More than 20,000 license applications are processed annually for over 15,000 casino employees, almost 3,000 lottery retailers and vendors, and 2,000 occupational licensees.

Security personnel conduct initial criminal history checks on all individuals applying for gambling licenses and track all applications sent to the Connecticut State Police for background investigation reports. Security staff investigate violations of the statutes and regulations, and testify at administrative hearings and in courts of law. They oversee all lottery drawings and the production of lottery instant ticket games. The Unit maintains liaison with Federal, State and local law enforcement agencies.

Business Management provides operational support for all components of the Division including budget preparation, purchasing, general fund accounting, building and grounds maintenance, and related services.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Management Services General Fund Expense as a Ratio of Sales	.0001066056:1	.0000944900:1	.0001110098:1	.0001384736:1

Budget-in-Detail

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
Personnel Summary								
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	40	26	-19	47	47	55	47	55
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			3	4	3	3	3	3
Financial Summary								
	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,770,873	1,644,892	1,780,326	2,326,193	2,174,966	1,842,305	2,398,020	2,355,608
Other Expenses	509,167	537,255	638,819	625,261	520,083	1,305,386	1,173,828	618,954
<u>Capital Outlay</u>								
Equipment	100	100	143,637	88,637	100	91,137	75,637	100
TOTAL-General Fund	2,280,140	2,182,247	2,562,782	3,040,091	2,695,149	3,238,828	3,647,485	2,974,662
<u>Additional Funds Available</u>								
Bond Funds	8,563	134,596	0	0	88,537	0	0	75,537
Private Contributions	849,501	721,591	899,451	899,451	899,451	899,451	899,451	899,451
TOTAL - All Funds	3,138,204	3,038,434	3,462,233	3,939,542	3,683,137	4,138,279	4,546,936	3,949,650
Management Services								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	4,302,564	6,096,302	6,378,877	6,613,917	6,483,811	6,834,514
Other Positions	295,537	403,793	313,535	313,535	322,941	322,941
Other	123,713	222,576	142,927	142,927	138,208	138,208
Overtime	99,555	251,521	105,618	105,618	108,786	108,786
TOTAL-Personal Services Gross	4,821,369	6,974,192	6,940,957	7,175,997	7,053,746	7,404,449
Less Reimbursements	0	-1,952,876	-1,680,703	-1,680,703	-1,680,703	-1,680,703
Less Turnover	0	0	-203,101	-203,101	-212,503	-212,503
TOTAL-Personal Services Net	4,821,369	5,021,316	5,057,153	5,292,193	5,160,540	5,511,243
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	7,200	8,527	8,638	8,527	8,828	8,527
Utility Services	69,150	81,892	83,541	81,892	85,815	81,892
Rentals, Storage and Leasing	113,166	134,024	135,766	134,024	138,755	134,024
Telecommunication Services	60,017	71,077	72,001	71,077	73,586	71,077
General Repairs	126,772	150,137	152,089	150,137	155,434	150,137
Motor Vehicle Expenses	78,176	92,583	93,786	92,583	95,849	92,583
Fees for Outside Professional Services	39,229	46,459	47,075	46,459	698,121	46,459
Fees for Non-Professional Services	164,065	81,270	96,059	81,270	98,174	81,270
DP Services, Rentals and Maintenance	139,072	164,703	166,844	164,703	170,514	164,703
Postage	34,594	40,968	41,500	40,968	42,411	40,968
Travel	13,110	15,527	15,730	15,527	16,076	15,527
Other Contractual Services	10,622	12,580	12,744	12,580	13,024	12,580
Advertising	3,947	4,675	4,736	4,675	4,840	4,675
Printing & Binding	1,133	1,342	1,360	1,342	1,390	1,342
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	402	477	484	477	494	477
Books	2,538	3,006	3,045	3,006	3,112	3,006
Clothing and Personal Supplies	125	147	149	147	153	147
Maintenance and Motor Vehicle Supplies	43,724	51,784	52,938	51,784	54,190	51,784
Medical Supplies	223	264	267	264	273	264
Fuel	46,696	55,302	56,408	55,302	57,931	55,302
Office Supplies	66,805	79,110	80,139	79,110	81,902	79,110

Refunds of Expenditures Not Otherwise Classified	256,289	303,524	307,470	204,653	314,234	303,524
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	-110,400	3,586	3,586	3,586	3,586	3,586
TOTAL-Other Expenses Gross	1,166,655	1,402,964	1,436,355	1,304,093	2,118,692	1,402,964
Less Reimbursements	0	-184,486	-102,787	-102,787	-102,787	-102,787
TOTAL-Other Expenses Net	1,166,655	1,218,478	1,333,568	1,201,306	2,015,905	1,300,177

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	4,821,369	5,021,316	5,057,153	5,603,020	5,292,193	5,160,540	5,716,255	5,511,243
Other Expenses Net	1,166,655	1,218,478	1,333,568	1,320,010	1,201,306	2,015,905	1,884,347	1,300,177
Capital Outlay	100	100	143,637	88,637	100	91,137	75,637	100
TOTAL-General Fund Net	5,988,124	6,239,894	6,534,358	7,011,667	6,493,599	7,267,582	7,676,239	6,811,520
<i>Additional Funds Available</i>								
Special Funds, Non-Appropriated	4,744,014	4,756,000	4,790,600	4,790,600	4,790,600	4,810,300	4,810,300	4,810,300
Bond Funds	74,792	134,596	0	0	88,537	0	0	75,537
Private Contributions	2,948,262	2,502,336	3,121,552	3,121,552	3,121,552	3,121,552	3,121,552	3,121,552
TOTAL-All Funds Net	13,755,192	13,632,826	14,446,510	14,923,819	14,494,288	15,199,434	15,608,091	14,818,909

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

STATE INSURANCE AND RISK MANAGEMENT BOARD

AGENCY DESCRIPTION

[HTTP://WWW.IRMB.STATE.CT.US/](http://www.irmb.state.ct.us/)

The State Insurance and Risk Management Board determines the method by which the State will insure or self-insure itself against loss. After determining in which situations the State should be an insurer, the Board determines how much and what types of insurance to purchase. It considers the appropriateness of deductibles, self-insured retentions and other concepts and then enters a bid process to secure all commercially placed insurance contracts.

The Board serves as the focal point of all risk management and insurance matters affecting the State. The Board identifies and addresses the State's unique exposures by developing risk management tools to help reduce the cost of risk to the State. Some of these techniques include large loss review meetings, training sessions for State personnel, property inspections and accident review meetings. Board members receive no compensation for the performance of their duties.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove Inflation
- Reduce Compensation Increases for Managers & Confidentials in FY07
Provide 2% general wage increase and delay PARS by 6 months.

	<u>2005-2006</u>	<u>2006-2007</u>
	-203	-7,129
	0	-2,601

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	3	0	0	3	3	3	3	3

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Insurance on Properties & Surety Bonds	13,359,274	18,770,319	15,395,577	15,394,577	14,837,984	16,296,026	16,296,126	16,286,396
TOTAL Agency Programs - All Funds Gross	13,359,274	18,770,319	15,395,577	15,394,577	14,837,984	16,296,026	16,296,126	16,286,396
Less Turnover								
TOTAL Agency Programs - All Funds Net	13,359,274	18,770,319	15,395,577	15,394,577	14,837,984	16,296,026	16,296,126	16,286,396
<u>Summary of Funding</u>								
General Fund Net	11,765,336	16,266,319	12,760,577	12,759,577	12,202,984	13,526,026	13,526,126	13,516,396
Special Transportation Fund Net	1,588,666	2,504,000	2,635,000	2,635,000	2,635,000	2,770,000	2,770,000	2,770,000
Bond Funds	5,272	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	13,359,274	18,770,319	15,395,577	15,394,577	14,837,984	16,296,026	16,296,126	16,286,396

INSURANCE ON STATE PROPERTIES AND SURETY BONDS FOR STATE OFFICIALS & EMPLOYEES

Statutory Reference

C.G.S. Sections 4a-19, 4a-20, 4a-20a and 4a-21

Statement of Need and Program Objectives

To protect the state against loss by providing and promoting a coordinated risk management program within the state.

Program Description

The principal duties of the State Insurance and Risk Management Board are:

Determining the method by which the state shall insure itself against losses by the purchase of insurance. Obtaining the broadest coverage at the most reasonable costs. Directing negotiations for the purchase of such insurance and determine the applicability of deductibles and self-insurance. Designating the agent of record and selecting companies from which the insurance coverage shall be purchased. Negotiating all elements of insurance premiums and the agent's commission and/or fee for service. Establishing specifications

and request bids for each insurance contract through the agent of record. Identifying the most effective and economical methods to reduce the state's losses through exposure identification, loss control, risk transfer or risk assumption.

Some of the risk management devices, which the board has utilized, are large loss review, loss control surveys, training sessions for state personnel, monthly accident review committees and defensive driving programs. The board has undertaken initiatives to develop and implement a more proactive risk management program. As statutorily required, the board continues to assess the feasibility of self-insurance (including deductibles and retentions) as a possible alternative to commercial insurance.

The major areas of coverage under the board's purview are real and personal property insurance, liability insurance and surety bonds for state officials and employees.

<i>Program Measure</i>	2003-2004		2004-2005		2005-2006		2006-2007	
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
Motor Vehicle liability (000)	7,182	7,824	7,624	8,028				
Fire, Extended Coverage (000)	4,477	5,152	5,297	5,626				
Liability (000)	3,631	4,587	4,861	5,118				
All Other (000)	1,044	1,227	1,063	1,170				

<i>Personnel Summary</i>	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	3	0	0	3	3	3	3	3

<i>Financial Summary</i> (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	215,169	233,071	238,448	238,448	238,448	244,795	244,795	242,194
Other Expenses	11,471,295	15,747,898	12,489,929	12,489,929	11,933,336	13,188,481	13,188,481	13,181,352
<u>Capital Outlay</u>								
Equipment	1,000	1,000	2,500	1,500	1,500	0	100	100
<u>Other Current Expenses</u>								
Surety Bonds State Officials & Emps	77,872	284,350	29,700	29,700	29,700	92,750	92,750	92,750
TOTAL-General Fund	11,765,336	16,266,319	12,760,577	12,759,577	12,202,984	13,526,026	13,526,126	13,516,396
Other Expenses	1,588,666	2,504,000	2,635,000	2,635,000	2,635,000	2,770,000	2,770,000	2,770,000
TOTAL-Special Transportation Fund	1,588,666	2,504,000	2,635,000	2,635,000	2,635,000	2,770,000	2,770,000	2,770,000
<u>Additional Funds Available</u>								
Bond Funds	5,272	0	0	0	0	0	0	0
TOTAL - All Funds	13,359,274	18,770,319	15,395,577	15,394,577	14,837,984	16,296,026	16,296,126	16,286,396

Insurance on Properties & Surety Bonds**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	213,602	231,078	236,609	236,609	242,956	240,355
Other	1,567	1,993	1,839	1,839	1,839	1,839
TOTAL-Personal Services Gross	215,169	233,071	238,448	238,448	244,795	242,194
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	215,169	233,071	238,448	238,448	244,795	242,194
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	1,909	2,377	2,408	2,377	2,461	2,377
Rentals, Storage and Leasing	221	275	279	275	285	275
Telecommunication Services	199	248	251	248	256	248
General Repairs	349	435	440	435	450	435
Insurance	11,155,270	18,996,152	15,878,300	15,321,910	16,769,850	16,763,273
Fees for Outside Professional Services	288,750	286,800	299,000	299,000	305,578	305,578
Fees for Non-Professional Services	645	803	813	803	831	803
Postage	142	177	179	177	183	177
Travel	496	1,317	1,334	1,317	1,364	1,317
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	384	478	486	478	497	478
Maintenance and Motor Vehicle Supplies	15,307	0	0	0	0	0
Office Supplies	7,623	9,491	9,614	9,491	9,826	9,491
TOTAL-Other Expenses Gross	11,471,295	19,298,553	16,193,104	15,636,511	17,091,581	17,084,452
Less Reimbursements	0	-3,550,655	-3,703,175	-3,703,175	-3,903,100	-3,903,100
TOTAL-Other Expenses Net	11,471,295	15,747,898	12,489,929	11,933,336	13,188,481	13,181,352
<u>Other Current Expenses</u>						
Surety Bonds State Officials & Emps	77,872	284,350	29,700	29,700	92,750	92,750
TOTAL-Other Current Expenses	77,872	284,350	29,700	29,700	92,750	92,750

AGENCY FINANCIAL SUMMARY – SPECIAL TRANSPORTATION FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Expenses-Contractual Services</i>						
Insurance	1,588,666	2,504,000	2,635,000	2,635,000	2,770,000	2,770,000
TOTAL-Other Expenses Gross	1,588,666	2,504,000	2,635,000	2,635,000	2,770,000	2,770,000
Less Reimbursements						
TOTAL-Other Expenses Net	1,588,666	2,504,000	2,635,000	2,635,000	2,770,000	2,770,000

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	215,169	233,071	238,448	238,448	238,448	244,795	244,795	242,194
Other Expenses Net	11,471,295	15,747,898	12,489,929	12,489,929	11,933,336	13,188,481	13,188,481	13,181,352
Capital Outlay	1,000	1,000	2,500	1,500	1,500	0	100	100
Other Current Expenses	77,872	284,350	29,700	29,700	29,700	92,750	92,750	92,750
TOTAL-General Fund Net	11,765,336	16,266,319	12,760,577	12,759,577	12,202,984	13,526,026	13,526,126	13,516,396
Other Expenses Net	1,588,666	2,504,000	2,635,000	2,635,000	2,635,000	2,770,000	2,770,000	2,770,000
TOTAL-Special Transportation Fund Net	1,588,666	2,504,000	2,635,000	2,635,000	2,635,000	2,770,000	2,770,000	2,770,000
<i>Additional Funds Available</i>								
Bond Funds	5,272	0	0	0	0	0	0	0
TOTAL-All Funds Net	13,359,274	18,770,319	15,395,577	15,394,577	14,837,984	16,296,026	16,296,126	16,286,396

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

GAMING POLICY BOARD

AGENCY DESCRIPTION

The Gaming Policy Board works in cooperation with the Division of Special Revenue to implement and administer provisions covering the conduct and operation of legalized gaming. The board's responsibilities include: establishing jai alai competition and greyhound racing meeting dates in the state and approving the associated types of pari-mutuel

wagering; advising and assisting the executive director of the Division of Special Revenue; advising the Governor on statewide plans and goals for legalized gaming; and approving administrative regulations covering activities such as charitable gaming.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove Inflation

<u>2005-2006</u>	<u>2006-2007</u>
-42	-114

AGENCY PROGRAMS

<i>Agency Programs by Total Funds (Net of Reimbursements)</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Development of Gaming Policy	2,025	3,230	3,272	2,945	2,903	3,344	3,017	2,903
TOTAL Agency Programs - All Funds Gross	2,025	3,230	3,272	2,945	2,903	3,344	3,017	2,903
Less Turnover								
TOTAL Agency Programs - All Funds Net	2,025	3,230	3,272	2,945	2,903	3,344	3,017	2,903
<i>Summary of Funding</i>								
General Fund Net	2,025	3,230	3,272	2,945	2,903	3,344	3,017	2,903
TOTAL Agency Programs - All Funds Net	2,025	3,230	3,272	2,945	2,903	3,344	3,017	2,903

DEVELOPMENT OF GAMING POLICY

Statutory Reference

C.G.S. Chapter 226 and 226b

Statement of Need and Program Objectives

To protect the public interest by ensuring the highest standard of legalized gambling regulation and to continue to maintain public trust by working in cooperation with the Division of Special Revenue.

Program Description

The Gaming Policy Board works in cooperation with the Division of Special Revenue to implement and administer the statutory and regulatory provisions governing legalized gambling.

The Gaming Policy Board consists of five members appointed by the Governor with the advice and consent of both houses of the General Assembly. Not more than three members of the Board at any one time shall be members of the same political party. They serve a term of four years. The

Executive Director serves on the Board ex officio without voting rights.

To insure the highest standard of legalized gambling regulation at least four of the Board members shall have training or experience in at least one of the following fields: corporate finance, economics, law, accounting, law enforcement computer science, or the pari-mutuel industry. At least two of these fields shall be represented on the Board at any one time.

The Gaming Policy Board meets on a routine schedule in order to keep abreast of the status of authorized gambling activities. The Board approves requests of pari-mutuel licensees for types of wagering permitted. In addition, the Board approves, suspends or revokes association or affiliate licenses, sets greyhound racing performance dates, and advises and assists the Executive Director. The Board approves Division contracts for goods and services. They approve regulations prior to adoption and they hear all appeals taken under subsection (j) of section 12-574 and section 12-802b of the general statutes..

Financial Summary

<i>Financial Summary (Net of Reimbursements)</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses	2,025	3,230	3,272	2,945	2,903	3,344	3,017	2,903
TOTAL-General Fund	2,025	3,230	3,272	2,945	2,903	3,344	3,017	2,903
Development of Gaming Policy								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Expenses-Contractual Services</i>						
Postage	88	140	142	140	145	140
Travel	387	616	624	616	638	616
Other Contractual Services	1,550	2,474	2,506	2,147	2,561	2,147
TOTAL-Other Expenses Gross	2,025	3,230	3,272	2,903	3,344	2,903
Less Reimbursements						
TOTAL-Other Expenses Net	2,025	3,230	3,272	2,903	3,344	2,903

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses Net	2,025	3,230	3,272	2,945	2,903	3,344	3,017	2,903
TOTAL-General Fund Net	2,025	3,230	3,272	2,945	2,903	3,344	3,017	2,903

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

OFFICE OF POLICY AND MANAGEMENT

AGENCY DESCRIPTION

[HTTP://WWW.OPM.STATE.CT.US/](http://www.opm.state.ct.us/)

The Office of Policy and Management (OPM) serves as a staff agency reporting directly to the Governor, providing the information and analysis required to formulate public policy for the state and assisting state agencies and municipalities in implementing policy decisions on the Governor's behalf.

The objectives of OPM are to: Provide the staff functions of budget, management, planning, intergovernmental relations and labor relations through a single agency; encourage the integration of planning, budgeting and program analysis; provide necessary staff support to the Governor on policy analysis, development and implementation; and seek long-term improvements in the management of state agencies.

The Office of Policy and Management is headed by a secretary and a deputy secretary who advise the Governor with the assistance of division heads for Administration, Budget and Financial Management, Finance, Intergovernmental Policy, Labor Relations, and Policy Development and Planning. Much of OPM's work is affected by external forces such as general economic, environmental and social conditions, state-federal relations, natural disasters, and the energy supply and demand outlook.

OPM performs various fiscal functions to monitor, implement and report on the state's budget throughout the fiscal year. OPM prepares the Governor's biennial budget, including the state's capital budget, for submission to the legislature in odd-numbered years. OPM prepares the Governor's budget adjustments for the second half of the biennium that are submitted in even-numbered years.

Areas in which OPM is facilitating interagency coordination include urban policy (with particular focus on distressed municipalities), crime prevention and youth development, land use and physical resources, prison overcrowding and alternative sanctions, health care access, human capital development to match Connecticut's workers and their skills to available jobs, water resources conservation, job creation and economic development, and the purchase of human services.

OPM also performs grants management and intergovernmental review and provides technical assistance to municipalities.

AGENCY PROGRAM INDEX

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Policy Development, Coord & Implementation	139		

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-91,261	-249,341
• Transfer Equipment to CEPF	-82,400	-82,400
• Fund Non-ERIP Accruals through the RSA account	-26,230	-28,482
• Eliminate Funding for the Waste Water Treatment Facility Host Town Grants	-100,000	-100,000
• Continue Neighborhood Youth Centers Funding Suspension <i>Continue suspension of state grants to this program. Federal funding available for these centers for FY 04 is expected to continue for FY 05, FY 06, and FY 07.</i>	-1,225,915	-1,225,915
• Fund Grants in Aid to Municipalities - Pilot Manufacturing Machinery & Equipment Grant at FY05 level <i>"Not for Hire" trucks weighing 26,000 pounds or more are eliminated from the program and the program is continued at FY 05 appropriation levels.</i>	-7,770,279	-9,270,279
• Eliminate State Funding for Leadership, Education, Athletics in Partnership Program <i>Eliminate State support for the program. The program will continue to operate at reduced levels.</i>	-850,000	-850,000
• Carryforward FY05 Funds <i>Contingencies for state energy expenses will be funded from the FY05 surplus for FY06 expenditures</i>	-10,000,000	0
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY 07</i>	-24,008	-58,435
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months.</i>	0	-124,911
• Reduce Funded Vacancies	-130,000	-130,000
• Fund Distressed Municipalities Grant at FY05 level	-1,200,000	-2,000,000
• Fund Elderly Circuit Breaker Grant at FY05 level	-3,390,451	-4,604,101
• Fund Property Tax Relief for Veterans Grant at FY05 level	-719,181	-1,180,401
Within Current Services		
• Stabilize CCEDA Operating Funds <i>The full complement of parking at Adriaen's Landing will not open simultaneously with the convention center. Therefore anticipated revenues will not be available.</i>	2,300,000	2,300,000
Reallocations or Transfers		
• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision</i>	142,877	142,877

Budget-in-Detail

New or Expanded Services

	2005-2006	2006-2007	2007-2008
• Develop Electronic Payment for Licensing and Permitting Fees <i>Three positions and associated expenses are provided to allow individuals to fill out applications for licenses and pay license and permitting fees on the internet.</i>	500,000	500,000	500,000
• Carryforward FY05 Funds <i>Carry forward \$750,000 from FY05 surplus funds to safeguard against potential federal base closures in Connecticut.</i>	0	0	0
• CCEDA Marketing and Sales Expenses	2,500,000	2,500,000	2,500,000
• Fund Regional Planning Agencies	640,000	640,000	640,000

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	126	45	-24	147	146	150	146	150
<i>Other Positions Equated to Full Time</i>								
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			6	6	8	8	8	8

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Office of Secretary & Admin Support	82,588,665	53,052,194	9,542,033	9,727,207	9,715,601	4,427,683	4,612,857	4,581,394
Policy Dvlpmnt, Coord & Implementation	83,442,434	49,344,361	37,579,966	51,087,162	41,186,646	32,880,622	46,324,453	36,763,038
Intergovernmental Policy	122,801,240	112,655,600	125,549,138	125,599,138	113,055,449	130,384,630	130,504,630	113,979,631
TOTAL Agency Programs - All Funds Gross	288,832,339	215,052,155	172,671,137	186,413,507	163,957,696	167,692,935	181,441,940	155,324,063
Less Turnover	0	0	-639,881	-639,881	-639,881	-703,869	-639,881	-703,869
TOTAL Agency Programs - All Funds Net	288,832,339	215,052,155	172,031,256	185,773,626	163,317,815	166,989,066	180,802,059	154,620,194

Summary of Funding

General Fund Net	122,276,262	140,449,191	132,576,298	146,318,668	123,780,457	137,926,199	151,739,192	125,474,927
Special Funds, Non-Appropriated	76,125,612	35,035,646	5,104,735	5,104,735	5,104,735	0	0	0
Bond Funds	17,469,925	15,115,616	12,543,535	12,543,535	12,625,935	10,000,000	10,000,000	10,082,400
Federal Contributions	28,726,366	22,324,930	20,886,188	20,886,188	20,886,188	18,142,367	18,142,367	18,142,367
Private Contributions	44,234,174	2,126,772	920,500	920,500	920,500	920,500	920,500	920,500
TOTAL Agency Programs - All Funds Net	288,832,339	215,052,155	172,031,256	185,773,626	163,317,815	166,989,066	180,802,059	154,620,194

OFFICE OF THE SECRETARY AND ADMINISTRATIVE SUPPORT

Statutory Reference

C.G.S. Section 4-65a

Statement of Need and Program Objectives

To assist and advise the Governor on policy and financial issues; to advocate the Governor's policies and to coordinate their implementation.

To attain the Office of Policy and Management's objectives by directing and coordinating its programs and providing operational support services.

Program Description

The Secretary, unique as chief financial and policy advisor to the Governor, is responsible for policy development and the overall financial management of state government. This responsibility includes protecting the long-term and short-term interests of the state.

The Office of the Secretary, with analytic support from the agency's divisions, advises the Governor on: all matters related to fiscal policy; policy initiatives, particularly those initiatives that cross agency lines; state agency management issues, including agency strategic business planning; the reorganization of state government; labor relations; Federal issues, in conjunction with the Governor's Washington Office; impact of federal and state policies on local governments; financial management policies and practices in all state agencies; and legislative matters.

The Secretary and Deputy Secretary advocate the Governor's policies with legislators, state agencies, private service providers, the general public, legislative task forces, and the boards and commissions to which the Secretary is named a member.

This office directs the development and implementation of the state's biennial operating and capital budgets as well as budget adjustments for the second half of the biennium. The Secretary meets with the Governor to assist in establishing priorities and meets with state agency heads in developing the Governor's budget. The Secretary reviews agency requests for bonding of capital projects for submittal to the State Bond Commission and regularly meets with credit rating agencies, bond counsel, and economists concerning the status of the state's bond rating and projections on the national economy.

The office reviews legislative proposals of all state agencies and closely monitors such proposals during the legislative session.

In order to improve the quality of state agency management and control, the Office of the Secretary makes strategic and operational decisions that are consistent with the Governor's policies and programs. When state agency management issues arise, the Secretary may direct the analysis of those issues and work with agency heads on implementation of any recommendations.

The office establishes the priorities for the planning and analysis, coordination, and implementation efforts of OPM's divisions of Budget and Financial Management, Finance, Intergovernmental Policy, Labor Relations, and Policy Development and Planning. The office provides guidance and support to the divisions to assist them in attaining their goals.

The Division of Administration is an adjunct to the Office of the Secretary. The division provides a myriad of diversified support services to the five OPM divisions and to all of its employees in the areas of accounting and audit, business operations, human resources, information systems, and organizational and staff development.

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	36	11	-12	35	35	37	35	37
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			1	1	2	2	2	2
Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,814,038	4,218,641	3,255,064	3,397,941	3,397,941	3,395,592	3,538,469	3,538,469
Other Expenses	1,642,572	894,925	924,234	966,531	954,925	944,091	986,388	954,925
<u>Capital Outlay</u>								
Equipment	1,000	1,000	82,500	82,500	100	82,500	82,500	100
<u>Other Current Expenses</u>								
Litigation Settlement Costs	997,642	0	0	0	0	0	0	0
<u>Pmts to Other Than Local Governments</u>								
Private Providers	0	12,831,532	0	0	0	0	0	0
TOTAL-General Fund	6,455,252	17,946,098	4,261,798	4,446,972	4,352,966	4,422,183	4,607,357	4,493,494
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	75,799,582	34,929,104	5,104,735	5,104,735	5,104,735	0	0	0
Bond Funds	328,276	171,492	170,000	170,000	252,400	0	0	82,400
Private Contributions	5,555	5,500	5,500	5,500	5,500	5,500	5,500	5,500
TOTAL - All Funds	82,588,665	53,052,194	9,542,033	9,727,207	9,715,601	4,427,683	4,612,857	4,581,394

Office of Secretary & Admin Support

POLICY DEVELOPMENT, COORDINATION AND IMPLEMENTATION

Statutory Reference

C.G.S. Section 4-65a

Statement of Need and Program Objectives

To assist the Governor, Secretary and state agencies in making policy decisions and in effectively deploying current and future financial and other resources by planning, formulating, coordinating, implementing and evaluating programs and policies that address state needs.

Program Description

Four divisions of the Office of Policy and Management participate in a comprehensive program of resource allocation, planning, policy analysis, management improvement, financial management improvement, and program evaluation that assists the Governor and the Secretary in formulating and implementing strategies, priorities and programs.

Budget and Financial Management Division develops and implements the state's fiscal and program policies through the formulation of the Governor's Operating and Capital Budget Proposals and the execution of the budget as enacted by the General Assembly and signed by the Governor; provides ongoing comprehensive analyses, evaluation and recommendations to the Governor and the Secretary regarding the financial and programmatic implications of public policies; and manages and coordinates the implementation of these financial and programmatic policies among state agencies.

Office of Finance reviews and monitors the financial management policies and practices of state agencies and participates in the project to replace the State's core financial

and administrative systems to insure state agencies involvement in the development and implementation process.

Office of Labor Relations delivers timely and effective labor relations and collective bargaining services on behalf of the state employer for state employees in the executive branch, other than the constituent units of Higher Education, the State Board of Education, and the Division of Criminal Justice; advises and consults with state agencies regarding labor relations and other employee relations matters; represents the State during the contract and statutory grievance process, contract negotiation, midterm bargaining and interest arbitration for executive branch State employee bargaining units; represents the State in employee related whistleblower complaints and other legal matters; and represents the State in coalition negotiations on statewide issues such as pensions and health care benefits.

Policy Development and Planning Division improves the effectiveness of state services by ensuring the efficient use of resources through research, policy development, and interagency coordination; identifies and analyzes issues in areas such as drug abuse prevention, education and treatment, long term care financing, health and human services, crime, prison overcrowding, and youth initiatives as alternatives to violence and delinquency; reduces state expenditures for energy through innovative procurement and conservation strategies, and manages state and federal assistance programs such as energy conservation grants to schools, hospitals and non-profit agencies; develops and recommends plans and policies such as the State Capital and Facility Plan, and studies related to the reuse of state surplus properties; and coordinates and integrates the efforts of state agencies in the implementation of cross agency service

Budget-in-Detail

delivery systems, such as the Governor's High Efficiency Licensing Program initiative, designed to enhance customer service to individuals and businesses.

Outcome Measure

High Efficiency Licensing Program The Program provides a one-stop source of information for State residents seeking licensing and permitting information.				
	FY 2004	FY2005	FY2006	FY2007
Number of agencies capable of performing Internet-based electronic licensing transactions	2	3	4	4
Number of fillable forms available on the Connecticut Licensing Info Center Website	342	342	375	400

Outcome Measure

Connecticut Partnership for Long Term Care (LTC) The Partnership is a public/private alliance that aims to increase the role private insurance plays in the financing of LTC insurance while constraining the growth of the Medicaid long-term care budget.							
			FY 2004	FY2005	FY2006	FY2007	
Number of Partnership presentations information	individuals attending public or information requesting		3,300	3,500	3,600	3,800	
Number of Partnership LTC insurance policies	individuals purchasing		4,776	5,015	5,265	5,528	

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	69	22	-2	89	88	90	88	90
Other Positions Equated to Full Time								
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			5	5	6	6	6	6

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	5,495,070	6,009,880	8,055,940	8,067,221	7,389,129	8,342,169	8,290,085	8,012,245
Other Expenses	474,088	742,700	752,358	752,358	672,068	768,909	768,909	742,700
Other Current Expenses								
Energy Contingency	0	10,000,000	0	10,000,000	0	0	10,000,000	0
Automated Budget Sys & Data Base Link	43,046	93,612	94,829	64,829	63,612	96,915	66,915	63,612
Leadership, Educ, Athletics-Partnership	765,000	850,000	861,050	861,050	0	879,993	879,993	0
Cash Management Improvement Act	0	100	100	100	100	100	100	100
Justice Assistance Grants	3,035,671	3,514,514	3,559,203	3,559,203	3,514,514	3,637,527	3,637,527	3,514,514
Neighborhood Youth Centers	0	0	0	1,225,915	0	0	1,225,915	0
Licensing and Permitting Fees	0	0	0	0	500,000	0	0	500,000
Pmts to Local Governments								
Drug Enforcement Program	765,000	0	0	0	0	0	0	0
Capital City Economic Development	4,806,687	712,500	721,763	3,021,763	5,512,500	737,642	3,037,642	5,512,500
TOTAL-General Fund	15,384,562	21,923,306	14,045,243	27,552,439	17,651,923	14,463,255	27,907,086	18,345,671
Additional Funds Available								
Special Funds, Non-Appropriated	326,030	106,542	0	0	0	0	0	0
Bond Funds	7,242,357	4,944,124	2,373,535	2,373,535	2,373,535	0	0	0
Private Contributions	42,549,799	281,272	275,000	275,000	275,000	275,000	275,000	275,000
Federal Contributions								
	6,013,175	6,505,970	7,919,127	7,919,127	7,919,127	7,462,033	7,462,033	7,462,033
10664 Cooperative Forestry Assistance	0	17,582	0	0	0	0	0	0
16523 Juvenile Accountability Grants	1,432,589	3,253,057	2,345,300	2,345,300	2,345,300	656,700	656,700	656,700
16540 Juv Just/ Delinquency Prevention	568,695	145,975	128,616	128,616	128,616	126,000	126,000	126,000
16548 Title V Delinquency Prevention	142,786	245,646	0	0	0	0	0	0
16549 Part E_State Challenge Activitie	113,000	0	0	0	0	0	0	0
16550 State Justice Stat Pgm Centers	265,411	12,193	0	0	0	0	0	0
16554 Nat'l Criminal History Program	583,814	774,000	887,000	887,000	887,000	990,000	990,000	990,000
16555 Nat'l Sex Offender Reg Asst Pgm	0	247,952	0	0	0	0	0	0
16560 Natl Inst Justice Rsrch, Eval Dev	-155	42,235	45,000	45,000	45,000	45,000	45,000	45,000
16573 Criminal Justice Block Grant	20,000	50,000	50,000	50,000	50,000	50,000	50,000	50,000
16579 Byrne Formula Grant Program	1,278,416	1,670,773	409,869	409,869	409,869	21,321	21,321	21,321
16580 E Byrne Memorial Assistance	49,500	200,000	0	0	0	0	0	0
16586 Vio Offend Incarceration/Truth	2,999,385	2,917,428	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000
16588 Violence Against Women Grants	497,785	511,539	31,000	31,000	31,000	0	0	0
16589 Rural Domestic Viol/Child Vict	126,813	200,000	200,000	200,000	200,000	0	0	0
16590 Encourage Arrest Policies	388,115	200,000	200,000	200,000	200,000	200,000	200,000	200,000

16592 Local Law Enfrmnt Block Grants	432,191	91,446	0	0	0	0	0	0
16593 Subs Abuse Trtmt State Prisoners	592,090	1,059,373	1,290,000	1,290,000	1,290,000	1,475,313	1,475,313	1,475,313
16727 Enforcing Underage Drinking Laws	562,401	193,388	0	0	0	0	0	0
81039 National Energy Info Center	11,746	13,000	13,000	13,000	13,000	13,000	13,000	13,000
81041 State Energy Program	737,725	903,032	633,000	633,000	633,000	633,000	633,000	633,000
81089 Fossil Energy Research/Develop	116,346	0	0	0	0	0	0	0
81117 Energy Efficiency/Renewable Info	30,582	83,242	0	0	0	0	0	0
81119 State Energy Program Projects	20,021	280,000	300,000	300,000	300,000	200,000	200,000	200,000
83516 Disaster Assistance	348,151	300,000	133,045	133,045	133,045	0	0	0
84186 Safe Drug-Free Schools & Communi	444,250	924,133	0	0	0	0	0	0
93623 Basic Center Grant	34,000	0	0	0	0	0	0	0
93667 Social Services Block Grant	73	18,887	0	0	0	0	0	0
99136 Other Federal Assistance	130,781	1,228,266	301,231	301,231	301,231	270,000	270,000	270,000
TOTAL - All Funds	83,442,434	49,344,361	37,579,966	51,087,162	41,186,646	32,880,622	46,324,453	36,763,038

Policy Dvlpmt, Coord & Implementation**INTERGOVERNMENTAL POLICY***Statutory Reference*

C.G.S. Section 4-65a

Statement of Need and Program Objectives

To assist municipalities in improving their operations and finances; initiate and support state policy development with regard to municipalities and regional planning organizations; administer state tax relief programs and formula grant programs that benefit municipalities, companies and individuals; and collect, analyze, and publish municipal data.

Program Description

The Intergovernmental Policy Division assists in formulating state policy pertaining to the relationship between the state

and Connecticut's municipalities and regional planning organizations, and maintains ongoing relationships with municipal officials and regional stakeholders; administers and manages municipal and regional grants and local property tax relief programs; measures the property tax wealth of municipalities used in the distribution of certain state grants; monitors the fiscal integrity of municipalities through the review of annual financial audits and budgets; develops Conservation and Development Policies Plan for Connecticut; and develops and recommends policies relating to state, regional and municipal stakeholders.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Tax Relief-Elderly and Disabled Renters	30,700	34,400	35,000	35,500
Average Payment (\$)	429	435	455	476
Tax Relief-Elderly & Disabled Homeowners	39,000	38,500	38,000	38,000
Average credit (\$)	611	532	540	540
Elderly Homeowners-Freeze Program	1,307	1,164	910	850
Average credit (\$)	1,645	1,663	1,700	1,700
Real Estate Sales analyzed each year	59,500	64,500	65,000	65,000

Personnel Summary

	As of 06/30/2004	2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>
Permanent Full-Time Positions	21	12	-10	23	23	23	23
General Fund							

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	1,450,824	1,555,048	1,676,960	1,726,960	1,726,960	1,717,480	1,837,480	1,837,480
Other Expenses	162,553	188,700	191,178	191,178	188,700	195,389	195,389	188,700

Pmts to Other Than Local Governments

Tax Relief for Elderly Renters	14,196,228	14,530,320	15,923,689	15,923,689	15,923,689	16,891,590	16,891,590	16,891,590
Regional Planning Agencies	0	0	0	0	640,000	0	0	640,000

Pmts to Local Governments

Reimb Property Tax-Disability Exempt	0	250,000	530,381	530,381	530,381	576,142	576,142	576,142
Distressed Municipalities	7,000,000	7,800,000	9,000,000	9,000,000	7,800,000	9,800,000	9,800,000	7,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,899	20,505,899	23,896,350	23,896,350	20,505,899	25,110,000	25,110,000	20,505,899
Prop Tax Relief Elderly Freeze Program	2,078,984	1,950,000	1,400,000	1,400,000	1,400,000	1,200,000	1,200,000	1,200,000
Property Tax Relief for Veterans	2,932,239	2,970,099	3,689,280	3,689,280	2,970,099	4,150,500	4,150,500	2,970,099
P.I.L.O.T. New Mfg Machine & Equipment	50,729,721	50,729,721	58,500,000	58,500,000	50,729,721	60,000,000	60,000,000	50,729,721
Interlocal Agreements	80,000	0	0	0	0	0	0	0
Waste Water Treatmt Facility Host Town	0	100,000	101,300	101,300	0	103,529	103,529	0

Budget-in-Detail

Local Aid Adjustment	1,300,000	0	0	0	0	0	0	0
TOTAL-General Fund	100,436,448	100,579,787	114,909,138	114,959,138	102,415,449	119,744,630	119,864,630	103,339,631

Additional Funds Available

Bond Funds	9,899,292	10,000,000	10,000,000	10,000,000	10,000,000	10,000,000	10,000,000	10,000,000
Private Contributions	1,678,820	1,840,000	640,000	640,000	640,000	640,000	640,000	640,000

Federal Contributions

	8,373,300	90,608	0	0	0	0	0	0
11302 Eco Dev Planning Organizations	84	0	0	0	0	0	0	0
83516 Disaster Assistance	8,779	0	0	0	0	0	0	0
93623 Basic Center Grant	2,404,517	145,205	0	0	0	0	0	0
TOTAL - All Funds	122,801,240	112,655,600	125,549,138	125,599,138	113,055,449	130,384,630	130,504,630	113,979,631

Intergovernmental Policy

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	9,951,463	10,869,332	11,995,649	11,521,715	12,391,457	12,324,410
Other Positions	332,775	422,326	461,479	461,479	504,363	504,363
Other	468,571	484,147	522,372	522,372	550,192	550,192
Overtime	7,123	7,764	8,464	8,464	9,229	9,229
TOTAL-Personal Services Gross	10,759,932	11,783,569	12,987,964	12,514,030	13,455,241	13,388,194
Less Reimbursements						
Less Turnover	0	0	-639,881	-639,881	-703,869	-703,869
TOTAL-Personal Services Net	10,759,932	11,783,569	12,348,083	11,874,149	12,751,372	12,684,325

Other Expenses-Contractual Services

Dues and Subscriptions	63,753	88,396	89,546	88,396	91,517	88,396
Rentals, Storage and Leasing	63,121	75,600	76,583	75,600	78,268	75,600
Telecommunication Services	56,893	66,630	67,497	66,630	68,983	66,630
General Repairs	31,468	35,850	36,317	35,850	37,116	35,850
Motor Vehicle Expenses	7,342	9,400	9,522	9,400	9,730	9,400
Fees for Outside Professional Services	570,055	754,832	764,645	754,832	781,467	754,832
Fees for Non-Professional Services	63,786	35,500	35,963	35,500	36,754	35,500
DP Services, Rentals and Maintenance	137,240	113,810	115,290	113,810	117,826	113,810
Postage	35,397	48,250	48,878	48,250	49,952	48,250
Travel	11,300	44,310	44,887	44,310	45,874	44,310
Other Contractual Services	26,662	10,200	10,333	10,200	10,560	10,200
Advertising	16,688	15,000	15,195	15,000	15,529	15,000
Printing & Binding	54,838	170,210	172,423	170,210	176,216	170,210

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	3,979	4,750	4,826	4,750	4,932	4,750
Books	645	3,120	3,161	3,120	3,231	3,120
Maintenance and Motor Vehicle Supplies	1,381	1,530	1,576	1,530	1,616	1,530
Office Supplies	41,685	68,300	69,189	68,300	70,711	68,300
Refunds of Expenditures Not Otherwise Classified	0	1,000	1,013	1,000	1,035	1,000

Other Expenses-Sundry

Sundry - Other Items	1,092,980	279,637	300,926	269,005	307,072	339,637
TOTAL-Other Expenses Gross	2,279,213	1,826,325	1,867,770	1,815,693	1,908,389	1,886,325
Less Reimbursements						
TOTAL-Other Expenses Net	2,279,213	1,826,325	1,867,770	1,815,693	1,908,389	1,886,325

Other Current Expenses

Energy Contingency	0	10,000,000	0	0	0	0
Litigation Settlement Costs	997,642	0	0	0	0	0
Automated Budget Sys & Data Base Link	43,046	93,612	94,829	63,612	96,915	63,612
Leadership, Educ, Athletics-Partnership	765,000	850,000	861,050	0	879,993	0

Cash Management Improvement Act	0	100	100	100	100	100
Justice Assistance Grants	3,035,671	3,514,514	3,559,203	3,514,514	3,637,527	3,514,514
Licensing and Permitting Fees	0	0	0	500,000	0	500,000
TOTAL-Other Current Expenses	4,841,359	14,458,226	4,515,182	4,078,226	4,614,535	4,078,226
<i>Pmts to Other Than Local Govts</i>						
Tax Relief for Elderly Renters	14,196,228	14,530,320	15,923,689	15,923,689	16,891,590	16,891,590
Private Providers	0	12,831,532	0	0	0	0
Regional Planning Agencies	0	0	0	640,000	0	640,000
TOTAL-Pmts to Other Than Local Govts	14,196,228	27,361,852	15,923,689	16,563,689	16,891,590	17,531,590
<i>Pmts to Local Governments</i>						
Reimb Property Tax-Disability Exempt	0	250,000	530,381	530,381	576,142	576,142
Distressed Municipalities	7,000,000	7,800,000	9,000,000	7,800,000	9,800,000	7,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,899	20,505,899	23,896,350	20,505,899	25,110,000	20,505,899
Prop Tax Relief Elderly Freeze Program	2,078,984	1,950,000	1,400,000	1,400,000	1,200,000	1,200,000
Property Tax Relief for Veterans	2,932,239	2,970,099	3,689,280	2,970,099	4,150,500	2,970,099
Drug Enforcement Program	765,000	0	0	0	0	0
P.I.L.O.T. New Mfg Machine & Equipment	50,729,721	50,729,721	58,500,000	50,729,721	60,000,000	50,729,721
Interlocal Agreements	80,000	0	0	0	0	0
Capital City Economic Development	4,806,687	712,500	721,763	5,512,500	737,642	5,512,500
Waste Water Treatmt Facility Host Town	0	100,000	101,300	0	103,529	0
Local Aid Adjustment	1,300,000	0	0	0	0	0
TOTAL-Pmts to Local Governments	90,198,530	85,018,219	97,839,074	89,448,600	101,677,813	89,294,361

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	10,759,932	11,783,569	12,348,083	12,552,241	11,874,149	12,751,372	13,026,153	12,684,325
Other Expenses Net	2,279,213	1,826,325	1,867,770	1,910,067	1,815,693	1,908,389	1,950,686	1,886,325
Capital Outlay	1,000	1,000	82,500	82,500	100	82,500	82,500	100
Other Current Expenses	4,841,359	14,458,226	4,515,182	15,711,097	4,078,226	4,614,535	15,810,450	4,078,226
Payments to Other Than Local Governments	14,196,228	27,361,852	15,923,689	15,923,689	16,563,689	16,891,590	16,891,590	17,531,590
Payments to Local Governments	90,198,530	85,018,219	97,839,074	100,139,074	89,448,600	101,677,813	103,977,813	89,294,361
TOTAL-General Fund Net	122,276,262	140,449,191	132,576,298	146,318,668	123,780,457	137,926,199	151,739,192	125,474,927
<i>Additional Funds Available</i>								
Special Funds, Non-Appropriated	76,125,612	35,035,646	5,104,735	5,104,735	5,104,735	0	0	0
Bond Funds	17,469,925	15,115,616	12,543,535	12,543,535	12,625,935	10,000,000	10,000,000	10,082,400
Federal Contributions	28,726,366	22,324,930	20,886,188	20,886,188	20,886,188	18,142,367	18,142,367	18,142,367
Private Contributions	44,234,174	2,126,772	920,500	920,500	920,500	920,500	920,500	920,500
TOTAL-All Funds Net	288,832,339	215,052,155	172,031,256	185,773,626	163,317,815	166,989,066	180,802,059	154,620,194

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

DEPARTMENT OF VETERANS' AFFAIRS

AGENCY DESCRIPTION

[HTTP://WWW.STATE.CT.US/CTVA/](http://www.state.ct.us/ctva/)

The Department of Veterans' Affairs (DVA) combines a program of advocacy and assistance with the Veterans' Home in Rocky Hill. The department's mission is to serve Connecticut veterans by advocating for veterans' interests and assisting them in obtaining entitlements and benefits through the Office of Advocacy and Assistance program. In addition, the agency provides direct health, social and

rehabilitative services through the Veterans' Health Care Facility and through Residential and Rehabilitative Services programs.

Energy Conservation Statement

The Department has no stated energy conservation goals, however it is planning to undertake energy conservation activities aimed at HVAC, hot water, and lighting systems. This is a continuation of efforts made in the past two years.

AGENCY PROGRAM INDEX

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Office of Advocacy and Assistance	145	Residential and Rehabilitative Services	147

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	2005-2006	2006-2007
• Remove Inflation	-193,928	-438,667
• Transfer Equipment to CEPF	-489,700	-343,900
• Fund Non-ERIP Accruals through the RSA Account	-40,000	-42,000
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07.</i>	-6,135	-14,878
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months.</i>	0	-23,974
• Reduce Authorized Position Count by 15 Due to Unfunded Vacancies	0	0

Reallocations or Transfers

• Return IT Positions to Agencies <i>Return unionized IT personnel to their original agencies per the Governor's decision.</i>	192,482	192,482
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AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	262	67	-18	311	289	299	289	299

Other Positions Equated to Full Time

General Fund	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund	0	75	81	81	81	81

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Office of the Commissioner	12,677,237	11,357,594	13,011,350	12,799,770	12,259,350	12,323,934	12,872,169	12,346,310
Office of Advocacy and Assistance	778,933	1,069,880	1,085,995	1,107,161	1,099,231	1,109,355	1,123,112	1,107,450
Veterans' Health Care Services	16,134,089	16,944,241	17,515,160	17,442,101	17,280,215	17,780,259	17,742,756	17,439,378
Residential and Rehabilitative Svcs	1,231,836	1,500,000	1,571,613	1,579,857	1,560,330	1,586,329	1,590,099	1,571,579
TOTAL Agency Programs - All Funds Gross	30,822,095	30,871,715	33,184,118	32,928,889	32,199,126	32,799,877	33,328,136	32,464,717
Less Turnover	0	0	-175,000	-100,000	-100,000	-175,000	-100,000	-100,000
TOTAL Agency Programs - All Funds Net	30,822,095	30,871,715	33,009,118	32,828,889	32,099,126	32,624,877	33,228,136	32,364,717

Summary of Funding

General Fund Net	26,971,509	27,999,915	30,327,318	30,154,239	29,427,726	29,834,877	30,449,694	29,592,817
Soldiers, Sailors and Marines' Fund Net	223,452	251,800	261,300	254,150	250,900	269,000	257,442	250,900
Special Funds, Non-Appropriated	3,627,134	2,620,000	2,420,500	2,420,500	2,420,500	2,521,000	2,521,000	2,521,000
TOTAL Agency Programs - All Funds Net	30,822,095	30,871,715	33,009,118	32,828,889	32,099,126	32,624,877	33,228,136	32,364,717

OFFICE OF THE COMMISSIONER

Statutory Reference

C.G.S. Section 27-102I through 27-137

Statement of Need and Program Objectives

To guide the development of agency policy as well as provide administrative direction and support. To ensure compliance with laws, rules and regulations governing the operations of the department through the establishment, revision and distribution of agency policies and by reviewing the procedures used to implement those policies.

Program Description

The Office of the Commissioner provides overall administrative support to all agency programs through human resource activities such as personnel and payroll and provides fiscal/administrative services such as: budgeting; accounting;

third-party patient Medicaid and Medicare billing; veterans accounts; information technologies support in automated systems planning, development and installation; telecommunications; material management and administration of grants.

The office makes one-time payments of \$150.00 to apply against the funeral costs of eligible veterans and pays the costs of erecting headstones provided by the federal government. In addition, the Office of the Commissioner provides comprehensive short and long-term planning services, ensures compliance with affirmative action law and regulation and is responsible for safety compliance and security.

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	115	13	-6	122	130	125	130	125

Other Positions Equated to Full Time

General Fund	2003-2004	2004-2005	2005-2006	2005-2006	2005-2006	2005-2006	2006-2007	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund	0	6	6	6	6	6	6	6

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	7,634,843	7,692,024	7,818,369	8,217,157	8,200,680	7,957,471	8,288,514	8,259,640
Other Expenses	3,793,653	2,684,770	3,009,531	3,213,971	3,134,770	3,120,963	3,315,197	3,134,770

Capital Outlay

Equipment	0	1,000	1,250,150	442,492	1,000	276,500	311,016	1,000
TOTAL-General Fund	11,428,496	10,377,794	12,078,050	11,873,620	11,336,450	11,354,934	11,914,727	11,395,410

Pmts to Other Than Local Governments

Burial Expenses	0	1,800	900	900	900	900	900	900
Headstones	223,452	250,000	260,400	253,250	250,000	268,100	256,542	250,000
TOTAL-Soldiers, Sailors and Marines' Fund	223,452	251,800	261,300	254,150	250,900	269,000	257,442	250,900

Additional Funds Available

Special Funds, Non-Appropriated	1,025,289	728,000	672,000	672,000	672,000	700,000	700,000	700,000
TOTAL - All Funds	12,677,237	11,357,594	13,011,350	12,799,770	12,259,350	12,323,934	12,872,169	12,346,310

Office of the Commissioner

OFFICE OF ADVOCACY AND ASSISTANCE

Statutory Reference

C.G.S. Sections 27-102I through 27-137

Statement of Need and Program Objectives

To provide comprehensive assistance and formal representation to veterans, their spouses and/or eligible dependents in obtaining rights, benefits and privileges to which they may be entitled under federal, state and local laws.

Program Description

Connecticut has a total veteran population of approximately 310,000 men and women. Federal and state laws relative to the health and financial care of veterans and dependents are varied and complex.

The Office of Advocacy and Assistance (OAA) has five regional offices and provides advice, assistance and formal representation to the state's veterans in obtaining comprehensive rights, benefits and privileges to which they may be entitled under law. OAA has developed a *Guide to*

Benefits for Connecticut Veterans that has been in great demand throughout the state. OAA service officers have assisted thousands of veterans and brought tens of millions in new federal dollars into the state in the form of increased benefits to Connecticut veterans and their families.

These federal benefits for veterans provide a direct savings to the state where the clients are Medicaid recipients and in other cases serve to delay the time when clients may be forced to rely more heavily on state funded services. A substantial portion of the federal benefits gained for Connecticut veterans in previous years continue to be paid.

Under the OAA legislative mandate, the agency prepares studies and collects information concerning facilities and services available to veterans and conducts interviews in health care facilities to ascertain which benefits veterans are receiving or should receive. The agency cooperates with service organizations in disseminating information and furnishing counsel to resident veterans concerning the availability of educational training and retraining facilities; health, medical, rehabilitation and housing facilities and

Budget-in-Detail

services; employment and reemployment services and other rights, benefits or privileges. The agency assists in the establishment, preparation and presentation of claims pursuant to rights, benefits or privileges accruing to veterans; cooperates with all public and private agencies securing or offering services or benefits to veterans and provides formal representation in disputed claims with the U.S. Department of Veterans' Affairs.

Outcome Measure

<i>New federal veterans' benefits acquired for Connecticut veterans, families and dependents (In Millions):</i>	
Actual SFY 2001	\$25.30
Actual SFY 2002	\$33.60
Actual SFY 2003	\$33.90
Projected SFY 2004	\$36.00
Projected SFY 2005	\$38.00
Projected SFY 2006	\$40.00

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Applications filed on behalf of veterans:				
Compensation	950	950	1,000	1,000
Pension	400	400	450	450
Death Pension	180	180	200	200
Dependency Indemnity Compensation	40	40	50	50
Burial Benefit	20	20	40	40

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	14	3	-1	16	15	16	15	16

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	713,893	811,369	824,696	846,458	844,720	839,639	853,984	850,939
Other Expenses	10,565	6,511	7,299	6,703	6,511	7,536	6,948	6,511
<u>Other Current Expenses</u>								
Support Services for Veterans	0	200,000	206,000	206,000	200,000	212,180	212,180	200,000
TOTAL-General Fund	724,458	1,017,880	1,037,995	1,059,161	1,051,231	1,059,355	1,073,112	1,057,450
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	54,475	52,000	48,000	48,000	48,000	50,000	50,000	50,000
TOTAL - All Funds	778,933	1,069,880	1,085,995	1,107,161	1,099,231	1,109,355	1,123,112	1,107,450

Office of Advocacy and Assistance

VETERANS' HEALTH CARE SERVICES

Statutory Reference

C.G.S. Sections 27-1021 through 27-126

Statement of Need and Program Objectives

To deliver to Connecticut veterans a responsive system of inpatient and long-term health care and clinical support.

Program Description

The Veterans' Home programs are a major element of the State Department of Veterans' Affairs. The programs fulfill the promise to Connecticut's veterans "To Serve Those Who Served" by offering a progressive, caring continuum of health care, social and rehabilitative services dedicated to excellence.

The Health Care Facility is licensed by the state Department of Public Health for 300 chronic disease beds.

Programs include general medical care, Alzheimer's and related dementia care, hospice care, respite care, and a formal long-term substance abuse rehabilitation program. Primary care clinics are available in-house for domicile and Health Care Facility patients.

The Special Care Unit is designed to provide a safe and supportive environment for veterans in the moderate stages of Alzheimer's disease or similar dementia.

The Respite Care Program offers scheduled, temporary care to eligible veterans who are receiving care at home by family members.

The Hospice Program provides for special medical, psychological and spiritual needs of the terminally ill.

A veteran requesting care at the Rocky Hill facility must be a resident of the State of Connecticut. A veteran must have served honorably to be eligible. Applications for admission are required in advance. A fact sheet detailing admission requirements is available, along with a general information booklet. Under federal regulations, the federal Department of Veterans Affairs partially reimburses the state on a per diem basis for the care of eligible veterans at Rocky Hill. In addition, many veterans receive federal VA benefits directly as a result of limited assets and their need for medical care. Federal Medicare reimbursements are also generated through the care of hospital patients over 65 years of age and others determined to be eligible. The Veterans' inpatient facility program is a Medicaid provider. A recently developed

interdisciplinary group's goal is to facilitate ways to maximize reimbursement to the state by improving patient services, streamlining operational functioning, improving the admissions process and insuring that users get requisite

reports. This will result in significant reimbursement to the state and further enhances the capability of the facility at Rocky Hill to provide high quality rehabilitative and long-term care services at a low net cost to Connecticut taxpayers.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Patient Days in Hospital	62,500	62,500	62,500	62,500
Total Clinic Visits	7,825	7,825	8,000	8,000
Dental Treatments	9,500	9,500	9,500	9,500
Total X-Rays (Films)	2,250	2,250	2,250	2,250
Total Laboratory Analyses	140,500	140,500	140,500	140,500
Cardiopulmonary Tests & Treatments	49,000	49,000	49,000	49,000
Social Work Units of Service Programs	23,000	23,000	23,000	23,000
Pharmacy Transactions:				
Total Medication Orders Processed	167,500	167,500	160,000	150,000
Total Prescriptions Dispensed	85,000	85,000	80,000	80,000

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	111	44	-6	149	120	134	120	134
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	69	75	75	75	75

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	10,025,205	11,567,512	11,757,513	12,067,763	12,042,986	11,939,823	12,175,072	12,131,649
Other Expenses	3,561,514	3,536,729	3,964,547	3,641,062	3,536,729	4,038,436	3,773,647	3,536,729
<i>Capital Outlay</i>								
Equipment	0	0	92,600	32,776	0	31,000	23,037	0
TOTAL-General Fund	13,586,719	15,104,241	15,814,660	15,741,601	15,579,715	16,009,259	15,971,756	15,668,378
<i>Additional Funds Available</i>								
Special Funds, Non-Appropriated	2,547,370	1,840,000	1,700,500	1,700,500	1,700,500	1,771,000	1,771,000	1,771,000
TOTAL - All Funds	16,134,089	16,944,241	17,515,160	17,442,101	17,280,215	17,780,259	17,742,756	17,439,378

Veterans' Health Care Services

RESIDENTIAL AND REHABILITATIVE SERVICES

Statutory Reference

C.G.S. Sections 27-102l through 27-126

Statement of Need and Program Objectives

To provide veterans with a domiciliary level of care, substance abuse treatment and associated services to facilitate rehabilitation and a return to independent living whenever possible.

Program Description

The basic philosophy of the Residential and Rehabilitative Services (RRS) program is to facilitate rehabilitation of all its admittees to the greatest extent possible and at the fastest rate possible. This is accomplished through the Veterans Improvement Program (VIP). Rehabilitation includes substance abuse treatment, educational and vocational services, job service and transitional living. The ultimate goal is to return as many patients as possible to society as sober, productive citizens, capable of independent living.

The Veterans Educational Center (VEC) is the focal point and catalyst for the VIP. The VEC is a collaboration between the Connecticut DVA, the community-technical colleges of Connecticut, the Center for Business Services at Capitol

Community-Technical College and the Town of Rocky Hill. The mission of these programs is to provide the education, skills-building and support services required for veterans to achieve independence and integration into the community. Job-training certificate programs, college-credit general education courses and enrichment courses are offered to eligible veterans, along with counseling and job-search skills, under the guidance of a vocational rehabilitation counselor.

The Residential and Rehabilitative Services program also offers a Prospective Apprenticeship Program, a "head start" for those interested in skilled trades, such as plumber, heating technician, electrician, locksmith, painter, mason and more.

The Substance Abuse Rehabilitation Program provides education, motivation and environmental support for veterans seeking recovery from the effects of substance abuse.

A transitional living component is available for those who have completed the VIP courses of treatment and who have obtained full time employment. An alternative living facility is available to discharged residents who have successfully completed transitional living.

Budget-in-Detail

The domicile is certified by the Federal Department of Veterans Affairs and has 487 licensed beds.

An extensive rehabilitative initiative has been developed within the RRS program. The main goal is to return veterans to their respective communities with the financial, educational and vocational resources to be successful. The department has tracked the percentage of those program participants who have successfully returned to the community.

Outcome Measure

An extensive rehabilitative initiative has been developed within the RRS program. The main goal is to return veterans to their respective communities with the financial, educational and vocational resources to be successful. The department has tracked the percentage of those program participants who have successfully returned to the community:

<u>Percentage Returned to the Community</u>	
SFY 2001	30%
SFY 2002	27%
SFY 2003	21%
<u>Projected Percentage Returned to the Community</u>	
SFY 2004	30%
SFY 2005	30%
SFY 2006	32%

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Domicile Occupancy Rate (%)	272/388 (71%)	272/388 (70%)	275/358 (77%)	280/358 (78%)
Veterans' Recovery Unit (%)	60/84 (71%)	60/84 (71%)	50/84 (60%)	60/84 (71%)
Transitional Living Unit (%)	18/26 (69%)	18/26 (69%)	19/28 (68%)	20/28 (71%)
Alternative Living Unit (%)	18/21 (86%)	18/21 (86%)	15/21 (71%)	15/21 (71%)

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	22	7	-5	24	24	24	24	24

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,185,790	1,467,718	1,491,826	1,531,191	1,528,048	1,511,626	1,544,807	1,539,297
Other Expenses	46,046	32,282	36,187	33,234	32,282	37,303	34,445	32,282
<u>Capital Outlay</u>								
Equipment	0	0	43,600	15,432	0	37,400	10,847	0
TOTAL-General Fund	1,231,836	1,500,000	1,571,613	1,579,857	1,560,330	1,586,329	1,590,099	1,571,579

Residential and Rehabilitative Svcs

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	12,814,688	13,519,225	14,858,704	14,416,576	15,029,059	14,530,049
Other Positions	4,398,214	5,039,269	4,502,200	5,261,858	4,625,000	5,314,476
Other	662,207	909,941	951,500	938,000	984,000	937,000
Overtime	1,684,622	2,070,188	1,580,000	2,000,000	1,610,500	2,000,000
TOTAL-Personal Services Gross	19,559,731	21,538,623	21,892,404	22,616,434	22,248,559	22,781,525
Less Reimbursements						
Less Turnover	0	0	-175,000	-100,000	-175,000	-100,000
TOTAL-Personal Services Net	19,559,731	21,538,623	21,717,404	22,516,434	22,073,559	22,681,525

Other Expenses-Contractual Services

Utility Services	1,057,811	416,918	367,560	416,918	377,852	416,918
Rentals, Storage and Leasing	75,188	76,166	118,650	76,166	118,750	76,166
Telecommunication Services	69,179	69,835	81,500	69,835	84,425	69,835
General Repairs	192,237	130,685	140,751	130,685	145,327	130,685
Motor Vehicle Expenses	72,462	65,000	66,000	65,000	72,000	65,000
Fees for Outside Professional Services	3,224,946	2,914,159	2,386,400	2,914,159	2,437,850	2,914,159
Fees for Non-Professional Services	400,289	356,118	382,100	356,118	393,250	356,118

Postage	3,133	3,173	3,305	3,173	3,385	3,173
Travel	13,863	0	0	0	0	0
Other Contractual Services	461,062	469,138	1,477,850	469,138	1,499,600	469,138
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	1,196,096	1,152,031	1,315,900	1,552,031	1,368,800	1,552,031
Clothing and Personal Supplies	8,319	8,427	9,100	8,427	9,600	8,427
Maintenance and Motor Vehicle Supplies	167,437	169,509	179,249	169,509	184,750	169,509
Medical Supplies	69,291	316,484	390,000	366,484	405,600	366,484
Fuel	308,539	20,000	0	20,000	0	20,000
Office Supplies	89,747	90,454	96,299	90,454	100,049	90,454
Highway Supplies	783	780	800	780	800	780
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	1,396	1,415	2,100	1,415	2,200	1,415
TOTAL-Other Expenses Gross	7,411,778	6,260,292	7,017,564	6,710,292	7,204,238	6,710,292
Less Reimbursements						
TOTAL-Other Expenses Net	7,411,778	6,260,292	7,017,564	6,710,292	7,204,238	6,710,292
<i>Other Current Expenses</i>						
Support Services for Veterans	0	200,000	206,000	200,000	212,180	200,000
TOTAL-Other Current Expenses	0	200,000	206,000	200,000	212,180	200,000

AGENCY FINANCIAL SUMMARY - SOLDIERS', SAILORS' AND MARINES' FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Pmts to Other Than Local Govts</i>						
Burial Expenses	0	1,800	900	900	900	900
Headstones	223,452	250,000	260,400	250,000	268,100	250,000
TOTAL-Pmts to Other Than Local Govts	223,452	251,800	261,300	250,900	269,000	250,900

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	19,559,731	21,538,623	21,717,404	22,562,569	22,516,434	22,073,559	22,762,377	22,681,525
Other Expenses Net	7,411,778	6,260,292	7,017,564	6,894,970	6,710,292	7,204,238	7,130,237	6,710,292
Capital Outlay	0	1,000	1,386,350	490,700	1,000	344,900	344,900	1,000
Other Current Expenses	0	200,000	206,000	206,000	200,000	212,180	212,180	200,000
TOTAL-General Fund Net	26,971,509	27,999,915	30,327,318	30,154,239	29,427,726	29,834,877	30,449,694	29,592,817
Payments to Other Than Local Governments	223,452	251,800	261,300	254,150	250,900	269,000	257,442	250,900
TOTAL-Soldiers, Sailors and Marines' Fund Net	223,452	251,800	261,300	254,150	250,900	269,000	257,442	250,900
<i>Additional Funds Available</i>								
Special Funds, Non-Appropriated	3,627,134	2,620,000	2,420,500	2,420,500	2,420,500	2,521,000	2,521,000	2,521,000
TOTAL-All Funds Net	30,822,095	30,871,715	33,009,118	32,828,889	32,099,126	32,624,877	33,228,136	32,364,717

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

OFFICE OF WORKFORCE COMPETITIVENESS

AGENCY DESCRIPTION

The Office of Workforce Competitiveness (OWC) was established in law by PA 00-192. The Act recognizes how essential a skilled workforce is to Connecticut's continued economic health and development. The OWC, headed by a Director who reports directly to the Governor, provides liaison between the Governor and various federal, state and local entities involved in workforce development issues; staff support to the Connecticut Employment and Training Commission (CETC) and the Governor's JOBS Cabinet

The CETC, which is a partnership of appointed private and public sector volunteers, oversees the development of statewide workforce development policy. The Governor's JOBS Cabinet guides the implementation of integrated, coordinated, multi-agency education and training programs and services that are responsive to customers and labor force needs. A major task of the OWC is to oversee implementation of the federal Workforce Investment Act of 1998 on behalf of the Governor, the JOBS Cabinet and the CETC.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-39,683	-107,696
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-5,496	-8,728
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-5,076
• Reduce CETC Workforce	-360,844	-370,763
• Increase Turnover	-96,286	-101,924
• Carryforward FY05 Funds	-49,500	0

New or Expanded Services

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>
• Increase Funding for Jobs Funnel Program <i>This joint public/private effort has created jobs in the construction trades and other occupations. Many of the graduates have gone from welfare, homeless shelters and chronic unemployment to high paying jobs and rewarding careers.</i>	1,000,000	1,000,000	0
• Provide Funding for Connecticut Career Choices (CCC) <i>This initiative focuses on fostering interest in technology careers by students, adapting curriculum to industry-recognized skill standards in specific technology areas and creating greater ties between businesses and education.</i>	800,000	800,000	0
• Provide Funding for Connecticut Career Ladder Pilot Program <i>The Career Ladder Pilot establishes a continuum of educational and training programs that lead to career advancement in occupations with projected workforce shortages, such as healthcare, childcare and technology.</i>	500,000	500,000	0
• Provide Funding for Small Business Innovation Research Initiative and Micro Loans <i>This initiative will assist Connecticut companies in pursuing federal research & development funding through a state clearinghouse and technical assistance function.</i>	250,000	250,000	0

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	3	2	0	5	5	5	5	5

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Office of Workforce Competitiveness	3,327,644	3,478,590	3,608,440	3,618,214	5,712,691	3,707,626	3,717,483	5,775,220
TOTAL Agency Programs - All Funds Gross	3,327,644	3,478,590	3,608,440	3,618,214	5,712,691	3,707,626	3,717,483	5,775,220
Less Turnover	0	0	0	-11,342	-107,628	0	-11,342	-113,266
TOTAL Agency Programs - All Funds Net	3,327,644	3,478,590	3,608,440	3,606,872	5,605,063	3,707,626	3,706,141	5,661,954
<u>Summary of Funding</u>								
General Fund Net	3,077,644	3,478,590	3,608,440	3,606,872	5,605,063	3,707,626	3,706,141	5,661,954
Private Contributions	250,000	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	3,327,644	3,478,590	3,608,440	3,606,872	5,605,063	3,707,626	3,706,141	5,661,954

OFFICE OF WORKFORCE COMPETITIVENESS

Statutory Reference

PA 00-192

Statement of Need and Program Objectives

To initiate, coordinate and collaborate in the development of a comprehensive, statewide workforce development strategy that responds to the needs of current and future workers, as well as the state's employers.

Program Description

The Office of Workforce Competitiveness (OWC) tests and demonstrates model workforce development strategies to improve programs and services for youths, students, job seekers, workers and employers; and assists the Workforce Investment Boards, the CETC and the JOBS Cabinet, in building their capacity to meet the various requirements and responsibilities of the Workforce Investment Act (WIA).

On behalf of the CETC and the JOBS Cabinet, the office: supports and guides an Information Technology workforce development strategic planning and implementation initiative involving the education community and the state's employers; supports the CETC Youth Committee in its policy guidance and oversight of the implementation of a comprehensive and integrated strategy for workforce and career development for youth, with particular attention to those who are at risk, out of school, or involved with the justice system; coordinates and facilitates the design, development and implementation of a comprehensive One-Stop delivery system in the State of Connecticut; coordinates and facilitates the design of the Connecticut Career Choices program which builds career pathways for students in emerging and growth industries and facilitates the development of innovative workforce development programs, including job funnel initiatives.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	3	2	0	5	5	5	5	5

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	355,914	424,937	515,494	526,587	487,546	546,657	557,863	544,059
Other Expenses	213,622	501,853	509,796	508,377	485,889	520,990	519,541	501,824

Capital Outlay

Equipment	0	1,800	0	100	100	0	100	100
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Other Current Expenses

CETC Workforce	2,435,590	2,550,000	2,583,150	2,583,150	2,189,156	2,639,979	2,639,979	2,179,237
Jobs Funnel Projects	72,518	0	0	0	1,000,000	0	0	1,000,000
SBIR Initiative	0	0	0	0	250,000	0	0	250,000
Connecticut Career Choices	0	0	0	0	800,000	0	0	800,000
Career Ladder Pilot Programs	0	0	0	0	500,000	0	0	500,000
TOTAL-General Fund	3,077,644	3,478,590	3,608,440	3,618,214	5,712,691	3,707,626	3,717,483	5,775,220

Additional Funds Available

Private Contributions	250,000	0	0	0	0	0	0	0
TOTAL - All Funds	3,327,644	3,478,590	3,608,440	3,618,214	5,712,691	3,707,626	3,717,483	5,775,220

Office of Workforce Competitiveness

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	322,574	368,271	507,594	479,880	538,507	536,010
Other	33,340	56,666	7,900	7,666	8,150	8,049
TOTAL-Personal Services Gross	355,914	424,937	515,494	487,546	546,657	544,059
Less Reimbursements						
Less Turnover	0	0	0	-107,628	0	-113,266
TOTAL-Personal Services Net	355,914	424,937	515,494	379,918	546,657	430,793

Other Expenses-Contractual Services

Dues and Subscriptions	617	1,000	1,014	1,000	1,036	1,000
Rentals, Storage and Leasing	34,593	39,000	67,052	39,000	68,141	39,000
Telecommunication Services	14,836	20,665	20,934	20,665	21,395	20,665
General Repairs	388	147	507	147	518	147
Fees for Outside Professional Services	154,385	424,398	402,370	408,434	411,609	424,369
Fees for Non-Professional Services	1,551	4,000	4,052	4,000	4,141	4,000
Postage	3,041	3,200	3,242	3,200	3,313	3,200
Travel	1,109	3,500	3,546	3,500	3,624	3,500

Budget-in-Detail

Other Expenses-Commodities

Office Supplies	2,705	4,943	6,079	4,943	6,213	4,943
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Other Expenses-Sundry

Sundry - Other Items	397	1,000	1,000	1,000	1,000	1,000
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TOTAL-Other Expenses Gross	213,622	501,853	509,796	485,889	520,990	501,824
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Less Reimbursements

TOTAL-Other Expenses Net	213,622	501,853	509,796	485,889	520,990	501,824
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Other Current Expenses

CETC Workforce	2,435,590	2,550,000	2,583,150	2,189,156	2,639,979	2,179,237
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Jobs Funnel Projects	72,518	0	0	1,000,000	0	1,000,000
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SBIR Initiative	0	0	0	250,000	0	250,000
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Connecticut Career Choices	0	0	0	800,000	0	800,000
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Career Ladder Pilot Programs	0	0	0	500,000	0	500,000
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TOTAL-Other Current Expenses	2,508,108	2,550,000	2,583,150	4,739,156	2,639,979	4,729,237
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Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	355,914	424,937	515,494	515,245	379,918	546,657	546,521	430,793
Other Expenses Net	213,622	501,853	509,796	508,377	485,889	520,990	519,541	501,824
Capital Outlay	0	1,800	0	100	100	0	100	100
Other Current Expenses	2,508,108	2,550,000	2,583,150	2,583,150	4,739,156	2,639,979	2,639,979	4,729,237
TOTAL-General Fund Net	3,077,644	3,478,590	3,608,440	3,606,872	5,605,063	3,707,626	3,706,141	5,661,954

Additional Funds Available

Private Contributions	250,000	0	0	0	0	0	0	0
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TOTAL-All Funds Net	3,327,644	3,478,590	3,608,440	3,606,872	5,605,063	3,707,626	3,706,141	5,661,954
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Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

DEPARTMENT OF ADMINISTRATIVE SERVICES

AGENCY DESCRIPTION

[HTTP://WWW.DAS.STATE.CT.US/](http://www.das.state.ct.us/)

The Department of Administrative Services (DAS) coordinates the purchase of goods and services; provides document management services; provides policy direction and support for statewide human resources administration; distributes surplus property; orders and distributes federally donated

foods; manages the state's fleet of vehicles; investigates and collects money owed for care received in state institutions and assists state agencies with communications, affirmative action, human resources and fiscal services.

AGENCY PROGRAM INDEX

Office of the Commissioner	154	Strategic Resource Management	155
Human Resource Management	154	Business Enterprises	156
Financial Services	155		

RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<u>2005-2006</u>	<u>2006-2007</u>		
• Remove Inflation	-96,555	-269,848		
• Transfer Equipment to CEPF	-187,000	-187,000		
• Fund Non-ERIP Accruals through RSA Account	-200,000	-180,000		
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-10,385	-25,600		
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-93,421		
• Reduce Funded Vacancies	-773,875	-788,050		
• Carryforward FY05 Funds	-203,734	0		
<i>Reallocations or Transfers</i>				
• Reallocate CORE Position from DOIT to DAS	111,111	113,248		
• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision</i>	1,429,305	1,429,305		
<i>New or Expanded Services</i>	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>	
• Add Positions for CORE Expansions	379,220	463,772	486,961	

AGENCY PROGRAMS

<i>Personnel Summary</i>	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	215	67	-55	227	208	240	208	241
General Services	113	11	0	124	124	124	124	124
<i>Agency Programs by Total Funds (Net of Reimbursements)</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Office of the Commissioner	4,583,669	4,205,328	4,722,044	5,123,350	4,758,998	4,872,063	5,261,793	4,923,505
Human Resource Management	6,664,880	6,969,607	8,157,754	7,364,445	7,229,303	8,567,211	7,559,588	7,308,285
Financial Services	5,491,714	5,059,415	5,596,726	6,182,821	5,944,114	5,671,191	6,251,749	6,063,303
Strategic Resource Management	3,536,624	2,894,977	3,049,902	3,421,786	3,290,859	3,170,189	3,547,827	3,440,453
Business Enterprises	4,597,747	3,062,466	2,586,891	2,715,148	2,603,058	2,621,154	2,744,796	2,663,308
TOTAL Agency Programs - All Funds Gross	24,874,634	22,191,793	24,113,317	24,807,550	23,826,332	24,901,808	25,365,753	24,398,854
Less Turnover	0	0	0	-181,316	-181,316	0	-181,316	-181,316
TOTAL Agency Programs - All Funds Net	24,874,634	22,191,793	24,113,317	24,626,234	23,645,016	24,901,808	25,184,437	24,217,538
<i>Summary of Funding</i>								
General Fund Net	24,260,824	22,191,793	24,113,317	24,626,234	23,645,016	24,901,808	25,184,437	24,217,538
Bond Funds	361,828	0	0	0	0	0	0	0
Federal Contributions	178,513	0	0	0	0	0	0	0
Private Contributions	73,469	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	24,874,634	22,191,793	24,113,317	24,626,234	23,645,016	24,901,808	25,184,437	24,217,538

OFFICE OF THE COMMISSIONER

Statutory Reference

C.G.S. Sections 4a-1, 4a-2, PA 4-14

Statement of Need and Program Objectives

To direct and support the DAS business centers and to provide communications and affirmative action services as well as legal and business advice.

Program Description

The Communications Office provides communications and media support to all DAS businesses and to numerous other state agencies.

The Business Advisory Group (BAG) provides legal support to DAS and employment policy information and affirmative action services to DAS as well as to other state agencies. BAG provides insurance to local housing authorities through the Master Insurance Program and also operates the Contractor Pre-qualification Program.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	55	19	-26	48	44	52	44	52
General Services	4	0	0	4	4	4	4	4

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	3,315,363	3,477,448	3,530,404	4,189,280	4,038,237	3,645,269	4,307,156	4,180,153
Other Expenses	794,129	245,875	440,585	288,812	268,756	450,486	298,659	291,347
<u>Capital Outlay</u>								
Equipment	1,000	1,000	246,000	188,000	1,000	246,000	188,000	1,000
<u>Other Current Expenses</u>								
Quality of Work-Life	119,759	350,000	367,500	354,550	350,000	385,875	362,350	350,000
Hospital Billing System	329,522	131,005	137,555	102,708	101,005	144,433	105,628	101,005
TOTAL-General Fund	4,559,773	4,205,328	4,722,044	5,123,350	4,758,998	4,872,063	5,261,793	4,923,505

Additional Funds Available

Bond Funds	23,896	0	0	0	0	0	0	0
TOTAL - All Funds	4,583,669	4,205,328	4,722,044	5,123,350	4,758,998	4,872,063	5,261,793	4,923,505

Office of the Commissioner

HUMAN RESOURCE MANAGEMENT

Statutory Reference

C.G.S. Section 5-200

Statement of Need and Program Objectives

To assist state agencies through the delivery of cost effective, innovative and timely human resource services and products.

Program Description

Human Resource Management designs and manages human resource systems; administers the statewide Workers'

Compensation System; provides quality control and auditing of personnel transactions and provides comprehensive services in the areas of organizational design, classification, staffing, objective job evaluation, compensation, human resource planning, workforce development and the administration of human resource laws and regulations.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Workers Compensation payments - all agencies	75.8 M	78.4 M	89.1 M	98.8 M

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	16	11	-7	20	17	23	17	23
General Services	1	0	0	1	1	1	1	1

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	1,202,649	1,269,911	1,361,519	1,563,108	1,504,858	1,424,652	1,630,119	1,580,484
Other Expenses	62,087	15,423	65,855	43,169	40,172	67,304	44,621	43,528

Other Current Expenses

Loss Control Risk Management	167,814	309,157	659,157	313,176	309,157	692,115	320,066	309,157
Employees' Review Board	45,000	52,630	56,000	53,314	52,630	59,080	54,487	52,630
W. C. Administrator	5,174,000	5,322,486	5,615,223	5,391,678	5,322,486	5,924,060	5,510,295	5,322,486
WORKERS COMPENSATION SELECTIVE DUTY(RTW)PR	0	0	400,000			400,000		
TOTAL-General Fund	6,651,550	6,969,607	8,157,754	7,364,445	7,229,303	8,567,211	7,559,588	7,308,285

Additional Funds Available

Bond Funds	13,330	0	0	0	0	0	0	0
TOTAL - All Funds	6,664,880	6,969,607	8,157,754	7,364,445	7,229,303	8,567,211	7,559,588	7,308,285

Human Resource Management**FINANCIAL SERVICES***Statutory Reference:*

C.G.S. Section 4a-12

Statement of Need and Program Objectives:

To provide comprehensive financial management services to DAS as well as to small agencies and to maximize revenue through the collection of money owed the state.

Program Description:

Financial Services provides budgeting, accounting, purchasing and property management services to DAS and to small agencies; administers the statewide Workers' Compensation

System; and investigates, bills and collects for services provided by the Department of Mental Health and Addiction Services, the Department of Children and Families, the Department of Mental Retardation, the Department of Veterans' Affairs as well as the Department of Education's School Based Child Health Program. Financial Services administers approximately 1200 trust accounts on behalf of individuals residing in state facilities and collects the repayment of public assistance benefits paid by the Department of Social Services.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Dollars collected	770 M	779 M	787 M	802 M

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	81	12	-7	86	82	88	82	89
General Services	7	0	0	7	7	7	7	7

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,739,213	4,909,976	5,129,656	5,889,164	5,669,701	5,189,944	5,938,452	5,757,633
Other Expenses	410,529	129,439	417,070	273,397	254,413	426,247	282,591	275,670

Other Current Expenses

Refunds of Collections	42,951	20,000	50,000	20,260	20,000	55,000	30,706	30,000
TOTAL-General Fund	5,192,693	5,059,415	5,596,726	6,182,821	5,944,114	5,671,191	6,251,749	6,063,303

Additional Funds Available

Bond Funds	299,021	0	0	0	0	0	0	0
TOTAL - All Funds	5,491,714	5,059,415	5,596,726	6,182,821	5,944,114	5,671,191	6,251,749	6,063,303

Financial Services**STRATEGIC RESOURCE MANAGEMENT***Statutory Reference*

C.G.S. Sections 5-200, 4a-2 and 5-199c

Statement of Need and Program Objectives

To assist state agencies through the delivery of cost effective, innovative and timely human resource services; to manage statewide HR planning and the HR Learning Center; to coordinate strategic planning, quality improvement and performance measurement at DAS; and to develop and implement management information solutions.

Program Description

Strategic Resources Management designs and manages human resource systems and provides comprehensive services in the areas of organizational design, classification, staffing, objective job evaluation, compensation, human resource planning, workforce development and the administration of human resource laws and regulations.

Strategic Resources Management offers training through the HR Learning Center; coordinates statewide HR planning, DAS strategic planning and the agency business plan; applies

process and quality improvement techniques and practices including project management, process mapping and the assessment and improvement of customer and employee

satisfaction; measures and tracks agency performance; and, in cooperation with the Department of Information Technology, provides MIS support and automation solutions.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Employee satisfaction- % of DAS employees who are satisfied or very satisfied	65.0%	66.90%	66.90%	66.90%

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	33	13	-9	37	33	39	33	39

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,742,158	2,856,736	2,888,107	3,315,726	3,192,164	3,004,836	3,438,202	3,333,513
Other Expenses	139,635	38,241	161,795	106,060	98,695	165,353	109,625	106,940
<u>Other Current Expenses</u>								
Placement and Training	562,010	0	0	0	0	0	0	0
TOTAL-General Fund	3,443,803	2,894,977	3,049,902	3,421,786	3,290,859	3,170,189	3,547,827	3,440,453
<u>Additional Funds Available</u>								
Bond Funds	25,581	0	0	0	0	0	0	0
Federal Contributions								
17720 Emp Pgm/People with Disabilities	67,240	0	0	0	0	0	0	0
TOTAL - All Funds	3,536,624	2,894,977	3,049,902	3,421,786	3,290,859	3,170,189	3,547,827	3,440,453

Strategic Resource Management**BUSINESS ENTERPRISES***Statutory Reference:*

C.G.S. Sections 4a-4, 4a-5, 4a-50, 4a-51, 4a-67 and 4a-68

Statement of Need and Program Objectives:

To design, produce and deliver high quality, cost effective support services and products.

Program Description:

Business Enterprises is responsible for managing the statewide procurement of goods and services and related activities such as contract administration, quality assurance and advocacy for small and minority businesses; providing

vehicles to state agencies and establishing fleet policies; providing document management services including distribution and metering of US Postal Service mail, courier service for interdepartmental mail and printing, duplicating and addressing services; ordering and distributing federally donated foods to schools and charitable institutions and operating the Property Distribution Center, which recycles and disposes of surplus state property and distributes federal surplus property to state agencies, municipalities and eligible non-profit organizations.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	30	12	-6	36	32	38	32	38
General Services	101	11	0	112	112	112	112	112

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,176,250	2,296,839	2,069,651	2,376,088	2,287,542	2,092,553	2,394,347	2,321,442
Other Expenses	2,236,755	765,627	517,240	339,060	315,516	528,601	350,449	341,866
TOTAL-General Fund	4,413,005	3,062,466	2,586,891	2,715,148	2,603,058	2,621,154	2,744,796	2,663,308
<u>Additional Funds Available</u>								
Private Contributions	73,469	0	0	0	0	0	0	0
Federal Contributions								
10550 Food Donation	111,273	0	0	0	0	0	0	0
TOTAL - All Funds	4,597,747	3,062,466	2,586,891	2,715,148	2,603,058	2,621,154	2,744,796	2,663,308

Business Enterprises

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007		
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>		
<i>Personal Services</i>								
Permanent Fulltime Positions	13,236,693	13,846,379	13,616,625	15,602,900	13,936,178	16,026,600		
Other Positions	644,592	684,581	722,232	722,232	761,954	761,954		
Other	274,518	282,503	641,909	369,923	659,364	387,224		
Overtime	19,830	20,447	21,571	20,447	22,758	20,447		
TOTAL-Personal Services Gross	14,175,633	14,833,910	15,002,337	16,715,502	15,380,254	17,196,225		
Less Reimbursements	0	-23,000	-23,000	-23,000	-23,000	-23,000		
Less Turnover	0	0	0	-181,316	0	-181,316		
TOTAL-Personal Services Net	14,175,633	14,810,910	14,979,337	16,511,186	15,357,254	16,991,909		
<i>Other Expenses-Contractual Services</i>								
Dues and Subscriptions	26,509	9,149	26,875	9,149	27,466	9,149		
Rentals, Storage and Leasing	54,954	18,700	57,478	32,032	58,743	32,032		
Telecommunication Services	79,460	27,725	85,240	34,356	87,115	34,356		
General Repairs	27,507	9,464	29,260	9,464	29,902	9,464		
Motor Vehicle Expenses	7,268	2,508	6,675	2,508	7,052	2,508		
Fees for Outside Professional Services	2,211,882	718,188	490,892	424,675	501,692	424,675		
Fees for Non-Professional Services	81,942	28,161	95,675	28,161	97,779	28,161		
DP Services, Rentals and Maintenance	753,626	249,816	394,900	210,388	403,571	292,187		
Postage	117,774	40,638	141,150	73,393	144,255	73,393		
Travel	36,469	11,759	34,500	17,275	35,260	17,275		
Other Contractual Services	22,283	7,643	23,425	7,643	23,938	7,643		
Advertising	57,327	19,782	59,000	30,000	60,298	30,000		
Printing & Binding	22,510	7,767	22,800	10,000	23,302	10,000		
<i>Other Expenses-Commodities</i>								
Agriculture, Horticulture, Dairy & Food	5,510	1,901	5,725	1,901	5,851	1,901		
Books	3,280	1,128	3,425	1,128	3,501	1,128		
Clothing and Personal Supplies	163	56	215	56	219	56		
Maintenance and Motor Vehicle Supplies	462	159	510	159	523	159		
Office Supplies	103,927	38,494	115,600	58,697	118,143	58,697		
Refunds of Expenditures Not Otherwise Classified	2,601	897	2,710	897	2,769	897		
<i>Other Expenses-Sundry</i>								
Sundry - Other Items	27,472	2,144	6,490	27,144	6,612	27,144		
TOTAL-Other Expenses Gross	3,642,926	1,196,079	1,602,545	979,026	1,637,991	1,060,825		
Less Reimbursements	0	-1,474	0	-1,474	0	-1,474		
TOTAL-Other Expenses Net	3,642,926	1,194,605	1,602,545	977,552	1,637,991	1,059,351		
<i>Other Current Expenses</i>								
Loss Control Risk Management	167,814	309,157	659,157	309,157	692,115	309,157		
Employees' Review Board	45,000	52,630	56,000	52,630	59,080	52,630		
Placement and Training	562,010	0	0	0	0	0		
Quality of Work-Life	119,759	350,000	367,500	350,000	385,875	350,000		
Refunds of Collections	42,951	20,000	50,000	20,000	55,000	30,000		
W. C. Administrator	5,174,000	5,322,486	5,615,223	5,322,486	5,924,060	5,322,486		
Hospital Billing System	329,522	131,005	137,555	101,005	144,433	101,005		
Workers' Compensation Selective Duty	0	0	400,000		400,000			
TOTAL-Other Current Expenses	6,441,056	6,185,278	7,285,435	6,155,278	7,660,563	6,165,278		
<i>Character & Major Object Summary</i>								
	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	14,175,633	14,810,910	14,979,337	17,152,050	16,511,186	15,357,254	17,526,960	16,991,909
Other Expenses Net	3,643,135	1,194,605	1,602,545	1,050,498	977,552	1,637,991	1,085,945	1,059,351
Capital Outlay	1,000	1,000	246,000	188,000	1,000	246,000	188,000	1,000
Other Current Expenses	6,441,056	6,185,278	7,285,435	6,235,686	6,155,278	7,660,563	6,383,532	6,165,278
TOTAL-General Fund Net	24,260,824	22,191,793	24,113,317	24,626,234	23,645,016	24,901,808	25,184,437	24,217,538

Budget-in-Detail

Additional Funds Available

Bond Funds	361,828	0	0	0	0	0	0	0
Federal Contributions	178,513	0	0	0	0	0	0	0
Private Contributions	73,469	0	0	0	0	0	0	0
TOTAL-All Funds Net	<u>24,874,634</u>	<u>22,191,793</u>	<u>24,113,317</u>	<u>24,626,234</u>	<u>23,645,016</u>	<u>24,901,808</u>	<u>25,184,437</u>	<u>24,217,538</u>

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

DEPARTMENT OF INFORMATION TECHNOLOGY

AGENCY DESCRIPTION

[HTTP://WWW.DOIT.STATE.CT.US/](http://www.doit.state.ct.us/)

The Department of Information Technology (DOIT) was created in July 1997 to provide statewide leadership in the effective use of information technology (IT), to build a statewide IT infrastructure to support the integration of information systems and to direct the development of IT systems to meet business needs from a statewide perspective.

DOIT's mission is to effectively manage information technology in the State to ensure that the state's use of technology maximizes the effectiveness of core state programs and takes full advantage of all efficiencies which current and future technology may provide.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-119,393	-323,036
• Carryforward FY05 Funds	-2,434,300	0
• Use FY05 Funds for CORE Overtime	-400,000	0
• Reduce CORE Overtime	-44,225	0
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-59,076
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-3,955	-9,749

Reallocations or Transfers

• Reallocate CORE Positions from DOIT to OSC and DAS	-342,009	-348,647
• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision</i>	-16,824,217	-16,833,410

New or Expanded Services

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>
• Fund Operating Expenses for CT Education Network	3,460,747	3,739,119	3,851,293
• Enhance CORE Technical Support	134,468	272,225	280,392

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	26	10	282	318	91	75	91	77
Special Transportation Fund	0	6	65	71	0	2	0	2
Banking Fund	0	0	5	5	0	2	0	2
Insurance Fund	0	0	5	5	0	1	0	1
Technical Services	262	11	0	273	273	230	273	230

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	2	1	1	1	1
Technical Services	3	3	3	3	3	3

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Information and Technology	79,366,331	124,250,451	126,385,360	108,192,865	108,444,198	128,099,504	109,205,472	112,476,308
TOTAL Agency Programs - All Funds Gross	79,366,331	124,250,451	126,385,360	108,192,865	108,444,198	128,099,504	109,205,472	112,476,308
Less Turnover								
TOTAL Agency Programs - All Funds Net	79,366,331	124,250,451	126,385,360	108,192,865	108,444,198	128,099,504	109,205,472	112,476,308

Summary of Funding

General Fund Net	7,807,534	32,378,548	33,758,933	15,566,438	15,817,771	34,426,874	15,532,842	18,803,678
Technical Services	60,960,000	80,203,778	81,246,427	81,246,427	81,246,427	82,302,630	82,302,630	82,302,630
Special Funds, Non-Appropriated	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000
Bond Funds	0	271,341	0	0	0	0	0	0
Federal Contributions	98,519	0	0	0	0	0	0	0
Private Contributions	278	896,784	880,000	880,000	880,000	870,000	870,000	870,000
TOTAL Agency Programs - All Funds Net	79,366,331	124,250,451	126,385,360	108,192,865	108,444,198	128,099,504	109,205,472	112,476,308

INFORMATION TECHNOLOGY

Statutory Reference

Public Act 97-9, June 18 Special Session

Statement of Need and Program Objectives

To establish the State of Connecticut as a leader in the effective use of information technology (IT); to direct the development of IT systems to meet the common business and technology needs of multiple state agencies; to consolidate technologies and IT services and establish statewide technology standards and to build an information infrastructure for the use of citizens and public agencies.

Program Description

The Department of Information Technology is responsible for the planning of agency telecommunications and information systems and advising agencies on the use of information technology in meeting business objectives.

In discharging its responsibilities, the Department: develops statewide technology standards for incorporation into agency technology operations and planning, which will ensure

consistency across the enterprise, minimize incompatibility between state agency technology systems and improve the state's ability to use new technologies to improve operations in a cost-effective manner; plays a leadership role in a long-term overhaul of the state's core financial systems, which will integrate human-resource, payroll and financial work for all agencies; plays a leadership role in the creation of a statewide education network to provide schools with access to educational resources via a high-speed network; develops technology solutions by working with agencies to meet administrative needs such as time and attendance, accounting and telephone billing and provides central computer processing and mainframe support and other forms of technology support including local/wide area networks, data warehousing and information centers.

The Department is focusing on building, developing and applying resources to deliver web-enabled services to state agencies and the public.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
E-mails Processed (millions per year)	30	36	42	48
Spam E-mails Blocked (per day)	10,000	120,000	180,000	250,000
CT Education Network Bandwidth (Megabits)	210	2,200	2,200	2,200
CT Education Network Usage (Megabits)	190	700	1,000	1,400
State Bandwidth Capacity for State Agencies (Megabits)	45	155	155	155
State Bandwidth Usage for State Agencies (Megabits)	30	45	60	80
Storage Capacity (terabytes)	11	55	68	85
Millions of Instructions Per Second (MIPS) Capability	570	840	840	840
Routers in the DOIT Enterprise Network	660	800	1,000	1,200

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	26	10	282	318	91	75	91	77
Special Transportation Fund	0	6	65	71	0	2	0	2
Banking Fund	0	0	5	5	0	2	0	2
Insurance Fund	0	0	5	5	0	1	0	1
Technical Services	262	11	0	273	273	230	273	230

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	2	1	1	1	1
Technical Services	3	3	3	3	3	3

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>(Net of Reimbursements)</i>								
Personal Services	1,669,756	24,421,551	25,445,128	7,252,533	4,569,111	25,910,568	7,192,436	7,047,189
Other Expenses	4,630,055	7,956,897	8,313,805	8,313,805	7,787,813	8,516,306	8,340,306	8,017,270
<i>Capital Outlay</i>								
Equipment	0	100	0	100	100	0	100	100
<i>Other Current Expenses</i>								
Automated Personnel System	1,134,935	0	0	0	0	0	0	0
Health Insurance Portability/Accountability	372,788	0	0	0	0	0	0	0
Connecticut Education Network	0	0	0	0	3,460,747	0	0	3,739,119
TOTAL-General Fund	7,807,534	32,378,548	33,758,933	15,566,438	15,817,771	34,426,874	15,532,842	18,803,678

Additional Funds Available

Technical Services	60,960,000	80,203,778	81,246,427	81,246,427	81,246,427	82,302,630	82,302,630	82,302,630
Special Funds, Non-Appropriated	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000
Bond Funds	0	271,341	0	0	0	0	0	0
Private Contributions	278	896,784	880,000	880,000	880,000	870,000	870,000	870,000
Federal Contributions								
	98,519	0	0	0	0	0	0	0
TOTAL - All Funds	79,366,331	124,250,451	126,385,360	108,192,865	108,444,198	128,099,504	109,205,472	112,476,308

Information and Technology**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	1,619,063	7,273,968	7,520,023	4,371,447	7,706,525	6,834,763
Other Positions	3,215	100,838	80,361	80,361	85,256	85,256
Other	47,172	17,233,337	17,762,253	303,037	18,317,997	326,380
Overtime	306	6,215	274,440	6,215	0	0
TOTAL-Personal Services Gross	1,669,756	24,614,358	25,637,077	4,761,060	26,109,778	7,246,399
Less Reimbursements	0	-192,807	-191,949	-191,949	-199,210	-199,210
Less Turnover						
TOTAL-Personal Services Net	1,669,756	24,421,551	25,445,128	4,569,111	25,910,568	7,047,189

Other Expenses-Contractual Services

Utility Services	606,107	650,500	664,160	650,500	682,756	650,500
Rentals, Storage and Leasing	3,704,837	4,500,737	4,559,247	4,270,138	4,659,551	4,500,737
General Repairs	226,107	282,698	286,373	282,698	292,673	282,698
Fees for Outside Professional Services	64,317	70,566	71,483	70,566	73,056	70,566
Fees for Non-Professional Services	13,930	14,382	14,569	14,382	14,890	14,382
DP Services, Rentals and Maintenance	0	3,210,000	3,427,730	3,210,000	3,503,140	3,210,000
Travel	70	200	203	200	207	200
Advertising	1,154	1,990	2,016	1,990	2,060	1,990

Other Expenses-Commodities

Agriculture, Horticulture,Dairy & Food	45	123	125	123	128	123
Maintenance and Motor Vehicle Supplies	2,106	3,632	3,679	3,632	3,760	3,632
Fuel	11,382	12,318	12,564	12,318	12,903	12,318
Office Supplies	0	30,000	30,390	30,000	31,058	30,000

Other Expenses-Sundry

Sundry - Other Items	0	50,000	50,000	50,000	50,000	50,000
TOTAL-Other Expenses Gross	4,630,055	8,827,146	9,122,539	8,596,547	9,326,182	8,827,146
Less Reimbursements	0	-870,249	-808,734	-808,734	-809,876	-809,876
TOTAL-Other Expenses Net	4,630,055	7,956,897	8,313,805	7,787,813	8,516,306	8,017,270

Other Current Expenses

Automated Personnel System	1,134,935	0	0	0	0	0
Health Insurance Portability/Accountabilit	372,788	0	0	0	0	0
Connecticut Education Network	0	0	0	3,460,747	0	3,739,119
TOTAL-Other Current Expenses	1,507,723	0	0	3,460,747	0	3,739,119

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,669,756	24,421,551	25,445,128	7,252,533	4,569,111	25,910,568	7,192,436	7,047,189
Other Expenses Net	4,630,055	7,956,897	8,313,805	8,313,805	7,787,813	8,516,306	8,340,306	8,017,270
Capital Outlay	0	100	0	100	100	0	100	100
Other Current Expenses	1,507,723	0	0	0	3,460,747	0	0	3,739,119
TOTAL-General Fund Net	7,807,534	32,378,548	33,758,933	15,566,438	15,817,771	34,426,874	15,532,842	18,803,678

Budget-in-Detail

Additional Funds Available

Technical Services	60,960,000	80,203,778	81,246,427	81,246,427	81,246,427	82,302,630	82,302,630	82,302,630
Special Funds, Non-Appropriated	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000	10,500,000
Bond Funds	0	271,341	0	0	0	0	0	0
Federal Contributions	98,519	0	0	0	0	0	0	0
Private Contributions	278	896,784	880,000	880,000	880,000	870,000	870,000	870,000
TOTAL-All Funds Net	<u>79,366,331</u>	<u>124,250,451</u>	<u>126,385,360</u>	<u>108,192,865</u>	<u>108,444,198</u>	<u>128,099,504</u>	<u>109,205,472</u>	<u>112,476,308</u>

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

DEPARTMENT OF PUBLIC WORKS

AGENCY DESCRIPTION

[HTTP://WWW.STATE.CT.US/DPW/](http://www.state.ct.us/dpw/)

The Department of Public Works' mission is to be the leader in providing quality facilities and in delivering cost-effective, responsive, timely services to state agencies in the areas of design, construction, facilities management, leasing and property disposition.

The Department of Public Works (DPW) is responsible for the design and construction of nearly all major capital projects, maintenance of security standards for most facilities housing offices and equipment of the state, leasing and property acquisitions for most state agencies as well as facility management, maintenance and security of state buildings in the greater Hartford area and surplus property statewide. The department is also mandated to assist agencies and departments with long-term facilities planning and the preparation of cost estimates for such plans.

DPW provides facility related services to the majority of Connecticut's state agencies and commissions. Many DPW

services, such as security, parking and snow and ice removal, also benefit the public who visit the facilities. It is the policy of DPW to work in close cooperation with its client agencies to ensure that the finished product reflects the needs of the agency and facilitates the delivery of services to their client.

Energy Conservation Statement

The Department of Public Works plans to undertake a wide range of energy conservation activities in the next biennium. In addition to regular maintenance and use of Energy Star equipment, the Department plans to continue its energy benchmarking efforts and to upgrade existing windows with high-efficiency units. The Department has a stated conservation goal to achieve lower energy usage and cost savings. The Department's plans are a continuation of the significant efforts made over the last two years.

AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	2005-2006	2006-2007
• Remove Inflation	-385,355	-988,933
• Transfer Equipment to CEPF	-129,400	-137,900
• Fund Non-ERIP accruals through the RSA account	-40,000	-40,000
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees in FY07 <i>Limit increases to 3% in FY06 and 2% in FY07.</i>	-13,014	-31,192
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months.</i>	0	-46,757
• Reduce Funded Vacancies	-100,000	-100,000

Reallocations or Transfers

• Return IT Positions to Agencies <i>returning unionized IT personnel to their original agencies per the Governor's decision</i>	135,214	135,214
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New or Expanded Services

	2005-2006	2006-2007	2007-2008
• Add Funding For Capital Community College Lease <i>Funding is added for the Capitol Community College addition at 960 Main Street, Hartford.</i>	950,000	1,040,000	1,040,000

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	122	47	-15	154	154	154	154	154
Bond Funds	54	7	0	61	61	61	61	61

Agency Programs by Total Funds

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Management and Planning	4,281,320	4,207,452	4,970,135	5,017,272	4,680,005	4,985,656	5,147,383	4,778,499
Facilities Management	8,566,853	8,815,752	8,883,416	8,883,416	8,883,416	8,915,788	8,915,788	8,915,788
Leasing and Property Transfer	8,859,283	8,654,817	9,174,503	9,424,503	10,372,463	9,209,836	9,550,511	10,584,975
Facilities Design & Construction	26,431,989	26,148,935	27,237,623	26,877,370	26,498,862	27,877,799	27,675,505	26,705,143
TOTAL Agency Programs - All Funds Gross	48,139,445	47,826,956	50,265,677	50,202,561	50,434,746	50,989,079	51,289,187	50,984,405
Less Turnover	0	0	-348,824	-348,824	-348,824	-356,759	-356,759	-356,759
TOTAL Agency Programs - All Funds Net	48,139,445	47,826,956	49,916,853	49,853,737	50,085,922	50,632,320	50,932,428	50,627,646

Summary of Funding

General Fund Net	43,463,445	43,124,048	45,206,324	45,143,208	45,375,393	45,917,247	46,217,355	45,912,573
Bond Funds	4,676,000	4,702,908	4,710,529	4,710,529	4,710,529	4,715,073	4,715,073	4,715,073
TOTAL Agency Programs - All Funds Net	48,139,445	47,826,956	49,916,853	49,853,737	50,085,922	50,632,320	50,932,428	50,627,646

MANAGEMENT AND PLANNING*Statutory Reference*

C.G.S. Section 4b-1

Statement of Need and Program Objectives

To manage the staff and activities of the Department of Public Works to ensure the responsive and cost effective implementation of the state's facilities space management and capital development program plans by setting departmental policy, standards and priorities and managing internal processes.

Program Description

This program consists of the Commissioner's Office, the Design and Construction Deputy Commissioner's Office, the Property Management Deputy Commissioner's Office, the Office of Diversity Programs and the Office of the Chief of Staff.

The Office of Diversity Programs is responsible for preparing and implementing the department's affirmative action plan as well as providing training on issues relevant to the workplace. In addition the office is responsible for verifying agency and contractor compliance with nondiscrimination laws and for monitoring the small business set-aside program and contractors' affirmative action plans. This office also coordinates the efforts of the Diversity Leadership Council (DLC), which serves as a DPW employee advisory committee.

The Chief of Staff oversees the department's support service units including Human Resources, Legal Services, Financial Management and Information Technology.

The Legal Services Division provides the full array of legal advice to the agency, covering areas that include, but are not limited to, contract, real estate, and administrative law. Additionally, the Division is responsible for proposing and keeping up to date on legislative changes. The Division also provides services to the public concerning legal requirements, freedom of information, and press inquiries.

The Human Resources Unit is responsible for developing and administering personnel policies, processing personnel actions, recruitment, labor relations and liaison with the statewide personnel system.

The Financial Management Unit provides purchasing, accounts payable and inventory control services, accounting for Capital Project Fund construction, renovation and repair projects, as well as Capital and Operating budget development, reporting and monitoring. The unit solicits bids for all contracts for construction, remodeling or demolition of state buildings and solicits lease proposals.

The Information Technology Unit is responsible for preparing and implementing the annual Information Technology Plan, coordinating purchase and installation of hardware and software and providing technical support services for DPW's automated systems.

The Statewide Security Unit under the direction of the Property Management Deputy Commissioner develops and implements security standards, policies and procedures and standardizes equipment and systems to provide an adequate level of security to the employees and the public and to state assets at all nonexempt state-owned and leased facilities. It also administers the statewide workplace violence online reporting system and helps other state agencies manage incidents.

The Quality and Process Improvement Unit under the direction of the Design and Construction Deputy Commissioner supports other units in the agency to address ongoing customer service improvement and business improvement activities. The process management staff may take a leadership role in specific improvement efforts at the request of the core business managers and/or senior management.

Personnel Summary

<i>Permanent Full-Time Positions</i>	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	55	12	-6	61	61	61	61	61

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,709,981	2,916,877	3,274,169	3,408,806	3,205,746	3,369,962	3,522,189	3,304,240
Other Expenses	699,064	374,159	378,966	378,966	374,159	387,194	387,194	374,159

Capital Outlay

Equipment	999	1,000	217,000	129,500	100	128,500	138,000	100
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Other Current Expenses

Minor Capital Improvements	1,000	0	0	0	0	0	0	0
Facilities Design Expenses	870,276	915,416	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000
TOTAL-General Fund	4,281,320	4,207,452	4,970,135	5,017,272	4,680,005	4,985,656	5,147,383	4,778,499

Management and Planning

FACILITIES MANAGEMENT

Statutory Reference

C.G.S. Section 4b-1

Statement of Need and Program Objectives

To ensure that state employees and the visiting public have a clean, safe and healthy environment in which to work or visit by providing facilities management services such as building maintenance, housekeeping and security for nearly all state-owned and one leased office building in the greater Hartford area and several regional locations occupied by the executive branch. Services are also provided for other facilities that are transferred to the department for management while being sold or transferred to other agencies.

Program Description

The Facilities Management Division administers the operation, maintenance and security of 5.9 million square feet of state owned buildings. Buildings are located throughout the Hartford/Wethersfield area and major cities in the state including Mystic, Newtown and Waterbury. The Facilities Management Division is responsible for the long term management of the asset including the physical integrity of

property, containment of overall operating expenditures, oversight of the preventative maintenance program as well as recommended capital expenditures, administration of contracts for property management and service contracts, standardization of equipment installed at buildings, standardization of procedures, participation in relocation of agencies within DPW managed buildings and oversight of security personnel, procedures and equipment.

The Facilities Management Division works closely with the OPM Asset Management Division regarding campus closure and re-use scenarios. The division interacts with the DPW client teams and statewide programs unit regarding planning and implementation of facility renovations, monitoring and implementation of energy savings programs and asbestos abatement and removal in DPW facilities. Active participation in the state's design/build program is helping to reduce long-term maintenance and operation costs. The division also works closely with the DAS Small Business Set-aside Program providing contracting opportunities to vendors certified by the program.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Operating and Maintenance costs per sq. ft. Hartford area (\$M)	7.39	6.55	7.35	7.57

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	12	11	-3	20	20	20	20	20
Bond Funds	54	7	0	61	61	61	61	61

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	183,674	196,899	210,975	210,975	210,975	211,076	211,076	211,076

Other Current Expenses

Facilities Design Expenses	3,707,179	3,915,945	3,961,912	3,961,912	3,961,912	3,989,639	3,989,639	3,989,639
TOTAL-General Fund	3,890,853	4,112,844	4,172,887	4,172,887	4,172,887	4,200,715	4,200,715	4,200,715

Additional Funds Available

Bond Funds	4,676,000	4,702,908	4,710,529	4,710,529	4,710,529	4,715,073	4,715,073	4,715,073
TOTAL - All Funds	8,566,853	8,815,752	8,883,416	8,883,416	8,883,416	8,915,788	8,915,788	8,915,788

Facilities Management

LEASING AND PROPERTY TRANSFER

Statutory Reference

C.G.S. Sections 4b-30 and 4b-21

Statement of Need and Program Objectives

To acquire adequate leased facilities of all types for use and occupancy by all state agencies (except the Labor Department and the University of Connecticut) using established state standards and departmental procedures to procure the most economically advantageous terms and conditions. To acquire, sell and transfer real property based on sound economic principles pursuant to the best interests of the state. To lease out state facilities to private parties, where appropriate.

Program Description

At the end of FY '04, this division administered approximately 197 leases with an annual rent roll in excess of \$38.9 million for approximately 2,723,902 square feet. Objectives are achieved by:

assisting state agencies in determining office space requirements; maintaining an up-to-date statewide inventory of available office space; advertising for office space requirements in excess of 2,500 square feet; conducting extensive site searches for suitable office space to meet agency requirements; negotiating to obtain the best transaction possible; preparing lease proposals for the approval of the Office of Policy and Management and the State Properties Review Board; preparing lease documents for the approval of the Attorney General; performing on-site inspections to ensure renovations are performed in accordance with the approved plans and specifications and lease terms; acquiring, selling, or transferring real property to meet agency requirements; ensuring quality control of lease files; performing lease compliance activities for all leased facilities and leasing state-owned property to private and non profit groups when appropriate.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Average cost per sq. ft. of space leased by the state (\$)	14.15	14.85	15.6	16.4
Cost of sq. ft. Hartford (\$)	14.85	16.11	16.98	17.15

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	8	8	-3	13	13	13	13	13

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	525,462	558,570	789,664	689,664	689,664	810,477	710,477	710,477
Other Expenses	40,624	49,624	50,244	50,244	49,624	51,305	51,305	49,624
<u>Other Current Expenses</u>								
Rents and Moving	8,135,032	7,886,517	8,173,925	8,523,925	9,473,925	8,184,949	8,625,624	9,665,624
Capitol Day Care Center	106,647	109,250	110,670	110,670	109,250	113,105	113,105	109,250
Facilities Design Expenses	51,518	50,856	50,000	50,000	50,000	50,000	50,000	50,000
TOTAL-General Fund	8,859,283	8,654,817	9,174,503	9,424,503	10,372,463	9,209,836	9,550,511	10,584,975

Leasing and Property Transfer**FACILITIES DESIGN AND CONSTRUCTION***Statutory Reference*

C.G.S. Section 4b-1

Statement of Need and Program Objectives

To manage the planning, design and construction of state-owned facilities, which provide adequate, efficient and environmentally sound buildings, including associated utilities and site improvements. To provide technical assistance to agency facility managers, including all state-managed institutions.

Program Description

Under this program, the department provides technical assistance and oversight of the design and construction of state projects including: client teams, statewide programs, technical support, special projects, energy management, construction and claims management.

Client Plans and Programs Teams provide client agencies with a single point of contact with the DPW. The four agency teams are empowered to move horizontally throughout the department to gather the technical, administrative, financial, facilities management and lease assistance required to respond to any client agency issue which falls under the department's statutory purview.

A second but important function the client teams provide either with in-house staff or a hired 3rd party is construction overview. This construction administration duty is essential to ensure compliance with the intended design and adherence to

the established schedule and budget. The construction administrator is responsible for monitoring the status of all assigned projects and reporting the status, when processing change orders and provisions of final completion when accomplished.

The Statewide Services Sections offer services that can review preliminary, basic and final design plans submitted by consultants and also perform in some instances design of smaller projects where immediate need arises. They also assist agencies in emergency situations and with design problems on the construction site. These sections also ensure participation in energy conservation programs and life cycle cost analysis with the Office of Policy and Management and provide project support in the implementation of the Clean Air Act. Additionally, they are involved in programs that administer energy conservation, asbestos removal, lead paint removal and underground fuel tank removal.

The Special Projects Section oversees and administers the minor capital program projects and the major capital improvement projects (Agency Administered) which cost \$500,000 or less (\$2,000,000 or less for the higher education units). In addition, the section enforces all guarantees and bonds on all state projects undertaken under DPW.

The Claims Management Section assists the Office of the Attorney General in processing project claims and develops techniques for the department to avoid future claims.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Construction projects in process at start of FY	86	75	45	40
Construction projects started in FY	19	20	20	20
Construction projects completed in FY	30	50	25	25
Value of projects completed in FY (\$M)	149	150	175	175

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	47	16	-3	60	60	60	60	60

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,198,381	2,381,947	2,980,055	2,646,948	2,646,948	3,028,377	2,853,229	2,853,229
Other Expenses	19,533,576	19,349,879	19,829,107	19,801,961	19,478,231	20,327,055	20,299,909	19,478,231
<u>Other Current Expenses</u>								
Management Services	4,547,752	4,213,683	4,268,461	4,268,461	4,213,683	4,362,367	4,362,367	4,213,683
Facilities Design Expenses	152,280	203,426	160,000	160,000	160,000	160,000	160,000	160,000
TOTAL-General Fund	26,431,989	26,148,935	27,237,623	26,877,370	26,498,862	27,877,799	27,675,505	26,705,143
Facilities Design & Construction								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	5,375,535	5,791,283	6,976,476	6,474,946	7,135,185	6,794,315
Other Positions	49,178	0	0	0	0	0
Other	99,823	148,010	163,387	163,387	169,707	169,707
Overtime	92,962	115,000	115,000	115,000	115,000	115,000
TOTAL-Personal Services Gross	5,617,498	6,054,293	7,254,863	6,753,333	7,419,892	7,079,022
Less Reimbursements						
Less Turnover	0	0	-348,824	-348,824	-356,759	-356,759
TOTAL-Personal Services Net	5,617,498	6,054,293	6,906,039	6,404,509	7,063,133	6,722,263
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	5,724	5,274	5,343	5,274	5,461	5,274
Utility Services	6,269,518	6,890,208	7,036,217	6,890,208	7,232,460	6,890,208
Rentals, Storage and Leasing	125,706	120,977	122,549	120,977	125,246	120,977
Telecommunication Services	89,492	82,090	83,159	82,090	84,991	82,090
General Repairs	852,504	789,776	800,042	789,776	817,643	789,776
Motor Vehicle Expenses	37,701	34,041	34,484	34,041	35,242	34,041
Fees for Outside Professional Services	10,621,340	10,986,324	11,050,627	10,986,324	11,293,742	10,986,324
Fees for Non-Professional Services	494,341	269,068	496,937	378,058	507,871	378,058
DP Services, Rentals and Maintenance	349,814	165,409	167,560	174,771	171,246	174,771
Postage	12,713	11,479	11,628	11,479	11,884	11,479
Travel	3,062	2,300	2,329	2,300	2,380	2,300
Other Contractual Services	40,808	36,860	37,338	36,860	38,159	36,860
Advertising	36,370	38,073	38,568	38,073	39,416	38,073
Printing & Binding	12,732	11,513	11,662	11,513	11,919	11,513
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	38,240	34,528	35,059	34,528	35,830	34,528
Books	11,776	10,306	10,440	10,306	10,669	10,306
Clothing and Personal Supplies	716	647	655	647	670	647
Maintenance and Motor Vehicle Supplies	201,922	178,625	181,059	178,625	185,064	178,625
Medical Supplies	157	142	144	142	147	142
Fuel	875,398	782,280	797,926	782,280	819,470	782,280
Office Supplies	67,599	61,628	62,430	61,628	63,802	61,628
Refunds of Expenditures Not Otherwise Classified	3,878	3,434	3,479	3,434	3,555	3,434
Highway Supplies	37	33	33	33	34	33
<u>Other Expenses-Sundry</u>						
Employee Fringe Benefits	26	0	0	0	0	0
Sundry - Other Items	121,690	8,647	8,649	8,647	8,653	8,647
TOTAL-Other Expenses Gross	20,273,264	20,523,662	20,998,317	20,642,014	21,505,554	20,642,014
Less Reimbursements	0	-750,000	-740,000	-740,000	-740,000	-740,000
TOTAL-Other Expenses Net	20,273,264	19,773,662	20,258,317	19,902,014	20,765,554	19,902,014

Budget-in-Detail

Other Current Expenses

Minor Capital Improvements	1,000	0	0	0	0	0	0
Management Services	4,547,752	4,213,683	4,268,461	4,213,683	4,362,367	4,213,683	
Rents and Moving	8,135,032	7,886,517	8,173,925	9,473,925	8,184,949	9,665,624	
Capitol Day Care Center	106,647	109,250	110,670	109,250	113,105	109,250	
Facilities Design Expenses	4,781,253	5,085,643	5,271,912	5,271,912	5,299,639	5,299,639	
TOTAL-Other Current Expenses	17,571,684	17,295,093	17,824,968	19,068,770	17,960,060	19,288,196	

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	5,617,498	6,054,293	6,906,039	6,607,569	6,404,509	7,063,133	6,940,212	6,722,263
Other Expenses Net	20,273,264	19,773,662	20,258,317	20,231,171	19,902,014	20,765,554	20,738,408	19,902,014
Capital Outlay	999	1,000	217,000	129,500	100	128,500	138,000	100
Other Current Expenses	17,571,684	17,295,093	17,824,968	18,174,968	19,068,770	17,960,060	18,400,735	19,288,196
TOTAL-General Fund Net	43,463,445	43,124,048	45,206,324	45,143,208	45,375,393	45,917,247	46,217,355	45,912,573
<u>Additional Funds Available</u>								
Bond Funds	4,676,000	4,702,908	4,710,529	4,710,529	4,710,529	4,715,073	4,715,073	4,715,073
TOTAL-All Funds Net	48,139,445	47,826,956	49,916,853	49,853,737	50,085,922	50,632,320	50,932,428	50,627,646

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

ATTORNEY GENERAL

AGENCY DESCRIPTION

[HTTP://WWW.CSLIB.ORG/ATTYGENL/](http://www.cslib.org/attygenl/)

The primary missions of the Office of the Attorney General are: to provide legal services and representation for state agencies and officials in order to facilitate the efficient and effective provision of services by preventing legal problems

from impeding state operations and to initiate affirmative litigation in order to protect the rights and welfare of citizens of the state and the public policies of Connecticut.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-20,575	-55,686
• Transfer Equipment to CEPF	-205,900	-624,900
• Fund Non-ERIP accruals through the RSA account	-200,000	-400,000
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-14,873	-35,220
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-374,557
• Reduce Funded Vacancies	-199,287	-199,287
• Carryforward FY05 Funds <i>Personal Services - \$1,020,298 and Other Expenses - \$74,978</i>	-1,095,276	0

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	286	33	-7	312	312	309	312	309

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Legal Services	24,932,011	28,292,831	30,605,757	30,320,350	28,584,439	32,444,760	32,136,271	30,446,621
TOTAL Agency Programs - All Funds Gross	24,932,011	28,292,831	30,605,757	30,320,350	28,584,439	32,444,760	32,136,271	30,446,621
Less Turnover	0	0	-400,000	-400,071	-400,071	-400,000	-401,881	-401,881
TOTAL Agency Programs - All Funds Net	24,932,011	28,292,831	30,205,757	29,920,279	28,184,368	32,044,760	31,734,390	30,044,740
<u>Summary of Funding</u>								
General Fund Net	24,607,839	28,172,831	30,065,757	29,780,279	28,044,368	31,884,760	31,574,390	29,884,740
Bond Funds	207,357	0	0	0	0	0	0	0
Federal Contributions	-3,238	0	0	0	0	0	0	0
Private Contributions	120,053	120,000	140,000	140,000	140,000	160,000	160,000	160,000
TOTAL Agency Programs - All Funds Net	24,932,011	28,292,831	30,205,757	29,920,279	28,184,368	32,044,760	31,734,390	30,044,740

LEGAL SERVICES

Statutory Reference

Sections 3-124 and 3-131

Statement of Need and Program Objectives

To facilitate the efficient and effective provision of state services by preventing legal problems from impeding state operations. To advocate before the courts and administrative boards the rights and welfare of citizens of the state and the public policies of Connecticut.

Program Description

The Office of the Attorney General is organized into one administrative and sixteen legal departments. Each legal department is based in the Hartford area and consists of attorneys, secretaries and in most cases paralegals and/or investigators. Each department provides the full range of

legal services to a group of state agencies. Each also initiates affirmative litigation as appropriate.

Each of the sixteen departments provides the entire range of legal services to groups of related state agencies. These legal services consist primarily of counseling and representation. The counseling function includes the rendering of informal advice and preparation of formal opinions, as well as the drafting and review of regulations, contracts and other instruments for legal sufficiency. The representation function involves representing all state agencies and employees, elected and appointed officials in their official capacities in all phases of litigation in federal and state courts and in administrative proceedings.

The Office of the Attorney General brings suit on behalf of state agencies and officials to enforce the public policies of Connecticut in such areas as consumer protection, the

environment, health services, child support and protection, civil rights and collections.

Consumer protection involves such areas as antitrust enforcement, regulation of charitable solicitations and enforcement of banking and insurance laws.

Environment includes protection of the natural resources of Connecticut and the related health and well-being of Connecticut's citizens.

Health services includes regulation of health care institutions and providers.

Child support and protection includes representation of the state in the collection of child support payments under the Title IV-D program and the establishment of paternity and cases involving the welfare and safety of children.

Civil rights includes enforcement of laws concerning discrimination in such areas as housing and employment.

Collections includes all efforts to maximize state revenues through pursuit of taxes and other funds due the state.

The central management of the Office of the Attorney General includes an administrative staff and a business office. Administrative staff oversee, direct and manage the other two subprograms of the office – affirmative litigation and the provision of legal services, including contract review and issuance of advisory opinions. In addition, this staff provides education to the public on legal issues, formulates proposed legislation, maintains the law libraries of the office, recruits and trains personnel, maintains the computer system and plans and develops systems to improve the efficiency and effectiveness of the office.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Formal written opinions and informal written advices rendered	1,200	1,200	1,200	1,200
Court Cases Completed	26,000	26,000	26,000	26,000
Legal documents examined	16,000	16,000	16,000	16,000
General Fund dollars collected (000)	345,000	345,000	345,000	345,000

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	286	33	-7	312	312	309	312	309

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	23,210,906	26,654,027	28,689,513	28,435,071	27,000,613	30,054,405	29,776,881	28,767,817
Other Expenses	1,396,933	1,518,704	1,570,244	1,539,279	1,443,726	1,605,355	1,574,390	1,518,704

Capital Outlay

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Equipment	0	100	206,000	206,000	100	625,000	625,000	100
TOTAL-General Fund	24,607,839	28,172,831	30,465,757	30,180,350	28,444,439	32,284,760	31,976,271	30,286,621

Additional Funds Available

Bond Funds	207,357	0	0	0	0	0	0	0
Private Contributions	120,053	120,000	140,000	140,000	140,000	160,000	160,000	160,000

Federal Contributions

93777 Survey/Certif Health Care Prov	-3,238	0	0	0	0	0	0	0
TOTAL - All Funds	24,932,011	28,292,831	30,605,757	30,320,350	28,584,439	32,444,760	32,136,271	30,446,621

Legal Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	21,382,004	24,997,516	26,588,913	25,085,513	27,625,105	26,738,517
Other Positions	1,239,737	1,060,495	1,393,000	1,393,000	1,476,000	1,476,000
Other	588,312	825,016	949,600	764,100	1,211,300	811,300
Overtime	853	1,000	2,000	2,000	2,000	2,000
TOTAL-Personal Services Gross	23,210,906	26,884,027	28,933,513	27,244,613	30,314,405	29,027,817
Less Reimbursements	0	-230,000	-244,000	-244,000	-260,000	-260,000
Less Turnover	0	0	-400,000	-400,071	-400,000	-401,881
TOTAL-Personal Services Net	23,210,906	26,654,027	28,289,513	26,600,542	29,654,405	28,365,936
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	142,469	144,000	145,872	144,000	149,082	144,000
Rentals, Storage and Leasing	210,345	226,500	229,445	226,500	234,492	226,500
Telecommunication Services	105,571	115,500	117,002	115,500	119,576	115,500
General Repairs	2,392	3,000	3,039	3,000	3,106	3,000

Motor Vehicle Expenses	18,187	19,000	19,247	19,000	19,670	19,000
Fees for Outside Professional Services	8,342	8,000	8,104	8,000	8,282	8,000
Fees for Non-Professional Services	262,569	301,800	305,724	301,800	312,450	301,800
DP Services, Rentals and Maintenance	282,596	310,000	314,030	310,000	320,938	310,000
Postage	63,799	74,200	75,165	74,200	76,818	74,200
Travel	150,101	169,369	196,896	169,369	201,227	169,369
Other Contractual Services	14,106	9,100	9,219	9,100	9,421	9,100
Advertising	800	2,100	2,127	2,100	2,174	2,100
Printing & Binding	552	5,000	5,065	5,000	5,176	5,000
<i>Other Expenses-Commodities</i>						
Books	79	100	101	100	103	100
Maintenance and Motor Vehicle Supplies	6,577	6,000	6,180	6,000	6,335	6,000
Office Supplies	128,448	150,035	158,028	75,057	161,505	150,035
TOTAL-Other Expenses Gross	1,396,933	1,543,704	1,595,244	1,468,726	1,630,355	1,543,704
Less Reimbursements	0	-25,000	-25,000	-25,000	-25,000	-25,000
TOTAL-Other Expenses Net	1,396,933	1,518,704	1,570,244	1,443,726	1,605,355	1,518,704

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	23,210,906	26,654,027	28,289,513	28,035,000	26,600,542	29,654,405	29,375,000	28,365,936
Other Expenses Net	1,396,933	1,518,704	1,570,244	1,539,279	1,443,726	1,605,355	1,574,390	1,518,704
Capital Outlay	0	100	206,000	206,000	100	625,000	625,000	100
TOTAL-General Fund Net	24,607,839	28,172,831	30,065,757	29,780,279	28,044,368	31,884,760	31,574,390	29,884,740
<i>Additional Funds Available</i>								
Bond Funds	207,357	0	0	0	0	0	0	0
Federal Contributions	-3,238	0	0	0	0	0	0	0
Private Contributions	120,053	120,000	140,000	140,000	140,000	160,000	160,000	160,000
TOTAL-All Funds Net	24,932,011	28,292,831	30,205,757	29,920,279	28,184,368	32,044,760	31,734,390	30,044,740

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

OFFICE OF THE CLAIMS COMMISSIONER

AGENCY DESCRIPTION

The Claims Commissioner is charged with the responsibility to hear and determine claims against the State and may make awards up to \$7,500 on any given claim. The commissioner makes recommendations to the General Assembly on those

claims, which exceed \$7,500. The Claims Commissioner may authorize a suit against the State if a claim presents an issue of law or fact under which the State, if it were a private person, could be liable.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-665	-1,806
• Fund Non-ERIP Accruals through the RSA account.	-350	-350
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07.</i>	0	-884
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months.</i>	0	-1,506

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	0	0	4	4	4	4	4

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Claims Adjudication and Administration	292,180	418,552	423,217	423,317	392,302	435,257	435,357	430,811
TOTAL Agency Programs - All Funds Gross	292,180	418,552	423,217	423,317	392,302	435,257	435,357	430,811
Less Turnover								
TOTAL Agency Programs - All Funds Net	292,180	418,552	423,217	423,317	392,302	435,257	435,357	430,811
<u>Summary of Funding</u>								
General Fund Net	292,180	418,552	423,217	423,317	392,302	435,257	435,357	430,811
TOTAL Agency Programs - All Funds Net	292,180	418,552	423,217	423,317	392,302	435,257	435,357	430,811

CLAIMS ADJUDICATION AND ADMINISTRATION

Statutory Reference

C.G.S. Chapter 53

Statement of Need and Program Objectives

To receive, hear and adjudicate claims against the State and to authorize payment of claims within the commission's jurisdiction. To recommend other claims for consideration by the legislature or grant permission for claimants to institute suit against the State.

Program Description

The Claims Commissioner makes recommendations to the General Assembly on those claims which exceed \$7,500, annually at the start of a legislative session, maintains caseloads, schedules and conducts hearings, receives motions, makes findings and issues orders. During the last three fiscal years, awards were issued at an average of \$143,460 per year.

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	0	0	4	4	4	4	4

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	206,361	252,194	256,294	256,294	240,944	267,193	267,193	264,453
Other Expenses	21,582	51,258	51,923	51,923	36,258	53,064	53,064	51,258
<u>Capital Outlay</u>								
Equipment	0	100	0	100	100	0	100	100
<u>Other Current Expenses</u>								
Adjudicated Claims	64,237	115,000	115,000	115,000	115,000	115,000	115,000	115,000
TOTAL-General Fund	292,180	418,552	423,217	423,317	392,302	435,257	435,357	430,811

Claims Adjudication and Administration

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007		
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>		
<i>Personal Services</i>								
Permanent Fulltime Positions	202,751	245,218	246,444	231,094	256,593	253,853		
Other	3,610	6,976	9,850	9,850	10,600	10,600		
TOTAL-Personal Services Gross	206,361	252,194	256,294	240,944	267,193	264,453		
Less Reimbursements								
Less Turnover								
TOTAL-Personal Services Net	206,361	252,194	256,294	240,944	267,193	264,453		
<i>Other Expenses-Contractual Services</i>								
Rentals, Storage and Leasing	3,072	3,651	3,698	3,651	3,779	3,651		
Telecommunication Services	813	1,000	1,013	1,000	1,035	1,000		
Fees for Outside Professional Services	11,557	36,011	36,479	21,011	37,282	36,011		
Fees for Non-Professional Services	1,525	4,458	4,516	4,458	4,615	4,458		
Postage	3,311	3,330	3,373	3,330	3,447	3,330		
Travel	0	100	101	100	103	100		
Printing & Binding	305	308	312	308	319	308		
<i>Other Expenses-Commodities</i>								
Office Supplies	999	2,400	2,431	2,400	2,484	2,400		
TOTAL-Other Expenses Gross	21,582	51,258	51,923	36,258	53,064	51,258		
Less Reimbursements								
TOTAL-Other Expenses Net	21,582	51,258	51,923	36,258	53,064	51,258		
<i>Other Current Expenses</i>								
Adjudicated Claims	64,237	115,000	115,000	115,000	115,000	115,000		
TOTAL-Other Current Expenses	64,237	115,000	115,000	115,000	115,000	115,000		
<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	206,361	252,194	256,294	256,294	240,944	267,193	267,193	264,453
Other Expenses Net	21,582	51,258	51,923	51,923	36,258	53,064	53,064	51,258
Capital Outlay	0	100	0	100	100	0	100	100
Other Current Expenses	64,237	115,000	115,000	115,000	115,000	115,000	115,000	115,000
TOTAL-General Fund Net	292,180	418,552	423,217	423,317	392,302	435,257	435,357	430,811

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

DIVISION OF CRIMINAL JUSTICE

AGENCY DESCRIPTION

[HTTP://WWW.CSA0.STATE.CT.US](http://www.csa0.state.ct.us)

The Division of Criminal Justice is constitutionally charged with the investigation and prosecution of all criminal matters in the Superior Court. Pursuant to Article 23 of the Connecticut Constitution, the Division's mission is to contribute to the due process of criminal law and to achieve justice. Article 23, enacted by the voters in 1984, established the Division as an Executive Branch agency and transferred it from the Judicial Branch.

The Chief State's Attorney, as administrative head of the agency, is responsible for planning and establishing agency policy and administering the operations and activities of the central office and over 50 prosecutor's offices throughout the state. These offices are grouped into thirteen Judicial Districts, each supervised by a State's Attorney with the assistance of Supervisory Assistant State's Attorneys, who supervise Geographical Area prosecutors and Supervisory Juvenile Prosecutors who supervise larger Juvenile Matters offices.

The Division is organized into three major activity areas: investigation and prosecution, appellate and collateral litigation and management and support services. These program areas include: prosecution of all felonies, misdemeanors, infractions, motor vehicle offenses and violations arising under state statutes; investigation and prosecution of particular crimes and offenses of statewide scope and/or requiring special expertise and representation of

the state in all appellate, post-trial and post conviction proceedings related to criminal matters.

The Division has expanded its activities in the areas of public integrity, "cold case" investigation, elder services and prosecution of elder abuse, gun violence prosecution, computer crime, neighborhood prosecution, domestic violence, youth violence, teen pregnancy, and drunk driving. Such crimes often require specialized knowledge and resources. The inclusion of juvenile prosecution in the Division's repertoire of duties continues to present specialized issues. The Division attained jurisdiction over juvenile prosecution as a result of Public Act No. 95-225. Juvenile offenders provide special challenges to prosecutors in that some juveniles may best be diverted to rehabilitative programs to avoid judicial action and detention. However, some juvenile offenders commit crimes serious enough to warrant their transfer to the adult docket. This category of prosecution thus requires the continuing attention of the State's Attorneys.

Energy Conservation Statement

Planned energy conservation activities include regular maintenance items as well as the use of centralized energy management systems. This is a continuation of efforts taken in the past two years.

AGENCY PROGRAM INDEX

Prosecute & Investigation-Pre-Arrest	175	Management and Support Services	178
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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-60,635	-152,309
• Transfer Equipment to CEPF	-675,900	-675,600
• Carryforward FY05 Funds	-670,616	0
• Fund Non-ERIP Accruals through the RSA Account	-185,000	-185,000
• Reduce Compensation Increases for Criminal Justice Statutory in FY07 <i>Limit increases to 3% in FY06 and 2% in FY07.</i>	0	-20,335
• Reduce Compensation Increases for Criminal Justice Exempt/Managerial & Non-Managerial Confidential in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-26,114
• Reduce Funded Vacancies <i>Removes the position count only for 27 unfunded vacancies.</i>	0	0

Within Current Services

• Provide Funding for Increased Witness Fees <i>PA 04-232 increased the witness fee paid to police officers and firefighters from \$40 to \$100 per day.</i>	20,000	20,000
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New or Expanded Services

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>
• Pickup Expiring Federal Positions <i>Funds two prosecutors respectively in Community Prosecution and DWI Prosecution beginning in FY06 and a prosecutor and an inspector in the Elder Abuse Unit in FY07.</i>	120,178	311,991	321,350

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	478	52	2	532	523	507	523	509
Federal Contributions	17	0	-2	15	12	12	12	12
Private Contributions	7	0	0	7	7	7	7	7
Division of Criminal Justice				174				General Government

Agency Programs by Total Funds (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Prosecute & Investigation-Pre-Arrest	24,436,941	35,877,821	38,410,529	36,191,911	36,199,998	37,947,032	36,332,744	37,044,438
Appellate and Collateral Litigation	2,179,038	3,181,842	3,534,751	3,488,251	3,484,224	3,546,747	3,505,794	3,494,866
Management and Support Services	13,241,355	4,202,699	5,252,856	4,204,356	3,977,414	5,400,350	4,322,818	4,123,776
TOTAL Agency Programs - All Funds Gross	39,857,334	43,262,362	47,198,136	43,884,518	43,661,636	46,894,129	44,161,356	44,663,080
Less Turnover	0	0	-203,472	0	-1,249,091	-203,472	0	-1,249,091
TOTAL Agency Programs - All Funds Net	39,857,334	43,262,362	46,994,664	43,884,518	42,412,545	46,690,657	44,161,356	43,413,989
Summary of Funding								
General Fund Net	37,980,802	40,865,594	44,190,827	42,080,681	40,608,708	43,852,820	42,323,519	41,576,152
Bond Funds	14,779	220,000	1,000,000	0	0	1,000,000	0	0
Federal Contributions	1,028,661	1,252,434	943,000	943,000	943,000	968,000	968,000	968,000
Private Contributions	833,092	924,334	860,837	860,837	860,837	869,837	869,837	869,837
TOTAL Agency Programs - All Funds Net	39,857,334	43,262,362	46,994,664	43,884,518	42,412,545	46,690,657	44,161,356	43,413,989

INVESTIGATION AND PROSECUTION

Statutory References

C.G.S. Sections 51-276, 51-286e, 54-821-m and 54-36h

Statement of Need and Program Objectives

To represent the people of the State of Connecticut in the investigation and prosecution of all felonies, misdemeanors and motor vehicle offenses against the laws of the State of Connecticut and the ordinances of local government after arrest by state or local law enforcement officials.

Program Description

Thirteen Judicial District (JD) State's Attorneys' Offices handle major felonies. Twenty-three Geographic Area (GA) Offices are responsible for lesser felonies and misdemeanors, including motor vehicle and criminal infractions. Thirteen Juvenile Matters Offices are responsible for juvenile crimes.

Connecticut prosecutors are assigned to specific offices and the process of handling cases is the same in both JD and GA locations.

Throughout the process, significant time may be spent in negotiation with defense counsel, with the anticipated result being that many cases are resolved without a jury or court trial. In capital cases, prosecution requires the attention of more than one prosecutor and centralized research assistance is provided by Appellate Bureau staff that specialize in capital litigation.

In addition to the ongoing review of filed criminal cases, substantial prosecutor time must be devoted, on a daily basis, to the review and issuance of arrest, re-arrest and search warrants, as well as post-conviction actions, such as sentence review and the collection of forfeited bail bonds. The Division is responsible for the extradition of prisoners from other states and for bringing in witnesses needed for trial who reside in other states.

The volume of capital felony prosecutions in the state has significantly increased. As of September 2004, some twenty-seven capital cases were pending in the state's courts. Of this number, some twenty-five cases were pending in the Superior Court and two were on appeal because of their inherent complexity.

Certain statutory provisions add to time and staff constraints on the offices. The Division is required to inform victims of all steps in the prosecution of cases and Connecticut's speedy trial law requires that all incarcerated defendants be tried within eight months of arrest.

Juvenile Prosecution: The Office of the Chief State's Attorney provides statewide coordination of juvenile matters. The inclusion of juvenile prosecution in the Division's

responsibilities brings with it the full gamut of offenses, from truancy to capital crimes (see Public Act 95-225.), and thus requires a broad spectrum of prosecutorial discretion ranging from recommendation of diversionary programming to transfer of the most serious juvenile offenders to the adult docket. Juvenile matters are handled by Juvenile Prosecutors in courthouses separate from Judicial District facilities, although recently constructed courthouses now provide facilities for both adult and juvenile matters prosecutors. In some cases, Divisional juvenile court jurisdictions cross Judicial District boundaries, thus requiring supervision by more than one State's Attorney. The Division of Criminal Justice has taken substantial steps to improve the effectiveness of juvenile prosecution by the hiring of additional Juvenile Investigators and Juvenile Prosecutors to cope with the state's growing juvenile matters docket.

Domestic Violence Prosecution: Through federal and state funding, the Division of Criminal Justice has enhanced its prosecution of domestic violence in the Judicial Districts of Ansonia/Milford, Fairfield, Hartford, New Haven, Stamford, Waterbury, and Windham (with a special emphasis on rural domestic violence and child sexual assault issues) where prosecutors are given specialized training and handle domestic violence cases exclusively. They work with judicial, police and social service agencies to create a global approach that recognizes the special needs of victims and the importance of accountability for offenders. This approach includes expertise in the investigation and prosecution of statutory rape, which focuses on the victimization of young females by older males, and also includes outreach programs designed to prevent teen pregnancy.

Drug Asset Forfeiture: The state is permitted by state law to pursue proceeds of illicit drug trafficking and accomplishes this through civil action in which the Division seeks forfeiture of the proceeds to the state through legal standards different than those used in criminal actions. Through a specialized civil proceeding, the state seeks court orders for the forfeiture of such funds as a means to deter criminal activity. These proceeds, in turn, provide support for ongoing law enforcement activities and programs for local and state agencies.

Housing Court: Prosecutors assigned to Housing Matters prosecutions deal with criminal cases in the state's urban areas and deal with issues including fire code violations, lead paint abatement and building code violations. This equates to approximately 200 prosecutions per year. The position of Housing Court Prosecutor has become very specialized, advancing to require an in-depth knowledge of building codes.

Community Court: This specialized session of the Superior Court in Hartford was created by the state legislature. Through an innovative partnership between the Judicial Branch, city officials and the Division of Criminal Justice, this court handles "quality of life" offenses which otherwise would be assigned to the regular court docket. These crimes and violations are targeted because of their impact on the public safety of citizens and their contribution to the deterioration of many neighborhoods. From September 1, 2002 through August 31, 2004, the Division prosecuted over 20,000 cases, resulting in some 77,000 hours of community service, 6,224 referrals to human service providers and over 900 referrals to Hartford-area mediation.

Office of the Chief State's Attorney conducts investigations and prosecutions on a statewide basis in a number of different specialized areas. Pre-arrest activities are organized based on their highly technical, complex or statewide nature. In the majority of cases that fall into these areas, referrals will be made from state or local police departments or the State's Attorney's Offices throughout the state.

The Public Integrity Bureau was established in August of 2003. The raison d'être of the Bureau is clear and simple: to investigate and prosecute cases of corruption at all levels of state government. The Division has inaugurated a toll-free "tip line" to allow the public to confidentially report allegations of corruption at the state and local levels of government. Calls to the "tip line", at 1-888-742-2726, are processed by the Public Integrity Bureau in the Office of the Chief State's Attorney.

The Statewide Prosecution Bureau investigates and prosecutes criminal violations relating to economic fraud, social services recipient and provider fraud, private health care fraud, environmental laws, election laws and other designated matters. The Bureau is charged with the vertical prosecution of gang-related crimes across the state, as well as other organized criminal activity, through use of the state's CORA (Corrupt Organization and Racketeering Act) laws.

The Cold Case Unit investigates and wherever possible prosecutes serious crimes that have gone "cold," or unsolved for a long period of time. The cases assigned to this Unit typically include unsolved murders, some of which took place decades ago. The Unit works with the Connecticut State Police, local police departments, and nationally recognized forensics experts, utilizing the latest technology to solve these crimes. The Unit has obtained convictions in all eight homicide cases in which arrests have been made.

The Asset Forfeiture Bureau works in conjunction with prosecutors handling drug-related prosecutions by filing civil actions for the forfeiture to the state of property or assets used in the commission of the drug-related crime. The Bureau thus provides revenue to the state through forfeited assets and transmits a strong message to criminals that the fruits of their crimes will be used for future law enforcement efforts to combat drug trafficking. In recent years approximately \$1.1 million has been collected annually in drug asset forfeitures.

The Nuisance Abatement Unit, within the Asset Forfeiture Bureau, employs four prosecutors. These prosecutors have developed three programs related to nuisance abatement and quality of life issues and have produced training materials to

educate law enforcement agencies and community watch groups. The first program focuses on the effective use of Public Act 98-220, which authorizes state prosecutors to bring civil "nuisance" actions against persons and property involved in certain types of illegal activity (drug trafficking, illegal gambling, prostitution, obscenity involving minors, illegal liquor sales, "chop shops" and others inciting injury to persons or property.) Program two, Multi-Agency Response to Community HotSpots (MARCH), addresses problem properties through targeted administrative inspections. The third, Landlord Intervention Program (LIP), affords cooperating landlords an opportunity to correct problems at their properties with the assistance of local police and the Chief State's Attorney's Office.

The Elder Services Bureau was created in 2003 to enhance and focus the Division's efforts to address all manner of crimes perpetrated against senior citizens.

The Elder Abuse Unit, within the Elder Services Bureau, was established in 2000. The creation of this unit recognizes the increasing incidence of crimes against the elderly, ranging from telemarketing scams to outright physical abuse. Prosecutors and Investigators assigned to this Unit work with other local, state and federal agencies to ferret out such abuses of the aged and vertically prosecute them.

The Medicaid Fraud Control Unit, also within the Elder Services Bureau, protects the State of Connecticut and its citizens by investigating and prosecuting fraud committed by health care professionals and facilities that provide services paid for by the Connecticut Medicaid program. In addition, the Unit investigates and prosecutes abuse and neglect of patients by Medicaid providers as well as misappropriation of patients' personal funds.

The Worker's Compensation Fraud Control Bureau at the Chief State's Attorney's Office has the broad responsibility of investigating and prosecuting offenses related to workers' compensation fraud.

The Witness Protection Unit was created in 1999 as a result of Public Act 99-40 and implements the Leroy Brown, Jr. and Karen Clarke Witness Protection Program. The Unit administers a statewide program, in cooperation with the State's Attorneys and local, state and federal law enforcement agencies, to identify and protect witnesses in criminal proceedings where there is evidence of substantial danger that they may suffer from intimidation or retaliatory violence. Using a variety of means, tailored to the unique circumstances presented by each witness, the Unit coordinates protection to such witnesses so that both their safety and the administration of justice are preserved. Services rendered include temporary relocation, semi-permanent relocation in or outside Connecticut and police protection. Since its inception, the Unit has handled 301 witness protection matters and has assisted 782 persons with some form of protection.

The Centralized Case Presentation Unit was formed within the Office of the Chief State's Attorney, with federal assistance, to assist prosecutors statewide in the collection and usage of digitized evidence and in utilizing the latest technology in trial presentations.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
ADULT PROSECUTION:				
Active pending cases-JD (July 1)	2,245	2,245	2,245	2,245
Active pending cases-GA (July 1) - includes motor vehicle	66,439	66,439	66,439	66,439
Cases added-JD	3,254	3,254	3,254	3,254
Cases added-GA - includes motor vehicle	320,894	320,894	320,894	320,894

Pending Cases per FT JD prosecutor	27	27	27	27
Pending Cases per FT GA prosecutor	599	599	599	599
Trials-JD	168	168	168	168
Dispositions-JD	3,051	3,051	3,051	3,051
Dispositions-GA	340,000	340,000	340,000	340,000
JUVENILE PROSECUTION:				
Delinquency Case In-Take	16,459	16,459	16,459	16,459
Delinquency Case Disposals	15,974	15,974	15,974	15,974
Family With Service Needs In-Take	4,161	4,161	4,161	4,161
Family With Service Needs Disposals	4,289	4,289	4,289	4,289
Youth in Crisis - New Cases	1,089	1,089	1,089	1,089
Youth in Crisis - Disposed Cases	1,132	1,132	1,132	1,132
STATEWIDE ACTIVITY:				
Investigations Opened	791	800	800	800
Investigations Closed	773	790	790	790
Fines (\$)	2,635	3,000	3,000	3,000
Restitution (\$)	1,773,433	2,000,000	2,000,000	2,000,000
DRUG ASSET FORFEITURE:				
New Cases	1,176	1,200	1,200	1,200
Cases Disposed Of	1,231	1,231	1,231	1,231

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	407	41	6	454	445	429	445	431
Federal Contributions	17	0	-2	15	12	12	12	12
Private Contributions	7	0	0	7	7	7	7	7

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	20,180,237	30,921,456	32,491,027	31,024,679	31,654,796	32,364,703	31,115,685	32,445,318
Other Expenses	1,097,365	1,176,248	1,237,585	1,213,315	1,138,016	1,265,374	1,241,104	1,196,248
<u>Capital Outlay</u>								
Equipment	1,000	1,000	1,254,900	526,900	1,000	867,600	526,600	1,000
<u>Other Current Expenses</u>								
Forensic Sex Evidence Exams	556,474	640,000	648,320	648,320	640,000	662,583	662,583	640,000
Witness Protection	7,394	12,768	12,934	12,934	12,768	13,219	13,219	12,768
Training and Education	27,237	29,526	29,910	29,910	29,526	30,568	30,568	29,526
Expert Witnesses	149,631	224,886	227,810	227,810	224,886	232,822	232,822	224,886
Medicaid Fraud Control	549,553	695,169	704,206	704,206	695,169	672,326	672,326	656,855
TOTAL-General Fund	22,568,891	33,701,053	36,606,692	34,388,074	34,396,161	36,109,195	34,494,907	35,206,601
<u>Additional Funds Available</u>								
Bond Funds	6,297	0	0	0	0	0	0	0
Private Contributions	833,092	924,334	860,837	860,837	860,837	869,837	869,837	869,837
Federal Contributions								
	0	105,000	0	0	0	0	0	0
16523 Juvenile Accountability Grants	298,390	353,000	363,000	363,000	363,000	373,000	373,000	373,000
16579 Byrne Formula Grant Program	213,463	125,000	0	0	0	0	0	0
16586 Vio Offend Incarceration/Truth	298,585	403,769	415,000	415,000	415,000	425,000	425,000	425,000
16609 Project Safe Neighborhoods	75,988	108,000	0	0	0	0	0	0
20600 State & Community Highway Safety	142,235	157,665	165,000	165,000	165,000	170,000	170,000	170,000
TOTAL - All Funds	24,436,941	35,877,821	38,410,529	36,191,911	36,199,998	37,947,032	36,332,744	37,044,438

Prosecute & Investigation-Pre-Arrest**APPELLATE AND COLLATERAL LITIGATION***Statutory Reference*

C.G.S. Sections 51-276, 51-277, 51-279 and 51-279b

Statement of Need and Program Objectives

To participate on behalf of the state in all appellate, post-trial and post-conviction proceedings arising out of the initiation of any criminal action, whether or not the proceedings are denominated civil or criminal for other purposes. To provide

research in matters of criminal law and legislation to further specific prosecutorial action and agency policy.

Program Description

Criminal prosecution and investigation generates numerous pre- or post-arrest activities that involve extensive legal research and specialized skills. The Appellate Bureau's activities include: handling direct appeals in criminal cases, whether in the state or federal appellate court system; representing the state in state and federal habeas corpus matters referred to it by the field offices; conducting legal research to assist Division personnel as well as responding to state and municipal law enforcement agencies' information requests; coordinating capital research and litigation on a statewide basis; providing representation of agency interests in collateral litigation, such as habeas corpus and subpoenas of agency personnel and implementing the agency's responsibility in the collection of bonds forfeited in criminal cases.

The Bureau also assists in the preparation, editing and publication of various legal summaries, manuals and handbooks that are disseminated to Division personnel and other parties and used in training.

The Bureau has seen a consistently high death penalty caseload. As of September, 2004, there were twenty-five capital cases pending trial and two pending in the Connecticut Supreme Court (including two post-conviction habeas cases.) As of September, 2002, sixteen capital cases were pending in the state's courts. Of this number, fourteen cases were pending in the Superior Court and two were on appeal. Two additional petitions for certiorari were pending in the Supreme Court of the United States. Because capital cases can take many years to litigate, the percentage of all capital cases on appeal will likely increase as appellate review, in both state and federal courts, occurs (as evidenced by the habeas cases in the affirmed death sentences in *State v. Webb* and *State v. Cobb*.)

The Civil Litigation Bureau's primary focus is the representation of the Division's interests in civil matters not otherwise handled by the agency's prosecutors or the Attorney General's office. The Bureau represents the state in the collection of bonds forfeited in criminal cases, the increasing tide of state and federal habeas corpus actions, subpoenas of Division personnel and investigative records, and injunctive actions.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
State Supreme & Appellate Court Appeals Pending	840	840	840	840
Habeas Corpus, State and Federal Court Pending	600	600	600	600
U.S. Supreme Court Appeals Pending	11	11	11	11
Bond Forfeiture Collections	8,229,218	7,000,000	7,000,000	7,000,000
Witness Protection Cases Assisted	48	50	50	50

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	36	7	-2	41	41	41	41	41

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,909,022	2,873,616	3,220,998	3,175,998	3,175,998	3,226,093	3,186,640	3,186,640
Other Expenses	261,909	298,227	303,624	302,124	298,227	310,302	308,802	298,227
<u>Other Current Expenses</u>								
Training and Education	6,237	9,999	10,129	10,129	9,999	10,352	10,352	9,999
TOTAL-General Fund	2,177,168	3,181,842	3,534,751	3,488,251	3,484,224	3,546,747	3,505,794	3,494,866
<u>Additional Funds Available</u>								
Bond Funds	1,870	0	0	0	0	0	0	0
TOTAL - All Funds	2,179,038	3,181,842	3,534,751	3,488,251	3,484,224	3,546,747	3,505,794	3,494,866

Appellate and Collateral Litigation

MANAGEMENT AND SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 51-276 and 54-142h

Statement of Need and Program Objectives

To direct and coordinate the policy, planning and administration of the Division of Criminal Justice. To ensure that the personnel, payroll, budgeting, accounting, information technology, training and service requirements of the central office and 50 geographically disparate field offices are met in a timely and efficient manner.

Program Description

Management and Support Services coordinates a variety of activities and services that respond to the programmatic and

logistical demands of the Division. The responsibilities include:

Establishes and enforces policy for the Division, develops and supports legislation related to the criminal justice process, coordinates training and develops manuals related to new issues in prosecution and the law, implements collective bargaining agreements and coordinates all grant applications and awards.

Planning, implementation and service delivery for budget, payroll, purchasing, accounting, auditing, data systems, inventory control, communications and personnel functions, including labor relations, are handled in the central office. Financial and personnel records are maintained for each office location and in aggregate.

Providing information technology policies and strategies in conjunction with the Department of Information Technology, such as: planning for major infrastructure improvements to allow greater connectivity between all prosecutor offices and the Office of the Chief State's Attorney, establish electronic means for document management and legal research and implement CJIS (Criminal Justice Information System) initiatives as they occur. The increasing use of information technology has enabled the agency to come closer to "paperless" processing of many accounting, human resources, purchasing and payroll functions.

Delivering in-service training on issues of criminal law, ethics and forensic science, as well as diversity training, workplace violence training and management training. The Division has prepared a criminal records manual for police departments and has conducted training sessions for police records

officers. For example, the Division published a "Search & Seizure" legal guide for police and prosecutors throughout the state.

Providing the Employee Assistance Program (EAP) in conjunction with the University of Connecticut. Other activities performed by Management Services include: processing complaints concerning Division personnel; coordinating prisoner transportation for extradition; planning facilities for field offices; records retention; managing the agency's fleet of vehicles; coordinating firearms training and law enforcement related purchases and organizing and conducting training sessions for prosecutors and support staff. The Division is required by statute to conduct random audits of agencies retaining criminal records to insure that these agencies comply with state law.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Purchase Requisitions Processed	1,073	1,100	1,100	1,100
Purchase orders Processed	2,058	2,000	2,000	2,000
Vouchers Processed	6,009	6,200	6,200	6,200
TRAINING:				
# of Professional Development Training Experiences	634	650	650	650
# of Employees Provided Diversity Training	12	15	15	15
# of Employees Trained in Sexual Harassment Prevention	11	15	15	15
# of Sexual Assault Exams Subsidized by State	886	900	900	900

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	35	4	-2	37	37	37	37	37

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	12,190,868	2,663,100	2,723,047	2,716,047	2,716,047	2,810,209	2,804,177	2,804,177
Other Expenses	807,453	913,078	928,002	926,502	854,846	949,274	947,774	913,078
<u>Capital Outlay</u>								
Equipment	0	0	190,000	150,000	0	220,000	150,000	0
<u>Other Current Expenses</u>								
Witness Protection	207,436	360,145	364,827	364,827	360,145	372,853	372,853	360,145
Training and Education	25,592	41,026	41,560	41,560	41,026	42,474	42,474	41,026
Expert Witnesses	2,501	3,757	3,806	3,806	3,757	3,890	3,890	3,757
Medicaid Fraud Control	893	1,593	1,614	1,614	1,593	1,650	1,650	1,593
TOTAL-General Fund	13,234,743	3,982,699	4,252,856	4,204,356	3,977,414	4,400,350	4,322,818	4,123,776
<u>Additional Funds Available</u>								
Bond Funds	6,612	220,000	1,000,000	0	0	1,000,000	0	0
TOTAL - All Funds	13,241,355	4,202,699	5,252,856	4,204,356	3,977,414	5,400,350	4,322,818	4,123,776

Management and Support Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	32,900,406	35,010,801	36,461,058	36,143,821	36,551,830	36,995,115
Other Positions	287,414	456,105	560,000	470,000	560,000	485,000
Other	1,092,236	991,153	1,413,894	932,900	1,289,055	955,900
Overtime	71	113	120	120	120	120
TOTAL-Personal Services Gross	34,280,127	36,458,172	38,435,072	37,546,841	38,401,005	38,436,135
Less Reimbursements						
Less Turnover	0	0	-203,472	-1,249,091	-203,472	-1,249,091
TOTAL-Personal Services Net	34,280,127	36,458,172	38,231,600	36,297,750	38,197,533	37,187,044

Budget-in-Detail

Other Expenses-Contractual Services

Dues and Subscriptions	146,035	167,124	169,296	167,124	173,021	167,124
Utility Services	95,532	109,328	111,599	109,328	114,704	109,328
Rentals, Storage and Leasing	263,107	286,922	305,015	170,458	311,725	286,922
Telecommunication Services	149,382	169,095	171,293	169,095	175,062	169,095
General Repairs	164,111	183,723	190,254	183,723	194,440	183,723
Motor Vehicle Expenses	29,601	33,875	34,315	33,875	35,070	33,875
Insurance	17,875	20,456	20,722	20,456	21,178	20,456
Fees for Outside Professional Services	39,879	39,780	40,578	39,780	41,731	39,780
Fees for Non-Professional Services	246,159	281,705	285,370	281,705	291,649	281,705
DP Services, Rentals and Maintenance	141,552	161,993	164,099	161,993	167,710	161,993
Postage	48,651	55,629	56,352	55,629	57,592	55,629
Travel	65,382	53,232	53,923	53,232	55,108	53,232
Other Contractual Services	278,557	318,669	322,810	338,669	329,912	338,669
Advertising	28,805	26,595	26,940	26,595	27,533	26,595
Printing & Binding	301	344	348	344	356	344

Other Expenses-Commodities

Agriculture, Horticulture,Dairy & Food	10,048	10,144	11,683	10,144	11,940	10,144
Books	8,864	10,144	10,275	10,144	10,501	10,144
Clothing and Personal Supplies	672	769	779	769	796	769
Maintenance and Motor Vehicle Supplies	105,853	122,467	125,345	122,467	128,335	122,467
Medical Supplies	23	26	26	26	27	26
Fuel	27,529	31,504	32,134	31,504	33,002	31,504
Office Supplies	290,927	300,009	331,918	300,009	339,220	300,009
Refunds of Expenditures Not Otherwise Classified	7,882	9,020	9,137	9,020	9,338	9,020
TOTAL-Other Expenses Gross	2,166,727	2,392,553	2,474,211	2,296,089	2,529,950	2,412,553
Less Reimbursements	0	-5,000	-5,000	-5,000	-5,000	-5,000
TOTAL-Other Expenses Net	2,166,727	2,387,553	2,469,211	2,291,089	2,524,950	2,407,553

Other Current Expenses

Forensic Sex Evidence Exams	556,474	640,000	648,320	640,000	662,583	640,000
Witness Protection	214,830	372,913	377,761	372,913	386,072	372,913
Training and Education	59,066	80,551	81,599	80,551	83,394	80,551
Expert Witnesses	152,132	228,643	231,616	228,643	236,712	228,643
Medicaid Fraud Control	550,446	696,762	705,820	696,762	673,976	658,448
TOTAL-Other Current Expenses	1,532,948	2,018,869	2,045,116	2,018,869	2,042,737	1,980,555

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	34,280,127	36,458,172	38,231,600	36,916,724	36,297,750	38,197,533	37,106,502	37,187,044
Other Expenses Net	2,166,727	2,387,553	2,469,211	2,441,941	2,291,089	2,524,950	2,497,680	2,407,553
Capital Outlay	1,000	1,000	1,444,900	676,900	1,000	1,087,600	676,600	1,000
Other Current Expenses	1,532,948	2,018,869	2,045,116	2,045,116	2,018,869	2,042,737	2,042,737	1,980,555
TOTAL-General Fund Net	37,980,802	40,865,594	44,190,827	42,080,681	40,608,708	43,852,820	42,323,519	41,576,152

Additional Funds Available

Bond Funds	14,779	220,000	1,000,000	0	0	1,000,000	0	0
Federal Contributions	1,028,661	1,252,434	943,000	943,000	943,000	968,000	968,000	968,000
Private Contributions	833,092	924,334	860,837	860,837	860,837	869,837	869,837	869,837
TOTAL-All Funds Net	39,857,334	43,262,362	46,994,664	43,884,518	42,412,545	46,690,657	44,161,356	43,413,989

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

CRIMINAL JUSTICE COMMISSION

AGENCY DESCRIPTION

[HTTP://WWW.CJC.STATE.CT.US](http://www.cjc.state.ct.us)

The Criminal Justice Commission is composed of the Chief State's Attorney and six members nominated by the Governor and appointed by the General Assembly. The commission is

charged with making certain prosecutorial appointments as required by statute or at the request of the Chief State's Attorney.

AGENCY PROGRAMS

Agency Programs by Total Funds (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Enhance Oper-Div of Criminal Justice	268	1,136	500	500	500	500	500	500
TOTAL Agency Programs - All Funds Gross	268	1,136	500	500	500	500	500	500
Less Turnover								
TOTAL Agency Programs - All Funds Net	268	1,136	500	500	500	500	500	500
<u>Summary of Funding</u>								
General Fund Net	268	1,136	500	500	500	500	500	500
TOTAL Agency Programs - All Funds Net	268	1,136	500	500	500	500	500	500

ENHANCE THE OPERATION OF THE DIVISION OF CRIMINAL JUSTICE

Statutory Reference

Section 51-275a

Statement of Need and Program Objectives

To appoint well qualified individuals to certain prosecutorial positions as required by statute or at the request of the Chief State's Attorney.

Program Description

The Criminal Justice Commission appoints the Chief State's Attorney, two deputy chief state's attorneys and a state's attorney for each judicial district and may fill any prosecutor vacancy at the request of the Chief State's Attorney or State's Attorneys.

Financial Summary (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses	268	1,136	500	500	500	500	500	500
TOTAL-General Fund	268	1,136	500	500	500	500	500	500
Enhance Oper-Div of Criminal Justice								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Other Expenses-Contractual Services</u>						
Fees for Non-Professional Services	195	829	300	300	300	300
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	73	307	200	200	200	200
TOTAL-Other Expenses Gross	268	1,136	500	500	500	500
Less Reimbursements						
TOTAL-Other Expenses Net	268	1,136	500	500	500	500

Character & Major Object Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses Net	268	1,136	500	500	500	500	500	500
TOTAL-General Fund Net	268	1,136	500	500	500	500	500	500

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

STATE MARSHAL COMMISSION

AGENCY DESCRIPTION

The State Marshal Commission is an independent agency charged with overseeing the administration of the state marshals.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-1,643	-6,129
• Transfer Equipment to CEPF	-5,900	0
• Reduce Compensation Increases for Managers & Confidentials in FY07 provide 2% general wage increase and delay PARS by 6 months	0	-2,542
• Carryforward FY05 Funds	-44,809	0

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	0	0	4	4	4	4	4

Agency Programs by Total Funds

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
State Marshal Commission	445,772	401,655	464,265	410,409	358,057	459,509	412,261	403,590
TOTAL Agency Programs - All Funds Gross	445,772	401,655	464,265	410,409	358,057	459,509	412,261	403,590
Less Turnover								
TOTAL Agency Programs - All Funds Net	445,772	401,655	464,265	410,409	358,057	459,509	412,261	403,590

Summary of Funding

General Fund Net	302,712	401,655	464,265	410,409	358,057	459,509	412,261	403,590
Private Contributions	143,060	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	445,772	401,655	464,265	410,409	358,057	459,509	412,261	403,590

STATE MARSHAL COMMISSION

Statutory Reference

PA 00-99; Sections 8, 150-152

Statement of Need or Program Objective

To oversee the administration of the state marshals providing legal execution and service of process throughout the State.

Program Description

The State Marshal Commission consists of 8 voting members. One member of the commission is appointed by each, the Supreme Court Chief Justice (whose appointee must be a judge), the House Speaker, the Senate president pro tempore, the House and Senate majority and minority leaders, and the Governor (who appoints the chairperson).

The chairperson serves a three-year term and no more than four members (excluding the chairperson) can belong to the

same political party. At least three of the seven non-judicial members (excluding the chairperson) must not be members of any state bar. No member can be a state marshal, but two state marshals from the State Marshal Advisory Board serve as non-voting members.

The commission sets professional standards, training requirements and minimum fees for execution and service of process. It must also review and audit the records and accounts of state marshals.

The commission also adopts rules for conduct of the commission's internal affairs and for the application and investigation requirements for filling state marshal vacancies and conducts hearings for the removal of state marshals.

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	0	0	4	4	4	4	4

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	221,019	277,442	250,465	248,965	208,965	252,231	252,231	249,689
Other Expenses	81,693	124,113	203,800	155,444	148,992	207,278	159,930	153,801

State Marshal Commission

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General Government

Capital Outlay

Equipment	0	100	10,000	6,000	100	0	100	100
TOTAL-General Fund	302,712	401,655	464,265	410,409	358,057	459,509	412,261	403,590
<u>Additional Funds Available</u>								
Private Contributions	143,060	0	0	0	0	0	0	0
TOTAL - All Funds	445,772	401,655	464,265	410,409	358,057	459,509	412,261	403,590

State Marshal Commission

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	199,966	233,029	242,536	202,536	249,170	246,628
Other Positions	15,155	43,475	5,000	2,500	0	0
Other	5,898	938	2,929	3,929	3,061	3,061
TOTAL-Personal Services Gross	221,019	277,442	250,465	208,965	252,231	249,689
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	221,019	277,442	250,465	208,965	252,231	249,689

Other Expenses-Contractual Services

Rentals, Storage and Leasing	4,569	21,852	30,564	6,988	31,166	6,988
Telecommunication Services	1,862	8,580	8,691	5,017	8,882	5,017
General Repairs	1,292	4,087	4,241	1,707	4,334	1,707
Motor Vehicle Expenses	23,010	12,800	12,966	7,991	13,251	12,800
Fees for Outside Professional Services	30,529	34,078	99,196	95,078	100,499	95,078
Fees for Non-Professional Services	25	115	116	115	119	115
DP Services, Rentals and Maintenance	2,520	11,457	11,606	2,750	11,861	2,750
Postage	6,412	8,524	8,609	8,524	8,755	8,524
Travel	262	1,000	1,014	600	1,036	600
Advertising	0	0	6,000	2,000	6,132	2,000
Printing & Binding	0	3,500	2,500	1,000	2,555	1,000

Other Expenses-Commodities

Books	145	668	677	200	692	200
Maintenance and Motor Vehicle Supplies	2,073	5,830	5,920	5,400	6,052	5,400
Office Supplies	8,859	6,000	6,078	6,000	6,212	6,000
Refunds of Expenditures Not Otherwise Classified	0	5,000	5,000	5,000	5,110	5,000

Other Expenses-Sundry

Sundry - Other Items	135	622	622	622	622	622
TOTAL-Other Expenses Gross	81,693	124,113	203,800	148,992	207,278	153,801
Less Reimbursements						
TOTAL-Other Expenses Net	81,693	124,113	203,800	148,992	207,278	153,801

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	221,019	277,442	250,465	248,965	208,965	252,231	252,231	249,689
Other Expenses Net	81,693	124,113	203,800	155,444	148,992	207,278	159,930	153,801
Capital Outlay	0	100	10,000	6,000	100	0	100	100
TOTAL-General Fund Net	302,712	401,655	464,265	410,409	358,057	459,509	412,261	403,590
<u>Additional Funds Available</u>								
Private Contributions	143,060	0	0	0	0	0	0	0
TOTAL-All Funds Net	445,772	401,655	464,265	410,409	358,057	459,509	412,261	403,590

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

BOARD OF ACCOUNTANCY

AGENCY DESCRIPTION

The Board of Accountancy licenses and regulates public accountants and is responsible for ensuring the highest standards of integrity and professionalism are maintained by Connecticut's certified public accountants and licensed public

accountants. The Governor has recommended establishing the Board of Accountancy as a separate agency effective July 1, 2005. The board has been a program within the office of the Secretary of the State.

RECOMMENDED SIGNIFICANT CHANGES

Reallocations or Transfers

- Establish the Board of Accountancy as a Separate Agency

	<u>2005-2006</u>	<u>2006-2007</u>
	317,487	312,057

AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	0	0	0	0	0	4	0	4

Agency Programs by Total Funds

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Regulation of Licensed Accountants	0	0	0	0	342,487	0	0	312,057
TOTAL Agency Programs - All Funds Gross	0	0	0	0	342,487	0	0	312,057
Less Turnover								
TOTAL Agency Programs - All Funds Net	0	0	0	0	342,487	0	0	312,057
<i>Summary of Funding</i>								
General Fund Net	0	0	0	0	342,487	0	0	312,057
TOTAL Agency Programs - All Funds Net	0	0	0	0	342,487	0	0	312,057

REGULATION OF LICENSED ACCOUNTANTS

Statutory Reference

C.G.S. Chapter 389

Statement of Need and Program Objectives

To protect the users of services rendered by Connecticut licensed accountants by regulating the authorized practice of public accountancy by certified public accountants (CPA) and public accountants as well as the unauthorized practice of public accountancy by unlicensed individuals and firms.

Program Description

The Board of Accountancy is concerned with licensing, continuing education, quality review, examination, and regulation and discipline of accountants in the state. The board votes monthly to accept or reject requests, initial certification, licenses, registrations, and permits, after insuring that all regulatory requirements have been met. Yearly the board renews currently held licenses, registrations and permits.

Permit holders, as a condition of renewal, must undergo a peer review to determine compliance with technical standards. The results are reported to the board for review and action. All licensees must report 40 hours of continuing education each year. Disclosure forms are received, reviewed and audited for compliance.

The board administers the uniform national CPA exam. Certification requires fulfillment of education, exam and experience requirements.

Allegations of violations are received and investigated. Formal hearings or settlements may result in suspension, revocation, reprimand, probation or censure of a respondent's Connecticut certificate, license or permit or the issuance of an Order of Immediate Discontinuance in the case of unauthorized practice and use of title. Civil penalties of up to \$50,000 may be imposed.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Licenses and Permits Issued Initial				
CPA Certificates			500	525
CPA Licenses			600	625
CPA Certificates Registered			225	250
Firm Permits			190	200
Renewal				

CPA Licenses		4600/20	4750/15
CPA Certificates Registered		2,300	2,400
Firm Permits		1,800	1,850
Exam Applicants		800	800
Written Complaints			
Received/Resolved		50/30	50/30
Pending		70	70
Disciplinary Hearings Held		20	20

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	0	0	0	0	4	0	4

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	0	0	0	0	228,305	0	0	232,375
Other Expenses	0	0	0	0	89,182	0	0	79,682
<u>Other Current Expenses</u>								
Information Technology	0	0	0	0	25,000	0	0	0
TOTAL-General Fund	0	0	0	0	342,487	0	0	312,057

Regulation of Licensed Accountants**AGENCY FINANCIAL SUMMARY - GENERAL FUND****Current Expenses by Minor Object**

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	0	0	0	224,347	0	228,321
Other Positions	0	0	0	3,458	0	3,554
Other	0	0	0	500	0	500
TOTAL-Personal Services Gross	0	0	0	228,305	0	232,375
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	0	0	0	228,305	0	232,375
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	0	0	0	5,862	0	5,862
Motor Vehicle Expenses	0	0	0	37	0	37
Fees for Outside Professional Services	0	0	0	32,271	0	32,271
Fees for Non-Professional Services	0	0	0	4,442	0	4,442
DP Services, Rentals and Maintenance	0	0	0	3,021	0	3,021
Postage	0	0	0	2,440	0	2,440
Travel	0	0	0	7,265	0	7,265
Other Contractual Services	0	0	0	13,989	0	13,989
Printing & Binding	0	0	0	11,328	0	1,828
<u>Other Expenses-Commodities</u>						
Office Supplies	0	0	0	7,978	0	7,978
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	0	0	0	549	0	549
TOTAL-Other Expenses Gross	0	0	0	89,182	0	79,682
Less Reimbursements						
TOTAL-Other Expenses Net	0	0	0	89,182	0	79,682
<u>Other Current Expenses</u>						
Information Technology	0	0	0	25,000	0	0
TOTAL-Other Current Expenses	0	0	0	25,000	0	0

Budget-in-Detail

Character & Major Object Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	0	0	0	0	228,305	0	0	232,375
Other Expenses Net	0	0	0	0	89,182	0	0	79,682
Other Current Expenses	0	0	0	0	25,000	0	0	0
TOTAL-General Fund Net	0	0	0	0	342,487	0	0	312,057

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.