

AGENDA ITEMS FOR THE STATE BOND COMMISSION
SEPTEMBER 27, 2013
ROOM 1E LEGISLATIVE OFFICE BUILDING
10:30 A.M.

<u>SOURCE OF FUNDS</u>	<u>ITEM NO.</u>	<u>GENERAL OBLIGATION ALLOCATIONS</u>	<u>REVENUE BONDS/OTHER TRANSACTIONS</u>
SECTION 10-287d CONNECTICUT GENERAL STATUTES	1	\$250,000,000 =====	=====
SECTION 32-285 CONNECTICUT GENERAL STATUTES	2	=====	\$22,000,000 =====
PUBLIC ACT #239, 2013 SECTION 1-7	3-8	\$4,385,809 =====	=====
PUBLIC ACT #239, 2013 SECTION 12-19	9-11	\$12,200,000 =====	=====
PUBLIC ACT #3, 2013 SECTION 85	12	\$10,000,000 =====	=====
PUBLIC ACT #1, 2012 DECEMBER SPECIAL SESSION SECTION 41	13	\$10,000,000 =====	=====
PUBLIC ACT #189, 2012 AS AMENDED SECTION 1-7	14-15	\$3,109,349 =====	=====
PUBLIC ACT #189, 2012 AS AMENDED SECTION 8-15	16-18	\$17,829,295 =====	=====
PUBLIC ACT #189, 2012 AS AMENDED SECTION 43	19	\$1,800,000 =====	=====
PUBLIC ACT #1, 2011 OCTOBER SPECIAL SESSION AS AMENDED SECTION 32	20	\$604,500 =====	=====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 1-7	21-25	\$6,979,100 =====	=====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 12-19	26	\$1,204,165 =====	=====

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PUBLIC ACT #57, 2011 AS AMENDED SECTION 20-26	27	\$4,730,000 =====	=====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 27-30	28	\$18,943,188 =====	=====
PUBLIC ACT #2, 2009 SEPTEMBER SPECIAL SESSION AS AMENDED SECTION 41-47	29	\$1,402,422 =====	=====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 1-7	30-31	\$1,513,124 =====	=====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 20-26	32	\$670,000 =====	=====
PUBLIC ACT #4, 2005 JUNE SPECIAL SESSION AS AMENDED SECTION 27-32	33	=====	\$21,000,000 =====
PUBLIC ACT #5, 2005 JUNE SPECIAL SESSION AS AMENDED SECTION 17	34	\$25,196,500 =====	=====
SPECIAL ACT #270, 1990 AS AMENDED SECTION 33	35	\$15,600,000 =====	=====
PUBLIC ACT #607, 1979 AS AMENDED SECTION 21	36	\$9,300,000 =====	=====

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<u>SOURCE OF FUNDS</u>	<u>ITEM NO.</u>	<u>GENERAL OBLIGATION ALLOCATIONS</u>	<u>REVENUE BONDS/OTHER TRANSACTIONS</u>
DECLARATION OF OFFICIAL INTENT PURSUANT TO FEDERAL INCOME TAX REGULATIONS	37	=====	=====

FINDINGS

AGENDA ITEMS FOR THE STATE BOND COMMISSION
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UNLESS OTHERWISE NOTED, THE SECRETARY OF THE STATE BOND COMMISSION HAS ON FILE THE FOLLOWING MATTERS WITH RESPECT TO EACH REQUEST MADE TO THE STATE BOND COMMISSION FOR TODAY'S MEETING AS DETAILED THROUGH THE ATTACHED AGENDA ITEMS.

1. ANY HUMAN SERVICES FACILITY COLOCATION STATEMENT IF SO REQUESTED BY THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT PURSUANT TO CGS §4B-23.
2. ANY PROJECT WHICH INVOLVES THE USE OF 25 ACRES OR MORE OF PRIME FARMLAND FOR NONAGRICULTURAL PURPOSES HAS BEEN REVIEWED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT NO. 83-102 (AN ACT CONCERNING STATE PROJECTS WHICH TAKE PRIME FARMLAND) AND THAT THE COMMISSIONER OF AGRICULTURE HAS FILED A STATEMENT INDICATING THAT EACH SUCH PROJECT PROMOTES AGRICULTURE OR THE GOAL OF AGRICULTURAL LAND PRESERVATION, OR THERE IS NO REASONABLE ALTERNATIVE SITE FOR THE PROJECT, OR THE PROJECT IS NOT APPLICABLE UNDER THE ACT.
3. ANY CAPITAL DEVELOPMENT IMPACT STATEMENT REQUIRED TO BE FILED WITH THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT, IF SO REQUIRED BY THE SECRETARY, PURSUANT TO CGS §4B-23.
4. ANY ADVISORY STATEMENT REGARDING THE STATE CONSERVATION AND DEVELOPMENT POLICIES PLAN REQUIRED BY CGS §16A-31 FOR EACH ITEM FOR WHICH AN ADVISORY STATEMENT IS REQUIRED BY CGS §16A-31, AND THE ITEM IS EITHER IN CONFORMITY WITH THE PLAN OR NOT ADDRESSED THEREIN.
5. ANY STATEMENT REQUIRED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT 06-194 AS TO THE FULL COST OF THE PROJECT OR PURPOSE WHEN COMPLETED AND THE ESTIMATED OPERATING COST OF ANY STRUCTURE, EQUIPMENT OR FACILITY TO BE CONSTRUCTED OR ACQUIRED.

SECTION 10-287d OF THE
CONNECTICUT GENERAL STATUTES

ITEM NO. 1

DEPARTMENT OF ADMINISTRATIVE SERVICES

SCHOOL BUILDING PROJECTS (PRINCIPAL AND CURRENT PAYMENTS)

REQUESTED: An Allocation and Bond Authorization (to agency) \$250,000,000

Acct. No. 13010-DCS28000-40901

Total Earmarking	\$9,656,260,000
Previous Allocations	<u>8,754,960,000</u>
Balance Unallocated	<u>\$ 901,300,000</u>

REASON FOR REQUEST:

These funds are requested to finance estimated grants-in-aid for Fiscal Year 2013-14 for the state's share of the cost of the principal and current payments for the construction of local school building projects in accordance with section 10-287d C.G.S., as amended.

These projects include expansion, renovations and improvements, safety and health related projects, vocational agricultural centers authorized under Section 10-65 C.G.S. and cooperative regional special educational facilities authorized under Section 10-76e C.G.S.

SUMMARY OF STATE BOND COMMISSION REQUEST
LOCAL SCHOOL CONSTRUCTION
PRINCIPAL AND CURRENT PAYMENT REQUIREMENTS

Scheduled Principal Payments:

FY 2014:

Magnet Schools Progress Payments	\$305,000,000
Technical High Schools Progress Payments	227,400,000
Other than THS and Magnet Schools Progress Payments	275,000,000
Principal Payments, Calculated	<u>32,400,000</u>
Total Required, FY 2014	\$839,800,000
Less: Previous Funding	108,000,000
Future Funding	<u>481,800,000</u>
Total, This Request	<u>\$250,000,000</u>

SECTION 32-285 OF THE
CONNECTICUT GENERAL STATUTES

ITEM NO. 2

STATE OF CONNECTICUT

GENERAL FUND OBLIGATION BONDS ISSUED BY THE CONNECTICUT INNOVATIONS
INCORPORATED

TAX INCREMENTAL FINANCING

REQUESTED: Approval of a resolution entitled “ Resolution of the State Bond Commission approving the matter of an economic development project by Bridgeport Landing Development LLC in Bridgeport, Connecticut consisting of the construction of a Bass Pro Shops retail facility and related improvements at Steel Point and authorizing the financing of a portion of the cost thereof by the issuance of State of Connecticut general fund obligation bonds of Connecticut Innovations Incorporated in an amount not to exceed \$22,000,000 pursuant to Section 32-285 of the Connecticut General Statutes”

REASON FOR REQUEST:

The purpose of this request is to approve a resolution that would authorize the issuance of \$22,000,000 of general fund obligation bonds by Connecticut Innovations Incorporated as tax increment bonds in support of the construction by Bridgeport Landing Development LLC of a Bass Pro Shops retail facility and related improvements at Steel Point in the City of Bridgeport.

In accordance with Section (h) of Section 32-285 of the general statutes, “the treasurer shall make payment of interest and principal on the bonds to the trustee when due”.

State assistance for this project includes a grant-in-aid described under Item 36.

Financing:

Total Project Cost	\$68,500,000	
Less: P.A. 79-607, Sec. 21(b)(6)(B), Item 36	9,000,000	
Developer’s Equity/Private Financing	<u>37,500,000</u>	
Total, Tax Incremental Financing, This Request		<u>\$22,000,000</u>

PUBLIC ACT #239, 2013
SECTION 1-7

ITEM NO. 3

DEPARTMENT OF ADMINISTRATIVE SERVICES

REMOVAL OR ENCAPSULATION OF ASBESTOS AND HAZARDOUS MATERIALS IN STATE-OWNED BUILDINGS

REQUESTED: An Allocation and Bond Authorization \$2,500,000

FROM: Sec. 2(c)(4) Acct. No. 17141-DAS23000-43329
Project No. BI-2B-1000

Total Earmarking	\$10,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance the estimated costs of the ongoing Statewide Asbestos Abatement Program. These funds are expended in support of ongoing renovation and repair projects statewide.

These projects will create or retain approximately 42 construction related jobs.

Funds are requested as follows:

	<u>This Request</u>
Construction (EST)	\$2,000,000
Design/Monitoring	375,000
DAS Fee	<u>125,000</u>
Total, This Request	<u>\$2,500,000</u>

PUBLIC ACT #239, 2013
SECTION 1-7

ITEM NO. 4

DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION

DESIGN, CONSTRUCTION AND EQUIPMENT FOR A CONSOLIDATED
COMMUNICATIONS CENTER AT THE HEADQUARTERS BUILDING IN MIDDLETOWN

REQUESTED: An Allocation and Bond Authorization \$165,000

FROM: Sec. 2(d)(1) Acct. No. 17141-DPS32000-43552
Project No. BI-N-336

Total Earmarking	\$4,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$4,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance the design of a central district consolidated dispatch center at 1111 Country Club Road in Middletown.

This project consists of renovating approximately 5500 square feet of existing space on the third floor to construct a new communications center for the State Police.

Funds are requested as follows:

		<u>This Request</u>
Construction (EST)	\$1,160,728	
Contingency	116,073	
Architect/Engineer's Fee	140,000	\$140,000
DAS Fee	<u>50,000</u>	<u>25,000</u>
Total	<u>\$1,466,801</u>	<u>\$165,000</u>

PUBLIC ACT #239, 2013
SECTION 1-7

ITEM NO. 5

MILITARY DEPARTMENT

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING UTILITIES,
MECHANICAL SYSTEMS AND ENERGY CONSERVATION PROJECTS

REQUESTED: An Allocation and Bond Authorization \$200,000

FROM: Sec. 2(f)(1) Acct. No. 17141-MIL36000-43554
Project No. BI-Q-1000

Total Earmarking	\$1,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$1,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance the replacement of the emergency generator at the Stratford Readiness Center. This facility is critical as a shelter and staging area during emergency response situations.

Funds are requested as follows:

Total, This Request \$200,000

PUBLIC ACT #239, 2013
SECTION 1-7

ITEM NO. 6

CAPITAL REGION DEVELOPMENT AUTHORITY

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS AT THE CONNECTICUT CONVENTION
CENTER AND RENTSCHLER FIELD

REQUESTED: An Allocation and Bond Authorization (to agency) \$1,315,000

FROM: Sec. 2(h)(1) Acct. No. 17141-CRD47200-43560

Total Earmarking	\$4,122,000
Previous Allocations	<u>2,807,000</u>
Balance Unallocated	<u>\$1,315,000</u>

REASON FOR REQUEST:

These funds are requested to finance capital improvements at the Connecticut Convention Center in Hartford as detailed below.

Funds are requested as follows:

<u>Project</u>	<u>This Request</u>
Improvements to security systems and equipment	\$ 363,000
Renovations, repairs and improvements	332,000
Modify automobile entrances for iQuilt Plan	155,000
Sound system upgrades	225,000
Information technology upgrades for client needs and Wi-Fi access	130,000
Way finding kiosks and Bradley Airport flight Status monitors	<u>110,000</u>
Total, This Request	<u>\$1,315,000</u>

PUBLIC ACT #239, 2013
SECTION 1-7

ITEM NO. 7

BOARD OF REGENTS FOR HIGHER EDUCATION

ALL REGIONAL COMMUNITY COLLEGES

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO FACILITIES INCLUDING FIRE, SAFETY, ENERGY CONSERVATION AND CODE COMPLIANCE, AND ACQUISITION OF PROPERTY

REQUESTED: An Allocation and Bond Authorization \$68,309

FROM: Sec. 2 (l)(1)(c) Acct. No. 17141-BOR77700-43565
Project No. BI-CTC-441

Total Earmarking	\$2,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$2,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 29, to award a construction contract based on bids received June 26, 2013, for additions and renovations to the cafeteria at Founders Hall at Middlesex Community College in Middletown.

This project consists of providing additional space for students and will provide the college with flexible meeting space where presentation and special events can be held.

This project will create or retain approximately 32 construction related jobs.

Funds are requested as follows:

	<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$1,503,000	\$1,503,000
Contingency	87,731	87,731
Architect/Engineer's Fee	156,038	\$156,038
Testing/Inspections	<u>5,000</u>	<u>5,000</u>
Total	<u>\$1,751,769</u>	<u>\$1,595,731</u>

Financing:

P.A. 13-239, Sec. 2(l)(1)(c), This Request	\$ 68,309
P.A. 09-2, Sec. 42 (e) (4) Item 29	1,402,422
P.A. 09-2, Sec. 27 (d) (2), Previous Funding	156,038
Agency Funds	<u>125,000</u>
Total	<u>\$1,751,769</u>

PUBLIC ACT #239, 2013
SECTION 1-7

ITEM NO. 8

BOARD OF REGENTS FOR HIGHER EDUCATION

QUINEBAUG VALLEY COMMUNITY COLLEGE

HEATING, VENTILATING AND AIR-CONDITIONING SYSTEM IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$137,500

FROM: Sec. 2(l)(2)B Acct. No. 17141-CCC78000-43567
Project No. BI-CTC-480

Total Earmarking	\$1,750,000
Previous Allocations	<u>-0-</u>
Balance Unallocated	<u>\$1,750,000</u>

REASON FOR REQUEST:

These funds are requested to finance design improvements to replace six roof top units and various HVAC upgrades at Quinebaug Valley community College in Danielson.

This project consists of replacing the twenty year old roof top units and the installation of a new digital control system with software upgrades to communicate with the existing West Wing's automated system.

Funds are requested as follows:

		<u>This Request</u>
Construction (EST)	\$1,300,000	
Contingency	130,000	
Architect/Engineer's Fee	150,000	\$112,500
Specialty Consultants	7,000	7,000
Commissioning	20,000	10,000
Equipment	130,000	
DAS Fee	<u>8,000</u>	<u>8,000</u>
Total	<u>\$1,750,000</u>	<u>\$137,500</u>

PUBLIC ACT #239, 2013
SECTION 12-19

ITEM NO. 9

OFFICE OF POLICY AND MANAGEMENT

GRANTS-IN-AID TO MUNICIPALITIES FOR INFRASTRUCTURE PROJECTS AND PROGRAMS,
INCLUDING PLANNING, PROPERTY ACQUISITION, SITE PREPARATION, CONSTRUCTION AND OFF-
SITE IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$3,700,000

FROM: Sec. 13(a)(2) Acct. No. 12052-OPM20000-43575

Total Earmarking	\$50,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$50,000,000</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the Town of Newtown to finance on-going planning, design and site preparation costs for a new Sandy Hook Elementary School.

This grant-in-aid will be administered by the Department of Administrative Services, Office of School Facilities.

Funds are requested as follows:

Total, This Request \$3,700,000

PUBLIC ACT #239, 2013
SECTION 12-19

ITEM NO. 10

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

SMALL BUSINESS EXPRESS PROGRAM ESTABLISHED BY SECTION 32-7g OF THE GENERAL STATUTES

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$5,000,000
FROM:	Sec. 13(d)(2)	Acct. No. 12052-ECD46000-43516
	Total Earmarking	\$50,000,000
	Previous Allocations	-0-
	Balance Unallocated	<u>\$50,000,000</u>

REASON FOR REQUEST:

These funds are requested to replenish the Small Business Express Program established in Section 32-7g of the General Statutes.

This program consists of the following sub programs as defined in the act:

- 1.) Revolving loan fund.
- 2.) Job creation incentive component with loans that are optionally deferred or forgiven based on attainment of job retention or creation goals.
- 3.) Matching capital grant program. Grants are prioritized based on the likelihood that applicants will maintain job growth.

Not later than June 30, 2012, and every six months thereafter, the commissioner is required to report on the program to various committees of the General Assembly. The report is required to include data on the number of small businesses that applied to the Small Business Express program, the number of small businesses that received assistance under said program and the general categories of such businesses, the amounts and types of assistance provided, the total number of jobs on the date of application and the number proposed to be created or retained, and the most recent employment figures of the small businesses receiving assistance.

Funds are requested as follows:

Total, This Request	<u>\$5,000,000</u>
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PUBLIC ACT #239, 2013
SECTION 12-19

ITEM NO. 11

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

BROWNFIELD REMEDIATION AND REDEVELOPMENT PROJECTS

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$3,500,000
FROM:	Sec. 13(d)(3)	Acct. No. 12052-ECD46000-43403
	Total Earmarking	\$20,000,000
	Previous Allocations	-0-
	Balance Unallocated	<u>\$20,000,000</u>

REASON FOR REQUEST:

These funds are requested to provide supplemental funding for the Regional Brownfield Redevelopment Initiative.

The funds will be used to provide low-interest loans to eligible applicants for the remediation of contaminated brownfield sites to bring them back to productive use in accordance with P.A. 13-308.

The release of these funds will be controlled through the allotment process pending project selections.

Funds are requested as follows:

Total, This Request	<u>\$3,500,000</u>
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PUBLIC ACT #3, 2013
SECTION 85

ITEM NO. 12

DEPARTMENT OF EDUCATION

SCHOOL SECURITY INFRASTRUCTURE COMPETITIVE GRANT PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$10,000,000

FROM: Sec. 85 Acct. No. 12052-SDE64000-43546

Total Authorized	\$15,000,000
Previous Allocations	<u>5,000,000</u>
Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance the School Security Infrastructure Competitive Grant program established pursuant to section 84 of Public Act 13-3.

Under the act, the Departments of Emergency Services and Public Protection, Administrative Services and Education will jointly administer the grant program. Towns may be reimbursed for development or improvement of the security infrastructure of schools, based on the results of school building security assessments required by the act. The improvements include the installation of surveillance cameras, penetration resistant vestibules, ballistic glass, solid core doors, double door access, computer-controlled electronic locks, entry door buzzer systems, scan card systems, panic alarms or other systems, the training of school personnel in the operation and maintenance of the security infrastructure of school buildings or the purchase of portable entrance security devices, including metal detector wands and screening machines and related training.

Funds are requested as follows:

Total Program Cost	\$15,000,000	
Less, Previous Funding	<u>5,000,000</u>	
Total, This Request		<u>\$10,000,000</u>

PUBLIC ACT #1, 2012
DECEMBER SPECIAL SESSION
SECTION 41

ITEM NO. 13

DEPARTMENT OF PUBLIC HEALTH

FOR THE STEM CELL RESEARCH FUND ESTABLISHED BY SECTION 19a-32e OF THE GENERAL STATUTES, TO PROVIDE GRANTS-IN-AID TO ELIGIBLE INSTITUTIONS TO CONDUCT EMBRYONIC OR HUMAN ADULT STEM CELL RESEARCH

REQUESTED: An Allocation and Bond Authorization (to agency) \$10,000,000

FROM: Sec. 41 Acct. No. 12052-DPH48500-43545

Total Earmarking	\$10,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance grants-in-aids for stem cell research as detailed below.

Funds are requested as follows:

	<u>This Request</u>
<u>University of Connecticut Health Center</u>	
Next Generation Bone Graft Substitute Through Osteogenic Differentiation of hMSC by a Small Molecule – Wai Hong Lo	\$ 200,000
Differentiating Human Embryonic Stem Cells Down the Axial Skeletal Lineage – Peter Maye	200,000
Specification of Poised Epigenomic Marks in ES Cells	200,000
Establishing an Epigenetic Memory of Tissue-Specific Induced Pluripotent Stem Cells for Superior Chondrogenic Differentiation Capacity – Rosa Guzzo	200,000
Generation of Customized Anti-Tumor T Cells from Human Pluripotent Stem Cells Derived Embryoid Bodies for Immunotherapy of Human Melanoma – Arvind Chhabra	600,000
Using hESCs and iPSCs to Test the Significance of Hereditary Cancer-Associated Variants – Christopher Heinen	750,000
Uncovering Molecular Pathways Disrupted in Prader-Willi Syndrome – Kristen Martins-Taylor	750,000
UConn-Wesleyan Stem Cell Core – Ren-He Xu	500,000
Pluripotency and Heterochromatin Topology – Tae Hoon Kim	750,000
Improving the Fidelity of Human iPSC with Epigenetic and Chemical Genetic Approaches – Andrew Xiao	532,500
<u>Yale University</u>	
Vascular Growth Factor Signaling in Human Neural Stem Cells – Jean-Leon Thomas	200,000
Hypoxia and Maintenance of Human Cancer Stem Cells – Zhong Yun	200,000
Testing the Oxidative Stress Theory of Ataxia-Telangiectasia Pathology Using Induced Pluripotent Stem Cells – Gerald Shadel	200,000
Characterize Nuclear Lamina-Associated Chromatin in Human ES Cells – Jie Yao	200,000

ITEM 13 CONT.

Yale University (Continued)

Modeling Chronic Pain Condition with iPS Cells from Patients with “Man on Fire” Syndrome for Better Pharmaco-Genomic Analysis and Drug Testing – Stephen Waxman	200,000
Elucidating the Role of Stem Cells in the Skin Tumor Pilomatricoma by In Vivo Imaging – Panteleimon Rumpolas	200,000
The Role of Adipocyte Stem Cell in Lymphatic Vessel Differentiation – Nancy Ruddle	200,000
Cell Therapy with ISL1 + Cardiac Progenitor Cells for Cardiac Repair After Myocardial Infarction – Oscar Bartulos	200,000
Potential of Human Pluripotent Stem Cell Derived Mesenchymal Cells for Lung Tissue Engineering – Sumati Sundaram	200,000
Human ES-Derived Neuronal Cell Culture Systems for Investigating West Nile Virus Pathogenesis – Priti Kumar	200,000
Continued Service and Technology Development at the Yale Stem Cell Center Cores – Haifan Lin	500,000

Wesleyan University

HESC-Derived GABAergic Neurons for Epilepsy Therapy – Janice Naegele	1,488,229
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ImStem Biotechnology

Developing a Potential Therapy for Multiple Sclerosis Using hESC-derived MSCs – Ren-He Xu	1,129,271
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Administrative Costs	<u>200,000</u>
	<u>\$10,000,000</u>

PUBLIC ACT #189, 2012
AS AMENDED
SECTION 1-7

ITEM NO. 14

OFFICE OF POLICY AND MANAGEMENT

INFORMATION TECHNOLOGY CAPITAL INVESTMENT PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$1,109,349

FROM: Sec. 2(a) Acct. No. 17131-OPM20000-43526

Total Authorized	\$50,000,000
Previous Allocations	<u>25,079,988</u>
Balance Unallocated	<u>\$24,920,012</u>

REASON FOR REQUEST:

These funds are requested to finance costs associated with development and implementation of information technology enhancements for various state agencies, as approved by the Information Technology Strategy and Investment Committee, as detailed below.

State Department of Veterans' Affairs - Electronic Medical Records System.

Department of Rehabilitation Services - Integrated Customer Service and Reporting System.

Department of Corrections - Offender Management Information System.

Funds are requested as follows:

Total, This Request \$1,109,349

PUBLIC ACT #189, 2012
AS AMENDED
SECTION 1-7

ITEM NO. 15

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

IMPLEMENTATION OF A MINORITY BUSINESS ENTERPRISE ASSISTANCE PROGRAM TO ASSIST SUCH BUSINESSES IN OBTAINING SURETY BONDS, INCLUDING BID, PERFORMANCE AND PAYMENT BONDS, FOR CAPITAL CONSTRUCTION PROJECTS, WHICH PROGRAM MAY BE RUN BY A NONPROFIT ENTITY WITH WHICH SAID DEPARTMENT SHALL CONTRACT, PROVIDED NOT MORE THAN \$ 2,000,000 SHALL BE MADE AVAILABLE TO A NONPROFIT ENTITY THAT SHALL GIVE PRIORITY TO MINORITY BUSINESS ENTERPRISES LOCATED IN THE NORTHERN HALF OF THE STATE, AND NOT MORE THAN \$ 2,000,000 SHALL BE MADE AVAILABLE TO A NONPROFIT ENTITY THAT SHALL GIVE PRIORITY TO MINORITY BUSINESS ENTERPRISES LOCATED IN THE SOUTHERN HALF OF THE STATE

REQUESTED: An Allocation and Bond Authorization (to agency) \$2,000,000

FROM: Sec. 2(e) Acct. No. 12052-ECD46000-43528

Total Earmarking	\$4,000,000
Previous Allocations	<u>2,000,000</u>
Balance Unallocated	<u>\$2,000,000</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the Bridgeport Economic Development Corporation to implement a minority business enterprise assistance program in the southern half of the state.

The program will assist eligible contractors in obtaining surety bonds for bidding, performance and payment. It will also provide loans to assist the contractors with funding during construction to increase their chances of meeting bidding requirements and successfully completing projects.

Funds are requested as follows:

Total, This Request \$2,000,000

PUBLIC ACT #189, 2012
AS AMENDED
SECTION 8-15

ITEM NO. 16

DEPARTMENT OF HOUSING

GRANTS-IN-AID TO MUNICIPALITIES FOR THE INCENTIVE HOUSING ZONE PROGRAM
ESTABLISHED PURSUANT TO CHAPTER 124b OF THE GENERAL STATUTES

REQUESTED: An Allocation and Bond Authorization (to agency) \$500,000

FROM: Sec. 9(a)(2) Acct. No. 12052-DOH46900-43529

Total Earmarking	\$2,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$2,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance grants-in-aid to eligible municipalities under the incentive housing zone program.

The program provides incentives to municipalities for creating Incentive Housing Zones (IHZ) in eligible locations, such as, near transit facilities, an area of concentrated development or an area because of existing, planned or proposed infrastructure that is suitable for development as an IHZ. Developable land excludes publicly and privately owned property slated for public uses, parks, recreation areas, dedicated open space land, other land where restrictions prohibit development, wetlands or watercourses and areas exceeding one-half or more acres of contiguous land where steep slopes or other topographic features make it unsuitable for development.

Incentive Housing Development means a residential or mixed-use development that meets the following criteria - is located within an Office of Policy and Management approved IHZ, is eligible for financial incentive payments, and sets aside lower cost units for a minimum of 20% of the households earning 80% or less of the area median income for minimum of 30 years. A unit is considered affordable if it costs no more than 30% of a person's annual income to live there.

Funds are requested as follows:

Total, This Request \$500,000

PUBLIC ACT #189, 2012
AS AMENDED
SECTION 8-15

ITEM NO. 17

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

FOR A PROGRAM TO ESTABLISH ENERGY MICROGRIDS TO SUPPORT CRITICAL MUNICIPAL INFRASTRUCTURE

REQUESTED: An Allocation and Bond Authorization (to agency) \$15,000,000

FROM: Sec. 9(b)(2) Acct. No. 12052-DEP43000-43531

Total Earmarking	\$25,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$25,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance grants-in-aid under the microgrid pilot program as detailed below.

The projects represent a mix of generation sources, including fuel cells and gas turbines, and a mix of critical facilities that will be supported by the microgrids like police stations, emergency shelters, gas stations, grocery stores, hospitals and wastewater treatment plants.

Funds are requested as follows:

<u>Recipient</u>	<u>Microgrid for:</u>	
University of Connecticut	Depot Campus – Kennedy building and various cottages	
City of Bridgeport	City hall, police station and senior center	
Wesleyan University	Campus buildings and athletic center	
University of Hartford	Dormitories, campus center and operations building	
Town of Windham	Two schools	
Town of Woodbridge	Police, fire, public works, town hall, high school and library	
City of Hartford	School, senior center, library, supermarket and gas station in the Parkville neighborhood	
Town of Fairfield	Police station, emergency operations center, cell tower, fire headquarters and shelter	
Total, This Request		<u>\$15,000,000</u>

PUBLIC ACT #189, 2012
AS AMENDED
SECTION 8-15

ITEM NO. 18

DEPARTMENT OF EDUCATION

GRANTS-IN-AID TO ASSIST TARGETED LOCAL AND REGIONAL SCHOOL DISTRICTS FOR ALTERATIONS, REPAIRS, IMPROVEMENTS, TECHNOLOGY AND EQUIPMENT IN LOW-PERFORMING SCHOOLS

REQUESTED: An Allocation and Bond Authorization (to agency) \$2,329,295

FROM: Sec. 9(e)(3) Acct. No. 12052-SDE64000-43539

Total Earmarking	\$16,000,000
Previous Allocations	<u>5,384,124</u>
Balance Unallocated	<u>\$10,615,876</u>

REASON FOR REQUEST:

These funds are requested to provide grants-in-aid for improvements to nine Commissioner's Network Schools. Projects will include alterations, repairs, improvements, technology and equipment to help address building and site deficiencies, and to promote the health, safety, and learning of the students.

Funds are requested as follows:

<u>School/Location</u>	<u>This Request</u>
Curiale School, Bridgeport	\$ 220,000
Dunbar School, Bridgeport	433,940
Milner School, Hartford	7,445
High School in the Community, New Haven	125,000
Wilbur Cross High School, New Haven	145,000
DiLoreto School, New Britain	499,450
Briggs High School, Norwalk	302,990
Stanton School, Norwich	99,470
Walsh School, Waterbury	<u>496,000</u>
Total, This Request	<u>\$2,329,295</u>

PUBLIC ACT #189, 2012
AS AMENDED
SECTION 43

ITEM NO. 19

CAPITAL REGION DEVELOPMENT AUTHORITY

CAPITAL REGION DEVELOPMENT AUTHORITY FOR THE PURPOSE OF PROVIDING GRANTS OR LOANS TO ENCOURAGE RESIDENTIAL HOUSING DEVELOPMENT AS PROVIDED IN SECTION 32-602 OF THE GENERAL STATUTES

REQUESTED: An Allocation and Bond Authorization (to agency) \$1,800,000

FROM: Sec. 43 Acct. No. 12052-CRD47200-43543

Total Earmarking	\$60,000,000
Previous Allocations	<u>30,625,000</u>
Balance Unallocated	<u>\$29,375,000</u>

REASON FOR REQUEST:

These funds are requested to provide a loan to Trumbull Towers Management LLC or a related affiliate to assist in financing the conversion of the top two floors of 99 Pratt Street/196 Trumbull Street into approximately 26 market rate units of housing.

The interest only loan will be provided at an interest rate of 1.5% for fifteen years.

Funds are requested as follows:

Total Estimated Project Cost	\$4,845,154	
Less: Federal Tax Credits	1,034,791	
State Tax Credits	786,833	
Developer Equity/Mortgage	<u>1,223,530</u>	
Total, This Request		<u>\$1,800,000</u>

PUBLIC ACT #1, 2011
OCTOBER SPECIAL SESSION
AS AMENDED
SECTION 32

ITEM NO. 20

BOARD OF REGENTS FOR HIGHER EDUCATION

TO ESTABLISH OR EXPAND MANUFACTURING TECHNOLOGY PROGRAMS IN THREE REGIONAL COMMUNITY-TECHNICAL COLLEGES, PROVIDED SUCH COLLEGES DEMONSTRATE A COMMITMENT TO PRECISION MANUFACTURING AND AN ABILITY TO ESTABLISH OR EXPAND SUCH PROGRAMS THROUGH SPACE AND FACULTY.

REQUESTED: An Allocation and Bond Authorization (to Agency) \$604,500

FROM: Sec. 32 Acct. No. 17121-CCC78000-43522

Total Earmarking	\$17,800,000
Previous Allocations	<u>16,225,000</u>
Balance Unallocated	<u>\$ 1,575,000</u>

REASON FOR REQUEST:

These funds are requested to provide supplemental funding for expansion of the manufacturing technology programs at Asnuntuck Community College in Enfield.

Funds are requested as follows:

Total, This Request \$604,500

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 21

DEPARTMENT OF ADMINISTRATIVE SERVICES

INFRASTRUCTURE REPAIRS AND IMPROVEMENTS, INCLUDING FIRE, SAFETY AND COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT, IMPROVEMENTS TO STATE-OWNED BUILDINGS AND GROUNDS, ENERGY CONSERVATION AND OFF SITE IMPROVEMENTS, AND PRESERVATION OF UNOCCUPIED BUILDINGS AND GROUNDS, INCLUDING OFFICE DEVELOPMENT, ACQUISITION, RENOVATIONS FOR ADDITIONAL PARKING AND SECURITY IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$1,325,000

FROM: Sec. 2(e)(3) Acct. No. 17121-DAS23000-43491
Project No. BI-2B-9000

Total Earmarking	\$12,500,000
Previous Allocations	<u>10,733,790</u>
Balance Unallocated	<u>\$ 1,766,210</u>

REASON FOR REQUEST:

These funds are requested to finance alterations and improvements at Department of Administrative Services managed facilities as detailed below.

These projects will create or retain approximately 28 construction related jobs.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Replace Exterior Stair - 24 Wolcott Hill Road, Wethersfield	\$ 95,000
Replace Chilled Water Pump and HVAC Repairs-18/20 Trinity Street, Hartford	35,000
Replace Water Main - 18/20 Trinity Street, Hartford	80,000
Replace Restroom and Stairwell Windows - 450 Capitol Avenue, Hartford	70,000
HVAC Repairs and Improvements - 410 Capitol Avenue, Hartford	45,000
Emergency /Minor Capital Projects - As Needed	<u>1,000,000</u>
Total	<u>\$1,325,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 22

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

FIRE, SAFETY AND ENVIRONMENTAL IMPROVEMENTS TO REGIONAL FACILITIES FOR CLIENT AND STAFF NEEDS, INCLUDING IMPROVEMENTS IN COMPLIANCE WITH CURRENT CODES, INCLUDING INTERMEDIATE CARE FACILITIES AND SITE IMPROVEMENTS, HANDICAPPED ACCESS IMPROVEMENTS, UTILITIES, REPAIR OR REPLACEMENT OF ROOFS, AIR CONDITIONING AND OTHER INTERIOR AND EXTERIOR BUILDING RENOVATIONS AND ADDITIONS AT ALL STATE-OWNED FACILITIES

REQUESTED: An Allocation and Bond Authorization \$379,500

FROM: Sec. 2(k) Acct. No. 17121-MHA53100-43354
Project No. BI-MH-1000

Total Earmarking	\$3,000,000
Previous Allocations	<u>0</u>
Balance Unallocated	<u>\$3,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance alterations and improvements at Department of Mental Health and Addiction Services facilities as detailed below.

These projects will create or retain approximately 7 construction related jobs.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
New generator – Connecticut Valley Hospital, Middletown	\$ 54,500
Emergency /Minor Capital Projects – As Needed	<u>325,000</u>
Total	<u>\$379,500</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 23

DEPARTMENT OF EDUCATION

REGIONAL VOCATIONAL-TECHNICAL SCHOOL SYSTEM

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING NEW AND REPLACEMENT EQUIPMENT, TOOLS AND SUPPLIES NECESSARY TO UPDATE CURRICULA, VEHICLES AND TECHNOLOGY UPGRADES AT ALL REGIONAL VOCATIONAL-TECHNICAL SCHOOLS

REQUESTED: An Allocation and Bond Authorization (to agency) \$3,500,000

FROM: Sec. 2(l) Acct. No. 17121-SDE64000-43357
Project No. BI-RT-1000

Total Earmarking	\$28,000,000
Previous Allocations	<u>23,939,042</u>
Balance Unallocated	<u>\$ 4,060,958</u>

REASON FOR REQUEST:

These funds are requested to finance infrastructure improvements and equipment for expansion of manufacturing programs at the Technical High School System as detailed below.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Facility upgrades/equipment for welding and metal fabrication - Grass, Groton	\$1,243,430
Replace lathes, milling machines, grinders and CNC machines - Cheney, Manchester	619,821
Replace lathes and milling machines - Windham	324,210
Replace lathes and milling machines - Wolcott, Torrington	318,642
Replace lathes - Platt - Milford	279,600
Replace lathes and milling machines - Kaynor, Waterbury	273,416
Replace lathes and milling machines - Abbott, Danbury	410,752
Coordinate Measurement Machine and Optical Comparator - Goodwin, New Britain	<u>30,129</u>
Total, This Request	<u>\$3,500,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 24

COMMUNITY COLLEGE SYSTEM

ALL REGIONAL COMMUNITY COLLEGES

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO FACILITIES INCLUDING FIRE, SAFETY,
ENERGY CONSERVATION AND CODE COMPLIANCE IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$1,474,600

FROM: Sec. 2(m)(1)(A) Acct. No. 17121-CCC78000-43358
Project No. BI-CTC-401C

Total Earmarking	\$4,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$4,000,000</u>

REASON FOR REQUEST:

These funds are requested to award a construction contract, based on bids received August 1, 2013, to finance a window replacement project at Three Rivers Community College in Norwich.

This project consists of replacing exterior windows, door glazing and interior partition and window sill upgrades. PCB containing caulking will be abated around designated areas around the windows.

This project will create or retain approximately 28 construction related jobs.

Funds are requested as follows:

		<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$1,336,000		\$1,336,000
Contingency	133,600		133,600
Architect/Engineer's Fee	18,850	\$18,850	
Construction Administrator	23,140	23,140	
Testing/Inspections	<u>5,000</u>		<u>5,000</u>
Total	<u>\$1,516,590</u>	<u>\$41,990</u>	<u>\$1,474,600</u>

Financing:

P.A. 11-57, Sec. 2(m)(1)(A), This Request	\$1,474,600
S.A. 04-2, Sec. 2(j)(4), Previous Funding	<u>41,990</u>
Total	<u>\$1,516,590</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 25

COMMUNITY - TECHNICAL COLLEGE SYSTEM

ALL REGIONAL COMMUNITY COLLEGES

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO FACILITIES INCLUDING FIRE,
SAFETY, ENERGY CONSERVATION AND CODE COMPLIANCE IMPROVEMENTS.

REQUESTED: An Allocation and Bond Authorization \$300,000

FROM: Sec. 2(m)(1)(A) Acct. No. 17121-CCC78000-43358
Project No. BI-CTC-9000

Total Earmarking	\$4,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$4,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance on-call design projects at various Community Colleges.

Funds are requested as follows:

Total, This Request \$300,000

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 12-19

ITEM NO. 26

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

GRANTS-IN-AID TO PRIVATE, NON-PROFIT ORGANIZATIONS THAT ARE EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE OF 1986, OR ANY SUBSEQUENT CORRESPONDING INTERNAL REVENUE CODE OF THE UNITED STATES, AS AMENDED FROM TIME TO TIME, FOR COMMUNITY-BASED RESIDENTIAL AND OUTPATIENT FACILITIES FOR PURCHASES, REPAIRS, ALTERATIONS, AND IMPROVEMENTS

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$1,204,165
FROM:	Sec. 13(e)	Acct. No. 12052-MHA53000-43508
	Total Earmarking	\$5,000,000
	Previous Allocations	-0-
	Balance Unallocated	<u>\$5,000,000</u>

REASON FOR REQUEST:

These funds are requested to provide grants-in-aid to nine 501 (c) (3) non-profit community-based providers of mental health and addiction treatment for various alterations, repairs and improvements as detailed below.

Funds are requested as follows:

<u>Provider</u>	<u>Project</u>	<u>This Request</u>
BHcare, Inc.	Sidewalk repairs - Branford and Roof replacement - Shelton	\$ 31,311
Columbus House, Inc.	Generator - New Haven	10,223
Crossroads, Inc.	Roof replacement, generator, kitchen renovation, walkway - New Haven	446,570
Hartford Behavioral Health, Inc.	Window replacement - Hartford	31,500
InterCommunity, Inc.	Window replacement - East Hartford	68,740
Marrakech Day Services, Inc.	Generator, fire alarm, bulletproof glass - New Haven	79,650
Mercy Housing and Shelter Corp.	Roof and gutter replacement - Hartford	76,171
Rushford Center, Inc.	Generator - Meriden	260,000
Emergency grants-in-aid	As needed	<u>200,000</u>
	Total Grants-in-Aid, This Request	<u>\$1,204,165</u>

In accordance with Section 19 of P.A. 11-57, "...any grant-in-aid...which is made to any entity which is not a political subdivision of the state, the contract entered into pursuant to section 18 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent for each full year which has elapsed since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, except that if the premises for which such grant-in-aid was made are owned by the State, a municipality or a housing authority, no lien need be placed."

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 27

DEPARTMENT OF EDUCATION

REGIONAL VOCATIONAL-TECHNICAL SCHOOL SYSTEM

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING NEW AND REPLACEMENT EQUIPMENT, TOOLS AND SUPPLIES NECESSARY TO UPDATE CURRICULA, VEHICLES AND TECHNOLOGY UPGRADES AT ALL REGIONAL VOCATIONAL-TECHNICAL SCHOOLS

REQUESTED: An Allocation and Bond Authorization (to agency) \$4,730,000

FROM: Sec. 21(k) Acct. No. 17131-SDE64000-43357

Total Earmarking	\$28,000,000
Previous Allocations	<u>1,800,000</u>
Balance Unallocated	<u>\$26,200,000</u>

REASON FOR REQUEST:

These funds are requested to finance the purchase and installation of new and replacement technology equipment and student transportation vehicles for the Connecticut Technical High School System as detailed below.

Funds are requested as follows:

<u>Equipment Category</u>	<u>This Request</u>
Replacement of 2,100 desktop computers	\$1,648,500
Purchase and installation of 75 smart boards	383,250
Purchase of 33 multimedia carts	64,515
Purchase of 83 printers	44,220
Network Infrastructure Equipment and uninterruptable power supplies	509,515
Replacement/Purchase of 25 student transportation vehicles	<u>2,080,000</u>
Total, This Request	<u>\$4,730,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 27-30

ITEM NO. 28

DEPARTMENT OF HOUSING

FOR VARIOUS HOUSING PROJECTS AND PROGRAMS AS AUTHORIZED UNDER SECTION 28 OF
PUBLIC ACT 11-57

FLEXIBLE HOUSING PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$18,943,188

FROM: Sec. 28 Acct. No. 12063-ECD46000-40237

Total Earmarking	\$87,500,000
Previous Allocations	<u>51,039,767</u>
Balance Unallocated	<u>\$36,460,233</u>

REASON FOR REQUEST:

These funds are requested to finance grants-in-aids and loans for various housing projects under the Flexible Housing Program as detailed below.

- A. These funds are requested to provide a loan to Sheldon Oak, Inc. (Stonington Acres, LLC) to finance the development of 50 housing units on Stonington Street in Hartford. The project consists of 40 affordable units and 10 market rate units.

The deferred loan will be provided at an interest rate of 1% for thirty years. The affordable units are secured with a fifteen year restrictive covenant.

Funds are requested as follows:

Total, This Request	\$3,230,564
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- B. These funds are requested to provide a grant-in-aid to Wilton Commons 2, LLC for construction of 23 units of congregate rental housing on Station Road as phase II of Wilton Commons.

Funds are requested as follows:

Total, This Request	\$5,691,764
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- C. These funds are requested to provide a grant-in-aid to the Housing Authority of the Town of Killingly for six new affordable housing units at Maple Courts II.

The grant-in-aid will be secured with a twenty year restrictive covenant.

Funds are requested as follows:

Total, This Request	\$1,500,000
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ITEM 28 CONT.

- D. These funds are requested to provide a grant-in-aid to the Stratford Housing Authority to finance alterations, renovations and improvements at Meadowview Manor for revitalization of state low and moderate income housing units on the Connecticut Housing Finance Authority's state housing loan portfolio transferred in accordance with section 8-37uu of the general statutes.

Funds are requested as follows:

Total, This Request	\$3,300,000
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- E. These funds are requested to provide a loan to Simsbury Specialty Housing, LLC to provide gap financing for 48 units of affordable housing at Dorset Crossing on Hopmeadow Street in Simsbury.

The deferred 40 year loan will be provided at an interest rate of 3% for the first fifteen years and 1% for the final twenty-five years. The loan will be secured by a second collateral position on the property.

Funds are requested as follows:

Total Estimated Cost	\$13,499,079	
Less: Private Funds	9,978,219	
CHFA Financing	<u>2,500,000</u>	
Total, This Request		\$1,020,860

- F. These funds are requested to provide a grant-in-aid to the Darien Housing Authority to finance alterations, renovations and two additional bedrooms at 216 and 218 West Street for revitalization of state low and moderate income housing units on the Connecticut Housing Finance Authority's state housing loan portfolio transferred in accordance with section 8-37uu of the general statutes.

Funds are requested as follows:

Total, This Request	\$200,000
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- G. These funds are requested to provide a grant-in-aid to Mutual Housing Association of South Central Connecticut, Inc. (St Paul's Limited Partnership) for fifty-six units of elderly and supportive housing on Noble and Boston Avenues in Bridgeport.

The grant-in-aid will be secured with a fifteen year restrictive covenant.

Funds are requested as follows:

Total, This Request	\$2,500,000
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- H. These funds are requested to finance the Predevelopment Cost Revolving Loan Program.

This program provides interest-free or deferred loans for predevelopment costs associated with development and preservation of affordable housing units.

Funds are requested as follows:

Total, This Request	\$1,500,000
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Grand Total, This Request	<u>\$18,943,188</u>
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PUBLIC ACT #2, 2009
 SEPTEMBER SPECIAL SESSION
 AS AMENDED
 SECTION 41 - 47

ITEM NO. 29

COMMUNITY COLLEGE SYSTEM

MIDDLESEX COMMUNITY COLLEGE

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO FOUNDERS HALL

REQUESTED: An Allocation and Bond Authorization \$1,402,422

FROM: Sec. 42(e)(4) Acct. No. 17111-BOR77700-43446
Project No. BI-CTC-441

Total Earmarking	\$1,402,422
Previous Allocations	<u>-0-</u>
Balance Unallocated	<u>\$1,402,422</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 7, to award a construction contract based on bids received June 26, 2013, for additions and renovations to the cafeteria at Founders Hall at Middlesex Community College in Middletown.

Financing:

P.A. 13-239, Sec. 2(l)(1)(c), Item 7	\$ 68,309
P.A. 09-2, Sec. 42 (e) (4) This Request	1,402,422
P.A. 09-2, Sec.27 (d) (2), Previous Funding	156,038
Agency Funds	<u>125,000</u>
Total	<u>\$1,751,769</u>

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 1-7

ITEM NO. 30

DEPARTMENT OF CORRECTION

RENOVATIONS AND IMPROVEMENTS TO EXISTING STATE-OWNED BUILDINGS FOR INMATE HOUSING, PROGRAMMING AND STAFF TRAINING SPACE AND ADDITIONAL INMATE CAPACITY INCLUDING SUPPORT FACILITIES AND OFF-SITE IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$1,038,124

FROM: Sec. 2(u)(1) Acct. No. 17071-DOC88000-43384
Project No. BI-JA-1000

Total Earmarking	\$10,000,000
Previous Allocations	<u>8,436,982</u>
Balance Unallocated	<u>\$ 1,563,018</u>

REASON FOR REQUEST:

These funds are requested to finance an emergency oil spill remediation project at the York Correctional Institute in Niantic.

This work consists of the removal of contaminated soil and the installation of numerous monitoring wells to determine the source of the leak. An environmental scientist will oversee the spill remediation as required by the Department of Energy and Environmental Protection policy.

Funds are requested as follows:

Total, This Request \$1,038,124

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 1-7

ITEM NO. 31

DEPARTMENT OF CORRECTION

RENOVATIONS AND IMPROVEMENTS TO EXISTING STATE-OWNED BUILDINGS FOR INMATE HOUSING, PROGRAMMING AND STAFF TRAINING AND ADDITIONAL INMATE CAPACITY INCLUDING SUPPORT FACILITIES AND OFF-SITE IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$475,000

FROM: Sec. 2(u)(1) Acct. No. 17071-DOC88000-43384
Project No. BI-JA-441

Total Earmarking	\$10,000,000
Previous Allocations	<u>8,436,982</u>
Balance Unallocated	<u>\$ 1,563,018</u>

REASON FOR REQUEST:

These funds are requested to finance additional construction costs due to unforeseen job site conditions at the Manson Youth Institute in Cheshire.

This project consists of additional upgrades and renovations at the 7,000 square foot residential buildings.

Funds are requested as follows:

		<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$4,345,545	\$4,345,545	
Contingency	909,554	434,554	\$475,000
Architect/Engineer's Fee	603,700	603,700	
Testing and Inspections	90,000	90,000	
Hazardous Materials	85,000	85,000	
Construction Administrator	330,000	330,000	
DAS Fee	<u>205,000</u>	<u>205,000</u>	
Total	<u>\$6,568,799</u>	<u>\$6,093,799</u>	<u>\$475,000</u>

Financing:

P.A. 07-7, Sec. 2(u)(1), This Request \$475,000		
Previous Funding \$5,603,382		\$6,078,382
P.A. 00-167, Sec. 2(e), Previous Funding		402,270
P.A. 99-242, Sec. 21(l), Previous Funding		<u>88,147</u>
Total		<u>\$6,568,799</u>

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 20-26

ITEM NO. 32

JUDICIAL DEPARTMENT

ALTERATIONS, RENOVATIONS AND RESTORATION OF THE COURTHOUSE AT 121 ELM STREET, NEW HAVEN

REQUESTED: An Allocation and Bond Authorization \$670,000

FROM: Sec. 21(p)(4) Acct. No. 17081-JUD95000-43414
Project No. BI-JD-299

Total Earmarking	\$13,000,000
Previous Allocations	<u>2,269,105</u>
Balance Unallocated	<u>\$10,730,895</u>

REASON FOR REQUEST:

These funds are requested to finance additional construction costs for the completion of phase I exterior restorations to the Superior Courthouse at 121 Elm Street in New Haven.

This project consists of the reconstruction of entry stairs, repairs to the columns, stone Veneer and replacement of the portico roof. In addition, the project will include stone restoration, hazardous material abatement, window and door refurbishing with renovations along Elm Street and sections of Church Street.

Funds are requested as follows:

		<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$2,959,450	\$2,959,450	
Contingency	965,945	295,945	670,000
Architect/Engineer's Fee	1,024,010	1,024,010	
Testing & Inspections	85,690	85,690	
Hazardous Materials	110,300	110,300	
Construction Administrator	200,000	200,000	
DAS Fee	<u>324,605</u>	<u>324,605</u>	
Total	<u>\$5,670,000</u>	<u>\$5,000,000</u>	<u>\$670,000</u>

Financing:

P.A. 07-7, Sec. 21(p)(4), This Request, \$670,000		
Previous Funding, \$1,933,000		\$2,603,000
S.A. 02-1, Sec. 17(h)(1), Previous Funding		2,010,000
S.A. 02-1, Sec. 17(h)(1), Previous Funding		815,000
S.A. 02-1, Sec. 2(m)(1), Previous Funding		<u>242,000</u>
Total		<u>\$5,670,000</u>

PUBLIC ACT #4, 2005
JUNE SPECIAL SESSION
AS AMENDED
SEC. 27-32

ITEM NO. 33

DEPARTMENT OF TRANSPORTATION

SPECIAL TAX OBLIGATION BONDS

OPERATIONAL IMPROVEMENTS TO INTERSTATE 95 BETWEEN GREENWICH AND NORTH STONINGTON, INCLUDING ENVIRONMENTAL ASSESSMENT AND PLANNING, RIGHTS-OF-WAY AND PROPERTY ACQUISITION IN ACCORDANCE WITH SECTION 28 OF PUBLIC ACT 04-5

REQUESTED: Use of Previously Allocated Funds Available (to agency) \$21,000,000

FROM: Sec. 27 Acct. No. 13033-DOT57000-43001

REASON FOR REQUEST:

These funds are requested to finance resurfacing, bridge and safety improvements on I-95 from the Baldwin Bridge north into Waterford.

The previously allocated funds are available from a project that has been deferred.

Funds are requested as follows:

Total, Previously Allocated Funds Available, This Request \$21,000,000

PUBLIC ACT #5, 2005
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 17

ITEM NO. 34

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

HOUSING TRUST FUND

Requested: An Allocation and Bond Authorization (to agency) \$25,196,500

FROM: Sec. 17 Acct. No. 12066-ECD46000-40001

Total Earmarking	\$190,000,000
Previous Allocations	<u>118,766,808</u>
Balance Unallocated	<u>\$ 71,233,192</u>

REASON FOR REQUEST:

These funds are requested to provide supplemental funding for projects under the Competitive Housing Assistance for Multifamily Properties (CHAMP) program. This request is for additional funds for the next project closings.

The program is administered by the Department of Economic and Community Development and an advisory committee. It provides critical gap financing to low and moderate income people statewide. Both grants and loans are available from for-profit and non-profit entities developing or managing programs to create housing for the eligible populations.

These projects will leverage approximately \$65 million of other funding and create or retain approximately 1,300 construction related jobs.

Funds are requested as follows:

<u>Project Sponsor</u>	<u>Project</u>	<u>This Request</u>
Spinnaker Real Estate Partners	Bridgeport Historic Ventures - 18 units of a 70 unit historic rehabilitation and conversion - Bridgeport	\$ 4,000,000
Dakota Partners, Inc.	Residences at Laurel Hill - 72 units of new construction - Brookfield	5,000,000
Mutual Housing Association of Greater Hartford POAH	Park Terrace Mutual Housing - 42 unit rehabilitation - Hartford	4,131,500
	Torrington West Apartments - 78 unit rehabilitation - Torrington	2,660,000
VERN LLC	Talcott Brothers Mill - 18 units of a 83 unit historic rehabilitation and conversion - Vernon	4,405,000
Loom City Lofts LLC	Loom City Lofts - 60 units of a 68 unit historic rehabilitation and conversion - Vernon	<u>5,000,000</u>
Total, This Request		<u>\$25,196,500</u>

PUBLIC ACT #270, 1990
AS AMENDED
SECTION 33

ITEM NO. 35

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

MANUFACTURING ASSISTANCE ACT

REQUESTED: An Allocation and Bond Authorization (to agency) \$15,600,000

FROM: Sec. 33 Acct. No. 12034-ECD46000-40221

Total Earmarking	\$1,115,300,000
Previous Allocations	<u>894,920,475</u>
Balance Unallocated	<u>\$ 220,379,525</u>

REASON FOR REQUEST:

These funds are requested to finance various business expansion and retention projects as detailed below.

- A. These funds are requested to provide a loan to Arvinas, Inc. in New Haven to assist with biotechnology research and development. The company will create 20 new full-time over five years.

The loan will be provided at an interest rate of 1% for ten years with principal deferred for five years. If the company meets job creation targets the loan may be forgiven.

Funds are requested as follows:

Total Estimated Investment	\$25,281,775	
Less: Company Funds	14,050,000	
Business Revenue/Tax Credits	6,981,775	
Connecticut Innovations Investment	<u>1,750,000</u>	
Total, This Request		\$2,500,000

- B. These funds are requested to provide a loan to KAYAK Software Corporation to finance leasehold improvements for its new headquarters in Stamford. The company will retain 40 jobs and create 50 new jobs within three years.

The loan will be provided at an interest rate of 1% for ten years with principal deferred for three years. The loan will be forgiven if the company retains the existing jobs and creates the new jobs within three years.

Funds are requested as follows:

Total Estimated Cost	\$5,000,000	
Less: Company Funds	<u>2,500,000</u>	
Total, This Request		\$2,500,000

ITEM 35 CONT.

- C. These funds are requested to provide a loan to BYK USA, Inc., to assist with machinery and equipment for its new manufacturing facility in Wallingford. The company will retain 97 jobs and create 37 new jobs within three years.

The loan will be provided at an interest rate of 2% for ten years. Loan principal of \$4 million will be forgiven if the company retains the existing jobs and creates the new jobs within three years.

Funds are requested as follows:

Total Estimated Project Cost	\$53,000,000	
Less: Company Funds	46,000,000	
Connecticut Innovations Financing	<u>1,000,000</u>	
Total, This Request		\$6,000,000

- D. These funds are requested to provide a grant-in-aid, under the First Five Program, to CareCentrix to assist in relocation and expansion from East Hartford to Hartford. The company will retain 213 jobs and create at least 290 new full-time jobs.

Total grants-in-aid of \$24 million will be paid in installments over five years if the company meets annual job retention and creation goals until it reaches 503 total jobs. Should the Company create more than the targeted full-time Connecticut jobs per year payments may be accelerated upon the Company's request based on an agreed upon payment schedule.

This request will fund the second payment under the agreement.

Funds are requested as follows:

Total Estimated Investment	\$167,682,908	
Less: Company Funds	143,682,908	
Previous State Funds	2,300,000	
Future State Funds	<u>17,100,000</u>	
Total, This Request		\$4,600,000

Grand Total, This Request \$15,600,000

PUBLIC ACT #607, 1979
AS AMENDED
SECTION 21

ITEM NO. 36

OFFICE OF POLICY AND MANAGEMENT

GRANTS-IN-AID FOR URBAN DEVELOPMENT PROJECTS INCLUDING ECONOMIC AND COMMUNITY DEVELOPMENT, TRANSPORTATION, ENVIRONMENTAL PROTECTION, PUBLIC SAFETY, CHILDREN AND FAMILIES AND SOCIAL SERVICE PROJECTS INCLUDING, IN THE CASE OF ECONOMIC AND COMMUNITY DEVELOPMENT PROJECTS ADMINISTERED ON BEHALF OF THE OFFICE OF POLICY AND MANAGEMENT BY THE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT, ADMINISTRATIVE COSTS INCURRED BY THE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

REQUESTED: An Allocation and Bond Authorization (to agency) \$9,300,000

FROM: Sec. 21(b)(6)(B) Acct. No. 13019-DEP43000-41239
13019-ECD46000-41240

Total Earmarking	\$1,194,800,000
Previous Allocations	<u>1,130,222,292</u>
Balance Unallocated	<u>\$ 64,577,708</u>

REASON FOR REQUEST:

I. Department of Energy and Environmental Protection

- A. These funds are requested to provide a grant-in-aid to the Town of Woodbridge to assist with property acquisition for and construction of a community playground.

Funds are requested as follows:

Total, This Request	\$200,000
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- B. These funds are requested to provide a grant-in-aid to the Town of Griswold to assist with construction of the Pasquale and Maria Camputaro Memorial Field.

Funds are requested as follows:

Total, This Request	\$100,000
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II. Department of Economic and Community Development

- A. These funds are requested, along with those under Item 2, to provide a grant-in-aid to Bridgeport Landing Development, LLC to assist in financing construction and infrastructure improvements for development of an approximately 140,000 square foot Bass Pro Shops retail store at Steel Point in Bridgeport.

Funds are requested as follows:

Total Project Cost	\$68,500,000
Less: Tax Incremental Financing, Item 2	22,000,000
Developer's Equity/Private Financing	<u>37,500,000</u>
Total, This Request	\$9,000,000

Grand Total, This Request	<u>\$9,300,000</u>
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ITEM NO. 37

STATE TREASURER/OFFICE OF POLICY AND MANAGEMENT

REQUESTED: Approval of Requests and Certificates and Resolutions and the Filing of the State Treasurer's Certificate as to State Indebtedness and the Declaration of Official Intent Pursuant to Federal Income Tax Regulations

SUGGESTED MOTION:

RESOLVED, (1) that the requests and certificates and the appropriate resolutions to effect the bond authorizations approved today are hereby approved and adopted; (2) that the resolutions and the State Treasurer's certificate as to state indebtedness made in accordance with section 3-21 of the general statutes, as amended, be made a part of the record of today's meeting; (3) that the State hereby declares the official intent of the State required pursuant to Section 1.150-2 of the Federal Income Tax Regulations, Title 26, and, if applicable, pursuant to Section 54A(d) of the Internal Revenue Code of 1986, as amended, with respect to each of the agenda items approved today, to the effect that the State reasonably expects to reimburse from the proceeds of borrowings, including qualified tax credit bonds, any and all expenditures paid from the bond fund accounts designated and functionally described in each such agenda item or bond authorization resolution, in an amount anticipated not to exceed the amount of the authorization contained in such item or bond authorization resolution, and that the Secretary of the Office of Policy and Management and the Treasurer are authorized to amend this declaration of official intent on behalf of the State for any such item; and (4) that the State Treasurer is authorized to make representations and enter into agreements to provide secondary market disclosure information with respect to borrowings by State authorities or other entities, or by the State itself, in connection with which the State may be an obligated party under Rule 15c2-12 of the Securities and Exchange Commission.