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AGENDA ITEMS FOR THE STATE BOND COMMISSION
 MARCH 17, 2015
 ROOM 1E LEGISLATIVE OFFICE BUILDING
 10:30 A.M.

<u>SOURCE OF FUNDS</u>	<u>ITEM NO.</u>	<u>GENERAL OBLIGATION ALLOCATIONS</u>	<u>REVENUE BONDS/OTHER TRANSACTIONS</u>
PUBLIC ACT #98, 2014 SECTION 1-7	1	\$2,000,000 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 1-7	2-4	\$10,997,500 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 12-19	5	\$5,000,000 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 20-26	6	\$5,000,000 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 27-30	7	\$3,053,480 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 31-38	8-10	\$5,100,000 =====	\$502,490 =====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 45-50	11	=====	\$2,544,251 =====
PUBLIC ACT #189, 2012 AS AMENDED SECTION 8-15	12	\$7,454,986 =====	=====
PUBLIC ACT #189, 2012 AS AMENDED SECTION 43	13	\$7,000,000 =====	=====
PUBLIC ACT #189, 2012 AS AMENDED SECTION 43	14	\$9,000,000 =====	=====

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PUBLIC ACT #57, 2011 AS AMENDED SECTION 1-7	15-18	\$6,551,760 =====	=====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 20-26	19-23	\$6,953,795 =====	=====
PUBLIC ACT #2, 2009 AS AMENDED SECTION 26-32	24	\$599,000 =====	=====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 1-7	25	\$4,013,396 =====	=====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 12-19	26-27	\$542,615 =====	\$57,000 =====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 20-26	28	\$7,404,673 =====	=====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 31-38	29	\$229,625 =====	=====
SPECIAL ACT #1, 2005 JUNE SPECIAL SESSION AS AMENDED SECTION 31-38	30	=====	\$343,500 =====

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PUBLIC ACT #5, 2005 JUNE SPECIAL SESSION AS AMENDED SECTION 17	31	\$5,000,000 =====	=====
PUBLIC ACT #242, 1999 AS AMENDED SECTION 12-19	32	\$1,150,000 =====	=====
PUBLIC ACT #270, 1990 AS AMENDED SECTION 33	33	\$39,750,000 =====	=====
PUBLIC ACT #584, 1987 AS AMENDED SECTION 11-14	34	\$10,000,000 =====	=====
PUBLIC ACT #607, 1979 AS AMENDED SECTION 21	35	\$5,112,000 =====	=====
DECLARATION OF OFFICIAL INTENT PURSUANT TO FEDERAL INCOME TAX REGULATIONS	36	=====	=====

FINDINGS

AGENDA ITEMS FOR THE STATE BOND COMMISSION
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UNLESS OTHERWISE NOTED, THE SECRETARY OF THE STATE BOND COMMISSION HAS ON FILE THE FOLLOWING MATTERS WITH RESPECT TO EACH REQUEST MADE TO THE STATE BOND COMMISSION FOR TODAY'S MEETING AS DETAILED THROUGH THE ATTACHED AGENDA ITEMS.

1. ANY HUMAN SERVICES FACILITY COLOCATION STATEMENT IF SO REQUESTED BY THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT PURSUANT TO CGS §4B-23.
2. ANY PROJECT WHICH INVOLVES THE USE OF 25 ACRES OR MORE OF PRIME FARMLAND FOR NONAGRICULTURAL PURPOSES HAS BEEN REVIEWED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT NO. 83-102 (AN ACT CONCERNING STATE PROJECTS WHICH TAKE PRIME FARMLAND) AND THAT THE COMMISSIONER OF AGRICULTURE HAS FILED A STATEMENT INDICATING THAT EACH SUCH PROJECT PROMOTES AGRICULTURE OR THE GOAL OF AGRICULTURAL LAND PRESERVATION, OR THERE IS NO REASONABLE ALTERNATIVE SITE FOR THE PROJECT, OR THE PROJECT IS NOT APPLICABLE UNDER THE ACT.
3. ANY CAPITAL DEVELOPMENT IMPACT STATEMENT REQUIRED TO BE FILED WITH THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT, IF SO REQUIRED BY THE SECRETARY, PURSUANT TO CGS §4B-23.
4. ANY ADVISORY STATEMENT REGARDING THE STATE CONSERVATION AND DEVELOPMENT POLICIES PLAN REQUIRED BY CGS §16A-31 FOR EACH ITEM FOR WHICH AN ADVISORY STATEMENT IS REQUIRED BY CGS §16A-31, AND THE ITEM IS EITHER IN CONFORMITY WITH THE PLAN OR NOT ADDRESSED THEREIN.
5. ANY STATEMENT REQUIRED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT 06-194 AS TO THE FULL COST OF THE PROJECT OR PURPOSE WHEN COMPLETED AND THE ESTIMATED OPERATING COST OF ANY STRUCTURE, EQUIPMENT OR FACILITY TO BE CONSTRUCTED OR ACQUIRED.

PUBLIC ACT #98, 2014
SECTION 8-15

ITEM NO. 1

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

GRANTS-IN-AID TO NONPROFIT ORGANIZATIONS SPONSORING CHILDREN'S MUSEUMS, AQUARIUMS AND SCIENCE-RELATED PROGRAMS, PROVIDED NOT MORE THAN \$10,500,000 SHALL BE USED AS A GRANT-IN-AID TO THE CONNECTICUT SCIENCE CENTER AND NOT MORE THAN \$6,600,000 SHALL BE USED AS A GRANT-IN-AID TO THE MARITIME AQUARIUM IN NORWALK

REQUESTED: An Allocation and Bond Authorization (to agency) \$2,000,000

FROM: Sec. 9(e)(4) Acct. No. 12052-ECD46000-43618

Total Authorized	\$17,100,000
Previous Allocations	<u>4,000,000</u>
Balance Unallocated	<u>\$13,100,000</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the Maritime Aquarium at Norwalk, Inc. to finance various renovations, improvements and technology upgrades.

The improvements include electrical, mechanical and fire safety improvements, IMAX technology upgrades and renovations to tanks and exhibits.

Funds are requested as follows:

Total, This Request \$2,000,000

In accordance with Section 15 of P.A. 14-98, "...any grant-in-aid made pursuant to section 9 of this act which is made to any entity which is not a political subdivision of the state, the contract entered into pursuant to section 14 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent per year for each full year which has elapsed since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, provided if the premises for which such grant-in-aid was made are owned by the state, a municipality or a housing authority no lien need be placed."

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 1-7

ITEM NO. 2

OFFICE OF POLICY AND MANAGEMENT

INFORMATION TECHNOLOGY CAPITAL INVESTMENT PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$9,230,000

FROM: Sec. 2(a)(3) Acct. No. 17141-OPM20000-43526

Total Authorized	\$50,000,000
Previous Allocations	<u>25,496,221</u>
Balance Unallocated	<u>\$24,503,779</u>

REASON FOR REQUEST:

These funds are requested to finance costs associated with development and implementation of information technology enhancements for various state agencies, as approved by the Information Technology Strategy and Investment Committee, as detailed below.

Department of Children and Families - The State Automated Child Welfare Information System (SACWIS) Project. This project is entering the initial planning phase. During the planning phase the Department will seek federal reimbursement for the project and will ensure that the new SACWIS system is both SACWIS compliant and will meet the State's needs.

Department of Economic and Community Development - This project will rebuild and modernize the agency's IT environment.

Department of Revenue Services - Enterprise Content Management (ECM). This project will provide additional scanning and imaging improvements, FileNet conversion and enhanced document management.

Funds are requested as follows:

Total, This Request	<u>\$9,230,000</u>
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PUBLIC ACT #239, 2013
AS AMENDED
SECTION 1-7

ITEM NO. 3

BOARD OF REGENTS FOR HIGHER EDUCATION

QUINEBAUG VALLEY COMMUNITY COLLEGE

HEATING, VENTILATING AND AIR-CONDITIONING SYSTEM IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$1,467,500

FROM: Sec. 2(l)(2)B Acct. No. 17141-CCC78000-43567
Project No. BI-CTC-480

Total Earmarking	\$1,750,000
Previous Allocations	<u>137,500</u>
Balance Unallocated	<u>\$1,612,500</u>

REASON FOR REQUEST:

These funds are requested to award a construction contract, based on bids received January 29, 2014, to finance mechanical system upgrades at Quinebaug Valley community College in Danielson.

This project consists of replacing the thirty year old roof top units and installation of a new digital control system.

This project will create or retain approximately 23 construction related jobs.

Funds are requested as follows:

		<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$1,100,000		\$1,100,000
Contingency	110,000		110,000
Architect/Engineer's Fee	150,000	\$112,500	37,500
Commissioning	20,000	10,000	10,000
Specialty Consultants	7,000	7,000	
Testing and Inspections	50,000		50,000
Telecommunications	50,000		50,000
Equipment	110,000		110,000
DAS Fee	<u>8,000</u>	<u>8,000</u>	
Total	<u>\$1,605,000</u>	<u>\$137,500</u>	<u>\$1,467,500</u>

Financing:

P.A. 13-239, Sec.2(l)(2)(B), This Request	\$1,467,500
Previous Funding	<u>137,500</u>
Total	<u>\$1,605,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 1-7

ITEM NO. 4

DEPARTMENT OF CORRECTION

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO EXISTING STATE-OWNED BUILDINGS FOR INMATE HOUSING, PROGRAMMING AND STAFF TRAINING SPACE AND ADDITIONAL INMATE CAPACITY, AND FOR SUPPORT FACILITIES AND OFF-SITE IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$300,000

FROM: Sec. 2(m) Acct. No. 17141-DOC88000-43384
Project No. BI-JA-1000

Total Earmarking	\$10,000,000
Previous Allocations	<u>525,927</u>
Balance Unallocated	<u>\$ 9,474,073</u>

REASON FOR REQUEST:

These funds are requested to finance alterations and improvement at various Department of Correction facilities as detailed below.

These projects will create or retain approximately 46 construction related jobs.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Gymnasium Repairs - Garner Correctional Institution, Newtown	\$225,000
High Voltage Switch Replacement - Cheshire Correctional Institution	<u>75,000</u>
Total, This Request	<u>\$300,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 12-19

ITEM NO. 5

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

SMALL BUSINESS EXPRESS PROGRAM ESTABLISHED BY SECTION 32-7g OF THE GENERAL STATUTES

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$5,000,000
FROM:	Sec. 13(d)(2)	Acct. No. 12052-ECD46000-43516
	Total Earmarking	\$50,000,000
	Previous Allocations	<u>45,000,000</u>
	Balance Unallocated	<u>\$ 5,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 8, to replenish the Small Business Express Program established in Section 32-7g of the General Statutes.

This program consists of the following sub programs as defined in the act:

- 1.) Revolving loan fund.
- 2.) Job creation incentive component with loans that are optionally deferred or forgiven based on attainment of job retention or creation goals.
- 3.) Matching capital grant program. Grants are prioritized based on the likelihood that applicants will maintain job growth.

Not later than June 30, 2012, and every six months thereafter, the commissioner is required to report on the program to various committees of the General Assembly. The report is required to include data on the number of small businesses that applied to the Small Business Express program, the number of small businesses that received assistance under said program and the general categories of such businesses, the amounts and types of assistance provided, the total number of jobs on the date of application and the number proposed to be created or retained, and the most recent employment figures of the small businesses receiving assistance.

Funds are requested as follows:

Total Requested	\$10,000,000
Less: P.A. 13-239, Sec.32(d)(2), Item 8	<u>5,000,000</u>
Total, This Request	<u>\$ 5,000,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 20-26

ITEM NO. 6

DEPARTMENT OF ADMINISTRATIVE SERVICES

REMOVAL OR ENCAPSULATION OF ASBESTOS AND HAZARDOUS MATERIALS IN STATE-OWNED BUILDINGS

REQUESTED: An Allocation and Bond Authorization \$5,000,000

FROM: Sec. 21(c)(3) Acct. No. 17151-DAS23000-43329
Project No. BI-2B-1000

Total Earmarking	\$10,000,000
Previous Allocations	<u>5,000,000</u>
Balance Unallocated	<u>\$ 5,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance the estimated costs of the ongoing Statewide Asbestos Abatement Program. These funds are expended in support of ongoing renovation and repair projects statewide.

These projects will create or retain approximately 90 construction related jobs.

Funds are requested as follows:

Construction (EST)	\$4,375,000
Design/Monitoring	500,000
DAS Fee	<u>125,000</u>
Total, This Request	<u>\$5,000,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 27-30

ITEM NO. 7

DEPARTMENT OF HOUSING

FOR VARIOUS HOUSING PROJECTS AND PROGRAMS AS AUTHORIZED UNDER SECTION 28 OF
PUBLIC ACT 13-239

FLEXIBLE HOUSING PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$3,053,480

FROM: Sec. 28 Acct. No. 12063-DOH46900-40237

Total Earmarking	\$90,000,000	
Previous Allocations	<u>24,401,346</u>	
Balance Unallocated	<u>\$65,598,654</u>	

REASON FOR REQUEST:

These funds are requested to finance grants-in-aids and loans for various housing projects and programs under the Flexible Housing Program as detailed below.

- A. These funds are requested to provide a loan to Veterans Inc., or another eligible applicant as such term is defined in Section 8-37pp of the Connecticut General Statutes, to assist with rehabilitation of a building on Arch Street in New Britain.

This project will create 17 units of rental housing for homeless veterans. The loan will be provided at 1% for forty years with payment deferred.

Funds are requested as follows:

Total Estimated Project Cost	\$2,124,395	
Less: Recipient Funds	<u>1,574,395</u>	
Total, This Request		\$550,000

- B. These funds are requested to provide a grant-in-aid to Shelter for the Homeless, Inc. to assist with development of 46 units of affordable and homeless rental housing in two projects in Stamford.

The Lighthouse I project will create 14 units of rental housing, with eight units for households below 25% of area median income (AMI) and six units for households below 50% of AMI. The Beacon II project will create 32 single room occupancy units for homeless persons below 25% of AMI.

Funds are requested as follows:

Total Estimated Project Costs	\$7,368,229	
Less: Federal Home Loan Bank Funding	2,403,389	
City Funding	1,394,000	
Other Funding	<u>1,067,360</u>	
Total, This Request		\$2,503,480

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 31-38

ITEM NO. 8

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

SMALL BUSINESS EXPRESS PROGRAM ESTABLISHED BY SECTION 32-7g OF THE GENERAL STATUTES

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$5,000,000
FROM:	Sec. 32(d)(2)	Acct. No. 12052-ECD46000-43516
	Total Earmarking	\$50,000,000
	Previous Allocations	<u>-0-</u>
	Balance Unallocated	<u>\$50,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 5, to replenish the Small Business Express Program established in Section 32-7g of the General Statutes.

Funds are requested as follows:

Total Requested	\$10,000,000
Less: P.A. 13-239, Sec.13(d)(2), Item 5	<u>5,000,000</u>
Total, This Request	<u>\$ 5,000,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 31-38

ITEM NO. 9

DEPARTMENT OF EDUCATION

GRANTS-IN-AID FOR THE PURPOSE OF CAPITAL START-UP COSTS RELATED TO THE DEVELOPMENT OF NEW INTERDISTRICT MAGNET SCHOOL PROGRAMS TO ASSIST THE STATE IN MEETING THE GOALS OF THE 2008 STIPULATION AND ORDER FOR MILO SHEFF, ET AL. V. WILLIAM A. O'NEILL, ET AL. , FOR THE PURPOSE OF PURCHASING A BUILDING OR PORTABLE CLASSROOMS, SUBJECT TO THE REVERSION PROVISIONS IN SUBDIVISION (1) OF SUBSECTION (C) OF SECTION 10-264H OF THE GENERAL STATUTES, LEASING SPACE, RENOVATING SPACE AND PURCHASING EQUIPMENT, INCLUDING, BUT NOT LIMITED TO, COMPUTERS AND CLASSROOM FURNITURE

REQUESTED: Use of Previously Allocated Funds Available (to agency) \$502,490

FROM: Sec. 32(g)(1) Acct. No. 12052-SDE64000-43425

REASON FOR REQUEST:

These funds are requested to reallocate costs for capital start-up costs for interdistrict magnet schools under Sheff v. O'Neill as detailed below.

<u>Capital Region Education Council:</u>	This Request
Greater Harford Academy of the Arts Middle School, Hartford - Renovations and expansion	\$288,026
Academy of Aerospace and Engineering High School, Bloomfield - Construction of two new classrooms	<u>214,464</u>
Total Grants-in-Aid, This Request	<u>\$502,490</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 31-38

ITEM NO. 10

DEPARTMENT OF EDUCATION

GRANTS-IN-AID TO ASSIST TARGETED LOCAL AND REGIONAL SCHOOL DISTRICTS FOR ALTERATIONS, REPAIRS, IMPROVEMENTS, TECHNOLOGY AND EQUIPMENT IN LOW-PERFORMING SCHOOLS

REQUESTED: An Allocation and Bond Authorization (to agency) \$100,000

FROM: Sec. 32(g)(3) Acct. No. 12052-SDE64000-43582

Total Earmarking	\$10,000,000
Previous Allocations	<u>2,319,107</u>
Balance Unallocated	<u>\$7,680,893</u>

REASON FOR REQUEST:

These funds are requested to provide grants-in-aid to Norwalk Early College Academy for electrical capacity additions and improvements to the HVAC system.

Funds are requested as follows:

Total, This Request \$100.000

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 45-50

ITEM NO. 11

DEPARTMENT OF TRANSPORTATION

SPECIAL TAX OBLIGATION BONDS

DEPARTMENT FACILITIES

REQUESTED: An Allocation and Bond Authorization (to agency) \$2,544,251
FROM: Sec. 46(d)(1) Acct. No. 13033-DOT57000-41387

Total Earmarking	\$16,000,000
Previous Allocations	<u>13,455,749</u>
Balance Unallocated	<u>\$ 2,544,251</u>

REASON FOR REQUEST:

These funds are requested to provide supplemental funding to award a construction contract for a new 82,000 square foot maintenance and repair facility in Rocky Hill.

Funds are requested as follows:

Total Estimated Cost	<u>\$37,544,251</u>
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Financing:

P.A. 13-239, Sec. 40(d)(1), Previous Funding	\$14,330,000
P.A. 13-239, Sec. 46(d)(1), This Request	\$2,544,251
Previous Funding	7,082,749
P.A. 11-57, Sec.50(d)(1), Previous Funding	9,627,000
P.A. 11-57, Sec. 56(d)(1), Previous Funding	11,907,251
Total	<u>1,680,000</u>
	<u>\$37,544,251</u>

PUBLIC ACT #189, 2012
AS AMENDED
SECTION 8-15

ITEM NO. 12

DEPARTMENT OF EDUCATION/OFFICE OF EARLY CHILDHOOD

GRANTS-IN-AID TO MUNICIPALITIES AND ORGANIZATIONS EXEMPT FROM TAXATION UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE OF 1986, OR ANY SUBSEQUENT CORRESPONDING INTERNAL REVENUE CODE OF THE UNITED STATES, AS AMENDED FROM TIME TO TIME, FOR FACILITY IMPROVEMENTS AND MINOR CAPITAL REPAIRS TO THAT PORTION OF FACILITIES THAT HOUSE SCHOOL READINESS PROGRAMS AND STATE-FUNDED DAY CARE CENTERS OPERATED BY SUCH MUNICIPALITIES AND ORGANIZATIONS

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$7,454,986
FROM:	Sec. 9(e)(4)	Acct. No. 12052-SDE64000-43540
	Total Earmarking	\$10,000,000
	Previous Allocations	<u>2,457,396</u>
	Balance Unallocated	<u>\$ 7,524,604</u>

REASON FOR REQUEST:

These funds are requested to provide grants-in-aid to 43 municipalities and organizations for facility improvements and capital repairs for school readiness programs and state-funded day care centers.

Funds are requested as follows:

Total, This Request	<u>\$7,454,986</u>
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In accordance with Section 15 of P.A. 12-189, "...any grant-in-aid made pursuant to section 9 of this act which is made to any entity which is not a political subdivision of the state, the contract entered into pursuant to section 14 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent per year for each full year which has elapsed since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, provided if the premises for which such grant-in-aid was made are owned by the state, a municipality or a housing authority no lien need be placed."

PUBLIC ACT #189, 2012
AS AMENDED
SEC. 43

ITEM NO. 13

CAPITAL REGION DEVELOPMENT AUTHORITY

FOR THE PURPOSE OF PROVIDING GRANTS OR LOANS TO ENCOURAGE RESIDENTIAL HOUSING DEVELOPMENT AS PROVIDED IN SECTION 32-602 OF THE GENERAL STATUTES

REQUESTED: An Allocation and Bond Authorization (to agency) \$7,000,000

FROM: Sec. 43 Acct. No. 12052-CRD47200-43543

Total Earmarking	\$60,000,000
Previous Allocations	<u>42,342,627</u>
Balance Unallocated	<u>\$17,657,373</u>

REASON FOR REQUEST:

These funds are requested to provide a loan to Dakota Partners, Inc. to assist in conversion of an historic commercial building at 390 Capitol Avenue into 112 units of housing, along with commercial space.

The \$7 million will be provided as a \$3.5 million permanent loan at 0.5% interest for twenty years with principal and interest deferred for years 1-5. The other \$3.5 Million will be provided as a loan for 20 years at 0.5% for years 1-5 with principal and interest deferred and dependent on cash flow of the project.

Funds are requested as follows:

Total Estimated Project Cost	\$35,300,000	
Less: Federal/State Historic Tax Credits	11,400,000	
P.A. 05-5, Sec. 17, Previous Funding	5,000,000	
City Funding	3,100,000	
Bank Financing	5,300,000	
Federal Low Income Housing Tax Credits	1,940,000	
Brownfield Funding	1,300,000	
Freddie Mac	100,000	
Deferred Developer Fee	<u>160,000</u>	
Total, This Request		<u>\$7,000,000</u>

PUBLIC ACT #189, 2012
AS AMENDED
SECTION 48

ITEM NO. 14

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

FOR THE PURPOSE OF PROVIDING PAYMENT OR REIMBURSEMENT ORDERED BY THE
COMMISSIONER OF ENERGY AND ENVIRONMENTAL PROTECTION PURSUANT TO THE
UNDERGROUND STORAGE TANK PETROLEUM CLEAN-UP PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$9,000,000

FROM: Sec. 48 Acct. No. 12052-DEP43000-43533

Total Earmarking	\$27,000,000
Previous Allocations	<u>18,000,000</u>
Balance Unallocated	<u>\$ 9,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance reimbursements for the Underground Storage Tank Petroleum Clean Up program. Under the Program, applications for reimbursement for clean-up of fuel releases/ spills/ leaks from underground petroleum fuel storage tanks can be submitted after the completion of specified milestones. Applications are reviewed and approved by DEEP, prior to any reimbursement being paid.

Funds are requested as follows:

Total, This Request \$9,000,000

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 15

OFFICE OF POLICY AND MANAGEMENT

DESIGN AND IMPLEMENTATION OF STATE AND LOCAL BENCHMARKING SYSTEMS, INCLUDING
TECHNOLOGY DEVELOPMENT

REQUESTED: An Allocation and Bond Authorization (to agency) \$1,745,000

FROM: Sec. 2(c)(2) Acct. No. 17121-OPM20000-43486

Total Earmarking	\$4,000,000
Previous Allocations	<u>2,255,000</u>
Balance Unallocated	<u>\$1,745,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 19, to finance phase 3 of developing state and local benchmarking systems.

This phase consists of assisting local governments with an electronic mapping tool for the uploading of financial information for fiscal year 2014. Development of analytical tools for the State Department of Education will also be included.

Funds are requested as follows:

Financing:

P.A. 11-57, Sec. 2(c)(2), This Request	\$1,745,000
P.A. 11-57, Sec. 21(c)(2), Item 19	<u>755,000</u>
Total	<u>\$2,500,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 16 (REVISED)

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

ALTERATIONS, RENOVATIONS AND NEW CONSTRUCTION AT STATE PARKS AND OTHER
RECREATION FACILITIES, INCLUDING AMERICANS WITH DISABILITIES ACT IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization (to agency) \$1,037,715

FROM: Sec. 2(i)(2) Acct. No. 17121-DEP43000-43496

Total Earmarking	\$45,000,000
Previous Allocations	<u>32,668,077</u>
Balance Unallocated	<u>\$12,331,993</u>

REASON FOR REQUEST:

These funds are requested to finance renovations and improvements at Department of Energy and Environmental Protection facilities as detailed below.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Renovation design for the Ellie Mitchell Pavilion at Rocky Neck - East Lyme	\$ 159,900
Renovation design for the Park Support Complex , windmill and water tower at Harkness Memorial State Park - Waterford	<u>877,815</u>
Total, This Request	<u>\$1,037,715</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 17

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

FIRE, SAFETY AND ENVIRONMENTAL IMPROVEMENTS TO REGIONAL FACILITIES FOR CLIENT AND STAFF NEEDS, INCLUDING IMPROVEMENTS IN COMPLIANCE WITH CURRENT CODES, INCLUDING INTERMEDIATE CARE FACILITIES AND SITE IMPROVEMENTS, HANDICAPPED ACCESS IMPROVEMENTS, UTILITIES, REPAIR OR REPLACEMENT OF ROOFS, AIR CONDITIONING AND OTHER INTERIOR AND EXTERIOR BUILDING RENOVATIONS AND ADDITIONS AT ALL STATE-OWNED FACILITIES

REQUESTED: An Allocation and Bond Authorization \$1,039,045

FROM: Sec. 2(k) Acct. No. 17121-MHA53100-43354
Project No. BI-MH-948

Total Earmarking	\$3,000,000
Previous Allocations	<u>1,960,955</u>
Balance Unallocated	<u>\$1,039,045</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 22, to award a construction contract based on bids received on December 17, 2014 for renovations to Dutcher Hall at Connecticut Valley Hospital in Middletown.

This project consists of conversion of office space into a client ward. The project includes demolition of the existing space, asbestos tile abatement, installation of new heating/cooling units and complete plumbing installation.

This project will create or retain approximately 23 construction related jobs.

Funds are requested as follows:

	<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$1,084,400	\$1,084,400
Contingency	108,440	108,440
Architect/Engineer's Fee	25,000	25,000
Construction Administrator	75,000	75,000
Hazardous Materials Abatement	50,000	50,000
DAS Fee	<u>70,000</u>	<u>70,000</u>
Total	<u>\$1,412,840</u>	<u>\$1,387,840</u>

Financing:

P.A. 11-57, Sec. 2 (j), This Request	\$1,039,045
P.A. 11-57, Sec. 21 (j), Item 22	348,795
Agency Funds	<u>25,000</u>
Total	<u>\$1,412,840</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 18

JUDICIAL DEPARTMENT

IMPLEMENTATION OF THE TECHNOLOGY STRATEGIC PLAN PROJECT

REQUESTED: An Allocation and Bond Authorization (to agency) \$2,730,000

FROM: Sec. 2(o)(3) Acct. No. 17121-JUD95000-43501

Total Earmarking	\$5,000,000
Previous Allocations	<u>2,270,000</u>
Balance Unallocated	<u>\$2,730,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 23, to finance equipment, cabling, infrastructure and related costs for relocation of the Judicial Department data center to the new State Data Center in Groton.

Funds are requested as follows:

Total, This Request	<u>\$7,730,000</u>
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Financing:

P.A. 11-57, Sec. 2(o)(e), This Request	\$2,730,000
P.A. 11-57, Sec. 21(n)(3), Item 23	<u>5,000,000</u>
Total	<u>\$7,730,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 19

OFFICE OF POLICY AND MANAGEMENT

DESIGN AND IMPLEMENTATION OF STATE AND LOCAL BENCHMARKING SYSTEMS, INCLUDING
TECHNOLOGY DEVELOPMENT

REQUESTED: An Allocation and Bond Authorization (to agency) \$755,000

FROM: Sec. 21(c)(2) Acct. No. 17121-OPM20000-43486

Total Earmarking	\$2,000,000
Previous Allocations	<u>-0-</u>
Balance Unallocated	<u>\$2,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 15, to finance phase 3 of developing state and local benchmarking systems.

Funds are requested as follows:

Financing:

P.A. 11-57, Sec. 21(c)(2), This Request	\$ 755,000
P.A. 11-57, Sec. 2(c)(2), Item 15	<u>1,745,000</u>
Total	<u>\$2,500,000</u>

Funds of Request as follows:

Total, This Request	<u>\$2,500,000</u>
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PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 20

DEPARTMENT OF ADMINISTRATIVE SERVICES

INFRASTRUCTURE REPAIRS AND IMPROVEMENTS, INCLUDING FIRE, SAFETY AND COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT, IMPROVEMENTS TO STATE-OWNED BUILDINGS AND GROUNDS, ENERGY CONSERVATION AND OFF SITE IMPROVEMENTS, AND PRESERVATION OF UNOCCUPIED BUILDINGS AND GROUNDS, INCLUDING OFFICE DEVELOPMENT, ACQUISITION, RENOVATIONS FOR ADDITIONAL PARKING AND SECURITY IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$750,000

FROM: Sec. 21(d)(2) Acct. No. 17131-DAS23000-43491
Project No. BI-2B-9000

Total Earmarking	\$192,500,000
Previous Allocations	<u>137,748,151</u>
Balance Unallocated	<u>\$ 54,751,849</u>

REASON FOR REQUEST:

These funds are requested to finance emergency and minor capital and improvements at various state facilities.

Funds are requested as follows:

Total, This Request \$750,000

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 21

MILITARY DEPARTMENT

STATE MATCHING FUNDS FOR ANTICIPATED FEDERAL REIMBURSABLE PROJECTS

REQUESTED: An Allocation and Bond Authorization \$100,000

FROM: Sec. 21(g)(1) Acct. No. 17131-MIL36000-43339
Project No. BI-Q-1000

Total Earmarking	\$2,000,000
Previous Allocations	<u>1,623,000</u>
Balance Unallocated	<u>\$ 377,000</u>

REASON FOR REQUEST:

These funds are requested, to finance the design of a 4,000 square foot general purpose storage building for the Theatre Aviation Sustainment Maintenance Group at the Groton/New London Airport.

Funds are requested as follows:

Total Project Cost	\$1,504,000
Less: Federal Funds	<u>1,404,000</u>
Total, This Request	<u>\$ 100,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 22

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

FIRE, SAFETY AND ENVIRONMENTAL IMPROVEMENTS TO REGIONAL FACILITIES FOR CLIENT AND STAFF NEEDS, INCLUDING IMPROVEMENTS IN COMPLIANCE WITH CURRENT CODES, INCLUDING INTERMEDIATE CARE FACILITIES AND SITE IMPROVEMENTS, HANDICAPPED ACCESS IMPROVEMENTS, UTILITIES, REPAIR OR REPLACEMENT OF ROOFS, AIR CONDITIONING AND OTHER INTERIOR AND EXTERIOR BUILDING RENOVATIONS AND ADDITIONS AT ALL STATE-OWNED FACILITIES

REQUESTED: An Allocation and Bond Authorization \$348,795

FROM: Sec. 21(j) Acct. No. 17131-MHA53100-43354
Project No. BI-MH-948

Total Earmarking	\$5,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$5,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 17, to award a construction contract based on bids received on December 17, 2014 for renovations to Dutcher Hall at Connecticut Valley Hospital in Middletown.

Funds are requested as follows:

Financing:

P.A. 11-57, Sec. 2 (j), Item 17	\$1,039,045
P.A. 11-57, Sec. 21 (j), This Request	348,795
Agency Funds	<u>25,000</u>
Total	<u>\$1,412,840</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 23

JUDICIAL DEPARTMENT

IMPLEMENTATION OF THE TECHNOLOGY STRATEGIC PLAN PROJECT

REQUESTED: An Allocation and Bond Authorization (to agency) \$5,000,000

FROM: Sec. 21(n)(3) Acct. No. 17131-JUD95000-43501

Total Earmarking	\$5,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$5,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 18, to finance equipment, cabling, infrastructure and related costs for relocation of the Judicial Department data center to the new State Data Center in Groton.

Funds are requested as follows:

Financing:

P.A. 11-57, Sec. 2(o)(e), Item 18	\$2,730,000
P.A. 11-57, Sec. 21(n)(3), This Request	<u>5,000,000</u>
Total	<u>\$7,730,000</u>

PUBLIC ACT #2, 2009
 SEPTEMBER SPECIAL SESSION
 AS AMENDED
 SECTION 26-32

ITEM NO. 24

COMMUNITY COLLEGE SYSTEM

MANCHESTER COMMUNITY COLLEGE

CODE IMPROVEMENTS TO THE LOWE BUILDING

Requested: An Allocation and Bond Authorization \$599,000

FROM: Sec. 27(d)(1) Acct. No. 17101-CCC78000-43445
Project No. BI-CTC-443A

Total Earmarking	\$2,229,911
Previous Allocations	<u>958,759</u>
Balance Unallocated	<u>\$1,271,152</u>

REASON FOR REQUEST:

These funds are requested to award a construction contract based on bids received January 21, 2015, for exterior panel and structural concrete repairs to the Lowe Building at Manchester Community College.

This project will create or retain approximately 9 construction related jobs.

Funds are requested as follows:

	<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$425,000	\$425,000
Contingency	42,500	42,500
Architect/Engineer's Fee	91,500	24,500
Construction Administrator	25,000	25,000
Specialty Consultants	20,000	20,000
Testing and Inspections	27,000	27,000
Relocation	15,000	15,000
Telecommunications	<u>20,000</u>	<u>20,000</u>
Total	<u>\$666,000</u>	<u>\$599,000</u>

Financing:

P.A. 09-2, Sec. 27(d)(1), This Request	\$599,000
P.A. 07-7, Sec. 2(s)(1)(A), Previous Funding	<u>67,000</u>
Total	<u>\$666,000</u>

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 1-7

ITEM NO. 25

DEPARTMENT OF ADMINISTRATIVE SERVICES

**CAPITAL CONSTRUCTION, IMPROVEMENTS, REPAIRS, RENOVATIONS AND LAND ACQUISITION AT
FIRE TRAINING SCHOOLS**

REQUESTED: An Allocation and Bond Authorization \$4,013,396

FROM: Sec. 2(g)(2) Acct. No. 17071-DAS23000-43328
Project No. BI-FP-014

Total Earmarking	\$8,000,000
Previous Allocations	<u>3,986,604</u>
Balance Unallocated	<u>\$4,013,396</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 28, to finance renovations and improvements Fairfield Regional Fire School.

This project consists of construction of a 9,424 square foot classroom building, a 5,600 square foot support building, a 3,200 square foot class A burn facility, paved parking areas, underground utilities and demolition of the existing building.

This project will create or retain approximately 220 construction related jobs.

Funds are requested as follows:

		<u>Previous Funding</u>	<u>This Request</u>
Construction	\$10,582,247		\$10,582,247
Architect/Engineer's Fee	455,000	\$375,000	80,000
Hazardous Materials Abatement	200,000		200,000
Special Inspections	100,000		100,000
Commissioning	50,000		50,000
Art	105,822		105,822
DAS Fee	<u>325,000</u>	<u>25,000</u>	<u>300,000</u>
Total	<u>\$11,818,069</u>	<u>\$400,000</u>	<u>\$11,418,069</u>

Financing:

P.A. 07-7, Sec. 2(g)(2), This Request	\$ 4,013,396
P.A. 07-7, Sec. 21(e)(3), Item 28	7,404,673
S.A. 04-2, Sec. 2(d)(3), Previous Funding	<u>400,000</u>
Total	<u>\$11,818,069</u>

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 12-19

ITEM NO. 26

STATE LIBRARY

GRANTS-IN-AID TO PUBLIC LIBRARIES THAT ARE NOT LOCATED IN DISTRESSED MUNICIPALITIES, AS DEFINED IN SECTION 32-9p OF THE GENERAL STATUTES, FOR CONSTRUCTION, RENOVATIONS, EXPANSIONS, ENERGY CONSERVATION AND HANDICAPPED ACCESSIBILITY

REQUESTED: Use of Previously Allocated Funds Available (to agency) \$57,000

FROM: Sec. 13(k)(1) Acct. No. 12052-CSL66000-43299

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the Farmington Village Green and Library Association to finance a Media Lab in the public library.

Funds are requested as follows:

	<u>Estimated Total Cost</u>	<u>State Grant</u>
Total, This Request	\$114,000	<u>\$57,000</u>

In accordance with Section 11-24c of the Connecticut General Statutes as amended by Public Act 07-7 of the June Special Session, the State Library Board "shall make construction grants to public libraries established pursuant to this chapter. The Board shall (1) establish criteria for the purpose of developing a priority listing of all construction projects and (2) grant an amount equal to one-third of the total construction costs not to exceed one million dollars for each approved project within the limits of available appropriation for such projects..."

PUBLIC ACT #7, 2007
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 12-19

ITEM NO. 27

STATE LIBRARY

GRANTS-IN-AID TO PUBLIC LIBRARIES LOCATED WITHIN DISTRESSED MUNICIPALITIES, AS DEFINED IN SECTION 32-9p OF THE GENERAL STATUTES, FOR CONSTRUCTION, RENOVATIONS, EXPANSIONS, ENERGY CONSERVATION AND HANDICAPPED ACCESSIBILITY

REQUESTED: An Allocation and Bond Authorization (to agency) \$542,615

FROM: Sec. 13(k)(2) Acct. No. 12052-CSL66000-43300

Total Earmarking	\$5,000,000
Previous Allocations	<u>4,237,716</u>
Balance Unallocated	<u>\$ 762,284</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to three public libraries as detailed below.

Funds are requested as follows:

<u>Library</u>	<u>Project</u>	<u>Estimated Total Cost</u>	<u>State Grant</u>
Mystic & Noank Library, Inc.	Code compliance improvements	\$41,140	\$ 20,570
Town of North Canaan	Renovations to the Douglas Library	44,090	22,045
Town of Windham	Renovations to Willimantic Public Library	<u>1,000,000</u>	<u>500,000</u>
Total, This Request		\$1,085,230	<u>\$542,615</u>

In accordance with Section 11-24c of the Connecticut General Statutes as amended by Public Act 07-7 of the June Special Session, the State Library Board "shall make construction grants to public libraries established pursuant to this chapter. The Board shall (1) establish criteria for the purpose of developing a priority listing of all construction projects and (2) grant an amount equal to one-third of the total construction costs not to exceed one million dollars for each approved project within the limits of available appropriation for such projects..."

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 20-26

ITEM NO. 28

DEPARTMENT OF ADMINISTRATIVE SERVICES

CAPITAL CONSTRUCTION, IMPROVEMENTS, REPAIRS, RENOVATIONS AND LAND ACQUISITION AT
FIRE TRAINING SCHOOLS

REQUESTED: An Allocation and Bond Authorization \$7,404,673

FROM: Sec. 21(e)(3) Acct. No. 17081-DAS23000-43328
Project No. BI-FP-014

Total Earmarking	\$8,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$8,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 25, to finance renovations and improvements Fairfield Regional Fire School.

Funds are requested as follows:

Financing:

P.A. 07-7, Sec. 21(e)(3), This Request	\$7,404,673
P.A. 07-7, Sec. 2(g)(2), Item 25	4,013,396
S.A. 04-2, Sec. 2(d)(3), Previous Funding	<u>400,000</u>
Total	<u>\$11,818,069</u>

PUBLIC ACT #7, 2007
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 31-38

ITEM NO. 29

STATE LIBRARY

GRANTS-IN-AID TO PUBLIC LIBRARIES THAT ARE NOT LOCATED IN DISTRESSED MUNICIPALITIES, AS DEFINED IN SECTION 32-9p OF THE GENERAL STATUTES, FOR CONSTRUCTION, RENOVATIONS, EXPANSIONS, ENERGY CONSERVATION AND HANDICAPPED ACCESSIBILITY

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$229,625
FROM:	Sec. 32(i)(1)	Acct. No. 12052-CSL66000-43299
	Total Earmarking	\$3,500,000
	Previous Allocations	<u>-0-</u>
	Balance Unallocated	<u>\$3,500,000</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the Wallingford Public Library Association to finance a public learning and event space in the public library.

Funds are requested as follows:

	<u>Estimated Total Cost</u>	<u>State Grant</u>
Total, This Request	\$459,250	<u>\$229,625</u>

In accordance with Section 11-24c of the Connecticut General Statutes as amended by Public Act 07-7 of the June Special Session, the State Library Board "shall make construction grants to public libraries established pursuant to this chapter. The Board shall (1) establish criteria for the purpose of developing a priority listing of all construction projects and (2) grant an amount equal to one-third of the total construction costs not to exceed one million dollars for each approved project within the limits of available appropriation for such projects..."

PUBLIC ACT #5, 2005
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 17

ITEM NO. 31

DEPARTMENT OF HOUSING

HOUSING TRUST FUND

REQUESTED: An Allocation and Bond Authorization (to Agency) \$5,000,000

FROM: Sec. 17 Acct. No. 12066-ECD46000-40001

Total Earmarking	\$220,000,000
Previous Allocations	<u>184,760,338</u>
Balance Unallocated	<u>\$ 35,239,662</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 35, to provide a grant-in-aid and loan to Historic Cargill Falls Mill, LLC, or another eligible applicant as such term is defined in Section 8-336m of the Connecticut General Statutes, to assist in the redevelopment of a former mill complex on Pomfret Street in Putnam. The project will result in 52 market rate units and 30 affordable units. The project also includes office, retail and restaurant space, as well as, a hydroelectric dam with an energy cogeneration facility.

The loan will be provided at an interest rate of 1.2% for thirty years with principal and interest deferred for five years.

Funds are requested as follows:

Total Estimated Cost	\$22,581,934	
Less: Bank/Other Financing	14,231,934	
State Brownfield Funding	500,000	
P.A. 79-607, Sec. 21(b)(6)(B), Item 35	<u>2,850,000</u>	
Total, This Request		<u>\$5,000,000</u>

**PUBLIC ACT #239, 2013
AS AMENDED
SECTION 12-19**

ITEM NO. 32 (REVISED)

DEPARTMENT OF ENVIRONMENTAL PROTECTION

GRANTS-IN-AID TO MUNICIPALITIES FOR IMPROVEMENTS TO INCINERATORS AND
LANDFILLS, INCLUDING, BUT NOT LIMITED TO, BULKY WASTE LANDFILLS

REQUESTED: An Allocation and Bond Authorization (to agency) \$1,150,000

FROM: Sec. 13(c)(2) Acct. No. 12052-DEP43000-43577

Total Earmarking	\$1,400,000
Previous Allocations	-0-
Balance Unallocated	<u>\$1,400,000</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aids for the closure of town-owned municipal bulky waste disposal facilities as detailed.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Closure and soil remediation for the Town of Lyme	\$ 650,000
Closure and soil erosion control for the town of Deep River	<u>500,000</u>
Total, This Request	<u>\$1,150,000</u>

PUBLIC ACT #270, 1990
AS AMENDED
SECTION 33

ITEM NO. 33

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

MANUFACTURING ASSISTANCE ACT

REQUESTED: An Allocation and Bond Authorization (to agency) \$39,750,000

FROM: Sec. 33 Acct. No. 12034-ECD46000-40221

Total Earmarking	\$1,215,300,000
Previous Allocations	<u>1,069,597,325</u>
Balance Unallocated	<u>\$ 145,702,675</u>

REASON FOR REQUEST:

These funds are requested to finance various business expansion and retention projects as detailed below.

- A. These funds are requested to provide a loan to Icahn School of Medicine at Mount Sinai, Inc., a nonprofit entity, to assist with leasehold improvements and operating costs for a genetic sequencing laboratory in Branford. The company will create up to 145 jobs within five years.

The loan will be provided at an interest rate of 2% for ten years with principal deferred for five years. The company will be eligible for loan forgiveness of up to \$7.25 million if it meets incremental job creation goals within five years.

Funds are requested as follows:

Total Estimated Cost	\$59,576,000	
Less: Recipient Funds	<u>50,076,000</u>	
Total, This Request		\$9,500,000

- B. These funds are requested to provide a loan to Leipold, Inc. to assist with the purchase of machinery and equipment for expansion at its facility in Glastonbury. The company will retain 40 jobs and create 10 new jobs within four years.

The loan will be provided at an interest rate of 2% for ten years with principal deferred for six years. The company will be eligible for loan forgiveness of \$1 million if it creates and retains 50 jobs within four years. Any new jobs above 10 will receive forgiveness at \$100,000 per job, up a maximum of \$2 million in total forgiveness.

Funds are requested as follows:

Total Estimated Cost	\$8,000,000	
Less: Company Funds	3,000,000	
Bank Financing	<u>2,000,000</u>	
Total, This Request		\$3,000,000

ITEM 33 CONT.

- C. These funds are requested to provide a loan to Habco Industries, LLC to assist with the purchase of machinery and equipment for expansion at its facility in Glastonbury. The company will retain 42 jobs and create up to 37 new jobs within four years.

The loan will be provided at an interest rate of 2% for ten years with principal deferred for five years. The company will be eligible for loan forgiveness of up to \$2 million it meets the job retention and creation goals within four years.

Funds are requested as follows:

Total Estimated Project cost	\$5,000,000	
Less: Company Funds	340,000	
Bank Financing	<u>2,660,000</u>	
Total, This Request		\$2,000,000

- D. These funds are requested to provide a loan to Farrel Corporation to assist with construction of a new facility for business expansion in Ansonia. The company will retain 79 jobs and create 5 new jobs.

The loan will be provided at an interest rate of 2% for ten years. The loan will be forgiven if the company meets the job retention and creation goals.

Funds are requested as follows:

Total Estimated Cost	\$10,800,000	
Less: Company Funds	<u>9,050,000</u>	
Total, This Request		\$1,750,000

- E. These funds are requested to provide a loan to Praxair Corporation (12 Riverview Drive, LLC) to assist with expansion of its operations in Danbury. The company will retain 535 jobs and create up to 120 new jobs within five years.

The loan will be provided at an interest rate of 2% for ten years with principal and interest deferred for seven years. The company will be eligible for loan forgiveness as follows: 250 jobs retained after five years, \$2.5 million; 400 jobs retained after five years, \$5 million; 535 jobs retained after five years, \$7.5 million. Forgiveness of \$416,666 will be provided for each increment of 20 new jobs above the 535 retained jobs, up to \$2.5 million.

Funds are requested as follows:

Total Estimated Cost	\$67,120,000	
Less: Company Funds	<u>57,120,000</u>	
Total, This Request		\$10,000,000

- F. These funds are requested to finance various small projects and programs and administrative costs under the Economic Development and Manufacturing Assistance Act.

The release of these funds will be controlled through the allotment process as projects arise.

Funds are requested as follows:

Total, This Request		\$8,700,000
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ITEM 33 CONT.

- G. These funds are requested to provide a loan to R&D Dynamics Corporation to assist with expansion at its facility in Bloomfield. The company will retain 72 jobs and create up to 38 new jobs within five years.

The loan will be provided at an interest rate of 2% for ten years. The company will be eligible for \$1.15 million in loan forgiveness if creates 38 new jobs within eight years and retains them for two years.

Funds are requested as follows:

Total Estimated Cost	\$4,600,000	
Less: Bank Financing	<u>2,300,000</u>	
Total, This Request		\$2,300,000

- H. These funds are requested to provide a loan to Windsor Marketing Group, Inc. to assist with expansion at its facility in Suffield. The company will retain 138 jobs and create up to 27 new jobs.

The loan will be provided at an interest rate of 3% for ten years with principal deferred for four years. The company will be eligible for \$750,000 in loan forgiveness if creates and retains 165 jobs within two years and retains them for two years.

Funds are requested as follows:

Total Estimated Cost	\$6,900,000	
Less: Other Funds	1,400,000	
Less: Bank Financing	<u>4,000,000</u>	
Total, This Request		\$1,500,000

- I. These funds are requested to provide a grant-in-aid to GKN Aerospace Services Structure Corporation to assist with development and advancement of its capabilities in organic and ceramic matrix composites. The company will retain 112 jobs and create 100 jobs within six years.

Total grants-in-aid of \$20 million will be paid in installments through 2018. If the company meets goals for job retention, job creation, manufacturing, research and development, capital expenditures and project milestones with research partners.

This request will fund the first grant-in-aid, to be matched by the company, under the agreement.

Funds are requested as follows:

Total Estimated Investment	\$40,000,000	
Less: Company Funds	20,000,000	
Future State Funds	<u>19,000,000</u>	
Total, This Request		\$1,000,000

Grand Total, This Request \$39,750,000

PUBLIC ACT #584, 1987
AS AMENDED
SECTION 11-14

ITEM NO. 34

OFFICE OF POLICY AND MANAGEMENT

LOCAL CAPITAL IMPROVEMENT FUND

REQUESTED: An Allocation and Bond Authorization (to agency) \$10,000,000

FROM: Acct. No. 12050-OPM20000-40254

Total Earmarking	\$765,000,000
Previous Allocations	<u>717,500,000</u>
Balance Unallocated	<u>\$ 47,500,000</u>

REASON FOR REQUEST:

These funds are requested to provide supplemental funding for reimbursements to municipalities for approved projects under the Local Capital Improvement Fund.

These funds provide reimbursement of actual expenditures incurred for the following categories of eligible projects:

(A) Road construction, renovation, repair or resurfacing; (B) Sidewalk and pavement improvements; (C) Construction, renovation, enlargement or repair of sewage treatment plants and sanitary or storm, water or sewer lines, including separation of lines; (D) Public building construction other than schools, including renovation, repair, code compliance, energy conservation and fire safety projects; (E) Construction, renovation, enlargement or repair of dams, bridges and flood control projects; (F) Construction, renovation, enlargement or repair of water treatment or filtration plants and water mains; (G) Construction, renovation or enlargement of solid waste facilities; (H) Improvements to public parks; (I) The preparation and revision of local capital improvement plans projected for a period of not less than five years and so prepared as to show the general description, need and estimated cost of each individual capital improvement; (J) Improvements to emergency communications systems and building security systems, including for schools; (K) Public housing projects, including renovations and improvements and energy conservation and the development of additional housing; (L) Renovations to or construction of veterans' memorial monuments; (M) Thermal imaging systems; (N) Bulky waste and landfill projects; (O) The preparation and revision of municipal plans of conservation and development adopted pursuant to section 8-23, provided such plans are endorsed by the legislative body of the municipality not more than one hundred eighty days after adoption by the commission; (P) Acquisition of automatic external defibrillators; (Q) Floodplain management and hazard mitigation activities; (R) On-board oil refining systems consisting of a filtration canister and evaporation canister that remove solid and liquid contaminants from lubricating oil; (S) Activities related to the planning of a municipal broadband network, provided the speed of the network will be not less than three hundred eight-four thousand bits per second; (T) establishment of bikeways and greenways, (U) land acquisition, including for open space, and costs involved in making land available for public uses; (V) acquisition of technology related to implementation of the Department of Education's common core state standards; (W) technology upgrades, including for improvements to expand public access to government information through electronic portals and kiosks; (X) for the fiscal years ending June 30, 2013, and June 30, 2014, acquisition of snow removal equipment, capital expenditures made to improve public safety, and capital expenditures made to facilitate regional cooperation.

PUBLIC ACT #607, 1979
AS AMENDED
SECTION 21

ITEM NO. 35

OFFICE OF POLICY AND MANAGEMENT

GRANTS-IN-AID FOR URBAN DEVELOPMENT PROJECTS INCLUDING ECONOMIC AND COMMUNITY DEVELOPMENT, TRANSPORTATION, ENVIRONMENTAL PROTECTION, PUBLIC SAFETY, CHILDREN AND FAMILIES AND SOCIAL SERVICE PROJECTS INCLUDING, IN THE CASE OF ECONOMIC AND COMMUNITY DEVELOPMENT PROJECTS ADMINISTERED ON BEHALF OF THE OFFICE OF POLICY AND MAANGEMENT BY THE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT, ADMINISTRATIVE COSTS INCURRED BY THE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

REQUESTED: An Allocation and Bond Authorization (to agency)	\$5,112,000
FROM: Sec. 21(b)(6)(B)	Acct. No. 13019-DEP43000-41239
	13019-ECD46000-41240
	13019-DOH46900-00000
	13019-DSS60000-41242
Total Earmarking	\$1,324,800,000
Previous Allocations	<u>1,236,910,202</u>
Balance Unallocated	<u>\$ 87,889,798</u>

REASON FOR REQUEST:

I. Department of Energy and Environmental Protection

- A. These funds are requested to provide a grant-in-aid to the City of Stamford to assist with installation of lighting in Michael F. Lione Memorial Park.

Funds are Requested as Follows:

Total, This Request \$537,000

II. Department of Economic and Community Development

- A. These funds are requested to provide a grant-in-aid to the City of New Haven to assist with renovations and improvements to the former Army Reserve Center on Wintergreen Avenue for use as a policy academy and indoor firing range.

Funds are Requested as Follows:

Total, This Request \$1,000,000

ITEM 35 CONT.

III. Department of Housing

- A. These funds are requested, along with those under Item XX, to provide a grant-in-aid and loan to Historic Cargill Falls Mill, LLC, or another eligible applicant as such term is defined in Section 8-336m of the Connecticut General Statutes, to assist in the redevelopment of a former mill complex on Pomfret Street in Putnam. The project will result in 52 market rate units and 30 affordable units. The project also includes office, retail and restaurant space, as well as, a hydroelectric dam with an energy cogeneration facility.

Funds are Requested as Follows:

Total Estimated Cost	\$22,581,934	
Less: Bank/Other Financing	14,231,934	
State Brownfield Funding	500,000	
P.A. 05-5, Sec. 17, Item 31	<u>5,000,000</u>	
Total, This Request		\$2,850,000

IV. Department of Social Services

- A. These funds are requested to provide a grant-in-aid to Bread For Life, Inc. to assist with construction of a new 3,200 square foot building on Vermont Street to consolidate its operations for feeding the hungry under one roof.

Funds are Requested as Follows:

Total Estimated Cost	\$830,243	
Less: Fundraising	<u>105,243</u>	
Total, This Request		\$725,000
Grand Total, This Request		<u>\$5,112,000</u>

STATE TREASURER/OFFICE OF POLICY AND MANAGEMENT

REQUESTED: Approval of Requests and Certificates and Resolutions and the Filing of the State Treasurer's Certificate as to State Indebtedness and the Declaration of Official Intent Pursuant to Federal Income Tax Regulations

SUGGESTED MOTION:

RESOLVED, (1) that the requests and certificates and the appropriate resolutions to effect the bond authorizations approved today are hereby approved and adopted; (2) that the resolutions and the State Treasurer's certificate as to state indebtedness made in accordance with section 3-21 of the general statutes, as amended, be made a part of the record of today's meeting; (3) that the State hereby declares the official intent of the State required pursuant to Section 1.150-2 of the Federal Income Tax Regulations, Title 26, and, if applicable, pursuant to Section 54A(d) of the Internal Revenue Code of 1986, as amended, with respect to each of the agenda items approved today, to the effect that the State reasonably expects to reimburse from the proceeds of borrowings, including qualified tax credit bonds, any and all expenditures paid from the bond fund accounts designated and functionally described in each such agenda item or bond authorization resolution, in an amount anticipated not to exceed the amount of the authorization contained in such item or bond authorization resolution, and that the Secretary of the Office of Policy and Management and the Treasurer are authorized to amend this declaration of official intent on behalf of the State for any such item; and (4) that the State Treasurer is authorized to make representations and enter into agreements to provide secondary market disclosure information with respect to borrowings by State authorities or other entities, or by the State itself, in connection with which the State may be an obligated party under Rule 15c2-12 of the Securities and Exchange Commission.