

AGENDA ITEMS FOR THE STATE BOND COMMISSION
SEPTEMBER 23, 2011
ROOM 1E LEGISLATIVE OFFICE BUILDING
10:30 A.M.

<u>SOURCE OF FUNDS</u>	<u>ITEM NO.</u>	<u>GENERAL OBLIGATION ALLOCATIONS</u>	<u>REVENUE BONDS/OTHER TRANSACTIONS</u>
PUBLIC ACT #57, 2011 SECTION 1-7	1-3	\$6,669,770 =====	=====
PUBLIC ACT #57, 2011 SECTION 8-11	4	\$3,096,502 =====	=====
PUBLIC ACT #57, 2011 SECTION 12-19	5	\$398,750 =====	=====
PUBLIC ACT #2, 2009 SEPTEMBER SPECIAL SESSION AS AMENDED SECTION 1	6	\$20,000,000 =====	=====
PUBLIC ACT #2, 2009 SEPTEMBER SPECIAL SESSION AS AMENDED SECTION 41-47	7	\$755,000 =====	=====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 1-7	8-11	\$1,500,000 =====	\$1,506,350 =====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 20-26	12-14	\$2,410,000 =====	=====
PUBLIC ACT #242, 2007 AS AMENDED SECTION 108	15-16	\$15,898,800 =====	=====
SPECIAL ACT #1, 2005 JUNE SPECIAL SESSION AS AMENDED SECTION 12-19	17	\$345,000 =====	=====
SPECIAL ACT #1, 2005 JUNE SPECIAL SESSION AS AMENDED SECTION 20-26	18-19	\$1,160,000 =====	=====

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SEPTEMBER 23, 2011
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10:30 A.M.

<u>SOURCE OF FUNDS</u>	<u>ITEM NO.</u>	<u>GENERAL OBLIGATION ALLOCATIONS</u>	<u>REVENUE BONDS/OTHER TRANSACTIONS</u>
SPECIAL ACT #2, 2001 JUNE SPECIAL SESSION AS AMENDED SECTION 1-7	20	\$4,000,000 =====	=====
PUBLIC ACT #242, 1999 AS AMENDED SECTION 1-7	21	\$250,000 =====	=====
PUBLIC ACT #607, 1979 AS AMENDED SECTION 21	22	\$1,500,000 =====	=====
STATE TREASURER SPECIAL TAX OBLIGATION BOND SALE	23	=====	\$850,000,000 =====
APPROVAL OF DECLARATION OF OFFICIAL INTENT PURSUANT TO FEDERAL INCOME TAX REGULATIONS	24	=====	=====

FINDINGS

AGENDA ITEMS FOR THE STATE BOND COMMISSION
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UNLESS OTHERWISE NOTED, THE SECRETARY OF THE STATE BOND COMMISSION HAS ON FILE THE FOLLOWING MATTERS WITH RESPECT TO EACH REQUEST MADE TO THE STATE BOND COMMISSION FOR TODAY'S MEETING AS DETAILED THROUGH THE ATTACHED AGENDA ITEMS.

1. ANY HUMAN SERVICES FACILITY COLOCATION STATEMENT IF SO REQUESTED BY THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT PURSUANT TO CGS §4B-23.
2. ANY PROJECT WHICH INVOLVES THE USE OF 25 ACRES OR MORE OF PRIME FARMLAND FOR NONAGRICULTURAL PURPOSES HAS BEEN REVIEWED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT NO. 83-102 (AN ACT CONCERNING STATE PROJECTS WHICH TAKE PRIME FARMLAND) AND THAT THE COMMISSIONER OF AGRICULTURE HAS FILED A STATEMENT INDICATING THAT EACH SUCH PROJECT PROMOTES AGRICULTURE OR THE GOAL OF AGRICULTURAL LAND PRESERVATION, OR THERE IS NO REASONABLE ALTERNATIVE SITE FOR THE PROJECT, OR THE PROJECT IS NOT APPLICABLE UNDER THE ACT.
3. ANY CAPITAL DEVELOPMENT IMPACT STATEMENT REQUIRED TO BE FILED WITH THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT, IF SO REQUIRED BY THE SECRETARY, PURSUANT TO CGS §4B-23.
4. ANY ADVISORY STATEMENT REGARDING THE STATE CONSERVATION AND DEVELOPMENT POLICIES PLAN REQUIRED BY CGS §16A-31 FOR EACH ITEM FOR WHICH AN ADVISORY STATEMENT IS REQUIRED BY CGS §16A-31, AND THE ITEM IS EITHER IN CONFORMITY WITH THE PLAN OR NOT ADDRESSED THEREIN.
5. ANY STATEMENT REQUIRED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT 06-194 AS TO THE FULL COST OF THE PROJECT OR PURPOSE WHEN COMPLETED AND THE ESTIMATED OPERATING COST OF ANY STRUCTURE, EQUIPMENT OR FACILITY TO BE CONSTRUCTED OR ACQUIRED.

PUBLIC ACT #57, 2011
SECTION 1-7

ITEM NO. 1

DEPARTMENT OF ADMINISTRATIVE SERVICES

EXTERIOR RENOVATIONS AND IMPROVEMENTS, INCLUDING INSTALLATION OF AIR
CONDITIONING, TO THE STATE OFFICE BUILDING IN HARTFORD

Requested:	An Allocation and Bond Authorization	\$1,500,000
FROM:	Sec. 2(e)(2)	Acct. No. 17121-DAS23000-43490 Project No. BI-2B-341
	Total Earmarking	\$1,500,000
	Previous Allocations	<u>-0-</u>
	Balance Unallocated	<u>\$1,500,000</u>

REASON FOR REQUEST:

These funds are requested, along those with Item 16, to finance the design of energy improvements and repairs at the State Office building in Hartford.

This project consists of repairs to the building envelope including window replacement, re-pointing, and HVAC system installation.

Funds are requested as follows:

		<u>This Request</u>
Construction (EST)	\$17,600,000	
Contingency	1,760,000	
Architect/Engineer's Fee	2,024,000	\$1,416,800
Hazardous Materials	240,000	
Construction Administrator	1,760,000	352,000
Commissioning	176,000	30,000
Relocation	1,584,000	
DCS Fee	<u>1,200,000</u>	<u>600,000</u>
Total	\$26,344,000	<u>\$2,398,800</u>

Financing:

P.A. 11-57, Sec. 2(e)(2), This Request	\$1,500,000
P.A. 07-242, Sec. 108, Item 16	<u>898,800</u>
Total	<u>\$2,398,800</u>

PUBLIC ACT #57, 2011
SECTION 1-7

ITEM NO. 2

DEPARTMENT OF CONSTRUCTION SERVICES

INFRASTRUCTURE REPAIRS AND IMPROVEMENTS, INCLUDING FIRE, SAFETY AND COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT IMPROVEMENTS, IMPROVEMENTS TO STATE-OWNED BUILDINGS AND GROUNDS, INCLUDING ENERGY CONSERVATION AND OFF SITE IMPROVEMENTS, AND PRESERVATION OF UNOCCUPIED BUILDINGS AND GROUNDS, INCLUDING OFFICE DEVELOPMENT, ACQUISITION, RENOVATIONS FOR ADDITIONAL PARKING AND SECURITY IMPROVEMENTS

Requested:	An Allocation and Bond Authorization	\$500,000
FROM:	Sec. 2(f)(2)	Acct. No. 17121-DPW27000-43327 Project No. BI-2B-9000
	Total Earmarking	\$2,500,000
	Previous Allocations	<u>867,944</u>
	Balance Unallocated	<u>\$1,632,056</u>

REASON FOR REQUEST:

These funds are requested to finance emergency and minor capital projects at various locations.

Funds are requested as follows:

Total, This Request	<u>\$500,000</u>
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PUBLIC ACT #57, 2011
SECTION 1-7

ITEM NO. 3

COMMUNITY COLLEGE SYSTEM

HOUSATONIC COMMUNITY COLLEGE

IMPLEMENTATION OF PHASE III OF THE MASTER PLAN FOR RENOVATIONS AND ADDITIONS TO LAFAYETTE HALL

Requested: An Allocation and Bond Authorization \$4,669,770

FROM: Sec. 2(m)(3) Acct. No. 17121-CCC78000-43500
Project No. BI-CTC-455

Total Earmarking	\$4,669,770
Previous Allocations	-0-
Balance Unallocated	<u>\$4,669,770</u>

REASON FOR REQUEST:

These funds are requested to finance the design of Phase III master plan renovations at Housatonic Community College in Bridgeport.

This project consists of renovating the existing 183,000 square foot Lafayette Hall. The project includes new and renovated science labs, an expanded the library, new computer labs and general purpose classrooms.

Funds are requested as follows:

		<u>This Request</u>
Construction (EST)	\$29,838,772	
Contingency	2,983,877	
Architect/Engineer's Fee	4,236,078	\$3,117,124
Commissioning	447,582	
Third Party Review	90,000	90,000
Telecommunications	1,491,939	
Specialty Consultants	75,000	75,000
Testing and Inspections	306,719	119,919
Equipment	2,983,877	
Construction Administrator	1,491,939	372,985
Relocation	50,000	
Land Acquisition	2,000,000	
Art	298,387	171,152
DCS Fee	<u>1,447,180</u>	<u>723,590</u>
Total	<u>\$47,741,350</u>	<u>\$4,669,770</u>

PUBLIC ACT #57, 2011
SECTION 8-11

ITEM NO. 4

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

FOR VARIOUS HOUSING PROJECTS AND PROGRAMS AS AUTHORIZED UNDER SECTION 9 OF
PUBLIC ACT 11-57

FLEXIBLE HOUSING PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$3,096,502

FROM: Sec. 9(1) Acct. No. 12063-ECD46000-40237

Total Earmarking	\$25,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$ 25,000,000</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to Oxford Village Housing Development Corp. to assist with development of Krause Gardens in Manchester.

This project consists of construction of 44 new units of elderly rental housing at 619 Hartford Road.

This project will create or retain approximately 107 construction related jobs.

Funds are requested as follows:

Total Estimated Project Cost	\$6,226,502	
Less: Bank Financing	<u>3,130,000</u>	
State Grant, This Request		<u>\$3,096,502</u>

PUBLIC ACT #57, 2011
SECTION 12-19

ITEM NO. 5

DEPARTMENT OF TRANSPORTATION

GRANTS-IN-AID FOR IMPROVEMENTS TO PORTS AND MARINAS, INCLUDING DREDGING AND NAVIGATIONAL DIRECTION, PROVIDED \$ 1,000,000 SHALL BE USED TO CONDUCT A STUDY OF THE STRATEGY FOR ECONOMIC DEVELOPMENT IN THE NEW HAVEN, NEW LONDON AND BRIDGEPORT PORTS

Requested: An Allocation and Bond Authorization \$398,750

FROM: Sec. 13(f) Acct. No. 12052-DOT57000-43509

Total Earmarking	\$6,000,000
Previous Allocations	<u>1,000,000</u>
Balance Unallocated	<u>\$5,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance dredging projects at various shoreline locations as detailed below.

These projects will create or retain 25 construction related jobs.

Funds are requested as follows:

<u>Project</u>	Local Funds	State Funds
Dredging - Town Marina Basin and Inner Channel - Guilford	\$66,250	\$198,750
Design Dredging - Black Hall and Four Mile Rivers, including boat launches and related marine facilities - Old Lyme	N/A	<u>200,000</u>
Total, This Request		<u>\$398,750</u>

PUBLIC ACT #2, 2009
SEPTEMBER SPECIAL SESSION
AS AMENDED
SECTION 1

ITEM NO. 6

OFFICE OF POLICY AND MANAGEMENT

SMALL TOWN ECONOMIC ASSISTANCE PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$20,000,000

FROM: Sec. 1 Acct. No. 12052-OPM20000-40530

Total Earmarking	\$20,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$20,000,000</u>

REASON FOR REQUEST:

These funds are requested to provide grants-in-aid to various municipalities under the Small Town Economic Assistance Program.

In accordance with C.G.S. Sec. 4-66g, grants-in-aid shall be provided to "any municipality that is not economically distressed within the meaning of subsection (b) of section 32-9p, does not have an urban center in any plan adopted by the General Assembly pursuant to section 16a-30 and is not a public investment community within the meaning of subdivision (9) of subsection (a) of section 7-545. Such grants shall be used for purposes for which funds would be available under section 4-66c, as amended by this act. No municipality may receive more than five hundred thousand dollars in any one fiscal year under said program."

In accordance with Public Act 05-194, "a municipality that is (1) a distressed municipality within the meaning of subsection (b) of section 32-9p or a public investment community within the meaning of subdivision (9) of subsection (a) of section 7-545, and (2) otherwise eligible under this subsection for the Small Town Economic Assistance Program may elect to be eligible for said program in lieu of being eligible for financial assistance under section 4-66c, by a vote of its legislative body or, in the case of a municipality in which the legislative body is a town meeting, its board of selectmen, and submitting a written notice of such vote to the Secretary of the Office of Policy and Management. Any such election shall be for the four-year period following submission of such notice to the secretary and may be extended for additional four-year periods in accordance with the same procedure for the initial election."

These projects will create or retain approximately 200 construction related jobs.

The release of these funds will be controlled through the allotment process pending project definition.

Funds are requested as follows:

Total, This Request \$20,000,000

PUBLIC ACT #2, 2009
 SEPTEMBER SPECIAL SESSION
 AS AMENDED
 SECTION 41-47

ITEM NO. 7

DEPARTMENT OF DEVELOPMENTAL SERVICES

FIRE, SAFETY AND ENVIRONMENTAL IMPROVEMENTS TO REGIONAL FACILITIES FOR CLIENT AND STAFF NEEDS, INCLUDING IMPROVEMENTS IN COMPLIANCE WITH CURRENT CODES, INCLUDING INTERMEDIATE CARE FACILITIES AND SITE IMPROVEMENTS, HANDICAPPED ACCESS IMPROVEMENTS, UTILITIES, REPAIR OR REPLACEMENT OF ROOFS, AIR CONDITIONING AND OTHER INTERIOR AND EXTERIOR BUILDING RENOVATIONS AND ADDITIONS AT ALL STATE-OWNED FACILITIES

REQUESTED: An Allocation and Bond Authorization \$755,000

FROM: Sec. 42(d) Acct. No. 17081-DDS50000-43353
Project No. BI-NN-1000

Total Earmarking	\$2,500,000
Previous Allocations	-0-
Balance Unallocated	<u>\$2,500,000</u>

REASON FOR REQUEST:

These funds are requested to finance improvements at various Department of Developmental Services facilities as detailed below.

These projects will create or retain approximately 10 construction related jobs.

Funds are requested as follows:

Exterior Masonry Repairs, Southbury Training School	\$160,000
Emergency/Minor Capitol Projects-As Needed	350,000
Interior and Exterior Improvements, Southbury Training School	<u>245,000</u>
Total, This Request	<u>\$755,000</u>

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 1-7

ITEM NO. 8

**DEPARTMENT OF CONSTRUCTION SERVICES
(PUBLIC WORKS)**

INFRASTRUCTURE REPAIRS AND IMPROVEMENTS, INCLUDING FIRE, SAFETY AND COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT, IMPROVEMENTS TO STATE-OWNED BUILDINGS AND GROUNDS, ENERGY CONSERVATION AND OFF SITE IMPROVEMENTS, AND PRESERVATION OF UNOCCUPIED BUILDINGS AND GROUNDS, INCLUDING OFFICE DEVELOPMENT, ACQUISITION, RENOVATIONS FOR ADDITIONAL PARKING AND SECURITY IMPROVEMENTS

Requested: Use of Previously Allocated Funds Available \$1,351,350

FROM: Sec. 2(g)(1) Acct. No. 17071-DPW27000-43327
Project No. BI-2B-256

REASON FOR REQUEST:

These funds are requested, along with those under Item 20, to award a construction contract, based on bids received June 15, 2011, for a 7,500 square foot addition and related renovations to the Department of Energy and Environmental Protection (DEEP) facility at 9 Windsor Avenue in Windsor.

This project consists of a new addition and minor renovations to provide for relocation of the DEEP laboratories from the existing Public Health Laboratory in Hartford.

This project will create or retain approximately 84 construction related jobs.

Funds are requested as follows:

		<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$4,116,000		\$4,116,000
Contingency	411,600		411,600
Construction Administrator	157,500		157,500
Architect/Engineer's Fee	270,000	\$188,750	81,250
Equipment	325,000		325,000
Studies	110,000	110,000	
Survey and Borings	165,000	55,000	110,000
Art	5,625	5,625	
Telecommunications	100,000		100,000
DCS Fee	100,000	50,000	50,000
Total	<u>\$5,760,725</u>	<u>\$409,375</u>	<u>\$5,351,350</u>

Financing:

P.A. 07-7, Sec. 2(g)(1), This Request	\$1,351,350
S.A. 02-1, Sec. 17(c)(1), Previous Funding	409,375
S.A. 01-2, Sec. 2(e), Item No. 20	<u>4,000,000</u>
Total	<u>\$5,760,725</u>

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 1-7

ITEM NO. 9

**DEPARTMENT OF CONSTRUCTION SERVICES
(PUBLIC WORKS)**

INFRASTRUCTURE REPAIRS AND IMPROVEMENTS, INCLUDING FIRE, SAFETY AND COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT, IMPROVEMENTS TO STATE-OWNED BUILDINGS AND GROUNDS, ENERGY CONSERVATION AND OFF SITE IMPROVEMENTS, AND PRESERVATION OF UNOCCUPIED BUILDINGS AND GROUNDS, INCLUDING OFFICE DEVELOPMENT, ACQUISITION, RENOVATIONS FOR ADDITIONAL PARKING AND SECURITY IMPROVEMENTS

Requested: Use of Previously Allocated Funds Available \$155,000
FROM: Sec. 2(g)(1) Acct. No. 17071-DPW27000-43327
Project No. BI-2B-9000

REASON FOR REQUEST:

These funds are requested to finance the design of various improvements to state-owned facilities as detailed below.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Design Elevator Upgrade/Replacement - 24 Wolcott Hill Road - Wethersfield	\$ 70,000
Design Roof Replacement - 11 Shuttle Road - Farmington	<u>85,000</u>
Total, This Request	<u>\$155,000</u>

PUBLIC ACT #7, 2007
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 1-7

ITEM NO. 10

CONNECTICUT AGRICULTURAL EXPERIMENT STATION

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO FACILITIES, INCLUDING NEW
 CONSTRUCTION AT GRISWOLD

Requested: An Allocation and Bond Authorization \$500,000

FROM: Sec. 2(n)(2) Acct. No. 17071-AES48000-43351
 Project No. BI-P-87

Total Earmarking	\$500,000
Previous Allocations	-0-
Balance Unallocated	<u>\$500,000</u>

REASON FOR REQUEST:

These funds are requested to design and construct a 3,000 square foot building for mosquito, bird and plant research at the Connecticut Agricultural Experiment Station's Griswold Research Center.

This project will create or retain approximately 8 construction related jobs.

Funds are requested as follows:

	<u>Previous Funding</u>	<u>This Request</u>
Construction (EST)	\$375,000	\$375,000
Contingency	35,000	35,000
Architect/Engineer's Fee	46,000	46,000
Survey and Borings	43,500	4,000
Testing and Inspections	5,000	5,000
Equipment	10,000	10,000
Telecommunications	5,000	5,000
DPW Fee	<u>20,000</u>	<u>20,000</u>
Total	<u>\$39,500</u>	<u>\$500,000</u>

Financing:

P.A. 07-7, Sec. 2(n)(2), This Request	\$500,000
Agency Funds, Previous Funding	<u>39,500</u>
Total	<u>\$539,500</u>

PUBLIC ACT #7, 2007
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 1-7

ITEM NO. 11

JUDICIAL DEPARTMENT

SECURITY IMPROVEMENTS AT VARIOUS STATE-OWNED AND MAINTAINED FACILITIES

Requested: An Allocation and Bond Authorization \$1,000,000

FROM: Sec. 2(w)(2) Acct. No. 17071-JUD95000-43391
Project No. BI-JD-1000

Total Earmarking	\$1,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$1,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance security improvements at various judicial facilities as detailed below.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Upgrade/Install Weapons Detection Systems	\$ 100,000
Upgrade/Install Video Systems	450,000
Upgrade/Install Duress Alarm Systems	50,000
Upgrade/Install Access Control Systems	50,000
Emergency/Minor Security Repairs and Upgrades-Statewide	<u>350,000</u>
Total, This Request	<u>\$1,000,000</u>

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 20-26

ITEM NO. 12

**DEPARTMENT OF PUBLIC SAFETY
(EMERGENCY SERVICES AND PUBLIC PROTECTION)**

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING
UTILITIES, MECHANICAL SYSTEMS AND ENERGY CONSERVATION

REQUESTED: An Allocation and Bond Authorization \$385,000

FROM: Sec. 21(f)(2) Acct. No. 17081-DPS32000-43332
Project No. BI-N-1000

Total Earmarking	\$1,500,000
Previous Allocations	<u>1,115,000</u>
Balance Unallocated	<u>\$ 385,000</u>

REASON FOR REQUEST:

These funds are requested to finance emergency and minor capital projects at public safety facilities statewide.

Funds are requested as follows:

Total, This Request \$385,000

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 20-26

ITEM NO. 13

DEPARTMENT OF EDUCATION

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING NEW AND REPLACEMENT EQUIPMENT, TOOLS AND SUPPLIES NECESSARY TO UPDATE CURRICULA, VEHICLES AND TECHNOLOGY UPGRADES AT ALL CONNECTICUT TECHNICAL HIGH SCHOOLS

REQUESTED: An Allocation and Bond Authorization \$1,600,000

FROM: Sec. 21(l) Acct. No. 17081-SDE64000-43357

Total Earmarking	\$8,000,000
Previous Allocations	<u>4,143,470</u>
Balance Unallocated	<u>\$3,856,530</u>

REASON FOR REQUEST:

These funds are requested to finance the purchase of 1,200 replacement classroom computers, 34 replacement network switches, 102 network printers and 97 interactive white boards for the Connecticut Technical High School System.

Funds are requested as follows:

Total, This Request	<u>\$1,600,000</u>
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PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 20-26

ITEM NO. 14

DEPARTMENT OF CHILDREN AND FAMILIES

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS

Requested: An Allocation and Bond Authorization \$425,000

FROM: Sec. 21(o)(1) Acct. No. 17081-DCF91000-43386
Project No. BI-YS-1000

Total Earmarking	\$2,415,000
Previous Allocations	<u>1,099,430</u>
Balance Unallocated	<u>\$1,315,570</u>

REASON FOR REQUEST:

These funds are requested to finance replacement of the roof on Building 8 at Riverview Hospital in Middletown.

This project will create or retain approximately 8 construction related jobs.

Funds are requested as follows:

Total, This Request \$425,000

PUBLIC ACT #242, 2007
AS AMENDED
SECTION 108

ITEM NO. 15

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

FOR THE PURPOSE OF FUNDING ANY ENERGY SERVICES PROJECT THAT RESULTS IN INCREASED EFFICIENCY MEASURES IN STATE BUILDINGS PURSUANT TO SECTION 16a-38I, OR FOR ANY RENEWABLE ENERGY OR COMBINED HEAT AND POWER PROJECT IN STATE BUILDINGS

REQUESTED: An Allocation and Bond Authorization \$15,000,000

FROM: Sec. 108 Acct. No. 17121-DEP43000-43120

Total Earmarking	\$20,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$20,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance projects to increase energy efficiency in state facilities. It is anticipated these projects will result in significant energy savings of approximately \$3 million in annual operating costs. Sixty-seven applications have been received by the Department of Energy and Environmental Protection to date.

The release of these funds will be controlled through the allotment process pending project definition.

Funds are requested as follows:

Total, This Request \$15,000,000

PUBLIC ACT #242, 2007
AS AMENDED
SECTION 108

ITEM NO. 16

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

FOR THE PURPOSE OF FUNDING ANY ENERGY SERVICES PROJECT THAT RESULTS IN INCREASED EFFICIENCY MEASURES IN STATE BUILDINGS PURSUANT TO SECTION 16a-38I, OR FOR ANY RENEWABLE ENERGY OR COMBINED HEAT AND POWER PROJECT IN STATE BUILDINGS

Requested:	An Allocation and Bond Authorization	\$898,800
FROM:	Sec. 108	Acct. No. 17121-DEP43000-43120
	Total Earmarking	\$20,000,000
	Previous Allocations	-0-
	Balance Unallocated	<u>\$20,000,000</u>

REASON FOR REQUEST:

These funds are requested, along those with Item 1, to finance the design of energy improvements and repairs at the State Office building in Hartford.

This project consists of repairs to the building envelope including window replacement, re-pointing, and HVAC system installation.

Financing:

P.A. 11-57, Sec. 2(e)(2), Item 1	\$1,500,000
P.A. 07-242, Sec. 108, This Request	<u>898,800</u>
Total	<u>\$2,398,800</u>

SPECIAL ACT #1, 2005
MAY SPECIAL SESSION
AS AMENDED
SECTION 12-19

ITEM NO. 17

DEPARTMENT OF SOCIAL SERVICES

GRANTS-IN-AID FOR NEIGHBORHOOD FACILITIES , CHILD DAY CARE PROJECTS, ELDERLY CENTERS, MULTIPURPOSE HUMAN RESOURCE CENTERS, SHELTER FACILITIES FOR VICTIMS OF DOMESTIC VIOLENCE AND FOOD DISTRIBUTION FACILITIES

REQUESTED: An Allocation and Bond Authorization (to agency) \$345,000

FROM: Sec. 13(m)(1) Acct. No. 12052-DSS60000-42895

Total Earmarking	\$7,250,000
Previous Allocations	<u>6,550,274</u>
Balance Unallocated	<u>\$ 699,726</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the Human Resources Agency of New Britain, Inc. to finance renovations and improvements at the Ben Franklin Early Childcare Learning Academy at 180 Clinton Street.

This project consists of regrading, resurfacing and drainage improvements to the parking lot, a canopy for the pick up/drop off area and various HVAC and wiring improvements.

This project will create or retain approximately 5 construction related jobs.

Funds are requested as follows:

Grant-in-aid, This Request	<u>\$345,000</u>
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In accordance with Section 19 of S.A. 05-1, "...any grant-in-aid...which is made to any entity which is not a political subdivision of the state, the contract entered into pursuant to section 18 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent for each full year which has elapsed since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, except that if the premises for which such grant-in-aid was made are owned by the State, a municipality or a housing authority, no lien need be placed."

SPECIAL ACT #1, 2005
JUNE SPECIAL SESSION
AS AMENDED
SECTION 20-26

ITEM NO. 18

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

ALTERATIONS, RENOVATIONS AND NEW CONSTRUCTION AT STATE PARKS AND OTHER RECREATION FACILITIES, INCLUDING AMERICANS WITH DISABILITIES ACT IMPROVEMENTS, PROVIDED \$2,500,000 SHALL BE MADE AVAILABLE FOR SILVER SANDS STATE PARK IN MILFORD

REQUESTED: An Allocation and Bond Authorization \$60,000

FROM: Sec. 21 (g)(2) Acct. No. 17061-DEP43000-42778

Total Earmarking	\$15,000,000
Previous Allocations	<u>7,675,000</u>
Balance Unallocated	<u>\$ 7,325,000</u>

REASON FOR REQUEST:

These funds are requested to finance improvements to Housatonic Meadows Campground toilet buildings in Burlington.

Funds are requested as follows:

Total, This Request \$60,000

SPECIAL ACT #1, 2005
JUNE SPECIAL SESSION
AS AMENDED
SECTION 20-26

ITEM NO. 19

DEPARTMENT OF ENVIRONMENTAL PROTECTION

VARIOUS FLOOD CONTROL IMPROVEMENTS, FLOOD REPAIR, EROSION DAMAGE REPAIRS AND MUNICIPAL DAM REPAIR, PROVIDED \$ 2,000,000 SHALL BE MADE AVAILABLE FOR DESIGN AND CONSTRUCTION OF THE MERIDEN FLOOD CONTROL PROJECT

REQUESTED: An Allocation and Bond Authorization (to agency) \$1,100,000

FROM: Sec. 21(g)(4) Acct. No. 17061-DEP43000-40657

Total Earmarking	\$3,000,000
Previous Allocations	<u>1,000,000</u>
Balance Unallocated	<u>\$2,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance the next phase of the Harbor Brook Flood Control Project in Meriden.

This project consists of construction of an auxiliary culvert at the Columbus Avenue Bridge and construction of the adjacent portion of the Meriden Linear Trail.

This project will create or retain approximately 20 construction related jobs.

Financing:

Total, This Request \$1,100,000

SPECIAL ACT #2, 2001
JUNE SPECIAL SESSION
AS AMENDED
SECTION 1-7

ITEM NO. 20

DEPARTMENT OF PUBLIC HEALTH

DEVELOPMENT OF A NEW PUBLIC HEALTH LABORATORY, OR FOR A LABORATORY ADDITION FOR THE DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION IN WINDSOR

Requested: An Allocation and Bond Authorization \$4,000,000

FROM: Sec. 2(e) Acct. No. 17011-DPH48500-40634
Project No. BI-2B-256

Total Earmarking	\$4,369,750
Previous Allocations	<u>369,750</u>
Balance Unallocated	<u>\$4,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 8, to award a construction contract, based on bids received June 15, 2011, for a 7,500 square foot addition and related renovations to the Department of Energy and Environmental Protection (DEEP) facility at 9 Windsor Avenue in Windsor.

This project consists of a new addition and minor renovations to provide for relocation of the DEEP laboratories from the existing Public Health Laboratory in Hartford.

Financing:

P.A. 07-7, Sec. 2(g)(1), Item No. 8	\$1,351,350
S.A. 02-1, Sec. 17(c)(1), Previous Funding	409,375
S.A. 01-2, Sec. 2(e), This Request	<u>4,000,000</u>
Total	<u>\$5,760,725</u>

PUBLIC ACT #242, 1999
AS AMENDED
SECTION 1-7

ITEM NO. 21

JUDICIAL DEPARTMENT

DEVELOPMENT IN TORRINGTON AND RENOVATION IN LITCHFIELD OF COURTHOUSE FACILITY, INCLUDING LAND ACQUISITION AND PARKING, PROVIDED NOT MORE THAN \$5,000,000 SHALL BE ALLOCATED TO RENOVATIONS AND IMPROVEMENTS TO THE LITCHFIELD COURTHOUSE

Requested: An Allocation and Bond Authorization \$250,000

FROM: Sec. 2(o)(4) Acct. No. 17991-JUD95000-42291
Project No. BI-JD-239

Total Earmarking	\$39,500,000
Previous Allocations	<u>603,700</u>
Balance Unallocated	<u>\$38,896,300</u>

REASON FOR REQUEST:

These funds are requested to repower the existing office building at Field Street for the development of a new courthouse in Torrington.

This project will create or retain approximately 5 construction related jobs.

Funds are requested as follows:

		<u>Previous Funding</u>	<u>This Request</u>
Construction (EST)	\$52,270,000		\$250,000
Contingency	582,700		
Acquisition	2,953,700	\$2,953,700	
Studies	350,000	350,000	
Hazardous Materials	200,000		
Architect/Engineer's Fee	1,100,000	250,000	
3 rd Party Structural Review	200,000		
Testing and Inspections	350,000		
Equipment	3,200,000		
Telecommunications	1,200,000		
Building Commissioning	800,000		
Art	440,000		
DPW Fee	<u>1,400,000</u>	<u>325,000</u>	
Total	<u>\$65,046,400</u>	<u>\$3,878,700</u>	<u>\$250,000</u>

Financing:

P.A. 99-242, Sec. 2(o)(4), Previous Funding	603,700	
This Request	<u>250,000</u>	\$ 853,700
S.A. 95-20, Sec. 22(r)(2), Previous Funding		<u>3,878,700</u>
Total		<u>\$4,732,400</u>

PUBLIC ACT #607, 1979
AS AMENDED
SECTION 21

ITEM NO. 22

OFFICE OF POLICY AND MANAGEMENT

GRANTS-IN-AID FOR URBAN DEVELOPMENT PROJECTS INCLUDING ECONOMIC AND COMMUNITY DEVELOPMENT, TRANSPORTATION, ENVIRONMENTAL PROTECTION, PUBLIC SAFETY, CHILDREN AND FAMILIES AND SOCIAL SERVICE PROJECTS INCLUDING, IN THE CASE OF ECONOMIC AND COMMUNITY DEVELOPMENT PROJECTS ADMINISTERED ON BEHALF OF THE OFFICE OF POLICY AND MAANGEMENT BY THE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT, ADMINISTRATIVE COSTS INCURRED BY THE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

REQUESTED: An Allocation and Bond Authorization (to Agency) \$1,500,000

FROM: Sec. 21(b)(6)(B) Acct. No. 13019-ECD46000-41240
13019-DSS60000-41242

Total Earmarking	\$1,094,800,000
Previous Allocations	<u>1,018,439,872</u>
Balance Unallocated	\$ 76,360,128

REASON FOR REQUEST:

I. Department of Economic and Community Development

- A. These funds are requested to provide a grant-in-aid to the Town of Groton to finance the final design for reconstruction of Thames Street. The street is a major gateway to the city and serves as a primary route to the facilities of major regional employers.

Funds are Requested as Follows:

Total Estimated Cost	\$6,875,124	
Less: Local Funds	<u>6,375,124</u>	
Total, This Request		\$500,000

- B. These funds are requested to provide a supplemental grant-in-aid to Growing Possibilities, Inc. which operates Roses for Autism in Guilford.

These funds will be used to finance additional eligible capital expenses for expansion of program activities.

Funds are Requested as Follows:

Total Estimated Cost	\$1,500,000	
Less: Previous State Funding	500,000	
Recipient Funds	<u>750,000</u>	
Total, This Request		\$250,000

ITEM 22 CONT.

II. Department of Social Services

- A. These funds are requested to provide a grant-in-aid to Ability Beyond Disability to assist with construction of a replacement for its Lanesville residential facility in New Milford.

This facility will provide a model, fully accessible home for individuals with medical needs and/or behavioral challenges.

This project will create or retain approximately 20 construction related jobs.

Funds are Requested as Follows:

Total Estimated Cost	\$1,250,000	
Less: Loan Financing	<u>1,000,000</u>	
Total, This Request		\$250,000

- B. These funds are requested to provide a grant-in-aid to Ability Beyond Disability to assist in financing a Human Resource Information System and an Electronic Client Record System to enhance efficiencies and reduce operational costs.

Funds are Requested as Follows:

Total Estimated Cost	\$1,081,000	
Less: Recipient Funds	<u>581,000</u>	
Total, This Request		\$500,000
Grand Total, This Request		<u>\$1,500,000</u>

STATE TREASURER

ISSUANCE AND SALE OF SPECIAL TAX OBLIGATION BONDS AND SPECIAL TAX OBLIGATION REFUNDING BONDS

REQUESTED: Authorization to issue and sell (i) Special Tax Obligation Bonds, Transportation Infrastructure Purposes in an amount not to exceed \$550,000,000; and (ii) Special Tax Obligation Refunding Bonds, Transportation Infrastructure Purposes in an amount not to exceed \$300,000,000.

SUGGESTED RESOLUTION:

That the State Bond Commission approve a resolution titled "Resolution of the State Bond Commission Authorizing the Issuance and Sale of Not Exceeding \$550,000,000 Special Tax Obligation Bonds of the State and the Issuance and Sale of Not Exceeding \$300,000,000 Special Tax Obligation Refunding Bonds of the State Pursuant to Chapter 243 of the Connecticut General Statutes": (i) authorizing the issuance and sale of Special Tax Obligation Bonds, Transportation Infrastructure Purposes in an amount not to exceed \$550,000,000 (the "New Money Bonds") and Special Tax Obligation Refunding Bonds, Transportation Infrastructure Purposes in an amount not to exceed \$300,000,000 (the "Refunding Bonds"); (ii) with respect to the Refunding Bonds, authorizing the refunding at the earliest call date of certain maturities of certain outstanding issues of Special Tax Obligation Bonds and Special Tax Obligation Refunding Bonds to be determined by the Treasurer; (iii) authorizing the Governor, Treasurer, Comptroller, Secretary of the State, Attorney General, Secretary of the Office of Policy and Management and the Commissioner of the Connecticut Department of Transportation, or their duly authorized representatives, on behalf of the State, to take any and all action necessary and proper in connection with the sale, issuance and delivery of the New Money Bonds and the Refunding Bonds (collectively the "Bonds"), including, but not limited to, the execution and delivery of amendments and supplements to the Indentures, contracts of purchase, tax regulatory agreements, continuing disclosure agreements, escrow agreements, financial advisory agreements, reimbursement agreements, remarketing agreements, standby bond purchase agreements, and any other necessary or appropriate agreements in connection with obtaining any liquidity facility or credit facility with respect to such Bonds, any contracts deemed necessary or appropriate by the Treasurer to place the obligation of the State, as represented by the Bonds, on such interest rate or cash flow basis as the Treasurer shall determine, as provided in Section 3-20a (c) of the Connecticut General Statutes, and Preliminary Official Statements and Official Statements; (iv) authorizing that a portion of the proceeds of the Bonds be deposited in the Reserve Account in an amount and manner required by the Indentures and, to the extent deemed necessary by the Treasurer, that accrued interest on the Bonds be used to pay costs of issuance; and (v) delegating to the Treasurer the authority to determine the form, date or dates, maturities (including the amortization requirements of any and all term bonds), date and manner of sale or sales (including public sale on sealed proposals or by negotiated underwriting), the uses of the proceeds of the Bonds in accordance with Chapter 243 of the Connecticut General Statutes and the Indentures, interest rate or rates of the Bonds and all other terms and particulars of the Bonds, including the issuance of the Bonds as senior bonds or second lien bonds bearing fixed rate or variable rates of interest and the issuance of the Bonds as tax-exempt or taxable bonds for Federal income tax purposes, including, without limitation, bonds issued pursuant to Section 54AA of the Internal Revenue Code of 1986, as amended, and issued with or without concomitant interest rate swaps or any other contracts authorized under Section 3-20a (c) of the Connecticut General Statutes, in such denominations and bearing such designation, registration, conversion, redemption and transfer privileges as the Treasurer shall determine to be in the best interests of the State, provided the Treasurer shall file a certificate of determination with the Secretary of the State Bond Commission on or before the date of delivery of the Bonds setting forth the details and particulars of the Bonds determined by the Treasurer in accordance with this delegation.

ITEM NO. 24

STATE TREASURER/OFFICE OF POLICY AND MANAGEMENT

REQUESTED: Approval of Requests and Certificates and Resolutions and the Filing of the State Treasurer's Certificate as to State Indebtedness and the Declaration of Official Intent Pursuant to Federal Income Tax Regulations

SUGGESTED MOTION:

RESOLVED, (1) that the requests and certificates and the appropriate resolutions to effect the bond authorizations and bond sale approved today are hereby approved and adopted; (2) that the resolutions and the State Treasurer's certificate as to state indebtedness made in accordance with section 3-21 of the general statutes, as amended, be made a part of the record of today's meeting; (3) that the State hereby declares the official intent of the State required pursuant to Section 1.150-2 of the Federal Income Tax Regulations, Title 26, and, if applicable, pursuant to Section 54A(d) of the Internal Revenue Code of 1986, as amended, with respect to each of the agenda items approved today, to the effect that the State reasonably expects to reimburse from the proceeds of borrowings, including qualified tax credit bonds, any and all expenditures paid from the bond fund accounts designated and functionally described in each such agenda item or bond authorization resolution, in an amount anticipated not to exceed the amount of the authorization contained in such item or bond authorization resolution, and that the Secretary of the Office of Policy and Management and the Treasurer are authorized to amend this declaration of official intent on behalf of the State for any such item; and (4) that the State Treasurer is authorized to make representations and enter into agreements to provide secondary market disclosure information with respect to borrowings by State authorities or other entities, or by the State itself, in connection with which the State may be an obligated party under Rule 15c2-12 of the Securities and Exchange Commission.