

1. DATE ISSUED MM/DD/YYYY | 2. CFDA NO. | 3. ASSISTANCE TYPE  
 08/01/2018 | 93.624 | Cooperative Agreement

Department of Health and Human Services  
 Centers for Medicare & Medicaid Services  
 Office of Acquisitions and Grants Management

7500 Security Boulevard  
 Baltimore, MD 21244

**NOTICE OF AWARD**

AUTHORIZATION (Legislation/Regulations)  
 SEC 4360 OBRA of 1990

1a. SUPERSEDES AWARD NOTICE dated 07/25/2018  
 except that any additions or restrictions previously imposed remain  
 in effect unless specifically rescinded

4. GRANT NO. | 5. ACTION TYPE  
 1G1CMS331630-01-05 | Post Award  
 Formerly 1G1CMS331404 | Amendment

6. PROJECT PERIOD MM/DD/YYYY | MM/DD/YYYY  
 From 06/01/2018 | Through 01/31/2019

7. BUDGET PERIOD MM/DD/YYYY | MM/DD/YYYY  
 From 06/01/2018 | Through 01/31/2019

8. TITLE OF PROJECT (OR PROGRAM)  
 State Innovation Models: Round Two of Funding for Design and Test Assistance

9a. GRANTEE NAME AND ADDRESS  
 Office of Health Strategy  
 410 Capitol Ave  
 Hartford, CT 06106-1367

9b. GRANTEE PROJECT DIRECTOR  
 Mark Schaefer  
 410 Capitol Avenue  
 PO Box 340308  
 Hartford, CT 06106  
 Phone: 860-331-2461

10a. GRANTEE AUTHORIZING OFFICIAL  
 Ms. Victoria Veltri  
 450 Capitol Ave  
 Hartford, CT 06106-1365  
 Phone: 860-524-7386

10b. FEDERAL PROJECT OFFICER  
 Christina Crider  
 7500 Security Boulevard  
 Baltimore, MD 21244  
 Phone: 4107863900

**ALL AMOUNTS ARE SHOWN IN USD**

11. APPROVED BUDGET (Excludes Direct Assistance)	
I Financial Assistance from the Federal Awarding Agency Only	
II Total project costs including grant funds and all other financial participation <span style="float: right;">II</span>	
a. Salaries and Wages .....	798,841.00
b. Fringe Benefits .....	609,365.00
c. Total Personnel Costs .....	1,408,206.00
d. Equipment .....	0.00
e. Supplies .....	103,718.00
f. Travel .....	24,937.00
g. Construction .....	0.00
h. Other .....	1,454.00
i. Contractual .....	20,168,574.46
j. TOTAL DIRECT COSTS →	21,706,889.46
k. INDIRECT COSTS	0.00
<b>l. TOTAL APPROVED BUDGET</b>	<b>21,706,889.46</b>
m. Federal Share	21,706,889.46
n. Non-Federal Share	0.00

12. AWARD COMPUTATION	
a. Amount of Federal Financial Assistance (from item 11m)	21,706,889.46
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	21,706,889.46
<b>d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION</b>	<b>0.00</b>
<b>13. Total Federal Funds Awarded to Date for Project Period</b>	<b>21,706,889.46</b>

14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:	
a. DEDUCTION	<b>b</b>
b. ADDITIONAL COSTS	
c. MATCHING	
d. OTHER RESEARCH (Add / Deduct Option)	
e. OTHER (See REMARKS)	

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation  
 b. The grant program regulations.  
 c. This award notice including terms and conditions, if any, noted below under REMARKS.  
 d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached -  Yes  No)

This Notice of Award approves funds in the amount of \$2,862,122.29 for the contract with the University of Connecticut, per the amendment submitted July 19, 2018. Restriction: The recipient shall not drawdown funds in the amount of \$4,377.93 for costs local travel to conferences, events and meetings. The money will remain restricted until prior approval is granted by CMS.

GRANTS MANAGEMENT OFFICIAL: Michelle Feagins, Grants Management Officer

17. OBJ CLASS	18a. VENDOR CODE	18b. EIN	19. DUNS	20. CONG. DIST.
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 8-5990300	b. 1G1331630A	c. SIM	d. \$0.00	e. 75X0522
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.