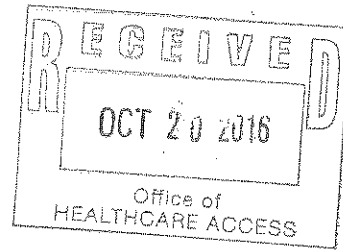




**SHIPMAN & GOODWIN** LLP®  
COUNSELORS AT LAW

32131

Joan W. Feldman  
Phone: (860) 251-5104  
Fax: (860) 251-5211  
jfeldman@goodwin.com



October 20, 2016

Kimberly Martone  
Director of Operations  
State of Connecticut Office of Health Care Access  
410 Capitol Avenue, MS #13HCA  
P.O. Box 340308  
Hartford, CT 06106

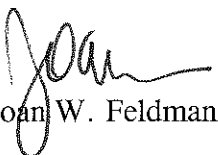
Re: CHR's Purchase of New Directions' Assets

Dear Kim:

Enclosed you will find the Determination Request on behalf of the two petitioners identified therein.

If you have any questions, please feel free to give me a call. I look forward to hearing from you soon.

Sincerely,

  
Joan W. Feldman

JWF:mg  
Enclosure  
7193v11



**State of Connecticut  
Office of Health Care Access  
CON Determination Form  
Form 2020**

All persons who are requesting a determination from OHCA as to whether a CON is required for their proposed project must complete this Form 2020. The completed form should be submitted to the Director of the Office of Health Care Access, 410 Capitol Avenue, MS#13HCA, P.O. Box 340308, Hartford, Connecticut 06134-0308.

**SECTION I. PETITIONER INFORMATION**

If this proposal has more than two Petitioners, please attach a separate sheet, supplying the same information for each Petitioner in the format presented in the following table.

|  | Petitioner                           | Petitioner                                  |
|--|--------------------------------------|---|
| Full Legal Name  | Community Health Resources, Inc      | New Directions, Inc. of North Central Conn. |
| Doing Business As  | CHR                                  | New Directions                              |
| Name of Parent Corporation   | CHR Capital, Inc.                    |   |
| Petitioner's Mailing Address, if Post Office (PO) Box, include a street mailing address for Certified Mail   | 995 Day Hill Road, Windsor, CT 06095 | 113 Elm Street Suite 204 Enfield, CT 06082  |
| What is the Petitioner's Status:<br>P for profit and<br>NP for Nonprofit   | Nonprofit                            | Nonprofit                                   |
| Contact Person at Facility, including Title/Position:<br>This Individual at the facility will be the Petitioner's Designee to receive all correspondence in this matter. | Heather Gates, President/CEO         | Christopher J. Leary, LADC, LPC             |

|  |  |  |
|--|--|--|
| Contact Person's Mailing Address, if PO Box, include a street mailing address for Certified Mail | 995 Day Hill Road, Windsor, CT 06095                           | 113 Elm Street Suite 204 Enfield, CT 06082                                 |
| Contact Person's Telephone Number  | 860-697-3364   | 860-741-3001   |
| Contact Person's Fax Number  | 860-731-5536   | 860-741-8332   |
| Contact Person's e-mail Address  | <a href="mailto:hqates@chrhealth.org">hqates@chrhealth.org</a> | <a href="mailto:cleary@newdirectionsct.org">cleary@newdirectionsct.org</a> |

## SECTION II. GENERAL PROPOSAL INFORMATION

- a. Proposal/Project Title: CHR's Purchase of New Direction's Assets
- b. Estimated Total Project Cost: \$0
- c. Location of proposal, identifying Street Address, Town and Zip Code: Services to continue at same New Direction's locations
- d. List each town this project is intended to serve:  
CHR to continue to serve the same towns currently served by New Directions in Enfield, CT
- e. Estimated starting date for the project: October 31, 2016

## SECTION IV. PROPOSAL DESCRIPTION

Please provide a description of the proposed project, highlighting each of its important aspects, on at least one, but not more than two separate 8.5" X 11" sheets of paper. At a minimum each of the following elements need to be addressed, if applicable:

1. If applicable, identify the types of services currently provided and provide a copy of each Department of Public Health license held by the Petitioner.

New Directions provides outpatient substance abuse and mental health treatment services to Enfield and surrounding towns located in North Central Region of Connecticut. New Directions is a nonprofit licensed outpatient facility providing drug and alcohol treatment, intervention, prevention, community education and referral services to youth and adults in North Central Connecticut. CHR is licensed in Connecticut and has contracts with the State of Connecticut Department of Mental Health and Addiction Services to provide the

services described above. CHR is also a Connecticut licensed provider of outpatient substance abuse and mental health treatment services in North Central and Eastern Connecticut and has contracts with the Connecticut Department of Mental Health and Addiction Services to provide substance abuse and mental health treatment to residents of Connecticut. New Directions is a small provider and has been experiencing significant financial operational challenges and would like CHR to assume its programs in a more cost-effective and efficient manner. CHR is committed to assuming New Directions' programs and expanding their service scope and reach.

2. Identify the types of services that are being proposed and what DPH licensure categories will be sought, if applicable.

There will be no interruption in services currently provided by New Directions in that CHR proposes to assume its programs. The asset transfer will be seamless for the New Directions patients.

3. Identify the current population served and the target population to be served. The current population served is in Enfield and its surrounding communities.



**SECTION V. AFFIDAVIT**

**(Each Petitioner must submit a completed Affidavit.)**

Petitioner: Community Health Resources, Inc.

f. Project Title: CHR's Purchase of New Direction's Assets

I, Heather Gates, CEO of Community Health Resources, Inc. being duly sworn, depose and state that the information provided in this CON Determination form is true and accurate to the best of my knowledge.

*Heather M. Gates*

*10-20-16*

Signature

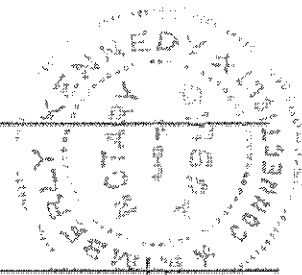
Date

Subscribed and sworn to before me on

*September 20, 2016*

*Kimberly Kennedy*

Notary Public/Commissioner of Superior Court



My commission expires:

*3/31/2017*

## Olejarz, Barbara

---

**From:** Hansted, Kevin  
**Sent:** Friday, October 21, 2016 11:53 AM  
**To:** Olejarz, Barbara  
**Subject:** FW: OHCA Determination Report # 16-32131-DTR  
**Attachments:** New Directions Licenses.pdf

Please add to the record.

Kevin T. Hansted  
Staff Attorney  
Office of Health Care Access  
Connecticut Department of Public Health  
410 Capitol Avenue  
Hartford, CT 06134  
Phone: 860-418-7044  
[kevin.hansted@ct.gov](mailto:kevin.hansted@ct.gov)



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**From:** Jaquel Patterson [mailto:JPatterson@CHRHEALTH.ORG]  
**Sent:** Friday, October 21, 2016 11:52 AM  
**To:** Hansted, Kevin <Kevin.Hansted@ct.gov>; Kim Kennedy <kkennedy@chrhealth.org>  
**Cc:** Heather Gates <Hgates@CHRHEALTH.ORG>  
**Subject:** RE: OHCA Determination Report # 16-32131-DTR

Hello,

Please see attached licenses from New Directions. Thank you.

Best,  
Jaquel



**Jaquel Patterson, ND, MBA**  
Vice President of Operations, Compliance Officer  
T: (860) 697-3350 • C: 860.214.8215 • F: (860) 731-5537  
995 Day Hill Road • Windsor • CT • 06095  
[www.chrhealth.org](http://www.chrhealth.org)



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**From:** Hansted, Kevin [<mailto:Kevin.Hansted@ct.gov>]  
**Sent:** Friday, October 21, 2016 11:35 AM  
**To:** Kim Kennedy; Jaquel Patterson  
**Cc:** Heather Gates  
**Subject:** RE: OHCA Determination Report # 16-32131-DTR

Thank you. This is all I need for CHR.

Kevin T. Hansted  
 Staff Attorney  
 Office of Health Care Access  
 Connecticut Department of Public Health  
 410 Capitol Avenue  
 Hartford, CT 06134  
 Phone: 860-418-7044  
[kevin.hansted@ct.gov](mailto:kevin.hansted@ct.gov)



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**From:** Kim Kennedy [<mailto:kkennedy@chrhealth.org>]  
**Sent:** Friday, October 21, 2016 11:31 AM  
**To:** Hansted, Kevin <[Kevin.Hansted@ct.gov](mailto:Kevin.Hansted@ct.gov)>; Jaquel Patterson <[JPatterson@CHRHEALTH.ORG](mailto:JPatterson@CHRHEALTH.ORG)>  
**Cc:** Heather Gates <[Hgates@CHRHEALTH.ORG](mailto:Hgates@CHRHEALTH.ORG)>  
**Subject:** RE: OHCA Determination Report # 16-32131-DTR

Hi Mr. Hansted,

Our licenses are site specific so I have attached our Enfield licenses. Please let me know if you need to see them for any of our other locations.

Thank you,  
 Kim



**Kim Kennedy**  
**Administrative Services Manager**  
**Admin & Finance**  
**T: (860) 697-3364 • F: (860) 731-5536**



995 Day Hill Road • Windsor • CT • 06095  
[www.chrhealth.org](http://www.chrhealth.org)



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---

**From:** Hansted, Kevin [<mailto:Kevin.Hansted@ct.gov>]  
**Sent:** Friday, October 21, 2016 11:24 AM  
**To:** Jaquel Patterson  
**Cc:** Kim Kennedy; Heather Gates  
**Subject:** RE: OHCA Determination Report # 16-32131-DTR

Good morning Jaquel,

The determination form that was filed represents that "CHR is also a Connecticut licensed provider of outpatient substance abuse and mental health treatment services...". I need a copy of the license upon which that representation was made.

Kevin T. Hansted  
Staff Attorney  
Office of Health Care Access  
Connecticut Department of Public Health  
410 Capitol Avenue  
Hartford, CT 06134  
Phone: 860-418-7044  
[kevin.hansted@ct.gov](mailto:kevin.hansted@ct.gov)



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**From:** Jaquel Patterson [<mailto:JPatterson@CHRHEALTH.ORG>]  
**Sent:** Friday, October 21, 2016 11:19 AM  
**To:** Hansted, Kevin <[Kevin.Hansted@ct.gov](mailto:Kevin.Hansted@ct.gov)>  
**Cc:** Kim Kennedy <[kkennedy@chrhealth.org](mailto:kkennedy@chrhealth.org)>; Heather Gates <[Hgates@CHRHEALTH.ORG](mailto:Hgates@CHRHEALTH.ORG)>  
**Subject:** RE: OHCA Determination Report # 16-32131-DTR

Hello Kevin,

My CEO just passed along this email. I will request a copy of New Directions current licenses. CHR is in the process of obtaining a license with DPH for a 10/31/16 date and we do not currently have one. Is there any other information that we may provide? Thanks.

Jaquel



**Jaquel Patterson, ND, MBA**  
**Vice President of Operations, Compliance Officer**  
**T: (860) 697-3350 • C: 860.214.8215 • F: (860) 731-5537**  
**995 Day Hill Road • Windsor • CT • 06095**  
**[www.chrhealth.org](http://www.chrhealth.org)**



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---

**From:** Heather Gates  
**Sent:** Friday, October 21, 2016 11:13 AM  
**To:** Jaquel Patterson  
**Cc:** Kim Kennedy  
**Subject:** FW: OHCA Determination Report # 16-32131-DTR



**Heather Gates**  
**President & Chief Executive Officer**  
**T: (860) 697-3320 • F: (860) 731-5536**  
**995 Day Hill Road • Windsor • CT • 06095**  
**[www.chrhealth.org](http://www.chrhealth.org)**



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---

**From:** Hansted, Kevin [<mailto:Kevin.Hansted@ct.gov>]  
**Sent:** Friday, October 21, 2016 11:11 AM  
**To:** Heather Gates; 'cleary@newdirectionsct.org'  
**Subject:** OHCA Determination Report # 16-32131-DTR

Good morning,

I am in receipt of your determination request regarding the sale of New Direction's assets to CHR. Please provide a copy of the licenses for New Direction and CHR.

Thank you,

Kevin T. Hansted  
Staff Attorney  
Office of Health Care Access  
Connecticut Department of Public Health  
410 Capitol Avenue  
Hartford, CT 06134  
Phone: 860-418-7044  
[kevin.hansted@ct.gov](mailto:kevin.hansted@ct.gov)



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STATE OF CONNECTICUT  
Department of Public Health  
LICENSE

License No. 0314

**Psychiatric Outpatient Clinic for Adults**

In accordance with the provisions of the General Statutes of Connecticut Section 19a-493:

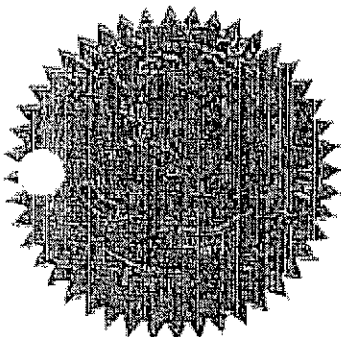
New Directions, Inc. of North Central Connecticut of Enfield, CT, d/b/a New Directions, Inc. of North Central Connecticut is hereby licensed to maintain and operate a Psychiatric Outpatient Clinic for Adults.

New Directions, Inc. of North Central Connecticut is located at 113 Elm St, Enfield, CT 06082 with:

Christopher J. Leary as Executive Director,  
Christopher J. Leary as Director.

This license expires December 31, 2016 and may be revoked for cause at any time.

Dated at Hartford, Connecticut, January 1, 2013. RENEWAL



*Jewel Mullen*

Jewel Mullen, MD, MPH, MPA  
Commissioner

**STATE OF CONNECTICUT**

**Department of Public Health**

**LICENSE**

**License No. 0270**

**Facility for the Care or Treatment of Substance Abusive  
or Dependent Persons**

In accordance with the provisions of the General Statutes of Connecticut Section 19a-493:

New Directions, Inc. of North Central Connecticut of Enfield, CT, d/b/a New Directions, Inc. of North Central Connecticut is hereby licensed to maintain and operate a private freestanding Facility for the Care or Treatment of Substance Abusive or Dependent Persons.

New Directions, Inc. of North Central Connecticut is located at 113 Elm St, Enfield, CT 06082 with:

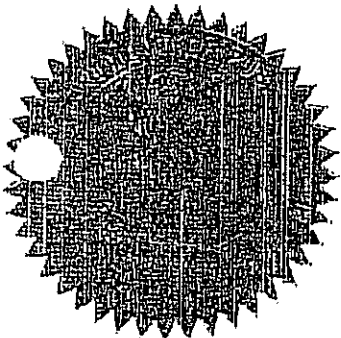
Christopher J. Leary as Executive Director.

The service classification(s) and if applicable, the residential capacities are as follows:

Outpatient

This license expires **December 31, 2016** and may be revoked for cause at any time.

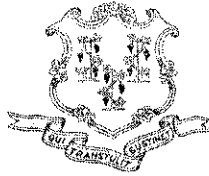
Dated at Hartford, Connecticut, January 1, 2015. **RENEWAL**



*Jewel Mullen*

Jewel Mullen, MD, MPH, MPA  
Commissioner

STATE OF CONNECTICUT  
DEPARTMENT OF PUBLIC HEALTH



Dannel P. Malloy  
Governor  
Nancy Wyman  
Lt. Governor

Raul Pino, M.D., M.P.H.  
Commissioner

Office of Health Care Access

October 21, 2016

VIA EMAIL ONLY

Heather Gates  
President/CEO  
CHR Capital, Inc.  
995 Day Hill Rd.  
Windsor, CT 06095

Christopher J. Leary, LADC, LPC  
New Directions, Inc. of North Central Conn.  
113 Elm Street  
Suite 204  
Enfield, CT 06082

RE: Certificate of Need Determination Report Number 16-32131-DTR  
Transfer of New Directions' Assets to CHR

Dear Ms. Gates and Mr. Leary:

On October 21, 2016, the Office of Health Care Access ("OHCA") received your Certificate of Need ("CON") Determination request on behalf of CHR Capital, Inc. ("CHR") and New Directions, Inc. of North Central Conn. ("New Directions") (collectively "Petitioners") with respect to the proposed purchase of New Directions' assets by CHR.

New Directions is a licensed non-profit provider of outpatient substance abuse and mental health treatment located in Enfield, Connecticut. CHR is also a licensed non-profit provider of outpatient substance abuse and mental health treatment services. Both New Directions and CHR have contracts to provide services to the State of Connecticut Department of Mental Health and Addiction Services. The Petitioners are proposing the purchase of New Directions' assets by CHR due to significant financial operational challenges experienced by New Directions.

Pursuant to Conn. Gen. Stat. § 19a-638(a)(2), a certificate of need is required for a "...transfer of ownership of a health care facility." However, Conn. Gen. Stat. § 19a-638(b)(14) provides an exception for "any nonprofit facility, institution or provider that has a contract with...a state agency..." Since the Petitioners are nonprofits that have contracts with a State agency, a **CON is not required** for the Petitioners' proposal.



Phone: (860) 418-7001 • Fax: (860) 418-7053  
410 Capitol Avenue, MS#13HCA  
Hartford, Connecticut 06134-0308  
[www.ct.gov/dph](http://www.ct.gov/dph)

*Affirmative Action/Equal Opportunity Employer*

Sincerely,

A handwritten signature in black ink, appearing to read 'Kim M', with a stylized flourish at the end.

Kimberly R. Martone  
Director of Operations

cc: Rose McLellan, License and Applications Supervisor, DPH, DHSR

## Olejarz, Barbara

---

**From:** Lazarus, Steven  
**Sent:** Friday, October 21, 2016 2:37 PM  
**To:** Feldman, Joan (JFeldman@goodwin.com)  
**Cc:** Martone, Kim; Greer, Leslie; Olejarz, Barbara; McLellan, Rose; Bauer, Sandra  
**Subject:** Emailing - 16-32131-DTR.pdf  
**Attachments:** 16-32131-DTR.pdf

Please see the CON Determination rendered under Docket Number: 16-32131-DTR for the transfer of New Direction to CHR Capital, Inc. If you have any questions regarding this correspondence, please don't hesitate to contact our office.

Sincerely,

Steven

### *Steven W. Lazarus*

Associate Health Care Analyst  
Division of Office of Health Care Access  
Connecticut Department of Public Health  
410 Capitol Avenue  
Hartford, CT 06134  
Phone: 860-418-7012  
Fax: 860-418-7053

