

STATE OF CONNECTICUT

OFFICE OF HEALTH CARE ACCESS

M. JODI RELL GOVERNOR CRISTINE A. VOGEL COMMISSIONER

June 3, 2009

Ms. Ellen M. Conroy, LCSW Director, Extended Day Treatment Program Klingberg Family Centers, Inc. 370 Linwood Street New Britain, CT 06052

RE: Certificate of Need Determination Report Number 09-31381-DTR
An increase in the capacity of the Extended Day Treatment program from 15 to 16 patients
Klingberg Family Centers, Inc.

Dear Ms. Conroy:

On May 27, 2009, the Office of Health Care Access ("OHCA") received your request for a Certificate of Need ("CON") Determination regarding a planned increase in the capacity of the existing licensed Extended Day Treatment program for Klingberg Family Centers, Inc. located at 370 Linwood Street in New Britain, Connecticut. Additional information was received on May 29, 2009 and June 3, 2009. OHCA has reviewed this matter and makes the following findings:

- 1. Klingberg Family Centers, Inc. ("Klingberg") is a non-profit legal entity and is a health care facility or institution, pursuant to Section 19a-630 of the Connecticut General Statutes.
- 2. Klingberg currently holds a license from the Department of Children and Families ("DCF") for an Extended Day Treatment ("EDT") program for fifteen (15) children at 370 Linwood Street in New Britain. Klingberg intends to seek a change to its DCF license to increase the maximum capacity of the existing EDT program by one, from fifteen to sixteen children.
- 3. Klingberg is a subsidiary of Klingberg Comprehensive Program Services, Inc. Other programs provided by Klingberg Family Centers, Inc., or its affiliated entities, are DCF licensed Residential Child Caring Agencies & Facilities (Group Homes), an Outpatient Psychiatric Clinic for Children and a Child Placement Agency.
- 4. Klingberg indicates the following regarding its existing Extended Day Treatment Program:
 - a) The EDT program provides treatment and support service for children and youth ages five to twelve with behavioral health needs who have returned from out-of-home care or are at risk of placement due to mental health issues or emotional disturbance.

Klingberg Family Centers, Inc. Report No.: 09-31381-DTR

- b) For an average period of up to six months, a comprehensive array of clinical services supplemented with psychosocial rehabilitation activities are provided to maintain the child in his or her home. The program provides an intensive clinical intervention and a structured therapeutic milieu. The EDT program includes the following clinical treatment and rehabilitative support services as needs dictate:
 - i. Intake, screening and referral;
 - ii. Psychosocial Assessment/Evaluation;
 - iii. Psychiatric services to include medication assessment, management and case consultation;
 - iv. Individual Therapy;
 - v. Group Therapy;
 - vi. Family Therapy;
 - vii. Multi-Family Groups;
 - viii. Milieu Therapy through Recreation and enrichment activities:
 - ix. Social Skills Development, Educational Activities and Academic Support;
 - x. Emergency on call services;
 - xi. Parent education and support;
 - xii. Case Management;
 - xiii. Transportation (as able); and
 - xiv. After-Care Planning and Follow-Up Services.
- c) The EDT program is offered during core after school and early evening hours when school is in session, and morning and early afternoon hours when school is not in session.
- 5. There is no anticipated capital expenditure related to these plans.
- 6. Section 17a-147(a) of the Connecticut General Statutes ("C.G.S.") states that extended day treatment "means a supplemental care community-based program providing a comprehensive multi-disciplinary approach to treatment and rehabilitation of emotionally disturbed, mentally ill, behaviorally disordered or multiply handicapped children and youths during the hours immediately before and after school while they reside with their parents or surrogate family." In addition, pursuant to DCF's Regulation Section 17a-147-2 (a), regarding the licensing of extended day treatment services, "When issuing a license, the department may impose restrictions on an organization, including but not limited to the number of children to be served and the type of children to be served."
- 7. Section 19a-638(a)(2) of the Connecticut General Statutes states that "Each health care facility or institution ... which intends to introduce any additional function or service into its program of health care shall submit to the office, prior to the proposed date of the institution of such function or service, a request for permission to undertake such function or service."

Based on the above findings, OHCA hereby finds that:

- Klingberg Family Centers, Inc., a health care facility or institution pursuant to Section 19a-630, C.G.S., is an existing provider of Extended Day Treatment services as licensed by the Department of Children and Families.
- The proposal of Klingberg Family Centers, Inc. to seek a change to its DCF licensure status to increase the capacity of its existing Extended Day Treatment program at 370 Linwood Street in New Britain, Connecticut does not represent the introduction of an additional function or service to Klingberg's existing program of health care

Therefore, Klingberg Family Centers, Inc. is not required to submit an application for Certificate of Need authorization to OHCA in order to proceed with the planned increase in the capacity of the existing Extended Day Treatment program.

If you have any questions related to this letter, please contact Karen Roberts, Compliance Officer at OHCA at (860) 418-7001.

Sincerely

Cristine A. Vogel Commissioner

CAV/kr

Copy: Sandra Bauer, Licensing Examination Assistant, DPH

Bert Plant, Director of Community Programs & Services, DCF