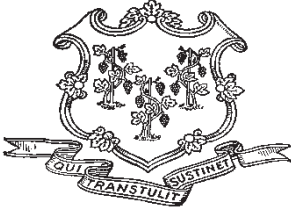


STATE OF CONNECTICUT
DEPARTMENT OF HOUSING



CONTRACT AMENDMENT

Contractor: Applied Behavioral Rehabilitation and Research, Inc.
Contractor Address: 655 Park Avenue, Bridgeport, CT 06604
Contract Number: 13DOH0801AF
Amendment Number: 4
Amount as Amended: \$1,343,941.00
Contract Term as Amended: 10/1/2010 - 6/30/2017

The contract between **Applied Behavioral Rehabilitation and Research, Inc.** (*the "Contractor"*) and the **Department Of Housing** (*the "Agency"*), which was last executed by the parties on effective date: 06/15/15, and previously amended on 05/28/14 and 09/03/13, is hereby amended as follows:

1. The contract is amended because the total maximum amount payable under this contract has decreased by **(\$11,576.00) from \$1,355,517.00 to \$1,343,941.00** due to a recession of 5.75% in SFY 2017 State funding.
2. Part I, Section E. 9 of Amendment #3 shall be deleted in its entirety.
3. Part I, Section(s) G.1.d. of Amendment #3 shall be deleted in its entirety.
4. Part I, Section(s) G. 1.g. of Amendment #3 shall be deleted and the following is substituted in lieu thereof:
 - g. Contractor shall use reasonable efforts to ensure that Clients complete a client satisfaction survey **[for each separate program]** provided by the Contractor. A summary of these surveys will be presented to the Board of Directors and approved in the Board minutes. These minutes will be reviewed during the Department's annual visit.
5. Part I, Section H.1. of Amendment #3, shall be deleted and the following is substituted in lieu thereof:
 1. **Payment Provisions.** The Agency agrees to pay for the services provided and as described under this contract up to a maximum amount not to exceed **\$1,343,941.00**, for the entire contract period.

6. The budget, Part I, Section H. 5.a. on page 10 of Amendment #3 is hereby deleted and the following is substituted in lieu thereof:

Effective Date: 8/12/2016

CONTRACT NUMBER: 13DOH0801AF -\$1,343,941.00

CONTRACT PERIOD: 10/01/2010 through 06/30/2017

ST FISCAL YR (SFY): 2017

PROVIDER: Applied Behavioral Rehabilitation Institute, Inc

Approved by: Berryja

4000 INCOME		TLP - State	
Program Funding Period:		<u>07/01/2016</u> through <u>06/30/2017</u>	Total Income
<u>4100</u>	<u>CONTRACT FUNDING</u>	\$ 189,750	\$ 189,750
4101	State Funds	\$ 189,750	\$ 189,750
	SID		
	16149-1200903		
<u>TOTAL INCOME</u>		<u>\$ 189,750</u>	<u>\$ 189,750</u>
5000 DIRECT EXPENSES		TLP - State	Total Expenses
<u>5100</u>	<u>SALARIES</u>	\$ 105,195	\$ 105,195
5101	Staff Salaries & Wages	\$ 105,195	\$ 105,195
<u>5200</u>	<u>FRINGE BENEFITS</u>	\$ 25,773	\$ 25,773
<u>5500</u>	<u>MATERIALS AND SUPPLIES</u>	\$ 12,772	\$ 12,772
5501	Food	\$ 10,150	\$ 10,150
5504	Other Mtrls and Sppls (specify in narrative)	\$ 2,622	\$ 2,622
<u>5600</u>	<u>FACILITIES</u>	\$ 14,475	\$ 14,475
5601	Rent and Real Estate Taxes	\$ -	\$ -
5602	Security	\$ 925	\$ 925
5603	Maintenance & Repair - Facility and Plant	\$ 1,800	\$ 1,800
5604	Utilities	\$ 10,500	\$ 10,500
5605	Other Facilities (specify in narrative)	\$ 1,250	\$ 1,250
<u>5800</u>	<u>OTHER EXPENSES</u>	\$ 6,900	\$ 6,900
5801	Communications	\$ 1,600	\$ 1,600
5802	Insurance	\$ 5,300	\$ 5,300
<u>TOTAL DIRECT EXPENSES</u>		<u>\$ 165,115</u>	<u>\$ 165,115</u>
7000 INDIRECT EXPENSES		TLP - State	Total Expenses
<u>7100</u>	<u>ADMINISTRATIVE & GENERAL</u>	\$ 24,635	\$ 24,635
7111	Staff Salaries & Wages	\$ 13,321	\$ 13,321
7120	Fringe Benefits	\$ 3,264	\$ 3,264
	All Other A&G	\$ 8,050	\$ 8,050
<u>TOTAL INDIRECT EXPENSES</u>		<u>\$ 24,635</u>	<u>\$ 24,635</u>
<u>TOTAL EXPENSES</u>		<u>\$ 189,750</u>	<u>\$ 189,750</u>
INCOME/EXPENSE SUMMARY		TLP - State	Total
TOTAL INCOME		\$ 189,750	\$ 189,750
TOTAL EXPENSES		\$ 189,750	\$ 189,750
<u>EXCESS/(SHORTAGE)</u>		<u>\$ -</u>	<u>\$ -</u>

7. Part I, Section(s) I. 2.f. of Amendment #3 is hereby deleted and the following is substituted in lieu thereof:
 - a. No budget revisions proposed by the Contractor may be submitted later than 45 calendar days **prior** to the end of each contract year during the contract period, except that the Department may entertain, at any time, a budget revision for the purpose of increasing funds solely for the audit of the Program. The final financial report will show all category overruns. Costs incurred after the end of the budget period will be disallowed except those which the Department has expressly approved in writing and in advance.

8. All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

SIGNATURES AND APPROVALS

BD0H0801AF A4

IN WITNESS WHEREOF, the parties have executed this Contract amendment by their duly authorized representatives with full knowledge of and agreement with its terms and conditions.

CONTRACTOR - APPLIED BEHAVIORAL REHABILITATION AND RESEARCH, INC.

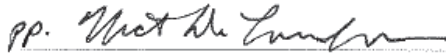


VINCE SANTILLI, *Chief Executive Officer*

12/22/16

Date

DEPARTMENT OF HOUSING



EVONNE M. KELIN, *Commissioner*

Nicholas D. Lundgren
Deputy Commissioner

12, 22, 16

Date

CONNECTICUT ATTORNEY GENERAL

Approved as to form:

Part I of this Contract having been reviewed and approved by the OAG, it is exempt from review pursuant a Memorandum of Agreement between the Agency and the OAG.