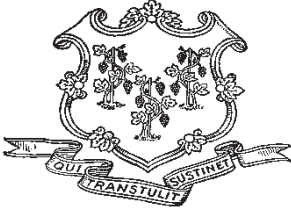


STATE OF CONNECTICUT  
DEPARTMENT OF HOUSING



CONTRACT AMENDMENT

Contractor: United Way of Southeastern CT  
Contractor Address: 283 Stoddards Wharf Road, Gales Ferry, CT 06335  
Contract Number: 15DOH0101DA  
Amendment Number: 1  
Amount as Amended: \$865,500.00  
Contract Term as Amended: 7/1/2015 - 6/30/2018

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The contract between **United Way of Southeastern CT** (*the "Contractor"*) and the **Department Of Housing** (*the "Agency"*), which was last executed by the parties on effective date: 06-25-16, is hereby amended as follows:

1. The contract is amended because the total maximum amount payable under this contract has decreased by **(\$34,500) from \$900,000.00 to \$865,500.00** due to a rescission of 5.75% in SFY 2017 State funding.
2. Part I, Section A.1.b. and c. of this contract shall be deleted and the following is substituted in lieu thereof
  - b. Component 2: Rapid Re-housing** - Housing placement and stabilization support services provides individual apartments with short or long term rapid rehousing rental assistance or without subsidy. Eligible Clients are individuals or families who are literally homeless. Rapid Re-housing is only considered rapid if the clients are housed within 45 days of intake.
    - i The Contractor shall provide housing search and placement for **94** (#) households (based on a monthly average occupancy rate).
    - ii The Contractor shall provide financial assistance for **94** (#) households (based on a monthly average occupancy rate).
      - a) Allowable financial assistance categories include security deposit, up to 12 months of rental assistance, moving expenses, up to 6 months of utility arrearages (the last 6 months of arrears) Eligible costs include utilities, rental application fees, security deposits, last month's rent, utility deposits and payments, moving costs, housing search and placement, housing stability case management, landlord-tenant mediation, tenant legal services, credit repair, car repairs if primary transportation to employment, motel stay if shelter is not available, costs associated with obtaining identification and bus passes if primary transportation to employment.
    - iii The Contractor shall provide housing inspections for **94** (#) households (based on a monthly average occupancy rate).
    - iv The Contractor shall provide stabilization case management services to **94** (#) eligible households (based on a monthly average occupancy rate).
      - a) Stabilization Case management - The Contractor shall provide housing stabilization case management services to all Clients

b) Accessible, flexible support services such as budgeting, vocational/educational counseling, increase in income which target Client stability; Frequency of case management will be dependent on the needs assessment and housing stabilization plan developed for each client.

v Annual Goals to be housed: 77(#) of individuals and/or 17(#) of families to be housed annually

c. **Component 3: Diversion from Shelter** - to help clients resolve potential shelter need through assistance other than emergency shelter.

i The Contractor shall provide diversion financial assistance for 74 (#) households (based on a monthly average occupancy rate).

a) Eligible costs include utilities, rental application fees, security deposits, last month's rent, utility deposits and payments, moving costs, housing search and placement, housing stability case management, landlord-tenant mediation, tenant legal services, credit repair, car repairs if primary transportation to employment, motel stay if shelter is not available, costs associated with obtaining identification and bus passes if primary transportation to employment.

ii. Annual Goals to be diverted: 13(#) of individuals and/or 61(#) of families to be diverted annually

3. Part I, Section E. 9 of this contract shall be deleted in its entirety.

4. Part I, Section(s) G.1.d. of this contract shall be deleted in its entirety.

5. Part I, Section(s) G. 1.g. of this contract shall be deleted and the following is substituted in lieu thereof:

g. Contractor shall use reasonable efforts to ensure that Clients complete a client satisfaction survey *[for each separate program]* provided by the Contractor. A summary of these surveys will be presented to the Board of Directors and approved in the Board minutes. These minutes will be reviewed during the Department's annual visit.

6. Part I, Section H.1. of this contract, shall be deleted and the following is substituted in lieu thereof:

1. **Payment Provisions.** The Agency agrees to pay for the services provided and as described under this contract up to a maximum amount not to exceed **\$865,500.00**, for the entire contract period.

7. The budget, Part I, Section H. 5.a. on page 11 of the contract is hereby deleted and the following is substituted in lieu thereof:

Effective Date: 8/12/2016  
 CONTRACT NUMBER: 15DOH0101DA A1 -\$865,500  
 CONTRACT PERIOD: 07/01/2015 through 06/30/2018  
 ST FISCAL YR (SFY): 2017  
 PROVIDER: United Way of Southeastern CT  
 Approved by: Berryja

4000 INCOME		ESS-STATE FUNDS	ESS SSBG- FEDERAL FUNDS	Total Income
Program Funding Period:		<u>07/01/2016</u> through <u>06/30/2017</u>	<u>07/01/2016</u> through <u>06/30/2017</u>	
		SFY16- \$300,000+SFY17- \$282,750+SFY18- \$282,750=\$865,500 TOTAL CONTRACT		
4100 CONTRACT FUNDING	SID	\$ 235,625	\$ 47,125	\$ 282,750
4101 State Funds	16149-1200907	\$ 235,625		\$ 235,625
4102 Federal/Other Funds	20710-2070100		\$ 47,125	\$ 47,125
<b>TOTAL INCOME</b>		<b>\$ 235,625</b>	<b>\$ 47,125</b>	<b>\$ 282,750</b>
5000 DIRECT EXPENSES		ESS-STATE FUNDS	ESS SSBG- FEDERAL FUNDS	Total Expenses
5100 SALARIES		\$ 24,648	\$ -	\$ 24,648
5101 Staff Salaries & Wages		\$ 24,648	\$ -	\$ 24,648
5200 FRINGE BENEFITS		\$ 5,628	\$ -	\$ 5,628
5300 CONTRACTUAL SERVICES		\$ 29,000	\$ -	\$ 29,000
5304 Other Contractual (specify in narrative)		\$ 29,000		\$ 29,000
5900 CLIENT SUBSIDIES		\$ 176,349	\$ 47,125	\$ 223,474
5901 Transportation		\$ 1,500		\$ 1,500
5904 Housing		\$ 168,849	\$ 47,125	\$ 215,974
5906 Other Client Subsidies (specify in narrative)		\$ 6,000		\$ 6,000
<b>TOTAL DIRECT EXPENSES</b>		<b>\$ 235,625</b>	<b>\$ 47,125</b>	<b>\$ 282,750</b>
7000 INDIRECT EXPENSES		ESS-STATE FUNDS	ESS SSBG- FEDERAL FUNDS	Total Expenses
<b>TOTAL INDIRECT EXPENSES</b>		\$ -	\$ -	\$ -
<b>TOTAL EXPENSES</b>		<b>\$ 235,625</b>	<b>\$ 47,125</b>	<b>\$ 282,750</b>
INCOME/EXPENSE SUMMARY		ESS-STATE FUNDS	ESS SSBG- FEDERAL FUNDS	Total
TOTAL INCOME		\$ 235,625	\$ 47,125	\$ 282,750
TOTAL EXPENSES		\$ 235,625	\$ 47,125	\$ 282,750
<b>EXCESS/(SHORTAGE)</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

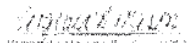
8. Part I, Section I. 2.f. of this contract is hereby deleted and the following is substituted in lieu thereof:
  - a. No budget revisions proposed by the Contractor may be submitted later than 45 calendar days **prior** to the end of each contract year during the contract period, except that the Department may entertain, at any time, a budget revision for the purpose of increasing funds solely for the audit of the Program. The final financial report will show all category overruns. Costs incurred after the end of the budget period will be disallowed except those which the Department has expressly approved in writing and in advance.
  
9. All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

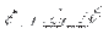
SIGNATURES AND APPROVALS

INDICATOR

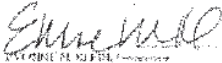
CONTRACTOR SHALL BE RESPONSIBLE FOR THE PROVISION OF ALL NECESSARY INFORMATION TO THE CONTRACTOR AND SHALL BE RESPONSIBLE FOR THE PROVISION OF ALL NECESSARY INFORMATION TO THE CONTRACTOR AND SHALL BE RESPONSIBLE FOR THE PROVISION OF ALL NECESSARY INFORMATION TO THE CONTRACTOR.

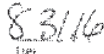
CONTRACTOR - UNITED WAY OF SOUTHEASTERN CT

  
VIRGINIA MASON, PRESIDENT


  
Date

DEPARTMENT OF HOUSING

  
EDMUND, PRESIDENT

  
Date

CONNECTICUT ATTORNEY GENERAL

  
Joseph Robin  
ASSOC. ATTY. GENERAL

  
Date