



STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES

CONTRACT AMENDMENT

Contractor: INDEPENDENCE NORTHWEST: CENTER FOR INDEPENDENT LIVING OF
NORTHWEST CT, INC.

Contractor Address: 1183 NEW HAVEN ROAD, NAUGATUCK, CT 06770

Contract Number: 088IN-MFP-02 / 12DSS7101DI

Amendment Number: A3

Amount as Amended: \$1,466,880.00

Contract Term as Amended: 07/01/12 - 06/30/18

The contract between **Independence Northwest: Center for Independent Living of Northwest CT, Inc.** (the Contractor) and the Department of Social Services (the Department), which was last executed by the parties and approved by the Office of the Attorney General on 07/31/14, is hereby further amended as follows:

1. The total maximum amount payable under this contract is **increased by \$792,000.00 from \$674,880.00 to \$1,466,880.00.**
2. The term of the contract is extended for an additional thirty-six (36) months and the end date of the contract is changed from 06/30/15 to 06/30/18.
3. The Dun & Bradstreet (DUNS) number assigned to Independence Northwest: Center for Independent Living of Northwest CT, Inc. is: 624515516.
4. The address for the Department of Social Services on the cover sheet of the original contract is amended as follows:
Department of Social Services
55 Farmington Avenue
Hartford, CT 06106
5. **Amendments to Part I, Section E., labeled FEDERAL REQUIREMENTS.** Section E in the original contract is supplemented to include a new subsection 3, as follows:
 3. Federal Funding Accountability and Transparency Act (FFATA). To assist the Department with meeting its obligation to comply with the Federal Funding Accountability and Transparency Act (FFATA) the Contractor shall comply with the following provisions:
 - a. Upon Department request the Contractor shall submit FFATA-required data regarding all annual Federal funding awards greater than \$25,000 to the Department. Such data may include but not be limited to the names and total compensation of the Contractor's top five executives.

- b. The Contractor shall register with the Federal System for Award Management (SAM) at <https://www.sam.gov>. The Contractor shall ensure that it shall remain active in SAM by updating its SAM profile at least every 12 months. Upon notification by the Department that its SAM status is not active, the Contractor shall update its SAM profile and complete the Department-issued Federal Funding Accountability and Transparency Act (FFATA) Contractor (Subawardee) Report] within five business days of such notification. The Contractor’s failure to comply may impact future issuance of payments by the Department.

4. **Amendments to Part I, Section J, labeled NOTICES.** Section J in the original contract is hereby amended by deleting sub-sections b. and c. in their entirety and replacing them with the following:

- b. In case of notice(s) to the Department regarding this contract:

Olga Coleman-Williams
 Contract Administration Unit
 Department of Social Services
 55 Farmington Avenue
 Hartford, CT 06105
 (860) 424-5661, or olga.coleman-williams@ct.gov

- c. In case of notice(s) to the Department regarding this contract:

Dawn Lambert
 Money Follows the Person Program
 Division of Health Services
 Department of Social Services
 55 Farmington Avenue
 Hartford, CT 06105
 (860) 42-4897, or dawn.lamberty@ct.gov

6. **Amendments to Part L, Section L, labeled FINANCIAL REPORTING REQUIREMENTS.** Section L is hereby amend, as follows:

- a. by replacing in subsection 1, “September 30, 2015” with “September 30, 2018” in the original contract, Amendment One (A1) and Amendment Two (A2).
- b. by supplementing in subsection 4, in A2, the following Revised Reporting Period and Submission Due Dates schedule for the period from July 1, 2015 through June 30,2015, as follows:

Reporting Period	Submission Due Date
July 1, 2015 – October 31, 2015	November 30, 2015
November 1, 2015 – February 28, 2016	March 31, 2016
March 1, 2016 – June 30, 2016	September 30, 2016
Reporting Period	Submission Due Date
July 1, 2016 – October 31, 2016	November 30, 2016
November 1, 2016 – February 28, 2017	March 31, 2017
March 1, 2017 – June 30, 2017	September 30, 2017
Reporting Period	Submission Due Date
July 1, 2017 – October 31, 2017	November 30, 2017
November 1, 2016 – February 28, 2018	March 31, 2018
March 1, 2017 – June 30, 2018	September 30, 2018

c. by supplementing in the original contract the new subsection 10, as follows:

10. The Contractor shall submit Interim and Financial Reports to the Department, reporting the actual income and expenditures for each funded program, on forms provided by the Department.

a. The Contractor will submit to the Department fiscal reports on the Department's provided forms on or before October 31, January 31, April 30, and July 31 of each year during the contract period. The final fiscal report shall be due within 60 days of the end of the contract period.

b. The Contractor will submit such required financial reports to the Department's Program representative located at Department of Social Services, Social Work Services, 55 Farmington Ave, Hartford, CT 06105.

7. **Amendments to Part I, Section M, labeled PAYMENT PROVISIONS.** Section M is hereby amended as follows:

a. by supplementing subsection 1., in A2, with the not to exceed provisions for the period of July 1, 2015 through June 30, 2018 as follows:

1. The Department shall pay the Contractor for services rendered in accordance with terms of this contract up to a maximum amount not to exceed \$792,000 for the contract period of July 1, 2015 through June 30, 2018.

b. by supplementing subsection 4., in A2, with the Revised Payment Schedule for SFY 16, SFY 17, and SFY18, as follows:

SFY 16

	Annual	Signed Contract	Period 2 10/31/2015	Period 3 1/31/2016
MFP (includes housing)	\$231,000	\$77,000	\$77,000	\$77,000
NFT	\$33,000	\$11,000	\$11,000	\$11,000
Total	\$264,000	\$88,000	\$88,000	\$88,000

SFY 17

	Annual	Signed Contract	Period 2 10/31/2016	Period 3 1/31/2017
MFP (includes housing)	\$231,000	\$77,000	\$77,000	\$77,000
NFT	\$33,000	\$11,000	\$11,000	\$11,000
Total	\$264,000	\$88,000	\$88,000	\$88,000

SFY 18

	Annual	Signed Contract	Period 2 10/31/2017	Period 3 1/31/2018
MFP (includes housing)	\$231,000	\$77,000	\$77,000	\$77,000
NFT	\$33,000	\$11,000	\$11,000	\$11,000
Total	\$264,000	\$88,000	\$88,000	\$88,000

8. **Amendments to Part I, Section N., labeled BUDGET PROVISIONS.** Section N is hereby amended as follows:

- a. by supplementing, in A2, the following for the budget period of July 1, 2014 through June 30, 2018, as follows:

During the period **July 1, 2015 through June 30, 2018** the Contractor agrees to utilize Department funds in accordance with the following budget:

SFY 16

Contractual Services	NFTP State Funds	MFP TC	MFP Housing	TOTAL
Personnel Supervisor Salary	\$2,500	\$7,500	\$5,000	\$15,000
Personnel Salaries	\$23,280	\$122,220	\$46,500	\$192,000
Fringe	\$7,220	\$17,180	\$14,500	\$38,900
Travel	\$0	\$10,000	\$0	\$10,000
Rent & Occupancy		\$4,000		\$4,000
Telephone & Internet		\$1,500		\$1,500
Supplies	\$0	\$1,300	\$0	\$1,300
Postage & Printing		\$500		\$500
Equipment/iPad Service	\$0	\$800	\$0	\$800
Other	\$0	\$0	\$0	\$0
Total Direct	\$33,000	\$165,000	\$66,000	\$264,000
Indirect	\$0	\$0	\$0	\$0
In Kind	\$0	\$0	\$0	\$0
Grand Total	\$33,000	\$165,000	\$66,000	\$264,000
Vacancy Savings Period 1	\$0	\$0	\$0	\$0
NET TOTAL	\$33,000	\$165,000	\$66,000	\$264,000

SFY 17

Contractual Services	NFTP State Funds	MFP TC	MFP Housing	TOTAL
Personnel Supervisor Salary	\$2,500	\$7,500	\$5,000	\$15,000
Personnel Salaries	\$23,280	\$122,220	\$46,500	\$192,000
Fringe	\$7,220	\$17,180	\$14,500	\$38,900
Travel	\$0	\$10,000	\$0	\$10,000
Rent & Occupancy		\$4,000		\$4,000
Telephone & Internet		\$1,500		\$1,500
Supplies	\$0	\$1,300	\$0	\$1,300
Postage & Printing		\$500		\$500
Equipment/iPad Service	\$0	\$800	\$0	\$800
Other	\$0	\$0	\$0	\$0
Total Direct	\$33,000	\$165,000	\$66,000	\$264,000
Indirect	\$0	\$0	\$0	\$0
In Kind	\$0	\$0	\$0	\$0
Grand Total	\$33,000	\$165,000	\$66,000	\$264,000
Vacancy Savings Period 1	\$0	\$0	\$0	\$0
NET TOTAL	\$33,000	\$165,000	\$66,000	\$264,000

SFY 18

Contractual Services	NFTP State Funds	MFP TC	MFP Housing	TOTAL
Personnel Supervisor Salary	\$2,500	\$7,500	\$5,000	\$15,000
Personnel Salaries	\$23,280	\$122,220	\$46,500	\$192,000
Fringe	\$7,220	\$17,180	\$14,500	\$38,900
Travel	\$0	\$10,000	\$0	\$10,000
Rent & Occupancy		\$4,000		\$4,000
Telephone & Internet		\$1,500		\$1,500
Supplies	\$0	\$1,300	\$0	\$1,300
Postage & Printing		\$500		\$500
Equipment/iPad Service	\$0	\$800	\$0	\$800
Other	\$0	\$0	\$0	\$0
Total Direct	\$33,000	\$165,000	\$66,000	\$264,000
Indirect	\$0	\$0	\$0	\$0
In Kind	\$0	\$0	\$0	\$0
Grand Total	\$33,000	\$165,000	\$66,000	\$264,000
Vacancy Savings Period 1	\$0	\$0	\$0	\$0
NET TOTAL	\$33,000	\$165,000	\$66,000	\$264,000

All terms and conditions of the original contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

SIGNATURES AND APPROVALS

088IN-MFP-01/12DSS7101DI A3

The Contractor IS a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

Documentation necessary to demonstrate the authorization to sign must be attached.

**CONTRACTOR – INDEPENDENCE NORTHWEST:
CENTER FOR INDEPENDENT LIVING OF NORTHWEST CT, INC.**

Eileen M. Healy
Eileen M. Healy
Executive Director

6/3/15
Date

DEPARTMENT OF SOCIAL SERVICES

Kathleen M. Brennan, Deputy Commissioner
Roderick L. Bremby, *Commissioner*

6/8/15
Date

OFFICE OF THE ATTORNEY GENERAL

Robert W. Clark
ASST. / ASSOC. ATTORNEY GENERAL, (*Approved as to form*)
Robert W. Clark

6/23/15
Date