

STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES

CONTRACT AMENDMENT

Contractor: GBAPP, Inc.
Contractor Address: 1470 Barnum Avenue, Suite 301, Bridgeport, CT 06610
Contract Number: 16DSS1401LF / 015-1LF-FIP-01
Amendment Number: Amendment 1
Amount as Amended: \$106,366.00
Contract Term as Amended: 7/1/2016 to 6/30/2019

The contract between GBAPP, Inc. ("Contractor") and the Department of Social Services ("Department"), which was last executed by the parties and approved by the Office of the Attorney General on 8/12/16, is hereby further amended as follows:

1. The term of the contract has been extended for an additional two (2) years and the end date of the contract is changed from 6/30/17 to 6/30/19.
2. The total maximum amount payable under the contract has increased by \$64,192 (\$32,096 in SFY 18 and \$32,096 in SFY 19) from \$42,174 to \$106,366 to provide funding for the extended term of the contract.
3. For the period 7/1/17 through 6/30/19, Part I, Section B., labeled DESCRIPTION OF SERVICES, subsection b. of the contract shall be supplemented by the addition of the following:

The Contractor shall serve an additional 80 individuals through the two years of this contract; 40 in 2018 and 40 in 2019, resulting in a grand total of at least 130 individuals served over the four year contract period. If the final number of clients to be served falls within a 10% variance of the targeted number each year, the department will accept and acknowledge those final numbers as successfully achieving the contract deliverable.

4. Part I, Section N. labeled MISCELLANEOUS PROVISIONS of the contract is hereby amended by the addition of the following sections 3. and 4. as follows:

3. Lobbying

Federal grant funds provided under this award may not be used by the grantee or any sub-grantee to support lobbying activities to influence proposed or pending Federal or State legislation or appropriations. This prohibition is related to the use of Federal grants funds and is not intended to affect an individual's right or that of any organization, to petition Congress, or any other level of Government, through the use of other resources.

4. Pro Children Act

In accordance with Part C of Public Law 103-227, the "Pro-Children Act of 1994," smoking may not be permitted in any portion of any indoor facility owned or regularly used for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs whether directly or through State or local governments. Federal programs include grants, cooperative agreements, loans and loan guarantees, and contracts. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions or facilities and used for inpatient drug and alcohol treatment.

5. DSS contract/contact person on page 1 of the original contract shall be deleted and replaced as follows:
Donna LoCurto at (860) 424-5323.
6. For the period 7/1/17 through 6/30/19, Part I, Section K. labeled BUDGET/PAYMENT PROVISIONS, subsection 2. of this contract shall be deleted and replaced with the following:

Effective Date: 5/19/2017

CONTRACT NUMBER: 16DSS1401LF

CONTRACT PERIOD: 07/01/2016 through 06/30/2019

ST FISCAL YR (SFY): 2018

PROVIDER: GBAPP, Inc.

Approved by: locurtod

4000 INCOME		FIP			
		<u>07/01/2017</u>	<u>Contract Total</u>	<u>Other Funding</u>	<u>Total Income</u>
Program Funding Period:		<u>through June 30</u>			
		<u>2018</u>			
<u>4100 CONTRACT FUNDING</u>	<u>SID</u>	\$ 32,096	\$ 32,096	\$ -	\$ 32,096
4101 State Funds	16128	\$ 32,096	\$ 32,096	\$ -	\$ 32,096
<u>TOTAL INCOME</u>		<u>\$ 32,096</u>	<u>\$ 32,096</u>	<u>\$ -</u>	<u>\$ 32,096</u>
5000 DIRECT EXPENSES		FIP	<u>Contract Total</u>		<u>Total Expenses</u>
<u>5100 SALARIES</u>		\$ 18,000	\$ 18,000	\$ -	\$ 18,000
5101 Staff Salaries & Wages		\$ 18,000	\$ 18,000	\$ -	\$ 18,000
<u>5200 FRINGE BENEFITS</u>		\$ 7,200	\$ 7,200	\$ -	\$ 7,200
<u>5400 TRANSPORTATION</u>		\$ 496	\$ 496	\$ -	\$ 496
5401 Staff Travel Reimbursement		\$ 496	\$ 496	\$ -	\$ 496
<u>5500 MATERIALS AND SUPPLIES</u>		\$ 400	\$ 400	\$ -	\$ 400
5501 Food		\$ 400	\$ 400	\$ -	\$ 400
<u>5600 FACILITIES</u>		\$ 6,000	\$ 6,000	\$ -	\$ 6,000
5601 Rent and Real Estate Taxes		\$ 6,000	\$ 6,000	\$ -	\$ 6,000
<u>TOTAL DIRECT EXPENSES</u>		<u>\$ 32,096</u>	<u>\$ 32,096</u>	<u>\$ -</u>	<u>\$ 32,096</u>
7000 INDIRECT EXPENSES		FIP	<u>Contract Total</u>		<u>Total Expenses</u>
<u>TOTAL INDIRECT EXPENSES</u>		\$ -	\$ -	\$ -	\$ -
<u>TOTAL EXPENSES</u>		<u>\$ 32,096</u>	<u>\$ 32,096</u>	<u>\$ -</u>	<u>\$ 32,096</u>
INCOME/EXPENSE SUMMARY		FIP	<u>Contract Total</u>		<u>Total</u>
TOTAL INCOME		\$ 32,096	\$ 32,096	\$ -	\$ 32,096
TOTAL EXPENSES		\$ 32,096	\$ 32,096	\$ -	\$ 32,096
<u>EXCESS/(SHORTAGE)</u>		<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.


SIGNATURES AND APPROVALS

16DSS1401LF/015-1LF-FIP-01 A1

The Contractor **IS NOT** a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

CONTRACTOR

GBAPP, Inc.



Rudy Pardo, Executive Director

6/14/17
Date

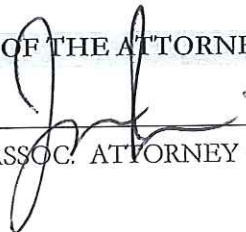
DEPARTMENT OF SOCIAL SERVICES



RODERICK L. BREMBY, *Commissioner*

6/14/17
Date

OFFICE OF THE ATTORNEY GENERAL



~~ASST.~~ / ASSOC. ATTORNEY GENERAL (*Approved as to form*) Joseph Rubin

6/30/17
Date



STATE OF CONNECTICUT
NONDISCRIMINATION CERTIFICATION – New Resolution

By Entity
For Contracts Valued at \$50,000 or More

Documentation in the form of a corporate, company, or partnership policy adopted by resolution of the board of directors, shareholders, managers, members or other governing body of a contractor that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Submit to the awarding State agency prior to contract execution.

CERTIFICATION OF RESOLUTION:

I, ANGIE STALTARO SECRETARY, of GBAPP, WC,
Authorized Signatory Title Name of Entity

an entity duly formed and existing under the laws of CONNECTICUT,
Name of State or Commonwealth

certify that the following is a true and correct copy of a resolution adopted on the 16th day of
NOV., 2016 by the governing body of GBAPP, WC,
Name of Entity

in accordance with all of its documents of governance and management and the laws of
CONNECTICUT, and further certify that such resolution has not been modified
Name of State or Commonwealth
or revoked, and is in full force and effect.

RESOLVED: That the policies of GBAPP, INC comply with the
Name of Entity
nondiscrimination agreements and warranties of Connecticut General Statutes
§§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

The undersigned has executed this certificate this 16th day of NOV, 2016.

Angie Staltaro
Authorized Signatory

11-16-16
Date

ANGIE STALTARO
Printed Name



STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

- CHECK ONE:** Initial Certification 12 Month Anniversary Update (Multi-year contracts only.)
- Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after January 1, 2011, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(f)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(f)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after January 1, 2011 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(f)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Lawful Campaign Contributions to Candidates for the General Assembly:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

COAPP, INC
 Printed Contractor Name

[Signature]
 Signature of Authorized Official

Rudy Frugo
 Printed Name of Authorized Official

Subscribed and acknowledged before me this 5th day of Oct, 2014

[Signature]
 Commissioner of the Superior Court (or Notary Public)

LATOYA JOHNSON
 NOTARY PUBLIC
 MY COMMISSION EXPIRES DEC. 31, 2016





STATE OF CONNECTICUT CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. **If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1):** Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn and Subscribed On This Day: ____]

I, the undersigned, hereby swear that I am a principal or key personnel of the bidder or contractor awarded a contract, as described in Connecticut General Statutes § 4a-81(b), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, **except for the agreement listed below:**

_____ Consultant's Name and Title		_____ Name of Firm (if applicable)
_____ Start Date	_____ End Date	_____ Cost
Description of Services Provided: _____		

Is the consultant a former State employee or former public official? YES NO

If YES: _____
Name of Former State Agency Termination Date of Employment

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

GBAPP, Inc. Printed Name of Bidder or Vendor	 Signature of Principal or Key Personnel	11/19/16 Date
	Rudy Feudo Printed Name (of above)	Dept. of Public Health Awarding State Agency

Sworn and subscribed before me on this 9th day of November, 2016.

Commissioner of the Superior Court (or Notary Public)

My Commission Expires

April 30, 2020
My Commission Expires

WORKFORCE ANALYSIS

Contractor GBAPP, Inc.

Address 1470 Barnum Avenue, Suite 301, Bridgeport, CT .06610

Number of Connecticut Employees	
Full-time: 15	Part-time: 30
Employment figures obtained from	
Visual Check <input type="checkbox"/>	Employment Records <input checked="" type="checkbox"/>
Other <input type="checkbox"/>	Contractor «ContractorOrg» Number

JOB CATEGORIES	TOTALS	WHITE (Not of Hispanic Origin)		BLACK (Not of Hispanic Origin)		HISPANIC		ASIAN OR PACIFIC ISLANDER		AMER. INDIAN OR ALASKAN NATIVE		PERSON WITH DISABILITIES	
		Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Officials & Managers	3	1			1		1						
Professionals	3	1	1		1								
Technicians													
Service Workers	38			7	20		11						
Office & Clerical	1				1								
Craft Workers (Skilled)													
Operators (Semi-Skilled)													
Laborers (Unskilled)													
TOTALS	45	2	1	7	23		12						
Totals One Year Ago	50	3	1	7	26		13						

FORMAL ON-THE-JOB-TRAINEES

Apprentices													
Trainees													

1. Have you successfully implemented an Affirmative Action Plan?

Yes No If yes, date of implementation 12/94 ; If no, explain

Do you promise to develop and implement a successful Affirmative Action Plan?

Yes No N/A Explain:

2. Have you successfully developed an apprenticeship program complying with Sec. 46a-68-1 to 46a-68-17 of the Connecticut Department of Labor Regulations, inclusive:

Yes No N/A Explain:

3. According to EEO-1 data, is the composition of your work force at or near parity when compared with the racial and sexual composition of the work force in the relevant labor market area?

Yes No Explain:

4. If you plan to subcontract, will you set aside a portion of the contract for legitimate minority business enterprises?

Yes No Explain:

Authorized Signature: af Paul Date: 6/14/17