

STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES

CONTRACT AMENDMENT

Contractor: Family Strides, Inc.
Contractor Address: 350 Main St, Suite D, Torrington, CT 06790
Contract Number: 15DSS1402AB / 145FS-FIP-08
Amendment Number: **Amendment 2**
Amount as Amended: \$238,303.00
Contract Term as Amended: 7/1/2015 to 6/30/2019

The contract between Family Strides, Inc. ("Contractor") and the Connecticut Department of Social Services ("Department"), which was last executed by the parties and approved by the Office of the Attorney General on 12/9/15, and previously amended on 7/26/16 is hereby further amended as follows:

1. The term of the contract has been extended for an additional two (2) years and the end date of the contract is changed from 6/30/17 to 6/30/19.
2. The total maximum amount payable under the contract has increased by \$92,063 (\$45,124 in SFY 18 and \$46,939 in SFY 19) from \$146,240 to \$238,303 to provide funding for the extended term of the contract.
3. For the period 7/1/17 through 6/30/19, Part I, Section B.2., labeled DESCRIPTION OF SERVICES, subsection 2. of the contract shall be supplemented by the addition of the following:
 2. The Contractor shall serve an additional 100 individuals through the two years of this contract; 50 in 2018 and 50 in 2019, resulting in a grand total of at least 250 individuals served over the four year contract period. If the final number of clients to be served falls within a 10% variance of the targeted number each year, the department will accept and acknowledge those final numbers as successfully achieving the contract deliverable.
4. Part I, Section P. labeled MISCELLANEOUS PROVISIONS of the contract is hereby amended by the addition of the following sections 5. and 6. as follows:
 5. **Lobbying**

Federal grant funds provided under this award may not be used by the grantee or any sub-grantee to support lobbying activities to influence proposed or pending Federal or State legislation or appropriations. This prohibition is related to the use of Federal grants funds and is not intended to affect an individual's right or that of any organization, to petition Congress, or any other level of Government, through the use of other resources.
 6. **Pro Children Act**

In accordance with Part C of Public Law 103-227, the "Pro-Children Act of 1994," smoking may not be permitted in any portion of any indoor facility owned or regularly used for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs whether directly or through State or local governments. Federal programs include grants, cooperative agreements, loans and loan guarantees, and contracts.

The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities and used for inpatient drug and alcohol treatment.

5. DSS contract/contact person on page 1 of the original contract shall be deleted and replaced as follows:
Donna LoCurto at (860) 424-5323.
6. For the period 7/1/17 through 6/30/19, Part I, Section L. labeled BUDGET/PAYMENT PROVISIONS, subsection 4.a. of this contract as amended is hereby further amended to add the following:

Effective Date: 5/19/2017

CONTRACT NUMBER: 15DSS1402AB

CONTRACT PERIOD: 07/01/2015 through 06/30/2019

ST FISCAL YR (SFY): 2018

PROVIDER: Family Strides, Inc.

Approved by: locurtod

4000 INCOME		FIP	Contract Total	Other Funding	Total Income
Program Funding Period:		<u>07/01/2017</u> <u>through June 30</u> <u>2018</u>			
<u>4100 CONTRACT FUNDING</u>	<u>SID</u>	\$ 45,124	\$ 45,124	\$ -	\$ 45,124
4101 State Funds	16270	\$ 45,124	\$ 45,124	\$ -	\$ 45,124
<u>TOTAL INCOME</u>		\$ 45,124	\$ 45,124	\$ -	\$ 45,124
5000 DIRECT EXPENSES		FIP	Contract Total		Total Expenses
<u>5100 SALARIES</u>		\$ 29,111	\$ 29,111	\$ -	\$ 29,111
5101 Staff Salaries & Wages		\$ 29,111	\$ 29,111	\$ -	\$ 29,111
<u>5200 FRINGE BENEFITS</u>		\$ 4,076	\$ 4,076	\$ -	\$ 4,076
<u>5300 CONTRACTUAL SERVICES</u>		\$ 1,765	\$ 1,765	\$ -	\$ 1,765
5304 Other Contractual (specify in narrative)		\$ 1,765	\$ 1,765	\$ -	\$ 1,765
<u>5400 TRANSPORTATION</u>		\$ 250	\$ 250	\$ -	\$ 250
5401 Staff Travel Reimbursement		\$ 250	\$ 250	\$ -	\$ 250
<u>5500 MATERIALS AND SUPPLIES</u>		\$ 857	\$ 857	\$ -	\$ 857
5501 Food		\$ 550	\$ 550	\$ -	\$ 550
5504 Other Mtrls and Sppls (specify in narrative)		\$ 307	\$ 307	\$ -	\$ 307
<u>5600 FACILITIES</u>		\$ 6,800	\$ 6,800	\$ -	\$ 6,800
5601 Rent and Real Estate Taxes		\$ 5,800	\$ 5,800	\$ -	\$ 5,800
5604 Utilities		\$ 1,000	\$ 1,000	\$ -	\$ 1,000
<u>5800 OTHER EXPENSES</u>		\$ 500	\$ 500	\$ -	\$ 500
5802 Insurance		\$ 500	\$ 500	\$ -	\$ 500
<u>TOTAL DIRECT EXPENSES</u>		\$ 43,359	\$ 43,359	\$ -	\$ 43,359
7000 INDIRECT EXPENSES		FIP	Contract Total		Total Expenses
<u>7100 ADMINISTRATIVE & GENERAL</u>		\$ 1,765	\$ 1,765	\$ -	\$ 1,765
7111 Staff Salaries & Wages		\$ 1,605	\$ 1,605	\$ -	\$ 1,605
7120 Fringe Benefits		\$ 160	\$ 160	\$ -	\$ 160
<u>TOTAL INDIRECT EXPENSES</u>		\$ 1,765	\$ 1,765	\$ -	\$ 1,765
<u>TOTAL EXPENSES</u>		\$ 45,124	\$ 45,124	\$ -	\$ 45,124
INCOME/EXPENSE SUMMARY		FIP	Contract Total		Total
TOTAL INCOME		\$ 45,124	\$ 45,124	\$ -	\$ 45,124
TOTAL EXPENSES		\$ 45,124	\$ 45,124	\$ -	\$ 45,124
<u>EXCESS/(SHORTAGE)</u>		\$ -	\$ -	\$ -	\$ -

All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

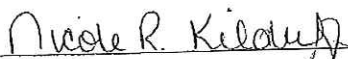
SIGNATURES AND APPROVALS

15DSS1402AB/145FS-FIP-08 A2

The Contractor IS NOT a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

CONTRACTOR

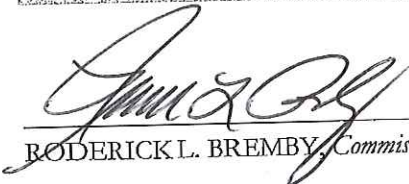
Family Strides, Inc.



Nicole Kilduff, Executive Director

6/14/17
Date

DEPARTMENT OF SOCIAL SERVICES



RODERICK L. BREMBY, Commissioner

6/16/17
Date

OFFICE OF THE ATTORNEY GENERAL



ASST. / ASSOC. ATTORNEY GENERAL (Approved as to form)

Joseph Rubini

6/30/17
Date



STATE OF CONNECTICUT
NONDISCRIMINATION CERTIFICATION — Affidavit
By Entity
For Contracts Valued at \$50,000 or More

Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

AFFIDAVIT:

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of

an oath. I am Executive Director of Family Strides, Inc., an entity
Signatory's Title Name of Entity

duly formed and existing under the laws of Connecticut.
Name of State or Commonwealth

I certify that I am authorized to execute and deliver this affidavit on behalf of

Family Strides, Inc. and that Family Strides, Inc.
Name of Entity Name of Entity

has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut

General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

Nicole R. Kilduff
Authorized Signatory

Nicole R. Kilduff
Printed Name

Sworn and subscribed to before me on this 21st day of June, 2017.

Michelle Piekos
Commissioner of the Superior Court/
Notary Public

11/30/19
Commission Expiration Date





STATE OF CONNECTICUT
GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

CHECK ONE: Initial Certification 12 Month Anniversary Update (Multi-year contracts only.)

Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after January 1, 2011, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(f)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(f)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after January 1, 2011 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(f)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>
N/A				

Lawful Campaign Contributions to Candidates for the General Assembly:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>
N/A				

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

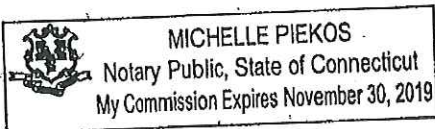
Family Strides, Inc.
Printed Contractor Name

Nicole R. Kilduff
Signature of Authorized Official

Nicole R. Kilduff
Printed Name of Authorized Official

Subscribed and acknowledged before me this 15th day of June, 2017

Michelle Piekos
Commissioner of the Superior Court (or Notary Public)



11/30/19
My Commission Expires



STATE OF CONNECTICUT
CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn and Subscribed On This Day: _____]

I, the undersigned, hereby swear that I am a principal or key personnel of the bidder or contractor awarded a contract, as described in Connecticut General Statutes § 4a-81(b), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, except for the agreement listed below:

Table with columns: Consultant's Name and Title, Name of Firm (if applicable), Start Date, End Date, Cost, Description of Services Provided. Includes handwritten entries like 'N/A'.

Is the consultant a former State employee or former public official? [] YES [] NO

If YES: Name of Former State Agency, Termination Date of Employment

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Name of Bidder or Contractor: Family Strides, Inc. Signature of Principal or Key Personnel: Nicole R. Kilduff Date: 6/15/17

Printed Name (of above): Nicole R. Kilduff Dept. of Social Serv. Awarding State Agency

Sworn and subscribed before me on this 15th day of June, 2017.

Signature of Notary Public: Michelle Piekos Commissioner of the Superior Court or Notary Public



Signature of Notary Public: Michelle Piekos My Commission Expires: 11/30/19

WORKFORCE ANALYSIS

Contractor Family Strides,
Inc.

Address 350 Main St, Suite
D, Torrington, CT .06790

Number of Connecticut Employees	
Full-time: 10	Part-time: 2
Employment figures obtained from	
Visual Check <input type="checkbox"/>	Employment Records <input checked="" type="checkbox"/>
Other <input type="checkbox"/>	Contractor «ContractorOrg» Number

JOB CATEGORIES	TOTALS	WHITE (Not of Hispanic Origin)		BLACK (Not of Hispanic Origin)		HISPANIC		ASIAN OR PACIFIC ISLANDER		AMER. INDIAN OR ALASKAN NATIVE		PERSON WITH DISABILITIES	
		Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Officials & Managers	3		3										
Professionals	1		1										
Technicians													
Service Workers	7	1	5				1						
Office & Clerical	1						1						
Craft Workers (Skilled)													
Operators (Semi-Skilled)													
Laborers (Unskilled)													
TOTALS	12	1	9				2						
Total One Year Ago	13	1	10				2						

FORMAL ON-THE-JOB-TRAINEES

	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Apprentices												
Trainees												

1. Have you successfully implemented an Affirmative Action Plan?

Yes No If yes, date of implementation 5/2005; If no, explain
Do you promise to develop and implement a successful Affirmative Action Plan?

Yes No N/A Explain:

2. Have you successfully developed an apprenticeship program complying with Sec. 46a-68-1 to 46a-68-17 of the Connecticut Department of Labor Regulations, inclusive:

Yes No N/A Explain:

3. According to EEO-1 data, is the composition of your work force at or near parity when compared with the racial and sexual composition of the work force in the relevant labor market area?

Yes No Explain:

4. If you plan to subcontract, will you set aside a portion of the contract for legitimate minority business enterprises?

Yes No Explain: N/A

Authorized Signature: Nicole R. Kilduff

Date: 6/14/17