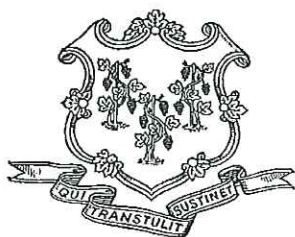


STATE OF CONNECTICUT  
DEPARTMENT OF SOCIAL SERVICES



## CONTRACT AMENDMENT

**Contractor:** Community Action Agency of New Haven, Inc.  
**Contractor Address:** 419 Whalley Avenue, New Haven, CT .06511  
**Contract Number:** 15DSS6101EP / 093C-CSV-02  
**Amendment Number:** A1  
**Amount as Amended:** \$417,304.00  
**Contract Term as Amended:** 7/1/2015 to 6/30/2017

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The contract between Community Action Agency of New Haven, Inc. . (the Contractor) and the Department of Social Services (the Department), which was last executed by the parties and approved by the Office of the Attorney General on 12/17/2015 , is hereby further amended as follows:

1. The total maximum amount payable under this contract has decreased by \$82,696.00 from \$500,000.00 to **\$417,304.00**. This decrease is due to the under expenditure of funds in Budget Year 1 and reductions to State Fiscal Year (SFY) 2017 Budget Year 2 revisions. Budget Year 1 shall not exceed \$212,762.00 and Budget Year 2 shall not exceed \$204,542.00.
2. The budget on page 14 of the original contract shall be deleted and replaced in its entirety with the budget on page 3 of this amendment.
3. Part I, page 3, Section B l. b. in the original contract, shall be amended to reflect 1 employee attending required Ice House Student Success Program Training in SFY 2017.
4. Part I, page 4, Section B. 2. a. Target Population, in the original contract, shall be amended to reflect revised age range of target population for SFY 2017 to be youth served between the ages of 14 to 16.
5. Part I, page 4, Section B 2. b. Number of Individuals Served, in the original contract, shall be amended to reflect the following for SFY 2017: Throughout the term of this contract, the Contractor/CAANH shall provide Program services to at least 30 unduplicated individuals, ages 14 to 16 annually, and the Sub-Contractor/ULGH shall provide Program services to at least 25 unduplicated individuals, ages 14 to 16 annually, totaling a combined service effort of 55 unduplicated individuals, ages 14 to 16, annually. The Contractor's number of services is slightly higher to help manage issues of attrition. The Target Population and the individuals served under this contract will hereinafter be referred to as "Clients".
6. Part I, page 6, Section C. 1. Program Administration, in the original contract, shall be amended reflect revised staffing and percent of salary funded under this contract, to include the following:

President, Full-time @ 1 hour per week, charged to the contract;

Vice President of Planning, Full-time @ 1.12 hours per week, 3% charged to the contract;

Vice President of Program and Services, Full-time @ 2 hours per week, 6% charged to the contract; Accounting Manager, Full-time @ .50 hours per week, 1% charged to the contract; Director of Quality Assurance, Part-time @ 1 hour per week, 3% charged to the contract; Trainer, Full-time @ .40 hours per week for 6 weeks, 1% charged to the contract; Program Coordinator, Full-time @ 35 hours per week, 100% charged to the contract; Assistant Program Coordinator, Part-time @ 25 hours per week, 100% charged to the contract; Interns, Volunteer @ 5 hours per week for 6 months; and At least 50 student employees (clients), part-time @ 10 hours per week for four weeks (in which CAANH facilitates and supports the placement of Clients into relevant jobs that are associated with their specific interest for the month of April), charged to the contract.

7. Part I, page 7, Section F. Client-Based Outcomes and Measures in the original contract, shall be amended to reflect the following performance indicators for SFY 2017:

- 44 of 55 clients receive financial-literacy training
- 44 of 55 clients open savings accounts
- 44 of 55 clients improve social/emotional development
- 44 of 55 clients increase social skills for school success
- 44 of 55 clients increase academic skills for school success
- 35 of 55 clients obtain subsidized employment
- 44 of 55 clients obtain skills/competencies required for employment

**All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.**

Effective Date: 12/16/2016

CONTRACT NUMBER: 15DSS6101EP

CONTRACT PERIOD: 07/01/2015 through 06/30/2017

ST FISCAL YR (SFY): 2017

PROVIDER: Community Action Agency of New Haven, I

Approved by: LoCurtoD

4000 INCOME		CANNH - MYF	UL GH - MYF			
		<u>07/01/2016</u> through <u>06/30/2017</u>	<u>07/01/2016</u> through <u>06/30/2017</u>	Contract Total	Other Funding	Total Income
Program Funding Period:						
4100 CONTRACT FUNDING	SID	\$ 114,542	\$ 90,000	\$ 204,542	\$ -	\$ 204,542
4101 State Funds	16128	\$ 114,542	\$ 90,000	\$ 204,542	\$ -	\$ 204,542
<b>TOTAL INCOME</b>		<b>\$ 114,542</b>	<b>\$ 90,000</b>	<b>\$ 204,542</b>	<b>\$ -</b>	<b>\$ 204,542</b>
5000 DIRECT EXPENSES		CANNH - MYF	UL GH - MYF	Contract Total		Total Expenses
5100 SALARIES		\$ 57,760	\$ 40,660	\$ 98,420	\$ -	\$ 98,420
5101 Staff Salaries & Wages		\$ 57,760	\$ 40,660	\$ 98,420	\$ -	\$ 98,420
5200 FRINGE BENEFITS		\$ 7,858	\$ 8,925	\$ 16,783	\$ -	\$ 16,783
5300 CONTRACTUAL SERVICES		\$ 26,520	\$ 22,100	\$ 48,620	\$ -	\$ 48,620
5303 Contracted Workers - Non-Payroll		\$ 10,920	\$ 9,100	\$ 20,020	\$ -	\$ 20,020
5304 Other Contractual (specify in narrative)		\$ 15,600	\$ 13,000	\$ 28,600	\$ -	\$ 28,600
5400 TRANSPORTATION		\$ 1,440	\$ 2,090	\$ 3,530	\$ -	\$ 3,530
5404 Other Transportation (specify in narrative)		\$ 1,440	\$ 2,090	\$ 3,530	\$ -	\$ 3,530
5500 MATERIALS AND SUPPLIES		\$ 8,694	\$ 6,875	\$ 15,569	\$ -	\$ 15,569
5501 Food		\$ 8,250	\$ 6,875	\$ 15,125	\$ -	\$ 15,125
5504 Other Mtrls and Sppls (specify in narrative)		\$ 444		\$ 444	\$ -	\$ 444
5900 CLIENT SUBSIDIES		\$ 12,270	\$ 9,350	\$ 21,620	\$ -	\$ 21,620
5901 Transportation		\$ 10,770	\$ 8,100	\$ 18,870	\$ -	\$ 18,870
5906 Other Client Subsidies (specify in narrative)		\$ 1,500	\$ 1,250	\$ 2,750	\$ -	\$ 2,750
<b>TOTAL DIRECT EXPENSES</b>		<b>\$ 114,542</b>	<b>\$ 90,000</b>	<b>\$ 204,542</b>	<b>\$ -</b>	<b>\$ 204,542</b>
7000 INDIRECT EXPENSES		CANNH - MYF	UL GH - MYF	Contract Total		Total Expenses
<b>TOTAL INDIRECT EXPENSES</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>TOTAL EXPENSES</b>		<b>\$ 114,542</b>	<b>\$ 90,000</b>	<b>\$ 204,542</b>	<b>\$ -</b>	<b>\$ 204,542</b>
INCOME/EXPENSE SUMMARY		CANNH - MYF	UL GH - MYF	Contract Total		Total
TOTAL INCOME		\$ 114,542	\$ 90,000	\$ 204,542	\$ -	\$ 204,542
TOTAL EXPENSES		\$ 114,542	\$ 90,000	\$ 204,542	\$ -	\$ 204,542
<b>EXCESS/(SHORTAGE)</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>


**SIGNATURES AND APPROVALS**

15DSS6101EP/093C-CSV-02 A1

The Contractor **IS NOT** a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

**CONTRACTOR**

Community Action Agency of New Haven, Inc.



Amos Lee Smith, President & CEO

12/21/16  
Date

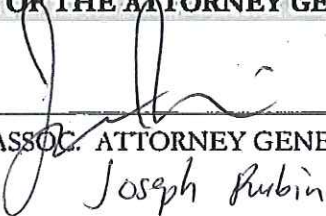
**DEPARTMENT OF SOCIAL SERVICES**



Roderick L. Bremby, Commissioner

12/23/2016  
Date

**OFFICE OF THE ATTORNEY GENERAL**



~~ASST.~~ / ASSOC. ATTORNEY GENERAL (Approved as to form)

1/18/17  
Date



Community Action Agency of New Haven, Inc.

419 Whalley Avenue  
New Haven, CT 06511  
Phone: 203-387-7700  
Fax: 203-397-7475  
[www.caanh.net](http://www.caanh.net)

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December 21, 2016

Ms. Tina M. McGill  
Department of Social Services  
Contract Administration  
55 Farmington Avenue  
Hartford, CT 06105

Re: Contract #15DSS6101EP/ 093C-CSV-02

Dear Tina,

Enclosed please find the Manage Your Future (MYF) Contract for the Community Action Agency of New Haven, of which the contract number has been noted above. Amos L. Smith, our President/CEO has signed the document and the required forms have been uploaded in the BizNet portal.

We look forward to receiving a copy of the fully executed amendment and to working with the Department of Social Services in the coming year. Thank you.

Sincerely,

Caren Lang  
Executive Assistant

Enclosure

cc: Amos L. Smith  
Adrienne Sanders  
Lilian Gutierrez



**STATE OF CONNECTICUT**  
**NONDISCRIMINATION CERTIFICATION – Affidavit**  
**By Entity**  
**For Contracts Valued at \$50,000 or More**

*Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended*

**INSTRUCTIONS:**

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

**AFFIDAVIT:**

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of

an oath. I am Amos L. Smith of Community Action Agency of New Haven, Inc., an entity  
Signatory's Title Name of Entity

duly formed and existing under the laws of Connecticut  
Name of State or Commonwealth

I certify that I am authorized to execute and deliver this affidavit on behalf of

Community Action Agency of New Haven, Inc. and that Community Action Agency of New Haven, Inc.  
Name of Entity Name of Entity

has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut

General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

[Signature]  
Authorized Signatory

Amos Lee Smith  
Printed Name

Sworn and subscribed to before me on this 09 day of November, 2010.

[Signature]  
Commissioner of the Superior Court/  
Notary Public

2/28/2018  
Commission Expiration Date





STATE OF CONNECTICUT  
GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

*Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.*

**INSTRUCTIONS:**

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

- CHECK ONE:**  Initial Certification  12 Month Anniversary Update (Multi-year contracts only.)  
 Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

**GIFT CERTIFICATION:**

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding Gifts by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a Gift to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

**CAMPAIGN CONTRIBUTION CERTIFICATION:**

I further certify that, on or after January 1, 2011, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(f)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(f)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after January 1, 2011 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(f)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

**Lawful Campaign Contributions to Candidates for Statewide Public Office:**

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

**Lawful Campaign Contributions to Candidates for the General Assembly:**

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

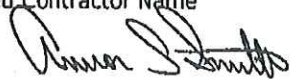
Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Community Action Agency of New Haven, Inc.

Amos L. Smith

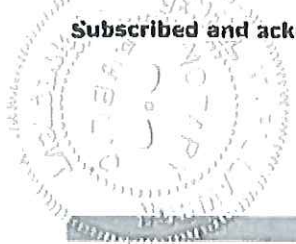
Printed Contractor Name

Printed Name of Authorized Official



Signature of Authorized Official

Subscribed and acknowledged before me this 21 day of October, 2011



  
Commissioner of the Superior Court (or Notary Public)

2/28/2018  
My Commission Expires





STATE OF CONNECTICUT
CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn and Subscribed On This Day: \_\_\_\_]

I, the undersigned, hereby swear that I am a principal or key personnel of the bidder or contractor awarded a contract, as described in Connecticut General Statutes § 4a-81(b), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, except for the agreement listed below:

Amos L. Smith / President & CEO
Consultant's Name and Title

Community Action Agency of New Haven, Inc
Name of Firm (if applicable)

Start Date End Date Cost

Description of Services Provided:

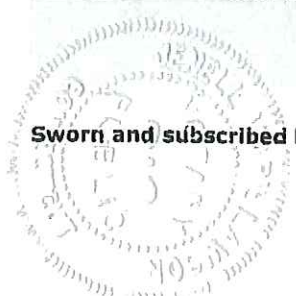
Is the consultant a former State employee or former public official? [ ] YES [ ] NO

If YES: Name of Former State Agency Termination Date of Employment

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Community Action Agency of New Haven, Inc.
Printed Name of Bidder or Contractor Signature of Principal or Key Personnel Date

Amos L. Smith
Printed Name (of above) Awarding State Agency



Sworn and subscribed before me on this 21 day of October, 2016

Commissioner of the Superior Court or Notary Public

My Commission Expires



STATE OF CONNECTICUT

Written or electronic PDF copy of the written certification to accompany a large state contract pursuant to P.A. No. 13-162 (Prohibiting State Contracts With Entities Making Certain Investments In Iran)

Respondent Name: Community Action Agency of New Haven, Inc.

INSTRUCTIONS:

CHECK ONE:  Initial Certification.  Amendment or renewal.

A. Who must complete and submit this form. Effective October 1, 2013, this form must be submitted for any large state contract, as defined in section 4-250 of the Connecticut General Statutes. This form must always be submitted with the bid or proposal, or if there was no bid process, with the resulting contract, regardless of where the principal place of business is located.

Pursuant to P.A. No. 13-162, upon submission of a bid or prior to executing a large state contract, the certification portion of this form must be completed by any corporation, general partnership, limited partnership, limited liability partnership, joint venture, nonprofit organization or other business organization whose principal place of business is located outside of the United States. United States subsidiaries of foreign corporations are exempt. For purposes of this form, a "foreign corporation" is one that is organized and incorporated outside the United States of America.

Check applicable box:

- Respondent's principal place of business is within the United States or Respondent is a United States subsidiary of a foreign corporation. Respondents who check this box are not required to complete the certification portion of this form, but must submit this form with its Invitation to Bid ("ITB"), Request for Proposal ("RFP") or contract package if there was no bid process.
 Respondent's principal place of business is outside the United States and it is not a United States subsidiary of a foreign corporation. CERTIFICATION required. Please complete the certification portion of this form and submit it with the ITB or RFP response or contract package if there was no bid process.

B. Additional definitions.

- 1) "Large state contract" has the same meaning as defined in section 4-250 of the Connecticut General Statutes;
2) "Respondent" means the person whose name is set forth at the beginning of this form; and
3) "State agency" and "quasi-public agency" have the same meanings as provided in section 1-79 of the Connecticut General Statutes.

C. Certification requirements.

No state agency or quasi-public agency shall enter into any large state contract, or amend or renew any such contract with any Respondent whose principal place of business is located outside the United States and is not a United States subsidiary of a foreign corporation unless the Respondent has submitted this certification.

Complete all sections of this certification and sign and date it, under oath, in the presence of a Commissioner of the Superior Court, a Notary Public or a person authorized to take an oath in another state.

CERTIFICATION:

I, the undersigned, am the official authorized to execute contracts on behalf of the Respondent. I certify that:

- Respondent has made no direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010.
 Respondent has either made direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, or Respondent made such an investment prior to October 1, 2013 and has now increased or renewed such an investment on or after said date, or both.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Community Action Agency of New Haven, Inc.
Printed Respondent Name


Amos L. Smith
Printed Name of Authorized Official

Signature of Authorized Official

Subscribed and acknowledged before me this 21 day of October, 2016

Commissioner of the Superior Court (or Notary Public)

My Commission Expires



# STATE OF CONNECTICUT

Current User: donna.locurio@ct.gov
Biznet Menu
Log In/Out

CHRO Form

## State of Connecticut

Commission On Human Rights and Opportunities (CHRO)  
Workplace Analysis Affirmative Action Report  
Employee Information Form

White - Not of Hispanic Origin  
Black - Not of Hispanic Origin  
Asian - Asian/Pacific Islander  
Native - American Indian or Alaskan Native

### Community Action Agency of New Haven, Inc.

ID	Job Category	Totals	White Male	White Female	Black Male	Black Female	Hispanic Male	Hispanic Female	Asian Male	Asian Female	Native Male	Native Female
2980	Officials/Managers	10	0	1	1	5	0	1	1	1	0	0
2981	Professionals	12	0	4	2	2	0	4	0	0	0	0
2982	Technicians	4	1	0	3	0	0	0	0	0	0	0
2983	Sales Workers	0	0	0	0	0	0	0	0	0	0	0
2984	Office/Clerical	21	1	2	3	9	1	5	0	0	0	0
2985	Craft Workers (Skilled)	8	0	1	3	3	0	1	0	0	0	0
2986	Operatives (Semi-skilled)	0	0	0	0	0	0	0	0	0	0	0
2987	Laborers (Unskilled)	2	0	0	2	0	0	0	0	0	0	0
2988	Service Workers	0	0	0	0	0	0	0	0	0	0	0
<b>Totals</b>		<b>57</b>	<b>2</b>	<b>8</b>	<b>14</b>	<b>19</b>	<b>1</b>	<b>11</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>

Do you use minority business as subcontractors or suppliers?  Yes  No Explain:

If CT based, do you post all employment openings with the State of Connecticut Employment Service?  Yes  No Explain:

Do you use an Affirmative Action Plan?  Yes  No Explain:

Describe your recruitment, hiring, training and promotion anti-discrimination practices.

The Department of Administrative Services - Business Network. [Review our Privacy Policy](#)  
Need to contact us? Send e-mail to [DAS Web Design](#)  
All State [disclaimers and permissions](#) apply.  
[Hit Counter 1,357](#)