

**Juan F. v. Malloy Exit Plan
Quarterly Report
January 1, 2012 - March 31, 2012
Civil Action No. 2:89 CV 859 (SRU)**

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Table of Contents
***Juan F.* v Malloy Exit Plan Quarterly Report**
January 1, 2012 - March 31, 2012

Section	Page
Highlights	3
<u><i>Juan F.</i></u> Exit Plan Outcome Measure Overview Chart (January 1, 2012 - March 31, 2012)	10
Monitor's Office Case Review for Outcome Measure 3 and Outcome Measure 15	11
<u><i>Juan F.</i></u> Action Plan Monitoring Report	27
<i>Appendix 1</i> - Commissioner's Highlights from: The Department of Children and Families Exit Plan Outcome Measures Summary Report: Fourth Quarter Report (January 1, 2012 - March 31, 2012)	41

***Juan F. v. Malloy* Exit Plan Quarterly Report
January 1, 2012 - March 31, 2012**

Highlights

- The Court Monitor's quarterly review of the Department's efforts to meet the Exit Plan Outcome Measures during the period of January 1, 2012 through March 31, 2012 indicates the Department achieved 15 of the 22 Outcome Measures. The seven measures not met included: Outcome Measure 3 (Case Planning), Outcome Measure 7 (Reunification), Outcome Measure 8 (Adoption), Outcome Measure 10 (Sibling Placements), Outcome Measure 15 (Children's Needs Met), Outcome Measure 17 (Worker-Child Visitation, In-Home), and Outcome Measure 18 (Caseload Standards).
- Statewide, of the sample reviewed for Outcome Measure 3, a total of 21 of the 53 cases or 39.6% achieved the measure. This is a decline from the 44.4% reported last quarter (see page 11). As reported in our prior report, in response to the continuing challenge to improve on this critical measure, the Court Monitor has assigned Court Monitor staff to liaison with each region. The result has been promising. The liaison activities have fostered greater collaboration and information sharing on a face-to-face basis. Along with increased inclusion of DCF Administrative Case Review staff, this communication generates a much more effective transfer of knowledge between all parties. Regionally there are signs that all levels of social work staff are beginning to prioritize engagement of families to effectively develop their case plans. This was seen most recently in a meeting attended by the Court Monitor staff in which each region reported on the progress of their rigorously pursued implementation of the key components of the regional strategic plans for Outcome Measure 3.

As reported in previous quarters, improvement in the identification of priority goals and action steps as well as developing and incorporating complete assessments remains a challenge. Furthermore, the Area Office staff has not consistently utilized the feedback from the Administrative Case Review (ACR) Social Work Supervisors.

- Two of the three permanency measures were not met this quarter. Outcome Measure 7 (Reunification) fell below the 60% compliance target for the first time since 2009 at 58.9%. Outcome Measure 8 (Adoption) recorded the lowest finding since 2004. This measure identifies the percentage of children who achieved finalized adoptions in the First Quarter 2012 within 24 months of child's removal from his home. In all, 23.7% of the finalized adoptions during the First Quarter 2012 occurred within two years of the child's removal from home. This quarter's results represented a significant departure from previous quarters which have been at or near the required 32.0% standard. A review of the findings by the Department and the Court Monitor notes that in addition to timeliness issues, the number of finalized adoptions was lower than typical quarters over the last several years. This could be the result of recent changes to procedures regarding subsidized adoption, or reflective of the changing make-up of children in care with an adoption goal. The impact of increased utilization of relatives may also play a role in the decrease in the overall number of adoptions, or the increase in length of time to adoption, as relatives may require more time to come to a

decision regarding this commitment when transfer of guardianship is also an option they can explore.

- Statewide, a total of 32 of the 53 cases or 60.4% of the cases sampled achieved Outcome Measure 15 (Children's Needs Met) an increase over the 55.6% achieved during the prior quarter (see page 17). There were four area offices that achieved the 80% measure during the First Quarter 2012. These are: Middletown, Norwich, Manchester and Meriden. Region III achieved the goal of 90.0% with the combined scores of its three area offices (Willimantic, Norwich and Middletown).

The most problematic areas for meeting the service needs of children and families were in the categories of Permanency: "DCF Case Management - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months", which was at 56.6% statewide. This domain measures the agency's internal case management practice and ability to secure appropriate services that are not mental health, substance abuse behavioral health, medical, dental and education (which all have their own categories of measurement). "Well-Being: Mental Health, Behavioral and Substance Abuse Services" measured at 67.9% within the statewide sample. "Risk: In-Home" (appropriate assessment and response to identified risk to child/family safety within the in-home population) was rated at 70.6%.

An examination of individually identified Unmet Needs on pages 18 and 21, reveals a significant number of situations (over 350: 254 unmet in prior six months, 103 not included in the planning for the upcoming six months) where barriers included: delays in making a referral, the lack of a service provider specific to the need, or refusal by clients to utilize the service at the time of referral or shortly thereafter.

- The current administration has demonstrated significant progress on a number of initiatives related to policy changes. In particular, *Juan F.* Quarterly reports have detailed the decline in the use of congregate care treatment. Under the current leadership, the Department has effectively reduced the utilization of congregate care for children under the age of 12, those residing in out-of-state facilities and those residing in temporary settings. This decrease in the utilization of a restrictive level of care necessitates the need to review and monitor the outcomes for the diverted children.

To address this issue, the Department has focused on strategies, both macro and micro to ensure that children are receiving appropriate care and services. These include a close examination of a variety of system issues as well as an analysis of automated data detailing the number of re-entries, repeat maltreatment, placement changes, etc. In addition, case specific efforts by Regional Office and Central Office staff have been utilized on an ongoing basis.

Both parties in the *Juan F.* Consent Decree expressed an interest in devising a methodology to examine the outcomes for children exiting from congregate care. Fernando Muniz, DCF Chief of Quality and Planning and the Court Monitor's Office led an effort to develop and implement a review methodology that included both Department staff and Court Monitor

reviewers. These 14 reviewers included Court Monitor staff, Administrative Case Review managers and Regional Office Quality Assurance managers. Analysis of the review data is being performed primarily by the Department's Office for Research and Evaluation.

The methodology included a review of a sample from all children exiting congregate care during April-June 2011 with a focus on these cohorts of children: children under 12 years old; children returning from out-of-state residential placement, and children discharging from temporary congregate settings (Safe Homes, STAR homes, etc.).

The full report on this congregate care discharge review has not been completed at the time of this report. The report will be released either as a stand alone report or included in the next quarterly report. The report will provide three components that include: an examination of automated data for each of the cohorts from the full universe of children leaving congregate care during the quarter, a review of a random sample of 60 children, and a summary of the comments of the 14 reviewers as a result of a debriefing held at the conclusion of the review.

The notable preliminary findings include:

- There is no evidence in the 60 reviewed cases of children being "rushed out" of placements due to directives or mandates.
- There is considerable evidence of collaborative case planning in most cases. A very important factor for children's needs being identified prior to discharge and subsequently met appeared to be solid partnership and alliance between the Department, providers, families and youth. SAFE Home discharges had the most planful directives and service implementation.
- There is no indication that the administrative mandate for reduction of congregate care census for the three defined populations has led to newly identified systemic issues harmful to the planning or service provision to *Juan F.* children and youth.
- Systemic issues identified in previous review activities by DCF, Court Monitor and other external groups were evident in the sample review, such as:
 - Consequences of ineffective engagement of family and providers
 - Consequences of ineffective assessment and delays, wait-lists and unavailability of individualized service
 - Negative impact to youth who AWOL
 - Variability in planning when youth indicate a strong desire to reunite with the family from who they were removed
 - Utilization of a waiver to facilitate relative placements
 - Lack of appropriate documentation in the case record
- Since January 2009, almost two out of every three children discharging from congregate care consistently exit from DCF custody/placement or step-down to a lower level of care.
- In viewing data over the last four years, close to 30% of children discharging from congregate care move again within 90 days of their exit and another 13% move between 90 and 180 days.

- For those children that exited DCF placement, the majority (over 60%) of them tend to be discharged to some form of permanency, most often Reunification.
 - In viewing the data over that last four years, over 80% of the children who exit DCF care (under 18 years of age) maintained the stability of their discharge and avoided subsequent re-entry to DCF care.
- The Court Monitor is continuing the process of precertifying the Outcome Measures. This effort is designed to conduct a comprehensive review of the Consent Decree in order to identify strengths, weaknesses and make a determination whether the outcome is currently in compliance, both quantitative and qualitative with an eye toward establishing the path to exit. The determination of compliance via precertification will allow specific measures to be de-emphasized and thus narrow the focus of the Department's effort on the most critical measures and issues. The *Juan F.* parties have held discussions regarding the findings and a final determination of the initial group of measures that will be precertified will be established in August 2012.

The findings from Outcome Measures 12-Multiple Placements, 14-Placement Within Licensed Capacity, 16-Worker-Child Visitation (Out-of-Home), 17-Worker-Child Visitation (In-Home), 20-Discharge Measures, and 21-Discharge for Mentally Ill or Mentally Retarded Children have been published in previous quarterly reports. The three permanency measures 7-Reunification, 8-Adoption, 9-Transfer of Guardianship will be reported in the subsequent report in October 2012.

- Outcome Measure 20 (Discharge Measures) was met in the First Quarter 2012. This measure requires 85% of the youth age 18 or older to have achieved educational and/or vocational goals at the time of their discharge from DCF custody. Fifty-three (53) of the sixty-one (61) youth in this quarter's universe or 86.9% achieved one or more of the measures. Of the 53 children who met the measure:
 - 24 met one measure
 - 29 met two or more measures
 - 44 earned a high school diploma
 - 9 earned a GED
- As of May 2012, there were 316 *Juan F.* children placed in residential facilities. This is a decrease of 56 children compared to the 372 children reported last quarter. The number of children residing in residential care for greater than 12 months was 113, which is a decrease of 11 children in comparison to the 124 reported last quarter.
- The number of *Juan F.* children residing and receiving treatment in out-of-state residential facilities as of May 2012 decreased by 29 to 138 compared to the 167 reported for February 2012. There has been a decrease of 150 *Juan F.* children in out-of-state residential facilities in the last year.
- The number of children age 12 years old or younger in congregate care decreased from 90 in February 2012 to 78 as of May 2012. This reduction was primarily in Residential placement facilities.

- As of May 2012, there were two (2) children aged 1 to 5 years of age residing in a SAFE Home placement. This is a decrease of two (2) children from February 2012. There were 34 children age 12 and under in SAFE Home settings as of May 2012.
- The number of children utilizing SAFE Home temporary placements increased to 63 as of May 2012 compared with the 60 reported as of February 2012. The number of children in SAFE Home overstay status (>60 days), decreased to 40 children compared with the 44 children reported last quarter. The First Quarter data indicates 63.4% (40 of 63) of the children are in overstay status. There were 11 children with lengths of stay in excess of six months as of May 2012. The lack of sufficient foster/adoptive resources remains a significant barrier to timely discharge for these children.
- There were 71 youth in STAR programs as of May 2012, four less than the 75 reported in February 2012. The number of youth in overstay status (>60 days) in STAR placements decreased to 37 youth, compared with the 40 youth noted last quarter. Fifty-two percent (52.1%) of the youth (40 of 75) in STAR programs were in overstay status as of May 2012. There were 9 children with lengths of stay longer than six months as of May 2012. The lack of sufficient and appropriate treatment/placement services especially family-based settings for older youth hamper efforts to reduce the utilization of STAR services and manage short lengths of stay.
- The Division of Foster Care's monthly report for April 2012 indicates that there are 2,285 licensed DCF foster homes. This is a decrease of 10 homes compared with the Fourth Quarter 2011 report. The number of approved private provider foster care homes is 869. The number of private provider foster homes currently available for placement is 69. The Department's goal as outlined in the Stipulation Regarding Outcome Measures 3 and 15 required (1) a statewide gain of 350 foster homes by June 30, 2009; and (2) an additional statewide gain of 500 foster homes by June 30, 2010. The baseline set in June 2008 and revised during the Second Quarter 2011 is 3,287 foster homes. The Department's status as of April 2012 is 3,154 homes, a net loss of 133 homes compared with the baseline set in June 2008. Additional foster care and adoptive resources remain an essential component required to address the needs of children, reduce discharge delays, avoid overcapacity placements, and ensure placement in the most appropriate and least restrictive setting.
- The number of children with the goal of Another Planned Permanent Living Arrangement (APPLA) decreased by 40 from the 711 to 671 this quarter. The Department's efforts to appropriately pursue APPLA goals for youth, including modifying the goal of children with an APPLA goal to a preferred goal, and the continued age-out of older youth contributes to the sizeable reduction in the number of children with APPLA over the last few years.

- The Monitor’s quarterly review of the Department for the period of January 1, 2012 through March 31, 2012 indicates that the Department did not achieve compliance with seven (7) measures:
 - Treatment Planning (39.6%)
 - Reunification (58.9%)
 - Adoption (23.7%)
 - Sibling Placements (88.5%)
 - Children's Needs Met (60.4%)
 - Worker-Child Visitation In-Home Cases (84.8%)¹
 - Caseload Standards (99.8%)

- The Monitor’s quarterly review of the Department for the period of January 1, 2012 through March 31, 2012 indicates the Department has achieved compliance with the following 15 Outcome Measures:
 - Commencement of Investigations (96.6%)
 - Completion of Investigations (91.9%)
 - Search for Relatives (89.3%)
 - Repeat Maltreatment (4.3%)
 - Maltreatment of Children in Out-of Home Cases (0.1%)
 - Transfer of Guardianship (81.4%)
 - Re-Entry into DCF custody (5.8%)
 - Multiple Placements (96.6%)
 - Foster Parent Training (100.0%)
 - Placement within Licensed Capacity (97.7%)
 - Worker-Child Visitation Out-of Home Cases (95.1% Monthly/99.2% Quarterly)
 - Residential Reduction (7.5%)
 - Discharge Measures regarding Education, Work, and Military Status (86.9%)
 - Discharge to DMHAS and DMR (100.0%)
 - Multi-disciplinary Exams (90.0%)

¹ Analysis of the recent certification review of In-Home visitation revealed that LINK/ROM data does not reflect the specific standard outlined in the Exit Plan. The automated LINK data only records whether someone within the family is seen twice a month rather than whether all active participants are seen twice a month.

- The Department has maintained compliance for at least two (2) consecutive quarters² with 14 of the Outcome Measures reported as achieved this quarter. (Measures are shown designating the number of consecutive quarters for which the measure was achieved):
 - Commencement of Investigations (thirtieth consecutive quarter)
 - Completion of Investigations (thirtieth consecutive quarter)
 - Search for Relatives (twenty-fifth consecutive quarter)
 - Repeat Maltreatment (twentieth consecutive quarter)
 - Maltreatment of Children in Out-of-Home Care (thirty-third consecutive quarter)
 - Transfer of Guardianship (thirteenth consecutive quarter)
 - Re-Entry into DCF custody (second consecutive quarter)
 - Multiple Placements (fifteenth consecutive quarter)
 - Placement within Licensed Capacity (second consecutive quarter)
 - Foster Parent Training (fifteenth consecutive quarter)
 - Visitation Out-of-Home (twenty-sixth consecutive quarter)
 - Residential Reduction (twenty-fourth consecutive quarter)
 - Discharge to DMHAS and DMR (second consecutive quarter)
 - Multi-disciplinary Exams (twenty-fifth consecutive quarter)

A full copy of the Department's First Quarter 2012 submission including the Commissioner's Highlights may be found on page 42.

² The Defendants must be in compliance with all of the outcome measures, and in sustained compliance with all of the outcome measures for at least two consecutive quarters (six-months) prior to asserting compliance and shall maintain compliance through any decision to terminate jurisdiction.

Statewide Juan F. Exit Plan Report Outcome Measure Overview																							
Measure	Measure	Base-line	1Q 2012	4Q 2011	3Q 2011	2Q 2011	1Q 2011	4Q 2010	3Q 2010	2Q 2010	1Q 2010	4Q 2009	3Q 2009	2Q 2009	1Q 2009	4Q 2008	3Q 2008	2Q 2008	1Q 2008	4Q 2007	3Q 2007	2Q 2007	1Q 2007
1: Commencement of Investigation	>=90%	X	96.6%	97.1%	97.3%	97.2%	97.2%	96.8%	97.4%	97.6%	97.4%	97.8%	97.6%	97.7%	97.6%	97.9%	97.4%	97.5%	97.8%	97.4%	97.0%	97.1%	96.5%
2: Completion of the Investigation	>=85%	73.7%	91.9%	93.3%	94.0%	94.4%	92.7%	90.0%	91.5%	92.9%	93.7%	94.3%	94.0%	91.8%	91.3%	91.4%	89.9%	93.7%	91.5%	92.9%	94.2%	93.7%	93.0%
3: Treatment Plans	>=90%	X	39.6%	44.4%	50.9%	N/A	81.1%	67.9%	66.0%	75.5%	86.5%	47.2%	53.8%	73.1%	65.4%	81.1%	62.3%	55.8%	58.8%	51.0%	30.0%	30.3%	41.3%
4: Search for Relatives	>=85%	58%	89.3%	92.8%	94.5%	94.5%	90.1%	88.8%	90.9%	91.2%	92.0%	90.0%	91.0%	91.2%	94.3%	94.3%	96.3%	95.8%	95.3%	93.6%	91.4%	93.8%	92.0%
5: Repeat Maltreatment of In-Home Children	<=7%	9.3%	4.3%	6.0%	6.1%	5.4%	5.7%	6.2%	6.5%	6.5%	5.8%	6.0%	5.4%	4.8%	5.8%	6.1%	5.7%	5.9%	5.7%	5.4%	6.1%	6.3%	7.4%
6: Maltreatment of Children in Out-of-Home Care	<=2%	1.2%	0.1%	0.1%	0.2%	0.1%	0.1%	0.4%	0.2%	0.1%	0.2%	0.3%	0.4%	0.1%	0.3%	0.2%	0.3%	0.3%	0.2%	0.2%	0.3%	0.0%	0.2%
7: Reunification	>=60%	57.8%	58.9%	65.8%	65.3%	73.1%	61.7%	64.9%	68.3%	67.1%	61.2%	71.4%	56.0%	71.9%	68.1%	69.6%	57.1%	59.4%	56.5%	58.0%	65.5%	67.9%	70.5%
8: Adoption	>=32%	12.5%	23.7%	33.6%	40.0%	32.7%	35.6%	38.5%	25.8%	36.0%	34.7%	35.2%	36.7%	33.2%	44.7%	27.2%	32.3%	33.0%	41.5%	35.5%	36.2%	40.6%	34.5%
9: Transfer of Guardianship	>=70%	60.5%	81.4%	83.1%	83.6%	78.4%	86.2%	87.3%	78.6%	74.6%	82.3%	76.3%	81.8%	75.7%	75.3%	64.9%	71.7%	70.0%	70.4%	80.8%	76.8%	88.0%	78.0%
10: Sibling Placement	>=95%	57%	88.5%	91.8%	89.3%	85.8%	86.7%	83.3%	81.9%	84.8%	85.6%	83.4%	84.7%	83.1%	83.4%	82.1%	82.6%	86.8%	86.7%	85.2%	83.3%	79.1%	84.9%
11: Re-Entry into DCF Custody	<=7%	6.9%	5.8%	6.4%	7.2%	4.4%	7.7%	6.3%	7.3%	6.7%	8.4%	7.8%	9.9%	8.8%	8.2%	7.4%	6.7%	6.7%	11.0%	7.8%	9.0%	8.5%	7.5%
12: Multiple Placements	>=85%	X	96.6%	96.4%	96.4%	96.1%	96.1%	96.1%	95.7%	95.8%	95.9%	95.4%	95.7%	95.8%	96.0%	95.8%	95.9%	96.3%	91.2%	92.7%	94.4%	96.0%	96.3%
13: Foster Parent Training	100%	X	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
14: Placement Within Licensed Capacity	>=96%	94.9%	97.7%	96.1%	95.2%	95.6%	96.8%	96.8%	95.4%	95.1%	96.9%	96.9%	96.3%	96.6%	96.6%	96.6%	97.0%	96.8%	96.4%	96.8%	96.9%	97.1%	96.8%
15: Children's Needs Met	>=80%	X	60.4%	55.6%	60.4%	N/A	58.5%	56.6%	58.5%	52.8%	67.3%	45.3%	55.8%	63.5%	61.5%	58.5%	52.8%	55.8%	58.8%	47.1%	64.0%	51.3%	45.3%
16: Worker-Child Visitation (Out-of-Home)	>=85%(M)	X	95.1%	92.3%	95.0%	95.1%	95.8%	95.3%	95.3%	95.7%	96.2%	95.8%	95.1%	95.7%	95.7%	95.0%	95.4%	94.9%	95.9%	94.6%	94.8%	94.6%	95.1%
	=100%(Q)	X	99.2%	98.6%	99.0%	99.2%	99.2%	98.9%	98.9%	99.3%	99.6%	99.7%	99.0%	99.3%	99.2%	98.9%	98.6%	98.7%	99.1%	98.5%	98.7%	98.7%	99.1%
17: Worker-Child Visitation (In-Home)	>=85%	X	84.8%	85.9%	86.3%	89.7%	88.5%	89.7%	89.4%	89.7%	89.6%	88.5%	88.8%	89.6%	90.5%	89.7%	90.3%	91.4%	90.8%	89.9%	89.4%	90.9%	89.0%
18: Caseload Standards	100%	69.2%	99.8%	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	100.0%	100.0%	99.9%	99.6%	99.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
19: Reduction in the Number of Children Placed in Residential	<=11%	13.5%	7.5%	8.5%	8.8%	9.8%	10.0%	9.9%	9.4%	10.1%	10.0%	9.9%	9.6%	9.7%	10.0%	10.0%	10.0%	10.4%	10.5%	10.9%	10.8%	11.0%	10.9%
20: Discharge Measures	>=85%	61%	86.9%	76.5%	88.0%	79.4%	82.9%	87.2%	88.5%	87.9%	86.0%	86.9%	80.0%	92.2%	85.3%	92.2%	93.0%	92.0%	92.0%	96.0%	95.0%	100.0%	98.0%
21: Discharge of Mentally Ill or Mentally Retarded Children	100%	X	100.0%	100.0%	95.7%	92.0%	97.0%	96.1%	97.3%	98.1%	100.0%	97.6%	100.0%	97.2%	96.7%	95.0%	95.0%	98.0%	97.0%	96.0%	95.0%	83.0%	90.0%
22: Multi-disciplinary Exams (MDE)	>=85%	5.6%	90.0%	93.4%	93.3%	96.3%	91.9%	97.5%	96.1%	96.4%	95.7%	95.7%	91.4%	94.5%	93.6%	90.1%	94.0%	93.6%	98.7%	96.4%	95.2%	96.8%	91.1%

Monitor's Office Case Review for Outcome Measure 3 and Outcome Measure 15

Statewide, the Outcome Measure 3 total for 1st Quarter 2012 was 39.6%. None of the area offices achieved the 90% requirement. The closest to achievement of this measure was the New Britain Office which attained 83.3% compliance within the sample cases. Region VI was the highest scoring region across the six regions of the state each with an overall average of 75.0% compliance. Regions I and V struggled this quarter, each with combined regional averages of 12.5%.

DCF Region Crosstabulation 1: What is the social worker's area office assignment? * Overall Score for OM3 *

DCF Region	What is the social worker's area office assignment?	Overall Score for OM3			
		Appropriate Case Plan	Not an Appropriate Case Plan	Total	
Region I	Bridgeport	Count	1	3	4
		% within Area Office	25.0%	75.0%	100.0%
	Norwalk	Count	0	2	2
		% within Area Office	.0%	100.0%	100.0%
	Stamford	Count	0	2	2
		% within Area Office	.0%	100.0%	100.0%
Total	Count	1	7	8	
	% within Area Office	12.5%	87.5%	100.0%	
Region II	Milford	Count	2	2	4
		% within Area Office	50.0%	50.0%	100.0%
	New Haven	Count	0	4	4
		% within Area Office	.0%	100.0%	100.0%
Total	Count	2	6	8	
	% within Area Office	25.0%	75.0%	100.0%	
Region III	Middletown	Count	1	1	2
		% within Area Office	50.0%	50.0%	100.0%
	Norwich	Count	4	1	5
		% within Area Office	80.0%	20.0%	100.0%
	Willimantic	Count	1	2	3
		% within Area Office	33.3%	66.7%	100.0%
Total	Count	6	4	10	
	% within Area Office	60.0%	40.0%	100.0%	
Region IV	Hartford	Count	2	5	7
		% within Area Office	28.6%	71.4%	100.0%
	Manchester	Count	3	1	4
		% within Area Office	75.0%	25.0%	100.0%
Total	Count	5	6	11	
	% within Area Office	45.5%	54.5%	100.0%	
Region V	Danbury	Count	0	2	2
		% within Area Office	.0%	100.0%	100.0%
	Torrington	Count	0	2	2
		% within Area Office	.0%	100.0%	100.0%
	Waterbury	Count	1	3	4
		% within Area Office	25.0%	75.0%	100.0%
Total	Count	1	7	8	
	% within Area Office	12.5%	87.5%	100.0%	
Region VI	Meriden	Count	1	1	2
		% within Area Office	50.0%	50.0%	100.0%
	New Britain	Count	5	1	6
		% within Area Office	83.3%	16.7%	100.0%
	Total	Count	6	2	8
	% within Area Office	75.0%	25.0%	100.0%	

Assessment, Engagement, Action Steps and Goals continue to be the most problematic areas of case planning. Statewide percentages are shown in the column headings below.

Table 1: Case Summaries for First Quarter 2012 Outcome Measure 3: Appropriate Case Planning

What is the social worker's area office assignment?		Has the treatment plan been approved by the SWS?	Reason for DCF Involvement 88.7%	Identifying Information 86.8%	Engagement of Child and Family 50.9%	Present Situation and Assessment to Date of Review 45.3%	Determining the Goals/Objectives 58.5%	Progress 72.0% (excluding too early to note)	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period 56.6%	Planning for Permanency 77.4%	Overall Score for OM3 39.6%	Was the family or child's language needs accommodated? 96.2%
Bridgeport	1	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Marginal	Marginal	Not an Appropriate Case Plan	yes
	2	yes	Optimal	Very Good	Very Good	Marginal	Very Good	Optimal	Very Good	Marginal	Appropriate Case Plan	yes
	3	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	yes
	4	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Marginal	Not an Appropriate Case Plan	yes
Danbury	1	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Marginal	Very Good	Not an Appropriate Case Plan	yes
	2	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Too early to note progress	Marginal	Optimal	Not an Appropriate Case Plan	no
Milford	1	yes	Very Good	Marginal	Very Good	Marginal	Marginal	Poor	Very Good	Very Good	Not an Appropriate Case Plan	yes
	2	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan	yes
	3	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan	yes
	4	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Marginal	Poor	Not an Appropriate Case Plan	yes

What is the social worker's area office assignment?		Has the treatment plan been approved by the SWS?	Reason for DCF Involvement 88.7%	Identifying Information 86.8%	Engagement of Child and Family 50.9%	Present Situation and Assessment to Date of Review 45.3%	Determining the Goals/Objectives 58.5%	Progress 72.0% (excluding too early to note)	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period 56.6%	Planning for Permanency 77.4%	Overall Score for OM3 39.6%	Was the family or child's language needs accommodated? 96.2%
Hartford	1	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	yes
	2	no	Marginal	Marginal	Absent/Averse	Poor	Poor	Poor	Poor	Marginal	Not an Appropriate Case Plan	yes
	3	yes	Optimal	Very Good	Marginal	Marginal	Marginal	Marginal	Marginal	Very Good	Not an Appropriate Case Plan	yes
	4	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Appropriate Case Plan	yes
	5	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	no
	6	no	Poor	Poor	Poor	Poor	Poor	Poor	Poor	Poor	Not an Appropriate Case Plan	yes
	7	no	Marginal	Very Good	Marginal	Marginal	Poor	Marginal	Poor	Marginal	Not an Appropriate Case Plan	yes

What is the social worker's area office assignment?		Has the treatment plan been approved by the SWS?	Reason for DCF Involvement 88.7%	Identifying Information 86.8%	Engagement of Child and Family 50.9%	Present Situation and Assessment to Date of Review 45.3%	Determining the Goals/Objectives 58.5%	Progress 72.0% (excluding too early to note)	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period 56.6%	Planning for Permanency 77.4%	Overall Score for OM3 39.6%	Was the family or child's language needs accommodated? 96.2%
Manchester	1	yes	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Marginal	Not an Appropriate Case Plan	yes
	2	yes	Optimal	Very Good	Very Good	Very Good	Optimal	Optimal	Very Good	Optimal	Appropriate Case Plan	yes
	3	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	yes
	4	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Optimal	Appropriate Case Plan	yes
Meriden	1	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	yes
	2	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	yes
Middletown	1	yes	Optimal	Very Good	Very Good	Very Good	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	yes
	2	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan	yes
New Britain	1	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan	yes
	2	yes	Very Good	Optimal	Optimal	Very Good	Optimal	Optimal	Very Good	Optimal	Appropriate Case Plan	yes
	3	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	yes
	4	yes	Optimal	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	yes
	5	yes	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Very Good	Appropriate Case Plan	yes
	6	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	yes

What is the social worker's area office assignment?		Has the treatment plan been approved by the SWS?	Reason for DCF Involvement 88.7%	Identifying Information 86.8%	Engagement of Child and Family 50.9%	Present Situation and Assessment to Date of Review 45.3%	Determining the Goals/Objectives 58.5%	Progress 72.0% (excluding too early to note)	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period 56.6%	Planning for Permanency 77.4%	Overall Score for OM3 39.6%	Was the family or child's language needs accommodated? 96.2%
New Haven	1	yes	Optimal	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	yes
	2	yes	Optimal	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	yes
	3	yes	Marginal	Very Good	Very Good	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	yes
	4	yes	Marginal	Very Good	Marginal	Marginal	Marginal	Marginal	Very Good	Marginal	Not an Appropriate Case Plan	yes
Norwalk	1	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Very Good	Marginal	Not an Appropriate Case Plan	yes
	2	yes	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Very Good	Not an Appropriate Case Plan	yes
Norwich	1	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	yes
	2	yes	Optimal	Optimal	Very Good	Very Good	Very Good	Optimal	Very Good	Optimal	Appropriate Case Plan	yes
	3	yes	Optimal	Very Good	Very Good	Optimal	Very Good	Marginal	Marginal	Optimal	Not an Appropriate Case Plan	yes
	4	yes	Optimal	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	yes
	5	yes	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan	yes

What is the social worker's area office assignment?		Has the treatment plan been approved by the SWS?	Reason for DCF Involvement 88.7%	Identifying Information 86.8%	Engagement of Child and Family 50.9%	Present Situation and Assessment to Date of Review 45.3%	Determining the Goals/Objectives 58.5%	Progress 72.0% (excluding too early to note)	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period 56.6%	Planning for Permanency 77.4%	Overall Score for OM3 39.6%	Was the family or child's language needs accommodated? 96.2%
Stamford	1	yes	Marginal	Marginal	Poor	Poor	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Not an Appropriate Case Plan	yes
	2	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Very Good	Not an Appropriate Case Plan	yes
Torrington	1	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	yes
	2	no	Very Good	Marginal	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	yes
Waterbury	1	yes	Very Good	Marginal	Marginal	Poor	Marginal	Poor	Marginal	Marginal	Not an Appropriate Case Plan	yes
	2	yes	Optimal	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	yes
	3	yes	Very Good	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	yes
	4	yes	Optimal	Optimal	Marginal	Marginal	Very Good	Too early to note progress	Marginal	Very Good	Not an Appropriate Case Plan	yes
Willimantic	1	yes	Optimal	Very Good	Very Good	Optimal	Very Good	Optimal	Marginal	Very Good	Appropriate Case Plan	yes
	2	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Too early to note progress	Marginal	Very Good	Not an Appropriate Case Plan	yes
	3	yes	Optimal	Very Good	Marginal	Very Good	Marginal	Optimal	Marginal	Very Good	Not an Appropriate Case Plan	yes
Total	N	53	53	53	53	53	53	53	53	53	53	53

The overall statewide achievement for OM15 during the 1st Quarter 2012 is 60.4%. There were four area offices that achieved the 80% measure during the 1st Quarter 2012. These are: Middletown, Norwich, Manchester and Meriden. Region III achieved the goal of 90.0% with the combined scores of its three area offices (Willimantic, Norwich, and Middletown).

Crosstabulation 2: What is the social worker's area office assignment? * Overall Score for Outcome Measure 15 * DCF Region First Quarter 2012

DCF Region	What is the social worker's area office assignment?		Overall Score for Outcome Measure 15		
			Needs Met	Needs Not Met	Total
Region I	Bridgeport	Count	3	1	4
		% within Area Office	75.0%	25.0%	100.0%
	Norwalk	Count	0	2	2
		% within Area Office	.0%	100.0%	100.0%
	Stamford	Count	1	1	2
% within Area Office		50.0%	50.0%	100.0%	
Region Total	Count	4	4	8	
		% within Area Office	50.0%	50.0%	100.0%
Region II	Milford	Count	2	2	4
		% within Area Office	50.0%	50.0%	100.0%
	New Haven	Count	1	3	4
		% within Area Office	25.0%	75.0%	100.0%
	Region Total	Count	3	5	8
% within Area Office		37.5%	62.5%	100.0%	
Region III	Middletown	Count	2	0	2
		% within Area Office	100.0%	.0%	100.0%
	Norwich	Count	5	0	5
		% within Area Office	100.0%	.0%	100.0%
	Willimantic	Count	2	1	3
		% within Area Office	66.7%	33.3%	100.0%
	Region Total	Count	9	1	10
% within Area Office		90.0%	10.0%	100.0%	
Region IV	Hartford	Count	3	4	7
		% within Area Office	42.9%	57.1%	100.0%
	Manchester	Count	4	0	4
		% within Area Office	100.0%	.0%	100.0%
	Region Total	Count	7	4	11
% within Area Office		63.6%	36.4%	100.0%	
Region V	Danbury	Count	0	2	2
		% within Area Office	.0%	100.0%	100.0%
	Torrington	Count	1	1	2
		% within Area Office	50.0%	50.0%	100.0%
	Waterbury	Count	2	2	4
		% within Area Office	50.0%	50.0%	100.0%
	Region Total	Count	3	5	8
% within Area Office		37.5%	62.5%	100.0%	
Region VI	Meriden	Count	2	0	2
		% within Area Office	100.0%	.0%	100.0%
	New Britain	Count	4	2	6
		% within Area Office	66.7%	33.3%	100.0%
	Region Total	Count	6	2	8
% within Area Office		75.0%	25.0%	100.0%	

The most problematic areas for meeting the service needs met of children and families were in the categories of Permanency: "DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months", which was at 56.6% statewide. This domain measures the agency's internal case management practice and ability to secure appropriate services that are not mental health, substance abuse behavioral health, medical, dental and education (which all have their own categories of measurement). "Well-Being: Mental Health, Behavioral and Substance Abuse Services" measured at 67.9% within the statewide sample. "Risk: In-Home" (appropriate assessment and response to identified risk to child/family safety within the in-home population) was rated at 70.6%.

There were a total of 254 individually identified specific Unmet Needs which included the following:

Table 2: Unmet Needs in the Prior Six Month Period

Unmet Need	Barrier	Frequency
Adoption Supports (PPSP)	Delay in Referral	1
Anger Management	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	2
Anger Management	No Service Identified to Meet this Need	1
ARG Consultation	Delay in Referral	9
Behavior Management	No Service Identified to Meet this Need	3
Behavior Management	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	1
Behavior Management	Delay in Referral	1
Behavior Management	Provider Issues - untimely provision of services related to staffing, lack of follow through, etc	1
Case Management/Support/Advocacy	Supervisory oversight of delays in referrals/lack of communication with providers, and documentation noted	21
Case Management/Support/Advocacy	Failure to address ACR recommendations	1
Case Management/Support/Advocacy	Lack of concurrent planning	1
Day Treatment/Partial Hospitalization for Child	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	1
Delinquency Prevention Program	No Service Identified to Meet this Need	1
Dental or Orthodontic Services	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	2
Dental Screening or Evaluation	Delay in Referral	5
Dental Screening or Evaluation	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	4
Dental Screening or Evaluation	No Service Identified to Meet this Need	1
Dental Screening or Evaluation	UTD from Case Plan or Area Office Response	1
Developmental Screening or Evaluation	Client discharged for noncompliance/missed appointments/or refusal of follow-up services	1
Domestic Violence Services - Perpetrators	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	3
Domestic Violence Services - Perpetrators	Delay in Referral	2
Domestic Violence Services - Perpetrators	No Service Identified to Meet this Need	1
Domestic Violence Services - Victims	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	3
Domestic Violence Services - Victims	Delay in Referral	1
Domestic Violence Services - Victims	No Service Identified to Meet this Need	1
Drug/Alcohol Education - Parent	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	1
Drug/Alcohol Testing - Parent	Delay in Referral	1
Education: IEP Programming	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	1
Education: IEP Programming	No Service Identified to Meet this Need	1
Educational Screening or Evaluation	No Service Identified to Meet this Need	3
Educational Screening or Evaluation	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	2
Educational Screening or Evaluation	Lack of Communication between DCF and Provider	1
Family or Marital Counseling	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	6
Family or Marital Counseling	Wait List	1

Unmet Need	Barrier	Frequency
Family Preservation Services	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	2
Family Preservation Services	Delay in Referral	1
Family Stabilization Services	Delay in Referral	1
Flex Funds for Basic Needs	No Service Identified to Meet this Need	1
Foster Care - Basic	Delay in Referral	2
Foster Care - Therapeutic Level	Delay in Referral	1
Foster Care Support	Delay in Referral	2
Foster Care Support	No Service Identified to Meet this Need	2
Health/Medical Screening or Evaluation	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	2
Health/Medical Screening or Evaluation	Delay in Referral	1
Housing Assistance (Section 8)	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	1
Housing Assistance (Section 8)	Wait List	1
Individual Counseling - Child	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	5
Individual Counseling - Child	Provider Issues - untimely provision of services related to staffing, lack of follow through, etc	2
Individual Counseling - Child	No Service Identified to Meet this Need	1
Individual Counseling - Child	Provider Issues - untimely provision of services related to staffing, lack of follow through, etc	1
Individual Counseling - Child	Wait List	1
Individual Counseling - Parent	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	5
Individual Counseling - Parent	Delay in Referral	1
Individual Counseling - Parent	Insurance Issues	1
Individual Counseling - Parent	No Service Identified to Meet this Need	1
Individual Counseling - Parent	Service Deferred Pending Completion of Another	1
In-Home Parent Education and Support	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	4
In-Home Parent Education and Support	Service Deferred Pending Completion of Another	2
In-Home Parent Education and Support	No Service Identified to Meet this Need	1
In-Home Treatment	No Service Identified to Meet this Need	3
In-Home Treatment	Delay in Referral	1
Inpatient Substance Abuse Treatment - Parent	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	2
Job Coaching/Placement	Service Deferred Pending Completion of Another	1
Life Skills Training	Delay in Referral	2
Matching/Processing/ICO	Delay in Referral	1
Medication Management - Child	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	1
Medication Management - Parent	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	2
Mental Health Screening or Evaluation - Child	No Service Identified to Meet this Need	3
Mental Health Screening or Evaluation - Child	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	1
Mental Health Screening or Evaluation - Child	Delay in Referral	1
Mental Health Screening or Evaluation - Parent	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	3
Mental Health Service - Other (Child required DBT therapy)	Delay in Referral	1
Mentoring	Delay in Referral	4
Mentoring	No Service Identified to Meet this Need	3
Other In-Home Service - Parenting Education for Parent of PDD child	No Service Identified to Meet this Need	1
Other Medical Intervention (Child) - Nutritionist to address obesity	Insurance Issue	1
Other OOH Service - Probate Involvement	Delay in Referral	1
Other State Agency - DHMAS	Delay in Referral	1
Outpatient Substance Abuse Treatment - Parent	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	6

Unmet Need	Barrier	Frequency
Outpatient Substance Abuse Treatment - Parent	Transportation	1
Parenting Classes	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	6
Parenting Classes	Delay in Referral	1
Parenting Classes	No Service Identified to Meet this Need	1
Parenting Classes	Service Deferred Pending Completion of Another	1
Parenting Groups	Delay in Referral	1
Parenting Groups	No Service Identified to Meet this Need	1
Psychiatric Evaluation - Child	Other- Conflicting Professional Opinions	1
Psychological or Psychosocial Evaluation - Child	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	1
Relapse Prevention Program - Parent	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	1
Social Recreational Programs	Delay in Referral	1
Social Recreational Programs	No Service Identified to Meet this Need	1
Substance Abuse Screening - Child	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	1
Substance Abuse Screening - Child	Delay in Referral	1
Substance Abuse Screening - Parent	Client Referred but was subsequently discharged for noncompliance/missed appointments/or refusal of follow-up services	6
Supervised Visitation	No Service Identified to Meet this Need	2
SW/Child Visitation	Visitation Not at Benchmark/Policy levels	13
SW/Child Visitation	Client AWOL, refusing visits	1
SW/Child Visitation	UTD from Case Plan or Area Office Response	1
SW/Parent Visitation	Visitation Not at Benchmark/Policy levels	16
SW/Parent Visitation	Client refusing visits	1
SW/Parent Visitation	Lack of discussion related to Permanency Identified by Reviewer	1
SW/Provider & Collateral Contacts	Lack of timely communication, delays in referrals and follow through.	24
Therapeutic Mentor	Wait List	1
Translation/Interpreter Services	Delay in Referral	1
		254

The ACR was identified as an area of strength for many of the CIP cases reviewed, and though there is little documentation of participants outside of immediate family when family conferencing occurs, there is increased evidence of documentation identifying a discussion of case planning in both the child-in-placement cases and in-home services cases. That being said, there is still a disconnect between what is identified as unmet, and what is then incorporated as a need within the action steps of case plan for the upcoming six month cycle. This quarter's review found that, 18.9% of the sample had plans that addressed all unmet needs identified. 58.2% of the case plans partially addressed the identified needs. 3.8% failed to address any of the needs identified within the action steps written.

Table 3: Were all needs and services unmet during the prior six month discussed at the ACR (FC) and, as appropriate, incorporated as action steps on the current case plan?

	Frequency	Percent	Valid Percent	Cumulative Percent
Valid Yes - All	10	18.9	18.9	18.9
Yes - Partially	31	58.5	58.5	77.4
No - None	2	3.8	3.8	81.1
N/A - There are no Unmet Needs	6	11.3	11.3	92.5
N/A - this is the initial plan	4	7.5	7.5	100.0
Total	53	100.0	100.0	

In 26.4% of the cases, one or more had an unmet need(s) which had been identified in the prior ACR six months ago, and still remained unaddressed at the time of the current case plan approval.

In addition, in 62.3% of the cases, there were unmet service needs at the time of the review which were not identified on the case plan or possibly the DCF-553 as recommendations. In some instances these needs did not rise to the level of priority to require their own objective, but should have been folded under another related objective, or addressed within the assessment of the case plan document. It appeared to the reviewer through the LINK documentation and/or discussion at the ACR that these needs were contributory to the reason for continued placement or directly impacted the well being, safety or permanency of the child and merited attention, yet these needs were not given weight within the case plan document.

The listing of the 103 needs not addressed in the approved case plan for the next six months is:

Table 4: First Quarter 2012 Needs Not Incorporated into Case Planning

Unmet Need	Barrier	Frequency
Adoption Recruitment	No Service Identified to Meet this Need	1
Adoption Supports (PPSP)	No Service Identified to Meet this Need	2
Anger Management - Child	No Service Identified to Meet this Need	1
ARG Consultation	No Service Identified to Meet this Need	3
ARG Consultation	Delay in Referral	1
Basic Foster Care	No Service Identified to Meet this Need	1
Behavior Management	No Service Identified to Meet this Need	2
Case Management/Support/Advocacy	Lack of Assessment for services, legal and oversight	3
Day Treatment/Partial Hospitalization Program - Child	Client Refused Service or was Subsequently Discharged for Non-Compliance in the past	1
Dental or Orthodontic Services	Lack of Communication - Child well past due for routine maintenance	1
Dental Screening or Evaluation	No Service Identified to Meet this Need	7
Dental Screening or Evaluation	Delay in Referral	1
Dental Screening or Evaluation	Lack of Communication between DCF and Provider (Foster Parent)	1
Dental Screening or Evaluation	Other - Appointment made for future date after prior missed appointment	1
Developmental Screening or Evaluation	No Service Identified to Meet this Need	2
Domestic Violence Services - Perpetrator	No Service Identified to Meet this Need	3
Domestic Violence Services - Victim	No Service Identified to Meet this Need	3
Educational Screening or Evaluation	No Service Identified to Meet this Need	1
Family or Marital Counseling	No Service Identified to Meet this Need	2
Family or Marital Counseling	Client Refused Service or was Subsequently Discharged for Non-Compliance in the past	1
Foster Care Support	Appears Client is currently engaged per AO response - was not incorporated into case planning.	1
Group Home	No Service Identified to Meet this Need	1
Health Screening or Evaluation - Child	Delay in Referral	2
Health Screening or Evaluation - Child	No Service Identified to Meet this Need	2
Health Screening or Evaluation - Child	Client Refused Service or was Subsequently Discharged for Non-Compliance in the past	1
Health/Medical Screening or Evaluation	No Service Identified to Meet this Need	1
IEP Programming	No Service Identified to Meet this Need	1
Individual Counseling - Child	No Service Identified to Meet this Need	5
Individual Counseling - Parent	Client Refused Service or was Subsequently Discharged for Non-Compliance in the past	1
Individual Counseling - Parent	No Service Identified to Meet this Need	1
In-Home Parent Education	No Service Identified to Meet this Need	2
In-Home Treatment	No Service Identified to Meet this Need	4
Job Coaching/Placement	Client Refused Service or was Subsequently Discharged for Non-Compliance in the past	1
Life Skills Training	Delay in Referral	1
Matching/Placement Processing (Includes ICO)	No Service Identified to Meet this Need	2

Unmet Need	Barrier	Frequency
Matching/Placement Processing (Includes ICO)	Delay in Referral	1
Matching/Placement Processing (Includes ICO)	UTD from case plan, narrative or area office response provided	1
Medical Intervention - Other Nutritionist or Weight Management	Delay in Referral	1
Medical Intervention - Other Adolescent Discharge Planning regarding medical well being	UTD - Little/no documentation regarding establishing medically complex youth as client in adult systems of care	1
Medication Management - Child	No Service Identified to Meet this Need	2
Mentoring	No Service Identified to Meet this Need	3
Mentoring	Appears Client is currently engaged per AO response - was not incorporated into case planning.	2
Other In-Home Service - Basic Resource Management/Budgeting	No Service Identified to Meet this Need	1
Other State Agency Program - DHMAS	No Service Identified to Meet this Need	1
Outpatient Substance Abuse Treatment - Parent	No Service Identified to Meet this Need	1
Parenting Classes	No Service Identified to Meet this Need	2
Parenting Groups	No Service Identified to Meet this Need	1
Psychological or psychosocial evaluation - parent	No Service Identified to Meet this Need	1
Residential Facility Care	UTD from case plan, narrative or area office response provided	1
Social Recreational Program	No Service Identified to Meet this Need	2
Social Recreational Program	No Service Identified to Meet this Need	1
Substance Abuse Screening - Parent	No Service Identified to Meet this Need	3
Substance Abuse Screening/Evaluation - Child	No Service Identified to Meet this Need	1
Supervised Visitation	No Service Identified to Meet this Need	1
SW/Child Visitation	Deficits from prior period not addressed	3
SW/Parent Visitation	Deficits from prior period not addressed	3
SW/Provider Contacts	Delays in contacts	3
Therapeutic Foster Care	No Service Identified to Meet this Need	1
Therapeutic Mentor	No Service Identified to Meet this Need	1
Young Parents Program	No Service Identified to Meet this Need	1
		103

Below are the Case summaries detailing the 11 domains of Outcome Measure 15 and individual score by case within each area office for the First Quarter 2012. The overall percentages for the state are indicated in the column headings.

Case Summaries for OM15

What is the social worker's area office assignment?		Risk: In-Home 70.6%	Risk: Child In Placement 97.3%	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months 86.5%	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months 84.9%	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months 91.9%	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months 56.6%	Well-Being: Medical Needs 92.4%	Well-Being: Dental Needs 88.7%	Well-Being: Mental Health, Behavioral and Substance Abuse Services 67.9%	Well-Being: Child's Current Placement 100.0%	Well-Being: Education 83.0%	Overall Score for Outcome Measure 15 60.4%
Bridgeport	1	N/A to Case Type	Very Good	Very Good	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Needs Met
	2	Very Good	N/A to Case Type	N/A to Case Type	Absent/Averse	N/A to Case Type	Very Good	Very Good	Very Good	Very Good	N/A to Case Type	Optimal	Needs Met
	3	N/A to Case Type	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Needs Met
	4	N/A to Case Type	Very Good	Marginal	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Marginal	Needs Not Met
Danbury	1	N/A to Case Type	Very Good	Very Good	Absent/Averse	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Not Met
	2	Very Good	N/A to Case Type	N/A to Case Type	Very Good	N/A to Case Type	Marginal	Very Good	Optimal	Marginal	N/A to Case Type	N/A to Case Type	Needs Not Met
Milford	1	Very Good	N/A to Case Type	N/A to Case Type	Optimal	N/A to Case Type	Marginal	Optimal	Very Good	Very Good	N/A to Case Type	Marginal	Needs Not Met
	2	N/A to Case Type	Optimal	Very Good	Optimal	Optimal	Very Good	Optimal	Optimal	Optimal	Optimal	Very Good	Needs Met
	3	N/A to Case Type	Very Good	Optimal	Optimal	Optimal	Marginal	Very Good	Optimal	Very Good	Very Good	Very Good	Needs Met
	4	N/A to Case Type	Very Good	Marginal	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Optimal	Very Good	Needs Not Met
Hartford	1	N/A to Case Type	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Optimal	Very Good	Needs Met
	2	Marginal	N/A to Case Type	N/A to Case Type	Marginal	N/A to Case Type	Poor	Very Good	Very Good	Marginal	N/A to Case Type	Marginal	Needs Not Met
	3	N/A to Case Type	Very Good	Very Good	Optimal	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Optimal	Needs Not Met
	4	N/A to Case Type	Very Good	Very Good	Optimal	Very Good	Marginal	Very Good	Optimal	Very Good	Very Good	Very Good	Needs Met
	5	Marginal	N/A to Case Type	N/A to Case Type	Poor	N/A to Case Type	Marginal	Marginal	Marginal	Marginal	N/A to Case Type	Poor	Needs Not Met
	6	N/A to Case Type	Optimal	Very Good	Very Good	Very Good	Marginal	Very Good	Optimal	Very Good	Very Good	Optimal	Needs Met
	7	N/A to Case Type	Very Good	Poor	Very Good	Marginal	Marginal	Optimal	Very Good	Very Good	Very Good	N/A to Case Type	Needs Not Met

What is the social worker's area office assignment?		Risk: In-Home 70.6%	Risk: Child In Placement 97.3%	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months 86.5%	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months 84.9%	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months 91.9%	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months 56.6%	Well-Being: Medical Needs 92.4%	Well-Being: Dental Needs 88.7%	Well-Being: Mental Health, Behavioral and Substance Abuse Services 67.9%	Well-Being: Child's Current Placement 100.0%	Well-Being: Education 83.0%	Overall Score for Outcome Measure 15 60.4%
Manchester	1	N/A to Case Type	Very Good	Very Good	Very Good	Very Good	Marginal	Optimal	Optimal	Optimal	Very Good	Optimal	Needs Met
	2	N/A to Case Type	Optimal	Optimal	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Needs Met
	3	N/A to Case Type	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Needs Met
	4	Very Good	N/A to Case Type	N/A to Case Type	Optimal	N/A to Case Type	Very Good	Optimal	Marginal	Marginal	N/A to Case Type	Marginal	Needs Met
Meriden	1	Very Good	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Needs Met
	2	N/A to Case Type	N/A to Case Type	N/A to Case Type	Very Good	N/A to Case Type	Very Good	Optimal	Marginal	Marginal	N/A to Case Type	Very Good	Needs Met
Middletown	1	N/A to Case Type	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Needs Met
	2	Very Good	N/A to Case Type	N/A to Case Type	Optimal	N/A to Case Type	Very Good	Very Good	Very Good	Very Good	N/A to Case Type	Very Good	Needs Met
New Britain	1	Very Good	N/A to Case Type	N/A to Case Type	Optimal	N/A to Case Type	Very Good	Optimal	Optimal	Very Good	N/A to Case Type	Optimal	Needs Met
	2	N/A to Case Type	Optimal	Optimal	Very Good	Optimal	Very Good	Optimal	Optimal	Optimal	Optimal	Very Good	Needs Met
	3	N/A to Case Type	Very Good	Very Good	Very Good	Very Good	Marginal	Optimal	Optimal	Marginal	Very Good	Very Good	Needs Not Met
	4	N/A to Case Type	Very Good	Very Good	Optimal	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Not Met
	5	N/A to Case Type	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Marginal	Very Good	Very Good	Needs Met
	6	N/A to Case Type	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Optimal	Needs Met

What is the social worker's area office assignment?		Risk: In-Home 70.6%	Risk: Child In Placement 97.3%	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months 86.5%	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months 84.9%	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months 91.9%	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months 56.6%	Well-Being: Medical Needs 92.4%	Well-Being: Dental Needs 88.7%	Well-Being: Mental Health, Behavioral and Substance Abuse Services 67.9%	Well-Being: Child's Current Placement 100.0%	Well-Being: Education 83.0%	Overall Score for Outcome Measure 15 60.4%
New Haven	1	N/A to Case Type	Very Good	Optimal	Optimal	Very Good	Marginal	Very Good	Optimal	Very Good	Very Good	Marginal	Needs Not Met
	2	N/A to Case Type	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Needs Met
	3	Marginal	N/A to Case Type	N/A to Case Type	Marginal	N/A to Case Type	Poor	Very Good	Very Good	Marginal	N/A to Case Type	Poor	Needs Not Met
	4	N/A to Case Type	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Optimal	Very Good	Very Good	Optimal	Needs Not Met
Norwalk	1	N/A to Case Type	Very Good	Marginal	Optimal	Very Good	Marginal	Very Good	Optimal	Very Good	Very Good	Very Good	Needs Not Met
	2	Very Good	N/A to Case Type	N/A to Case Type	Absent/Averse	N/A to Case Type	Marginal	Very Good	Optimal	Marginal	N/A to Case Type	Very Good	Needs Not Met
Norwich	1	Very Good	N/A to Case Type	N/A to Case Type	Optimal	N/A to Case Type	Very Good	Very Good	Very Good	Very Good	N/A to Case Type	N/A to Case Type	Needs Met
	2	N/A to Case Type	Very Good	Optimal	Optimal	Optimal	Optimal	Very Good	Very Good	Very Good	Optimal	Optimal	Needs Met
	3	N/A to Case Type	Optimal	Very Good	Optimal	Optimal	Very Good	Optimal	Optimal	Very Good	Optimal	Optimal	Needs Met
	4	N/A to Case Type	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Met
	5	N/A to Case Type	Very Good	Very Good	Optimal	Optimal	Very Good	Optimal	Optimal	Very Good	Optimal	Optimal	Needs Met

What is the social worker's area office assignment?		Risk: In-Home 70.6%	Risk: Child In Placement 97.3%	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months 86.5%	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months 84.9%	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months 91.9%	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months 56.6%	Well-Being: Medical Needs 92.4%	Well-Being: Dental Needs 88.7%	Well-Being: Mental Health, Behavioral and Substance Abuse Services 67.9%	Well-Being: Child's Current Placement 100.0%	Well-Being: Education 83.0%	Overall Score for Outcome Measure 15 60.4%
Stamford	1	Poor	N/A to Case Type	N/A to Case Type	Absent/Averse	N/A to Case Type	Poor	Very Good	Very Good	Marginal	N/A to Case Type	Very Good	Needs Not Met
	2	N/A to Case Type	Very Good	Optimal	Optimal	Optimal	Marginal	Optimal	Optimal	Very Good	Optimal	Optimal	Needs Met
Torrington	1	N/A to Case Type	Very Good	Very Good	Optimal	Optimal	Marginal	Optimal	Optimal	Very Good	Very Good	Very Good	Needs Met
	2	Marginal	N/A to Case Type	N/A to Case Type	Marginal	N/A to Case Type	Marginal	Marginal	Very Good	Marginal	N/A to Case Type	N/A to Case Type	Needs Not Met
Waterbury	1	N/A to Case Type	Marginal	Marginal	Very Good	Marginal	Very Good	Very Good	Marginal	Marginal	Very Good	N/A to Case Type	Needs Not Met
	2	Very Good	N/A to Case Type	N/A to Case Type	Optimal	N/A to Case Type	Very Good	Very Good	Very Good	Marginal	N/A to Case Type	Marginal	Needs Not Met
	3	N/A to Case Type	Very Good	Very Good	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	N/A to Case Type	Needs Met
	4	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Needs Met
Willimantic	1	Very Good	N/A to Case Type	N/A to Case Type	Optimal	N/A to Case Type	Very Good	Very Good	Very Good	Very Good	N/A to Case Type	Very Good	Needs Met
	2	N/A to Case Type	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Optimal	Marginal	Optimal	Optimal	Needs Met
	3	N/A to Case Type	Very Good	Optimal	Very Good	Optimal	Very Good	Optimal	Optimal	Marginal	Very Good	Very Good	Needs Not Met
Total	N	16	37	37	53	37	53	53	53	53	37	47	53

JUAN F. ACTION PLAN MONITORING REPORT

May 2012

This report includes data relevant to the permanency and placement issues and action steps embodied within the Action Plan. Data provided comes from several sources: the monthly point-in-time information from LINK, the Chapin Hall database and the Behavioral Health Partnership database.

A. PERMANENCY ISSUES

Progress Towards Permanency:

The following table developed using the Chapin Hall database provides a longitudinal view of permanency for annual admission cohorts from 2002 through 2011.

Figure 1: Children Exiting With Permanency, Exiting Without Permanency, Unknown Exits and Remaining In Care (Entry Cohorts)

	Period of Entry to Care										
	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012
Total Entries	3102	3547	3204	3092	3408	2853	2829	2629	2694	2298	458
Permanent Exits											
In 1 yr	1179 38.0 %	1406 39.6 %	1229 38.4 %	1131 36.6 %	1263 37.1 %	1095 38.4 %	1098 38.8 %	1091 41.5 %	1023 38.0 %		
In 2 yrs	1639 52.8 %	2078 58.6 %	1806 56.4 %	1742 56.3 %	1973 57.9 %	1675 58.7 %	1676 59.2 %	1580 60.1 %			
In 3 yrs	1966 63.4 %	2385 67.2 %	2093 65.3 %	2015 65.2 %	2324 68.2 %	1973 69.2 %	1944 68.7 %				
In 4 yrs	2137 68.9 %	2540 71.6 %	2263 70.6 %	2160 69.9 %	2500 73.4 %	2089 73.2 %					
To Date	2304 74.3 %	2703 76.2 %	2363 73.8 %	2244 72.6 %	2589 76.0 %	2119 74.3 %	2017 71.3 %	1738 66.1 %	1273 47.3 %	565 24.6 %	33 7.2%
Non-Permanent Exits											
In 1 yr	274 8.8%	249 7.0%	231 7.2%	289 9.3%	259 7.6%	263 9.2%	250 8.8%	208 7.9%	196 7.3%		
In 2 yrs	332 10.7 %	320 9.0%	301 9.4%	371 12.0 %	345 10.1 %	318 11.1 %	320 11.3 %	267 10.2 %			
In 3 yrs	365 11.8 %	366 10.3 %	366 11.4 %	431 13.9 %	401 11.8 %	354 12.4 %	363 12.8 %				

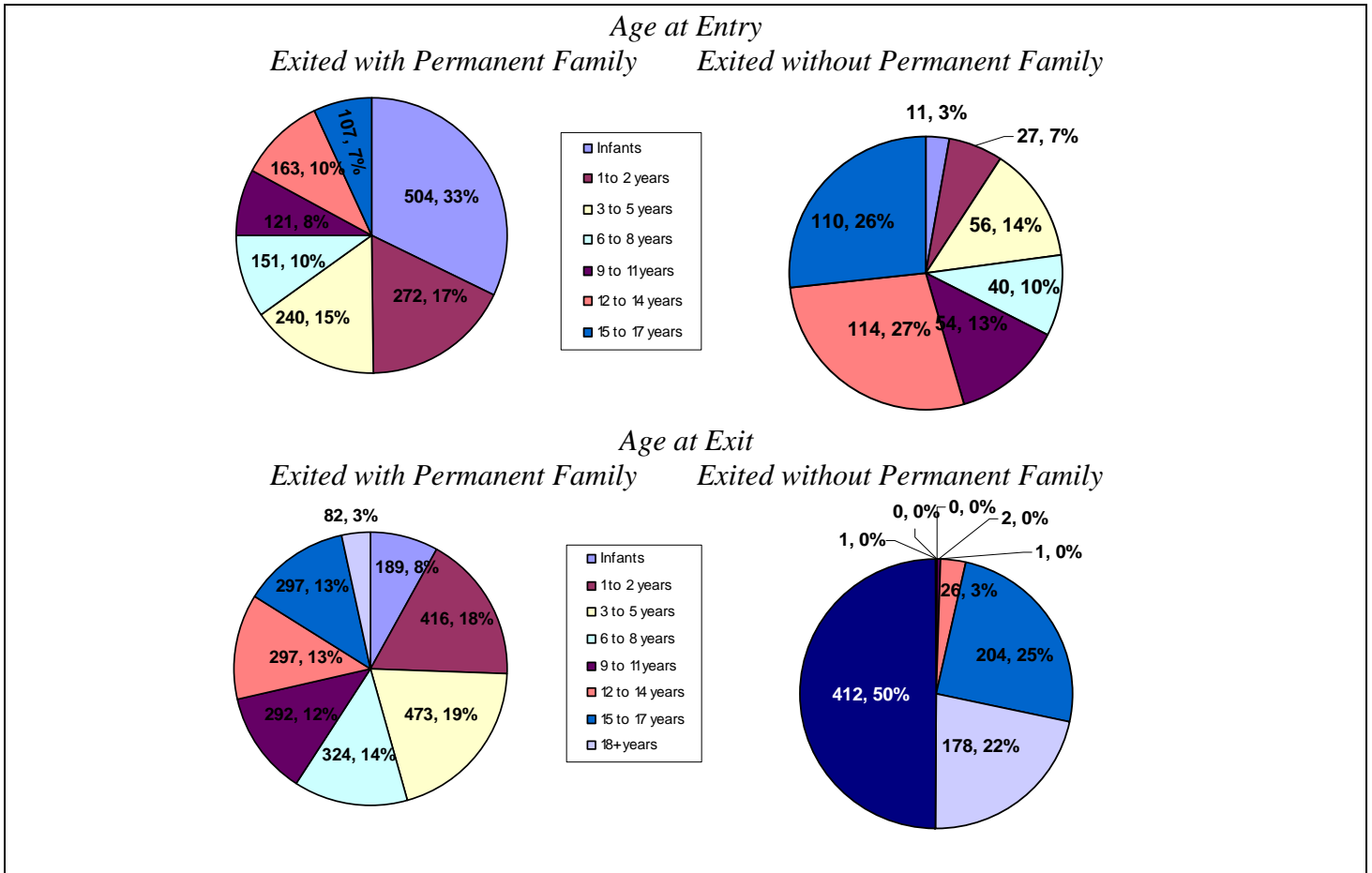
Non-Permanent Exits

In 4 yrs	406 13.1 %	392 11.1 %	403 12.6 %	461 14.9 %	449 13.2 %	391 13.7 %					
To Date	492 15.9 %	481 13.6 %	481 15.0 %	533 17.2 %	488 14.3 %	409 14.3 %	387 13.7 %	293 11.1 %	227 8.4%	115 5.0%	9 2.0%

	Period of Entry to Care										
	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012
Unknown Exits											
In 1 yr	106 3.4%	153 4.3%	129 4.0%	83 2.7%	76 2.2%	62 2.2%	60 2.1%	78 3.0%	128 4.8%		
In 2 yrs	136 4.4%	193 5.4%	171 5.3%	124 4.0%	117 3.4%	98 3.4%	92 3.3%	144 5.5%			
In 3 yrs	161 5.2%	220 6.2%	208 6.5%	163 5.3%	140 4.1%	126 4.4%	127 4.5%				
In 4 yrs	179 5.8%	244 6.9%	234 7.3%	181 5.9%	167 4.9%	157 5.5%					
To Date	250 8.1%	316 8.9%	285 8.9%	215 7.0%	199 5.8%	166 5.8%	153 5.4%	177 6.7%	246 9.1%	144 6.3%	4 0.9%
Remain In Care											
In 1 yr	1543 49.7 %	1739 49.0 %	1615 50.4 %	1589 51.4 %	1810 53.1 %	1433 50.2 %	1421 50.2 %	1252 47.6 %	1347 50.0 %		
In 2 yrs	995 32.1 %	956 27.0 %	926 28.9 %	855 27.7 %	973 28.6 %	762 26.7 %	741 26.2 %	638 24.3 %			
In 3 yrs	610 19.7 %	576 16.2 %	537 16.8 %	483 15.6 %	543 15.9 %	400 14.0 %	395 14.0 %				
In 4 yrs	380 12.3 %	371 10.5 %	304 9.5%	290 9.4%	292 8.6%	216 7.6%					
To Date	56 1.8%	47 1.3%	75 2.3%	100 3.2%	132 3.9%	159 5.6%	272 9.6%	421 16.0 %	948 35.2 %	1474 64.1 %	412 90.0 %

The following graphs show how the ages of children upon their entry to care, as well as at the time of exit, differ depending on the overall type of exit (permanent or non-permanent).

FIGURE 2: CHARACTERISTICS OF CHILDREN EXITING WITH AND WITHOUT PERMANENCY (2011 EXIT COHORT)



Permanency Goals:

The following chart illustrates and summarizes the number of children (which excludes youth ages 18 and older) at various stages of placement episodes, and provides the distribution of Permanency Goals selected for them.

FIGURE 3: DISTRIBUTION OF PERMANENCY GOALS ON THE PATH TO PERMANENCY (CHILDREN IN CARE ON MAY 1, 2012³)

Is the child legally free (his or her parents' rights have been terminated)?				
Yes 687	No ↓ 2901			
<i>Goals of:</i>	Has the child been in care more than 15 months?			
533 (78%) Adoption	No 1,639	Yes ↓ 1,262		
138 (20%) APPLA	Has a TPR proceeding been filed?			
9 (1%) Relatives	Yes 398	No ↓ 864		
3 (<1%) Blank	<i>Goals of:</i>	Is a reason documented not to file TPR?		
3 (<1%) Trans. of Guardian: Sub/Unsub	278 (70%) Adoption	Yes 474	<i>Documented</i>	No 390
1 (<1%) Reunify	84 (21%) APPLA	<i>Goals of:</i>	<i>Reasons:</i>	<i>Goals of:</i>
	22 (6%) Reunify	266 (56%) APPLA	74%	161 (41%) Reunify
	7 (2%) Relatives	89 (19%) Reunify	Compelling Reason	111 (24%) APPLA
	5 (1%) Trans. of Guardian: Sub/Unsub	62 (13%) Trans. of Guardian: Sub/Unsub	17%	63 (16%) Adoption
	3 (1%) Sub/Unsub	31 (7%) Relatives	Child is with relative	41 (11%) Trans. of Guardian: Sub/Unsub
	Blank	25 (5%) Adoption	5%	12 (3%) Relatives
		1 (<1%) Blank	Petition in process	2 (1%) Blank
			5%	
			Service not provided	

³ Children over age 18 are not included in these figures.

Preferred Permanency Goals:

Reunification	Feb 2011	May 2011	Aug 2011	Nov 2011	Feb 2012	May 2012
Total number of children with Reunification goal, pre-TPR and post-TPR	1615	1610	1585	1531	1495	1382
Number of children with Reunification goal pre-TPR	1615	1606	1584	1527	1494	1381
<ul style="list-style-type: none"> Number of children with Reunification goal, pre-TPR, >= 15 months in care 	275	286	277	245	301	272
<ul style="list-style-type: none"> Number of children with Reunification goal, pre-TPR, >= 36 months in care 	36	31	36	40	43	41
Number of children with Reunification goal, post-TPR	0	4	1	4	1	1

Transfer of Guardianship (Subsidized and Non-Subsidized)	Feb 2011	May 2011	Aug 2011	Nov 2011	Feb 2012	May 2012
Total number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR and post TPR	166	162	177	228	229	223
Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR	163	159	177	225	226	220
<ul style="list-style-type: none"> Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR, >= 22 months 	47	39	39	49	43	31
<ul style="list-style-type: none"> Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR, >= 36 months 	26	17	15	13	15	9
Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), post-TPR	3	3	0	3	3	3

Adoption	Feb 2011	May 2011	Aug 2011	Nov 2011	Feb 2012	May 2012
Total number of children with Adoption goal, pre-TPR and post-TPR	1136	1159	1103	1057	1042	1106
Number of children with Adoption goal, pre-TPR	624	629	632	626	583	573
Number of children with Adoption goal, TPR not filed, >= 15 months in care	126	123	129	98	94	88

Adoption	Feb 2011	May 2011	Aug 2011	Nov 2011	Feb 2012	May 2012
• Reason TPR not filed, Compelling Reason	15	20	15	4	6	6
• Reason TPR not filed, petitions in progress	37	27	24	20	13	14
• Reason TPR not filed , child is in placement with relative	1	7	6	4	3	5
• Reason TPR not filed, services needed not provided	3	1	0	0	0	0
• Reason TPR not filed, blank	70	68	84	70	72	63
Number of cases with Adoption goal post-TPR	512	530	471	431	459	533
• Number of children with Adoption goal, post-TPR, in care >= 15 months	481	496	439	398	425	493
• Number of children with Adoption goal, post-TPR, in care >= 22 months	418	430	384	349	359	406
Number of children with Adoption goal, post-TPR, no barrier, > 3 months since TPR	33	41	33	25	21	17
Number of children with Adoption goal, post-TPR, with barrier, > 3 months since TPR	162	146	146	120	112	115
Number of children with Adoption goal, post-TPR, with blank barrier, > 3 months since TPR	216	231	203	200	203	272

Progress Towards Permanency:	Feb 2011	May 2011	Aug 2011	Nov 2011	Feb 2012	May 2012
Total number of children, pre-TPR, TPR not filed, >=15 months in care, no compelling reason	287	324	355	343	422	390

Non-Preferred Permanency Goals:

	Feb 2011	May 2011	Aug 2011	Nov 2011	Feb 2012	May 2012
Long Term Foster Care Relative:						
Total number of children with Long Term Foster Care Relative goal	74	73	79	70	65	70
Number of children with Long Term Foster Care Relative goal, pre-TPR	62	62	69	61	54	61
<ul style="list-style-type: none"> Number of children with Long Term Foster Care Relative goal, 12 years old and under, pre-TPR 	6	4	7	10	5	7
Long Term Foster Care Rel. goal, post-TPR	12	11	10	9	11	9
<ul style="list-style-type: none"> Number of children with Long Term Foster Care Relative goal, 12 years old and under, post-TPR 	0	0	0	0	0	0

	Feb 2011	May 2011	Aug 2011	Nov 2011	Feb 2012	May 2012
APPLA*						
Total number of children with APPLA goal	806	775	752	751	711	671
Number of children with APPLA goal, pre-TPR	638	606	596	588	559	533
<ul style="list-style-type: none"> Number of children with APPLA goal, 12 years old and under, pre-TPR 	28	22	23	27	28	31
Number of children with APPLA goal, post-TPR	168	169	156	163	152	138
<ul style="list-style-type: none"> Number of children with APPLA goal, 12 years old and under, post-TPR 	11	13	10	8	8	7

* Columns prior to Aug 07 had previously been reported separately as APPLA: Foster Care Non-Relative and APPLA: Other. The values from each separate table were added to provide these figures. Currently there is only one APPLA goal.

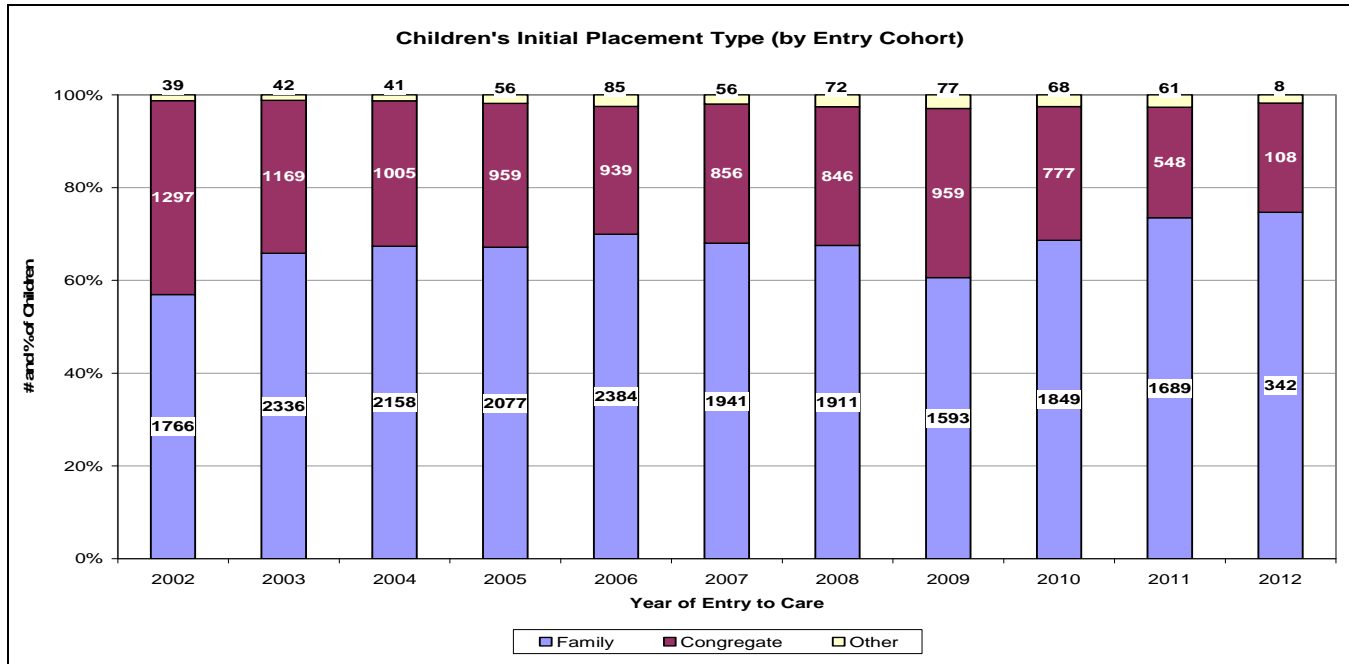
Missing Permanency Goals:

	Feb 2011	May 2011	Aug 2011	Nov 2011	Feb 2012	May 2012
Number of children, with no Permanency goal, pre-TPR, >= 2 months in care	23	19	16	17	25	24
Number of children, with no Permanency goal, pre-TPR, >= 6 months in care	13	9	7	8	10	11
Number of children, with no Permanency goal, pre-TPR, >= 15 months in care	7	5	2	5	6	5
Number of children, with no Permanency goal, pre-TPR, TPR not filed, >= 15 months in care, no compelling reason	3	5	2	3	3	2

B. PLACEMENT ISSUES

Placement Experiences of Children

The following chart shows the change in use of family and congregate care for admission cohorts between 2002 and 2012.

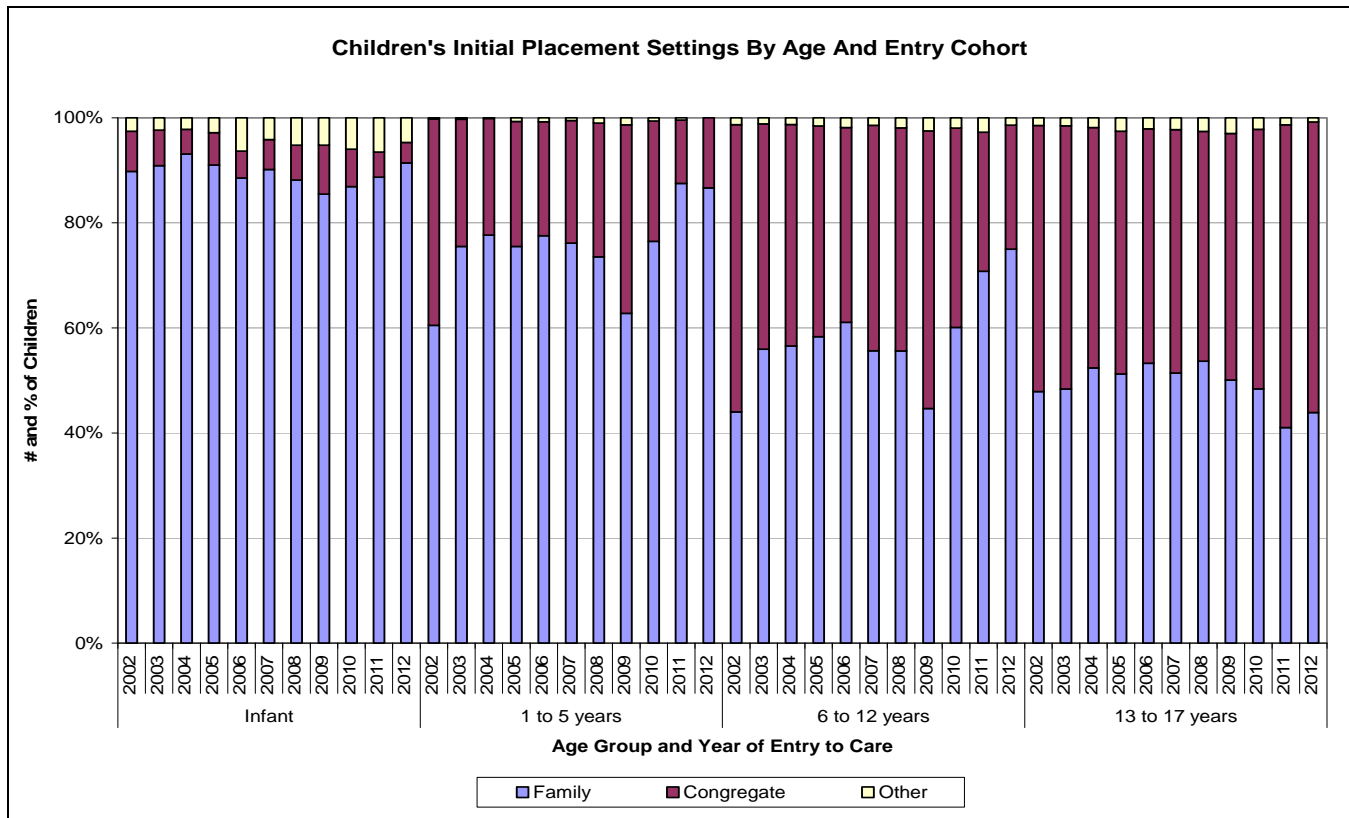


The next table shows specific care types used month-by-month for entries between April 2011 and March 2012.

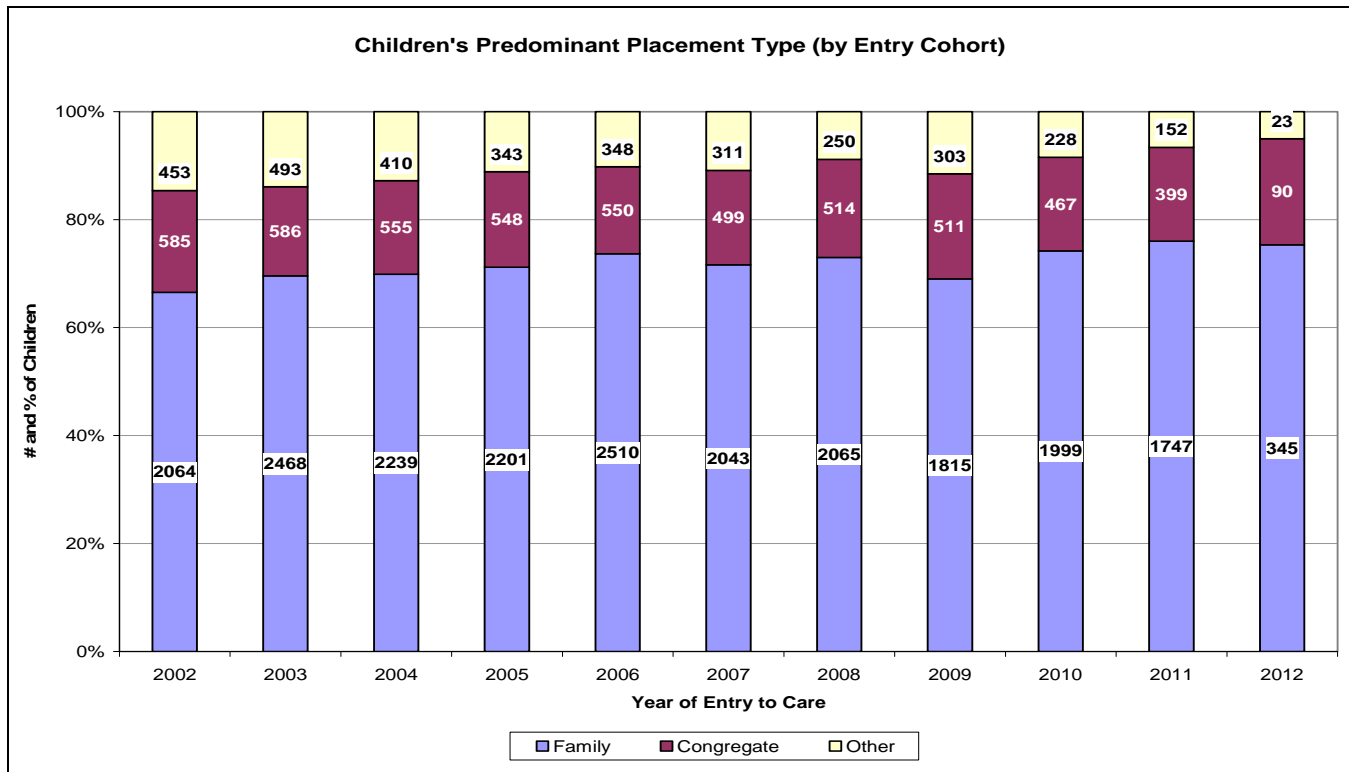
Case Summaries

First placement type		enter Apr11	enter May11	enter Jun11	enter Jul11	enter Aug11	enter Sep11	enter Oct11	enter Nov11	enter Dec11	enter Jan12	enter Feb12	enter Mar12
Residential	N	8	10	13	12	13	10	10	11	11	13	9	10
	%	4.4%	4.4%	5.9%	5.7%	6.7%	4.7%	5.6%	7.1%	7.9%	8.5%	6.1%	6.4%
DCF Facilities	N	4	2	1	1	3	1	4	2	3	2	4	2
	%	2.2%	.9%	.5%	.5%	1.5%	.5%	2.2%	1.3%	2.1%	1.3%	2.7%	1.3%
Foster Care	N	86	112	111	105	80	112	82	67	61	85	69	92
	%	47.3%	49.1%	50.0%	49.8%	41.2%	52.1%	45.6%	42.9%	43.6%	55.6%	46.6%	58.6%
Group Home	N	1	1	6	6	5	5	4	4		6	2	
	%	.5%	.4%	2.7%	2.8%	2.6%	2.3%	2.2%	2.6%		3.9%	1.4%	
Relative Care	N	43	59	47	45	45	46	37	30	37	21	29	27
	%	23.6%	25.9%	21.2%	21.3%	23.2%	21.4%	20.6%	19.2%	26.4%	13.7%	19.6%	17.2%
Medical	N	3	1	6	3	9	7	5	4	5	4	3	1
	%	1.6%	.4%	2.7%	1.4%	4.6%	3.3%	2.8%	2.6%	3.6%	2.6%	2.0%	.6%
Safe Home	N	13	14	14	14	12	9	11	18	7	3	12	9
	%	7.1%	6.1%	6.3%	6.6%	6.2%	4.2%	6.1%	11.5%	5.0%	2.0%	8.1%	5.7%
Shelter	N	17	24	13	12	23	20	12	16	8	12	10	14
	%	9.3%	10.5%	5.9%	5.7%	11.9%	9.3%	6.7%	10.3%	5.7%	7.8%	6.8%	8.9%
Special Study	N	7	5	11	13	4	5	15	4	8	7	10	2
	%	3.8%	2.2%	5.0%	6.2%	2.1%	2.3%	8.3%	2.6%	5.7%	4.6%	6.8%	1.3%
Total	N	182	228	222	211	194	215	180	156	140	153	148	157
	%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

The chart below shows the change in level of care usage over time for different age groups.



It is also useful to look at where children spend most of their time in DCF care. The chart below shows this for admission the 2002 through 2012 admission cohorts.



The following chart shows monthly statistics of children who exited from DCF placements between April 2011 and March 2012, and the portion of those exits within each placement type from which they exited.

Case Summaries

Last placement type in spell (as of censor date)	exit											
	Jan11	Feb11	Mar11	Apr11	May11	Jun11	Jul11	Aug11	Sep11	Oct11	Nov11	Dec11
Residential	N 17	12	21	9	19	29	19	19	12	10	9	8
	% 12.7%	7.8%	9.5%	4.5%	7.9%	9.8%	9.3%	6.9%	7.3%	6.0%	6.8%	4.3%
DCF Facilities	N		2	4	2	4	1	5		2	2	2
	%		.9%	2.0%	.8%	1.4%	.5%	1.8%		1.2%	1.5%	1.1%
Foster Care	N 63	77	104	98	115	142	81	132	93	78	63	103
	% 47.0%	50.3%	47.1%	48.8%	47.9%	48.1%	39.7%	47.8%	56.4%	46.7%	47.7%	54.8%
Group Home	N 10	10	11	16	8	30	15	15	6	11	6	11
	% 7.5%	6.5%	5.0%	8.0%	3.3%	10.2%	7.4%	5.4%	3.6%	6.6%	4.5%	5.9%
Independent Living	N 4	4	4	6	3	8	1	3	1	1	4	
	% 3.0%	2.6%	1.8%	3.0%	1.3%	2.7%	.5%	1.1%	.6%	.6%	3.0%	
Relative Care	N 26	30	53	42	59	53	56	66	40	47	37	48
	% 19.4%	19.6%	24.0%	20.9%	24.6%	18.0%	27.5%	23.9%	24.2%	28.1%	28.0%	25.5%
Medical	N	3	4	3	2		2	2	1	1		1
	%	2.0%	1.8%	1.5%	.8%		1.0%	.7%	.6%	.6%		.5%
Safe Home	N 3	6	6	6	9	7	6	2	2	2	2	4
	% 2.2%	3.9%	2.7%	3.0%	3.8%	2.4%	2.9%	.7%	1.2%	1.2%	1.5%	2.1%
Shelter	N 7	6	13	11	14	2	11	17	2	6	4	8
	% 5.2%	3.9%	5.9%	5.5%	5.8%	.7%	5.4%	6.2%	1.2%	3.6%	3.0%	4.3%
Special Study	N 4	3	2	4	8	19	11	14	5	7	2	2
	% 3.0%	2.0%	.9%	2.0%	3.3%	6.4%	5.4%	5.1%	3.0%	4.2%	1.5%	1.1%
Unknown	N	2	1	2	1	1	1	1	3	2	3	1
	%	1.3%	.5%	1.0%	.4%	.3%	.5%	.4%	1.8%	1.2%	2.3%	.5%
Total	N 134	153	221	201	240	295	204	276	165	167	132	188
	% 100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

The next chart shows the primary placement type for children who were in care on April 1, 2012 organized by length of time in care.

Primary type of spell (>50%) * Duration Category Crosstabulation

			Duration Category							Total
			1 <= durat < 30	30 <= durat < 90	90 <= durat < 180	180 <= durat < 365	365 <= durat < 545	545 <= durat < 1095	more than 1095	
Primary type of spell (>50%)	Residential	Count	9	22	33	76	75	97	96	408
		% of Row	2.2%	5.4%	8.1%	18.6%	18.4%	23.8%	23.5%	100.0%
		% of Col	7.9%	7.3%	7.3%	9.6%	11.8%	10.7%	8.2%	9.3%
DCF Facilities	DCF Facilities	Count	0	5	7	7	3	8	5	35
		% of Row	.0%	14.3%	20.0%	20.0%	8.6%	22.9%	14.3%	100.0%
		% of Col	.0%	1.7%	1.5%	.9%	.5%	.9%	.4%	.8%
Foster Care	Foster Care	Count	47	105	178	320	317	491	682	2140
		% of Row	2.2%	4.9%	8.3%	15.0%	14.8%	22.9%	31.9%	100.0%
		% of Col	41.2%	34.7%	39.1%	40.3%	49.9%	54.3%	58.2%	48.9%
Group Home	Group Home	Count	0	7	15	22	27	60	81	212
		% of Row	.0%	3.3%	7.1%	10.4%	12.7%	28.3%	38.2%	100.0%
		% of Col	.0%	2.3%	3.3%	2.8%	4.3%	6.6%	6.9%	4.8%
Independent Living	Independent Living	Count	0	0	0	0	1	5	1	7
		% of Row	.0%	.0%	.0%	.0%	14.3%	71.4%	14.3%	100.0%
		% of Col	.0%	.0%	.0%	.0%	.2%	.6%	.1%	.2%
Relative Care	Relative Care	Count	31	89	140	255	157	140	74	886
		% of Row	3.5%	10.0%	15.8%	28.8%	17.7%	15.8%	8.4%	100.0%
		% of Col	27.2%	29.4%	30.8%	32.1%	24.7%	15.5%	6.3%	20.2%
Medical	Medical	Count	3	3	6	3	1	4	2	22
		% of Row	13.6%	13.6%	27.3%	13.6%	4.5%	18.2%	9.1%	100.0%
		% of Col	2.6%	1.0%	1.3%	.4%	.2%	.4%	.2%	.5%
Mixed (none >50%)	Mixed (none >50%)	Count	1	1	2	17	17	50	172	260
		% of Row	.4%	.4%	.8%	6.5%	6.5%	19.2%	66.2%	100.0%
		% of Col	.9%	.3%	.4%	2.1%	2.7%	5.5%	14.7%	5.9%
Safe Home	Safe Home	Count	6	24	18	22	8	10	4	92
		% of Row	6.5%	26.1%	19.6%	23.9%	8.7%	10.9%	4.3%	100.0%
		% of Col	5.3%	7.9%	4.0%	2.8%	1.3%	1.1%	.3%	2.1%
Shelter	Shelter	Count	9	25	31	22	8	0	0	95
		% of Row	9.5%	26.3%	32.6%	23.2%	8.4%	.0%	.0%	100.0%
		% of Col	7.9%	8.3%	6.8%	2.8%	1.3%	.0%	.0%	2.2%
Special Study	Special Study	Count	7	20	22	47	20	38	49	203
		% of Row	3.4%	9.9%	10.8%	23.2%	9.9%	18.7%	24.1%	100.0%
		% of Col	6.1%	6.6%	4.8%	5.9%	3.1%	4.2%	4.2%	4.6%
Unknown	Unknown	Count	1	2	3	3	1	1	5	16
		% of Row	6.3%	12.5%	18.8%	18.8%	6.3%	6.3%	31.3%	100.0%
		% of Col	.9%	.7%	.7%	.4%	.2%	.1%	.4%	.4%
Total	Total	Count	114	303	455	794	635	904	1171	4376
		% of Row	2.6%	6.9%	10.4%	18.1%	14.5%	20.7%	26.8%	100.0%
		% of Col	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Congregate Care Settings

Placement Issues	Feb 2011	May 2011	Aug 2011	Nov 2011	Feb 2012	May 2012
Total number of children 12 years old and under, in Congregate Care	171	149	132	105	90	78
• Number of children 12 years old and under, in DCF Facilities	4	6	4	2	5	5
• Number of children 12 years old and under, in Group Homes	37	34	31	28	24	23
• Number of children 12 years old and under, in Residential	51	44	40	34	25	15
• Number of children 12 years old and under, in SAFE Home	78	61	54	36	35	34
• Number of children 12 years old and under, in Permanency Diagnostic Center	1	1	0	0	0	0
• Number of children 12 years old and under in Shelter	0	3	3	5	1	1
Total number of children ages 13-17 in Congregate Placements	748	752	729	713	675	624

Use of SAFE Homes, Shelters and PDCs

The analysis below provides longitudinal data for children (which may include youth ages 18 and older) who entered care in Safe Homes, Permanency Diagnostic Centers and Shelters.

	Period of Entry to Care										
	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012
Total Entries	310 2	3547	3204	3092	3408	2853	2829	2629	2694	2298	458
SAFE Homes/PDCs	728 23%	629 18%	453 14%	394 13%	395 12%	382 13%	335 12%	471 18%	331 12%	146 6%	24 5%
Shelters	165 5%	135 4%	147 5%	178 6%	114 3%	136 5%	144 5%	186 7%	175 6%	193 8%	36 8%
Total	893 29%	764 22%	600 19%	572 18%	509 15%	518 18%	479 17%	657 25%	506 19%	339 15%	60 13%

	Period of Entry to Care										
	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012
Total Initial Plcmnts	893	764	600	572	509	518	479	657	506	339	60
<= 30 days	351 39%	308 40%	249 42%	241 42%	186 37%	162 31%	150 31%	229 35%	135 27%	103 30%	41 68%
31 - 60	284 32%	180 24%	102 17%	114 20%	73 14%	73 14%	102 21%	110 17%	106 21%	57 17%	10 17%
61 - 91	106 12%	121 16%	81 14%	76 13%	87 17%	79 15%	85 18%	157 24%	91 18%	54 16%	9 15%
92 - 183	101 11%	107 14%	124 21%	100 17%	118 23%	131 25%	110 23%	124 19%	136 27%	92 27%	0 0%
184+	51 6%	48 6%	44 7%	41 7%	45 9%	73 14%	32 7%	37 6%	38 8%	33 10%	0 0%

The following is the point-in-time data taken from the monthly LINK data, and may include those youth ages 18 and older.

Placement Issues	Nov 2010	Feb 2011	May 2011	Aug 2011	Nov 2011	Feb 2012	May 2012
Total number of children in SAFE Home	99	90	70	79	63	60	63
• Number of children in SAFE Home, > 60 days	59	56	50	42	35	44	40
• Number of children in SAFE Home, >= 6 months	14	12	15	13	14	9	11
Total number of children in STAR/Shelter Placement	84	75	80	80	79	75	71
• Number of children in STAR/Shelter Placement, > 60 days	44	41	41	48	43	40	37
• Number of children in STAR/Shelter Placement, >= 6 months	3	6	4	3	11	7	9
Total number of children in Permanency Planning Diagnostic Center	11	1	1	0	0	0	0
• Total number of children in Permanency Planning Diagnostic Center, > 60 days	9	1	1	0	0	0	0
• Total number of children in Permanency Planning Diagnostic Center, >= 6 months	1	1	1	0	0	0	0
Total number of children in MH Shelter	2	0	1	2	5	2	1
• Total number of children in MH Shelter, > 60 days	1	0	1	1	4	2	0
• Total number of children in MH Shelter, >= 6 months	0	0	0	1	1	1	1

Time in Residential Care

Placement Issues	Nov 2010	Feb 2011	May 2011	Aug 2011	Nov 2011	Feb 2012	May 2012
Total number of children in Residential care	462	477	488	454	403	372	316
<ul style="list-style-type: none"> Number of children in Residential care, >= 12 months in Residential placement 	129	129	132	126	119	124	113
<ul style="list-style-type: none"> Number of children in Residential care, >= 60 months in Residential placement 	2	1	2	2	1	1	1

Appendix 1
Commissioner's Highlights from
The Department of Children & Families
First Quarter 2012 Exit Plan Report

Commissioner Statement

The first 18 months of this new administration have been marked by major transformations throughout the Department, its central and regional offices, and its facilities. Major reorganization has occurred, and meaningful practice changes have started to re-shape how we do our work and where we serve the children who enter care. We have ended the routine practice of unannounced visits to homes unless necessary, increased the use of relatives as foster homes, and decreased the use of congregate care for young children and the overall use of out of state programs.

Important shifts already have taken place. For instance:

- The percentage of children placed with relatives when initially entering care in 2011 rose to 24 percent compared to 14 percent the year before. The percentage of children overall placed with relatives has risen to 20.4 percent in July 2012 compared to 15.3 percent in January 2011;
- The number of children in an out-of-state placement fell to 131 on July 1, 2012 compared to 364 children at the beginning of 2011;
- The use of congregate care for younger children has been significantly reduced. The number of children age six and under in congregate care settings declined from 38 in January 2011 to 4 in July 2012. The number of children ages 12 and under in congregate care also has decreased from 201 in January 2011 to 85 in July 2012; and
- The percentage of children in a family setting rose to 75.4 percent in July 2012 compared to 67.5 percent in January 2011. The percentage of children in congregate care declined to 24.6 percent in July 2012 compared to 29.8 percent in January 2011; and
- The total number of children in care (including committed abused/neglected, committed delinquent, and voluntary placements) decreased to 4,285 children in July 2012 compared to 4,784 children in January 2011 -- a decrease of 10.4 percent during that eighteen-month period.

All of these changes represent important improvements for our work with children and families. Still, there is much more that remains to be done to fully institute a family-centered and strengths-based practice that most effectively enhances the holistic well-being of children. For that reason, we are at the crossroads in our transformation as we implement two seminal reforms. In March, the Department launched a Differential Response System (DRS) that eschews the traditional, forensic-style investigation for low-risk families and instead engages families to join in an assessment of their strengths and needs in an effort to connect them to community services. In the coming months, the Strengthening Families Practice Model will apply a strengths-based approach to all our work, including all responses to child protection reports, behavioral health and juvenile services, and our facilities. While the earlier changes had major effects across our systems, the impact of Strengthening Families and DRS promises to transform our relationships with families and children. That will be the greatest transformation of all because solid evidence indicates this relationship has tremendous bearing on the outcomes of our interventions.

In just the first months of implementation of DRS, our staff reports that families and the community have responded in a highly-positive manner. Families feel our interactions with them are more respectful and our efforts more helpful and families who receive assessments are more open in sharing information with us and more satisfied with the services they receive as a result of the collaborative process. While growing pains are evident, staff are expressing greater satisfaction with the work and are receiving expressions of thanks from the families. This constitutes a great contrast with the mistrust and fear that previously permeated too much of our relations with families.

Undoubtedly, the Department still has further development in relation to the new DRS system. For example, in March only 24 percent of accepted Careline reports were sent to the assessment track. By the end of June, we already saw that number grow to 37 percent as staff became more comfortable with the decision process. Even during this early stage, however, the staff feedback and the positive response from families bode well for the overall Strengthening Families Practice Model. In the context of the Exit Plan Outcome Measures 3 and 15, improved family participation is certain to improve the quality of our treatment planning and our effectiveness in meeting children's needs.

In addition to the implementation of Strengthening Families and DRS, 2012 will bring a focus on permanency, especially for the older youths who remain in our care. Major strides have taken place in reducing the number of young children in congregate care. Now the Department is set to make permanency a focus for the older children as well. The Team Decision Making process, whereby families, DCF staff and service providers come together to identify and implement family-centered solutions for children in care, will be used for the youths 13 years and older who are in congregate care. The goal, as it has been for the younger children, will be finding a family setting suitable for meeting the individual youth's treatment needs. We believe this process will lead to greater permanency for the older children who have presented a greater challenge in finding permanent homes.

Permanency for older children is just one of the significant challenges we face. Although there have been improvements in the use of relative care and the use of family settings more generally, continued progress is complicated due to issues regarding access to effective community services. In addition, we continue to work with in-state providers to develop placements for children with complex needs, including problem sexual behavior and other complex behavioral health needs. Limited access to appropriate needed services has rippling effects throughout the system, including excessive lengths of stay in temporary settings and other congregate settings. Foster parents, both relative and non-relative, remain a pressing need, and retention of our existing foster parents must be improved. Currently, a campaign is underway to raise awareness among our own staff about the need to improve how all of us support foster and relative care providers.

Clearly, these are important challenges to confront. The progress made in a short time and the major reforms now just underway should, however, encourage us to continue the path we are taking. Family-centered, strengths-based practice that aligns the Department closely with families, communities and providers has set us in the right direction and promises to continue the advances already made. I am confident that by working together with our families and other partners, we will continue to make positive strides toward making Connecticut a leader in "Strengthening Families" and improving the lives and futures of our children.