



## CISS Monthly Status Meeting Minutes Wednesday, April 3, 2013

### **Attendees**

Jennie Albert, DPDS; Victor Aviles, Waterbury PD; Brian Bradway, DOC; Sue Brown, DPDS; Brian Carlow, DPDS; Bob Cosgrove, DOC; Frank DiMatteo, DPDS; Dave Dove, Judicial; Chris Duryea, Judicial; Brian Freeman, Berlin PD; Sgt. James Gauthier, Groton PD; Steve Hall, Enfield PD; Darryl Hayes, DESPP; Joan Hilliard, DESPP; Douglas Hoffman, Groton PD; Michael Krzynowek, Enfield PD; Karl Lewis, DOC; James Lobb, CSSD; Brett Mahoney, Waterford PD; Lt. Michael Morgan, Newington PD; Robert Murray, Enfield IT; Dean Myshrall, DAS/BEST; Captain Mark Panaccione, DESPP; Cesar Portillo, DPDS; Lt. Andrew Power, Wethersfield PD; Mark Raymond, CIO DAS/BEST; Nancy Roberts, DPDS; Jason Rosa, DESPP; Chief Carl Rosenweig; John Russotto, DCJ; Tom Sutkowski, Judicial; Charles Whynacht, Judicial; John Zematis, Newington PD.

### **CJIS Staff**

Wayne Allen; Jeanine Allin; Phil Conen, Xerox; John Cook; Dennis Gaige, MTG; Tammi Harris; Rick Ladendecker; Lucy Landry; Nance McCauley; Archana Mulay; Margaret Painter; George Perron; Marcia Rogers; Eric Stinson; Mark Tezaris; Sean Thakkar; Steven Wallick.

### **Conferenced Participants**

Melissa Connery, DMV; Naveen Prathikantam, DMV; Jeff Grant, Judicial

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### **Welcome**

- Nance McCauley, CJIS Business Manager, opened the meeting at 1:00 p.m.
- Nance encouraged questions throughout the presentation as well as after the meeting. She also offered follow-up with Mark Tezaris, Nance McCauley, Rick Ladendecker, or Sean Thakkar at any time.
- Nance reviewed agenda, noting that based on feedback, CJIS has prepared a presentation with material on GFIPM claims

### **CISS Search – Production Status – Presented by John Cook**

- Search activities continue on CISS with a low number of help desk support calls.
- John noted 1 severity level 1 call relating to a listener on the SQL server – the team is working on a remedy to fix the issue from recurring.
- Also, there were three severity level 3 calls relating to password reset issues.
- John noted that things are looking very well at the support level.

### **Wave 0 Version 1.5 Update – Presented by John Cook, Project Manager**

- John noted that this release is the hardware architecture build-out.
- The Project Charter was signed detailing the work plan, tasks, resource assignments and a comprehensive schedule through mid-August.
- John also commented that tasks were completed for the enterprise hardware including a collection of servers, storage area networks and local area network switches.
- John also noted on the software side, that work efforts were focused on virtual machine manager which allows them to build the virtual framework for the new CISS application.
- John noted that they have begun the tasks to support the first of the four SDLC environments – the development environment which includes about 50 servers. He also noted that they expect to finish the development environment over the next few weeks.
- Plans to begin the second, system testing, environment will begin shortly.

**RMS Network Update – Presented by John Cook, Project Manager**

- John noted that CJIS staff conducted 43 site visits and has begun to deploy equipment at three locations.
- The target installation is approximately 90 police departments.
- Approximately 27 sites are designated for roll-out by the end of this month.
- There will be an additional 13 sites following the April roll-out and remaining sites will be rolled out by the September time-frame.

**Search Release 1 (SR1) Status – Presented by Eric Stinson, Project Manager**

- Eric noted that SR1 will provide additional search functions and search sources to aid the user.
- He also noted that the Project Charter for SR1 is signed.
- The comprehensive project schedule include tasks for the three concurrent releases; Wave0V1.5, SR1, and Wave 1
- Eric noted there are cross project dependencies and noted resource leveling activities are underway.
- Activities for SR1 for the past month include CISS user interface enhancements; data source mapping exercises for the Judicial systems PRAWN and POR, and DESPP's MNI/CCH system.
- Eric noted that CJIS will meet with the stakeholders to review the project schedules and the enhanced user interface over the next couple of months.
- Eric noted that detailed requirements from the sessions will be documented and passed on to Xerox for development activities.

**Wave 1 – UAR Workflow Status presented by Lucy Landry, Project Manager**

- Lucy noted that Wave 1 is the first workflow for UAR and will also include exchanges from workflows 6 and 8 that are needed for workflow 1.
- Current work includes defining the scope for Wave 1 and noted that it will be distributed to the user community for review.
- Lucy discussed the details in planning the project charter and project schedule.
- She also noted that work is actually being done at this time which includes the business analysts working on the detailed requirements.
- Lucy also noted that the technical team is working on the RMS requirements to support the UAR workflow.
- Lucy noted that the Project Charter will be shared with the stakeholders to ensure timing is coordinated for planned activities.

**There were no questions following the Monthly Status Meeting presentations**

**April 2013 Working Session – GFIPM Claims review – Presented by Nance McCauley, CJIS Business Manger**

This month's working session focused on a review of GFIPM claims definitions for search – see attached handout. Nance noted references for additional information

- GFIPM website - <http://www.gfipm.net>
- CJIS Frequently Asked Questions (FAQs) regarding GFIPM claims and additional topics - <http://www.ct.gov/cjis/cwp/view.asp?a=4112&q=521364>

**Questions & Answers – GFIPM Claims Review**

Q – Dave Dove, Judicial – when we get to implementation will we get a little more granular on specific claims, i.e., claims specific for family support magistrates who also sit on the bench but who are not necessarily involved in all criminal matters, but who may require search capability; also probation officers – adult vs. juvenile probation officers.

A – Nance McCauley – noted that CJIS will conduct data mapping exercises with specific agencies to determine business and security data rules for their respective data fields. Xerox and CJIS will document findings, validate with the agencies, and assign specific GFIPM claims as appropriate.

Q – Russ Robitaille, CJIS Test Lead – who assigns the claim?

A – Nance McCauley – noted that Xerox worked with CJIS and agency source systems to identify and assign claims for OBTS search. As the project moves forward, Xerox will transition this process to the CT team.

Q – Charlie Wynacht, Judicial – Is the word privilege and claim interchangeable?

A – Phil Conen, Xerox – Yes, you have the privilege to see something which is equivalent to claims. If you have multiple privileges, you have multiple claims. Claims are the implementation of the privilege.

Q – Darryl Hayes, DESPP – What is the role of the Agency System Administrator for GFIPM claims?

A – Nance McCauley, CJIS – We will be working with the Agency System Administrator to assign the GFIPM claims. The process is currently being defined.

***Meeting adjourned at 1:40 pm.***

***Next Meeting Scheduled for May 1, 2013***

***Minutes Respectfully Submitted by Tammi Harris***



## Global Federated Identity & Privilege Management (GFIPM)

- GFIPM is the federal security standard for CJIS agencies
- GFIPM claims are created based on identity & privileges
- GFIPM claims provide a higher level of granularity
- A SLEO claim in CT = a SLEO claim in any state

For more information on GFIPM, go to <http://www.gfipm.net/>.

## GFIPM Claims Definitions for CISS Search

The Global Standards Council (GSC) ensures compatibility with ongoing U.S. development and is supported through the:

- Office of Justice Programs (OJP)
- Bureau of Justice Assistance (BJA)
- National Institute of Justice (NIJ)
- Department of Homeland Security (DHS)

## GFIPM at a glance:

- **G**lobal
- **F**ederated
  - Trusted Partners
  - Example passport issuance
- **I**ntity Management
  - Who is the end user?
  - How are they authenticated?
- **P**rivilege **M**anagement
  - Job Functions
  - Clearances



# Criminal Justice Information System GFIPM Claims Definitions for Search

The following GFIPM claims are defined for use with CISS to date. As additional agency source systems are added to CISS for search, additional GFIPM claims may be identified for system access. The claims listed below were identified for use with the following source systems: CRMVS, CIB, MNI/CCH, OBIS, OBTS, POR, and PRAWN

### Public Data Self Search Home Privilege Indicator

The GFIPM standard defines “public data” as “any information that is permitted to be released to the public and not subject to controlled unclassified information (CUI) access restrictions.” This claim indicates that the user is authorized to see this type of information.

Note that this does not imply that the data will be made available to the public through CISS.

Based on current understanding of the data security model, the following CISS users would have this claim:

- Any user with a valid CISS user account. The scope of CISS users is generally defined as any agency or branch that is represented on the CJIS Governing Board.

### Sworn Law Enforcement Officer Indicator

The GFIPM standard defines a Sworn Law Enforcement Officer (SLEO) as a user that is:

1. A full time employee of a state-recognized law enforcement agency.
2. Authorized (has the authority) to make an arrest.
3. Certified by a State Certifying Authority (i.e., Peace Officer Standards and Training (POST)), or equivalent.

Additionally it also states that a user can be consider a SLEO if they are “a full time employee of a state-recognized law enforcement agency, acting on behalf of a SLEO, in performance of the user's assigned duties.”

Note that these criteria are not absolute rules and may be tailored to accommodate other definitions based on community consensus. Generally speaking, users who are “law enforcement officers” under some community definition are given this claim.

### Criminal Justice Data Self Search Home Privilege Indicator

The GFIPM standard defines “criminal justice data” as “data from law enforcement agencies, administrative agencies, courts, and corrections agencies regarding arrest, investigation, conviction, and sentencing for violation of a federal, state, tribal or territorial criminal law, including post-conviction correctional supervision during incarceration, supervision after release from incarceration, and performance of restitution.” This claim indicates that the user is authorized to see this type of information.

This claim can be used for non-public information that does not need to be restricted in the same way as “criminal justice” information. This might include, for example, driver license information.

**Based on current understanding of the data security model, users from the following agencies with the following positions could be given this claim:**

Local Law Enforcement Agencies	<ul style="list-style-type: none"> <li>• Chief</li> <li>• Dispatcher</li> <li>• Police Officer/Detective</li> <li>• Records Clerk</li> </ul>
CSSD	<ul style="list-style-type: none"> <li>• Administrative Support Staff</li> <li>• Probation Officers</li> <li>• Family Relations Supervisors and Counselors</li> <li>• IAR Staff</li> </ul>



## Criminal Justice Information System GFIPM Claims Definitions for Search

	<ul style="list-style-type: none"> <li>• Bail Commissioner</li> <li>• Alcohol Education Coordinator</li> <li>• Directors and Executives</li> <li>• Clerk</li> </ul>
BOPP	<ul style="list-style-type: none"> <li>• Administrators (Parole Supervisors, Chairman, Vice-Chairmen)</li> <li>• Clerical Staff</li> <li>• Parole Officers</li> </ul>
DOC	<ul style="list-style-type: none"> <li>• Assessment Staff</li> <li>• Central Records Staff</li> <li>• Clerical</li> <li>• Community Enforcement Staff</li> <li>• Correctional Officers</li> <li>• CTO / Counselors</li> <li>• Management Staff</li> <li>• Population Management Staff</li> <li>• Records Staff</li> <li>• Research</li> <li>• Security Staff</li> </ul>
DCJ	<ul style="list-style-type: none"> <li>• Case Coordinator</li> <li>• Chief State's Attorney</li> <li>• Clerical Staff</li> <li>• Inspector</li> <li>• Prosecutor</li> <li>• State's Attorneys</li> </ul>
SCO	<ul style="list-style-type: none"> <li>• Administration</li> <li>• Clerk</li> <li>• Judge</li> <li>• Statisticians</li> </ul>
DESPP	<ul style="list-style-type: none"> <li>• Clerical - SPBI Office Assistant</li> <li>• Clerical - SPBI Processing Tech</li> <li>• Clerical – Troop</li> <li>• Clerical – Weapons</li> <li>• Clerical - Weapons Processing Tech</li> <li>• Dispatcher</li> <li>• Fire Marshall</li> <li>• Management</li> <li>• Message Center Dispatcher</li> <li>• Police Officer - Public Safety</li> <li>• Protective Services Officer</li> <li>• Public Safety Troops</li> <li>• Ranking Officers</li> <li>• SPBI Fingerprint ID Unit Fingerprint Supervisor</li> <li>• SPBI Fingerprint ID Unit Fingerprint Technician</li> <li>• Trooper</li> </ul>



## Criminal Justice Information System GFIPM Claims Definitions for Search

### Youthful Offender Data Self Search Home Privilege Indicator

The GFIPM specification does not provide a standard mechanism to indicate that information pertains specifically to youthful offenders or to indicate that a user is authorized to view youthful offender information. To support the existing security model, CISS implements a custom “Youthful Offender Data Self Search Home Privilege Indicator.”

For the purposes of CISS, youthful offender data is information pertaining to an individual with youthful offender status as defined in Section 54-76b of the Connecticut General Statutes. This claim indicates that the user is authorized to see this type of information.

Generally this claim is used in conjunction with a more specific claim. For instance, information could be labeled such that the user must have both the “criminal justice data” privilege and the “youthful offender data” privilege to see criminal justice data related to youthful offenders.

**Based on current understanding of the data security model, users from the following agencies with the following positions could be given this claim. Note that this list is similar to the “criminal justice data” privilege in the previous section; the primary difference here is that BOPP users are not included.**

Local Law Enforcement Agencies	<ul style="list-style-type: none"> <li>• Chief</li> <li>• Dispatcher</li> <li>• Police Officer/Detective</li> <li>• Records Clerk</li> </ul>
CSSD	<ul style="list-style-type: none"> <li>• Administrative Support Staff</li> <li>• Probation Officers</li> <li>• Family Relations Supervisors and Counselors</li> <li>• IAR Staff</li> <li>• Bail Commissioner</li> <li>• Alcohol Education Coordinator</li> <li>• Directors and Executives</li> <li>• Clerk</li> </ul>
DOC	<ul style="list-style-type: none"> <li>• Assessment Staff</li> <li>• Central Records Staff</li> <li>• Clerical</li> <li>• Community Enforcement Staff</li> <li>• Correctional Officers</li> <li>• CTO / Counselors</li> <li>• Management Staff</li> <li>• Population Management Staff</li> <li>• Records Staff</li> <li>• Research</li> <li>• Security Staff</li> </ul>
DCJ	<ul style="list-style-type: none"> <li>• Case Coordinator</li> <li>• Chief State’s Attorney</li> <li>• Clerical Staff</li> <li>• Inspector</li> <li>• Prosecutor</li> <li>• State’s Attorneys</li> </ul>



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SCO	<ul style="list-style-type: none"><li>• Administration</li><li>• Clerk</li><li>• Judge</li><li>• Statisticians</li></ul>
DESPP	<ul style="list-style-type: none"><li>• Clerical - SPBI Office Assistant</li><li>• Clerical - SPBI Processing Tech</li><li>• Clerical – Troop</li><li>• Clerical – Weapons</li><li>• Clerical - Weapons Processing Tech</li><li>• Dispatcher</li><li>• Fire Marshall</li><li>• Management</li><li>• Message Center Dispatcher</li><li>• Police Officer - Public Safety</li><li>• Protective Services Officer</li><li>• Public Safety Troops</li><li>• Ranking Officers</li><li>• SPBI Fingerprint ID Unit Fingerprint Supervisor</li><li>• SPBI Fingerprint ID Unit Fingerprint Technician</li><li>• Trooper</li></ul>





## Criminal Justice Information System GFIPM Claims Definitions for Search

<b>Data Classification</b>	<b>Description</b>
<b>Public Data</b>	Any information that is permitted to be released to the public and not subject to controlled unclassified information (CUI) access restrictions.
<b>Government Data</b>	Any data obtained by a government agency pursuant to an administrative, legal, or investigative function in furtherance of the official duties or functions of the agency.
<b>Criminal Justice Data</b>	Data from law enforcement agencies, administrative agencies, courts, and corrections agencies regarding arrest, investigation, conviction, and sentencing for violation of a federal, state, tribal or territorial criminal law, including post-conviction correctional supervision during incarceration, supervision after release from incarceration, and performance of restitution.
<b>Criminal Intelligence Data</b>	Data which has been evaluated to determine that it: (i) is relevant to the identification of and the criminal activity engaged in by an individual who or organization which is reasonably suspected of involvement in criminal activity, and (ii) meets criminal intelligence system submission criteria.
<b>Criminal Investigative Data</b>	Information obtained from a variety of sources (public, governmental, confidential, etc.) The information may be utilized to further an investigation or could be derived from an investigation.
<b>Criminal History Data</b>	Any information collected by criminal justice agencies on individuals that consists of identifiable descriptions and notations of arrests, detentions, indictments, informational notes, or other formal criminal charges, and any disposition arising from them, including sentencing, correctional supervision, and/or release. The term does not include identification information, such as fingerprint records, to the extent that such information does not indicate involvement of the individual in the criminal justice system.
<b>Counter-terrorism Data</b>	Data regarding the investigation, prevention, or prosecution of politically motivated, violent or life-threatening acts perpetrated against noncombatant targets by subnational groups or clandestine agents.



## Criminal Justice Information System GFIPM Claims Definitions for Search

Security Restrictions	Type	Description
<b>Restrictions:</b>		
	Person Specific	Data restricted to a specific person
	Agency Specific	Data restricted to a specific agency(ies)
	Sworn Law Enforcement Officers	Data restricted to Sworn officers
	Public Safety Officers	Data restricted to public safety officers
	NCIC Certification	Data requires NCIC certification to be viewed
	28 CFR Certification	Data requires 28 CFR certification to be viewed
	NCIC Criminal History Privilege	Data restricted to users with NCIC criminal history privileges
	NCIC Hot File Privilege	Data restricted to users with NCIC hot file privileges
	FBI IAFIS Privilege	Data restricted to users with FBI IAFIS privileges
	FBI III Privilege	Data restricted to users with FBI triple-I privileges
	NICS File Privilege	Data restricted to users with NICS File privileges
	NDEx Privilege	Data restricted to users with NDEx privileges
	LEO Privilege	Data restricted to users with LEO privileges
	Security Clearance Level	Data restricted to users with specific clearance levels (confidential, Secret, Top Secret, None)