Connecticut Criminal Justice Information System Governing Board

CJIS Blueprint Project

CJIS As-Is Business/Logical Model

April 10, 2009

Document Purpose

The purpose of this document is to describe the current (as-is) business and technical environments of the Connecticut Criminal Justice Information System (CJIS) community.

Version	Date	Description/Changes
1.0	3/17/09	Discussion draft.
1.1	4/10/09	Terminology and formatting revisions after initial review.

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I. Executive Summary

I. Executive Summary

The Criminal Justice Information System (CJIS) Blueprint Project seeks to identify, define, and acquire an integrated justice capability for the state of Connecticut.[1] As discussed in the remainder of this document, the current degree of integration in the state is mostly manual or based on tools that allow a criminal justice practitioner to only look up information in partner agencies' systems. The goal of the CJIS Blueprint effort, a truly integrated CJIS,[2] is not currently available in Connecticut.

There are several existing solutions that provide some of the capabilities of a CJIS solution, but users must go from one organization's capabilities to another's tools in order to gain a complete picture of the criminal justice process and the individuals within that process. This is the issue that must be solved by the CJIS Blueprint effort. The information provided below and supported in the rest of this document outlines the current state of criminal justice organizations, criminal justice solutions, and business processes and how information is communicated.

A. Business Environment

The business environment of the criminal justice community consists of 11 justice agencies with 23,444 staff members, using 52 automated systems to support their business needs. The following table details the preceding information.

Agency	Staff	Systems[3]
Department of Public Safety, Division of State Police	1,700+	9
Superior Court Operations Division	2,664	7
Court Support Services Division	1,700	
Division of Criminal Justice	850+	0
Office of Chief Public Defender	400+	0
Department of Correction	7,000	1
Board of Pardons and Paroles	55	1
Department of Motor Vehicles	820 (12 Part-Time)	5

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[1] As required in Public Act 08-1 of the January 2008 Special Session, which specifies the establishment of a CJIS Information Sharing System.

[2] APPENDIX A includes a glossary of terms that are used throughout this report to describe the current and future states of justice information sharing in Connecticut.

[3] Additional agency systems and replacement projects are in various stages of development. See Section IV of this report for details regarding these systems.

Agency	Staff	Systems[3]
Office of Victim Advocate	4 (1 Part-time and 1 Intern)	0
Department of Emergency Management and Homeland Security	62	0
Connecticut Police Chiefs Association	8,250[4]	30[5]
TOTAL	**23,505+**	**23[6]**

These consumers of justice system information make critical decisions daily that affect overall public safety and processes within the justice system. To make the best decision possible, the information they use must be timely, accurate, and shared. With over 23,000 people using the criminal justice systems to make decisions, the systems and related information are significant elements of the justice process.

Given the multitude of disparate systems, how these agencies exchange information is critical. In that regard, the business environment of the criminal justice community is simply a collection of organizational process and linking document transfers, mostly paper-based. For example:

● The process from arrest through prosecution is paper-based and requires the duplicate entry of information by multiple agencies. While local law enforcement agency systems are automated, they must provide paper copies of arrest reports to the courts and the Division of Criminal Justice (DCJ).

● The discovery process is paper-based. In most instances, DCJ provides paper copies of discoverable information to the Division of Public Defender (DPD) Services.

● Presentence investigations are distributed to requesting justice agencies by the Court Support Services Division (CSSD) on paper.

● While the State of Connecticut Judicial Branch is highly automated, it still supports many internal and external processes with paper exchanges.

Although the processes continue to evolve, there have been few revolutionary changes in what can be done at the agency level to improve the overall business processes.

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[4] This represents the number of sworn officers in Connecticut.

[5] There were 30 local law enforcement records management systems (RMSs) inventoried in the state. This number is conservative and does not include stand-alone computer-aided dispatch systems (CADs), automated fingerprint identification systems (AFISs), or mobile data computer systems (MDCs). The systems are listed in APPENDIX C.

[6] The total does not include the 30 local law enforcement RMSs, OBTS, or CIDRIS.

B.   Technical Environment

The technical environment of the criminal justice community is primarily a collection of dated solutions that provide agencies with information-processing capabilities for their internal business processes. For example:

- The Judicial Branch's (JUD's) Criminal Motor Vehicle System (CRMVS) provides over 80 percent of the justice system data. It is an aging system[7] in need of upgrade or replacement. JUD has not initiated a replacement project.

- Local law enforcement (LAW) agencies utilize a variety of records management systems (RMSs), computer-aided dispatch (CAD) systems, and mobile data computer (MDC) applications and platforms. There are a number of data-sharing initiatives in progress around the state, but they are not coordinated on a statewide basis.

- Two major agencies, the Department of Motor Vehicles (DMV) and the Department of Correction (DOC), are supporting their mission-critical business processes with aging legacy systems. While DMV and DOC have initiated replacement projects, those initiatives are not coordinated with CJIS initiatives.

- DCJ, DPD, and the Office of Victim Advocate (OVA) do not have case management systems (CMSs) in place. Their existing technology consists of commercial office productivity tools and limited access to other agency systems.

These issues are highlighted in subsection IV.I – Current Technical Environment Assessment and illustrated in the summary diagram[8] presented below from the assessment.

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[7]   CRMVS is what is often referred to as a "legacy" system. Generally, legacy systems are those that are dated and use an older programming language that is expensive and difficult to support, and they do not use a modern database architecture. Later in the document, both DOC's and DMV's primary applications are also referred to as legacy systems.

[8]   Explanations of the scoring and calculations are discussed in the Current Technical Environment Assessment.

Findings Composite

Meets Business Needs	L	M	H
H	7	3	3
M	4	4	2
L	1		

Capability

Current Rating: 71%
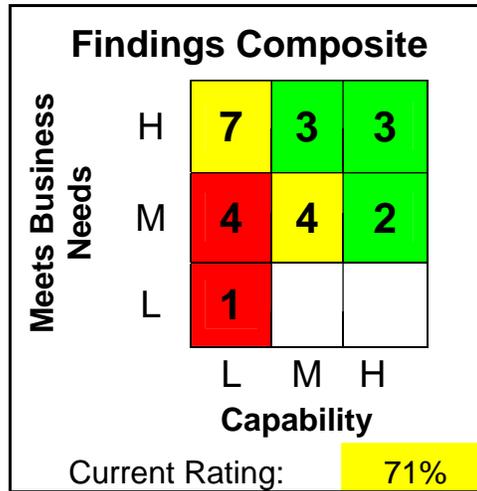
As shown above, the overall environment is in marginal shape. The older systems that are used are supporting business needs with constant and continuous support by business and technical staff. The majority of the green areas represent either infrastructure that is generally good or a few of the newer solutions (SOR and PRAWN are examples). The most significant gap in the current criminal justice environment is the ability to easily access and use information across the justice system. Simply put, the current technology does not have a cost-effective means of developing and managing an integrated justice solution.

C.   CJIS Information Environment

The technical situation described above is compounded by the CJIS business environment, which relies on information moving via paper and limited electronic exchanges. There are several critical capabilities provided for users that allow them to look up information from agency systems or the Offender Based Tracking System (OBTS). MTG Management Consultants, LLC, has completed an as-is[9] logical model of existing data exchanges.[10] There are several high-level conclusions. They are:

● There were over 400 data exchanges identified. Many of them are paper-based.

● OBTS provides the ability for users to look up information, but cannot populate user systems.

● Some of the processes that support the data exchanges require reengineering.

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[9]   Throughout this document, there are references to the as-is business and technical environ-ments. The term "as is" refers to the current situation, which is sometimes defined by others as the "current environment" or "current context."

[10]   Data exchange is defined as the transmission and receipt of information between more than one application or system. In the context of the justice system environment, data exchange would entail data from one justice system being made available to other justice partner systems.

Historically, data exchanges are often limited to a two-agency exchange, developed based on individual agency relationships rather than a system-wide initiative.

D.   Summary

If the CJIS Blueprint effort focused on connecting the existing solution with direct exchanges between systems (i.e., point-to-point interfaces), the criminal justice community would receive some benefit. The analysis of the as-is information presented throughout this document strongly suggests that the CJIS solution must address process, information, and technology issues jointly. Without determining a path to a specific target and laying out improvements in a logical and progressive manner, the state will continue to face the issues highlighted in this report.

II.   Introduction

II. Introduction

Current justice system information-sharing initiatives were developed to facilitate the exchange of information between public safety and justice agencies throughout the state. The CJIS community includes both Executive and Judicial Branch agencies, boards, commissions, and law enforcement agencies. There have been several projects aimed at developing a comprehensive, statewide system to promote the immediate and seamless sharing of information between all law enforcement and criminal justice agencies in Connecticut.

The CJIS Governing Board was established to oversee and provide governance for state justice information-sharing initiatives. Statutorily defined in Public Act 08-1, the board has recognized the need to develop a plan for the design and implementation of a Connecticut Information Sharing System (CISS). The board has retained MTG to carry out the first phase of the initiative, often referred to as the CJIS Blueprint Project.

The primary objectives of this project are to:

- Review the Department of Information Technology's (DOIT's) current business and technology environment.

- Review the current business and technology environment of justice agencies.

- Identify functional and technology gaps between the current environment and preferred future environment.

- Document requirements for the future CISS.

- Develop the CISS Design and Implementation Request for Proposals (RFP).

This report represents the first major deliverable in the project, an assessment of the as-is environment.

A. Document Scope

This document presents the results of the as-is environment assessment. The purpose of the assessment is to document the existing business and technology capabilities, along with identifying current data exchanges.

The scope of this report includes:

- An assessment of the current business environment.

- As assessment of the current technology environment.

- The development of an as-is business/logical model.

Together, the assessments provide a detailed picture of the current business and technology environments. The results will be used as a baseline for comparison with a "to be" environment, which will provide the basis for a gap analysis.

B.   Assessment Approach

In order to assess the criminal justice community's current business and technology environments, MTG employed several research and analysis methods. They included:

- Interviews with subject matter experts (SMEs) from the justice agencies. Approximately 80 SMEs participated in the interviews and JIEM modeling sessions.

- Interviews with executives from the justice agencies.

- Interviews with DOIT employees.

- Interviews with representatives from Sierra Systems, which is responsible for the development and maintenance of OBTS.

- Presentations and question-and-answer sessions at meetings of the CJIS Implementation Group and Connecticut Police Chiefs Association (CPCA).

- Walk-through assessment of the DOIT data center.

- Justice Information Exchange Model (JIEM) workshops with agency SMEs to identify and catalog current data exchanges.

- Review of existing documentation from the environment, justice agency business, and technology functions; Connecticut Impaired Driving Records Information System (CIDRIS); OBTS; and DOIT.

In combination, these approaches provided valuable information for the assessment.

C.   Document Organization

This remainder of this document is organized in the following sections and appendices:

- *Section III* – CJIS Business Environment.

- *Section IV* – CJIS Technology Environment.

- *Section V* – CJIS As-is Business/Logical Model.

- *Appendix A* – Glossary of Terms.

- *Appendix B* – Glossary of Acronyms.

- *Appendix C* – Information Descriptions and Sources.

- *Appendix D* – Agency Business Information Needs.

- *Appendix E* – Law Enforcement Agency RMSs.

- *Appendix F* – Participant List.

- *Appendix G* – Criminal Justice Information Exchanges – All Agencies.

These sections and appendices provide the relevant information for the as-is environment assessment.

III. CJIS Business Environment

III. CJIS Business Environment

CJIS is the umbrella term for the business program for integrated justice information system activity among agencies with justice and public safety responsibilities. CJIS encompasses programs or projects that generally incorporate interagency initiatives, data sharing, and enhancement of agency capabilities for justice information management.

The CJIS business environment is complex. Each justice agency has different, yet dependent business processes and information needs. Over time, the agencies have recognized the need to share data, resulting in many information-exchange arrangements that have been negotiated between agencies, based on organizational relationships. The growth has not been consistent and coordinated. The need for coordination and oversight resulted in the creation of the CJIS Governing Board, following a period of ad hoc governance in the 1990s during which the CJIS Governing Board legislation was developed and then initially adopted in 1999.

The need for expansion, definition, and oversight of justice information-sharing initiatives was brought to the forefront by a highly publicized crime that may have been prevented if different information-sharing capabilities had been in place. The result was the passage of Public Act Public Act 08-1,[11] expanding the responsibilities and membership of the CJIS Governing Board.

The CJIS Governing Board is composed of representatives from stakeholder agencies. The board includes representation from the Executive and Judicial Branches and the Connecticut General Assembly. Municipal law enforcement is also represented. Broadly, the CJIS Governing Board is concerned with justice information supportive of law enforcement and court functions involving apprehension, adjudication, incarceration, and supervision.

Board membership includes representatives from the:

- Office of Policy and Management (OPM).

- Judicial Branch (JUD), Office of the Chief Court Administrator.

- Division of Criminal Justice (DCJ), Office of the Chief State's Attorney.

- Department of Public Safety (DPS), Division of State Police.

- Division of Public Defender (DPD) Services, Office of Chief Public Defender.

- Department of Correction (DOC).

- Board of Pardons and Paroles (BOPP).

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[11] The act provided the first definitive message from the legislature regarding the importance of information sharing between state public safety and criminal justice agencies.

- Office of Victim Advocate (OVA).

- Department of Emergency Management and Homeland Security (DEMHS).

- Department of Information Technology (DOIT).

- Department of Motor Vehicles (DMV).

- Connecticut Police Chiefs Association (CPCA).

- Chairpersons and ranking members of the Joint Standing Committee of the General Assembly on Judiciary.

The cochairs of the CJIS Governing Board are the Lieutenant Governor, serving as the designee of the secretary of the Office of Policy and Management, and the deputy chief court administrator, Judicial Branch.

Public Act 08-1 of the January 2008 Special Session requires the establishment of a CJIS Information Sharing System. It is the responsibility of the CJIS Governing Board Executive Director to oversee the design and implementation of a comprehensive, statewide information technology (IT) system for criminal justice information.

To enhance governance and coordination, the Governing Board established three committees and, in February, restructured them as follows:[12]

- The CJIS Administrative Committee is responsible for:

  » Business case framework development that will address business, financial, and technology needs.

  » Standing subcommittees that meet more often and include the appropriately skilled members.

  » Policy or legislative changes calendar to drive the CJIS community agenda.

  » New initiative schedule and prioritization.

- The CJIS Technology Committee is responsible for:

  » Calendar for all technology standards from "cradle to grave."

  » Architecture standards – resilience, scalability, flexibility, and recovery.

  » SharePoint expertise.

  » Positive identification.

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[12] This process is ongoing, with formal committee names and charters under development as of this document version.

> » Introduction of Global Federated Identity and Privilege Management (GFIPM).

- The CJIS Implementation Committee is responsible for:

  > » OBTS user group.

  > » CIDRIS user group.

  > » JIEM changes and yearly updates.

  > » Interface resource scheduling and updates.

The committees are to provide coordination and structure in the CJIS environment and make recommendations to the CJIS Governing Board. The functional and policy areas the committees are responsible for require critical decision making and governance for the CISS initiative to be successful.

A.   Agency Roles

To carry out the directives of the board, a program[13] was put in place to move CJIS initiatives forward. The CJIS program was developed to coordinate the efforts of the justice agencies toward a common goal: a new data-sharing and integration environment. The table below describes the roles of the agencies and boards involved in the program.

CJIS Business Environment	
Role	**Agency**
Governance	CJIS Governing Board.
Funding and Business Management	OPM, Criminal Justice Policy Development and Planning Division (CJPPD).
Information-Sharing Initiatives	OPM/CJPPD.
Application and Infrastructure Support	DOIT.

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[13]   A program is a group of projects that are managed using the same techniques in a coordinated fashion.

CJIS Business Environment	
Role	**Agency**
Criminal Justice Users	- DPS. - JUD - DCJ. - DPD. - DOC. - BOPP. - DMV. - OVA. - DEMHS. - CPCA.

These agencies comprise the criminal justice community and the stakeholders whose efforts are critical to the completion of the CISS initiative. The agencies' mission, business processes, and information needs are the foundation of this program. A description of each agency is provided below.

B.   Non-Criminal Justice Agencies Within CJIS

Two state agencies provide primary support to the CJIS program. OPM provides business and financial management services, along with management support, to two information-sharing initiatives. DOIT provides infrastructure and application support to most justice agencies. These agencies are described in more detail below.

1.   Office of Policy and Management, Criminal Justice Policy Development and Planning Division

OPM functions as the agency of the Governor's staff and plays a central role in state government, providing the information and analysis used to formulate public policy for the state and assisting state agencies and municipalities in implementing policy decisions on the Governor's behalf.

CJPPD is part of OPM. Its role is to conduct an in-depth analysis of the criminal justice system, determine the system's long-range needs, identify policy priorities, and advise and assist the Governor and the General Assembly in developing plans, policies, programs, and legislation to improve the system's effectiveness. The number one strategic priority of CJPPD is providing system-wide enterprise access to justice and public safety information and improving CJIS agency business process. CJPPD has no operational processes, but provides planning and oversight for CJIS initiatives.

To these ends, CJPPD provides business management services and funding to CJIS efforts, as well as coordinates the development and execution of several initiatives. Although OPM does not have significant criminal justice business processes, there are two multiagency initiatives under the auspices of OPM. These initiatives are described below.

Offender Based Tracking System

OBTS is an initiative to develop information-search capabilities among justice agencies, and it was managed and implemented by OPM. OBTS was developed in cooperation with all of the state criminal justice agencies to respond to the growing demand for criminal justice data on criminal offenders. OBTS is a data repository where data received from justice agencies is compiled and then accessible to CJIS agencies via a Web-based OBTS portal. It is also available to LAW through the Connecticut On-Line Law Enforcement Communications Teleprocessing System (COLLECT). It is designed to use existing state agency systems and data, provide access to criminal history, generate reports for state statistical or administrative purposes, and set the stage for future enhancements.

OBTS contains offender data, including:

- Names/identifying data.

- Criminal histories.

- Court data.

- Dispositions.

- Restraining/protective orders.

- Incarceration status.

- Probation information.

- Parole status.

This OBTS program was initiated in 1995. Beginning in fiscal biennium 1995 to 1997, the legislature began a program of authorizing bonds for CJIS projects. Bonds were authorized for CJIS through fiscal year (FY) 2004 to FY 2005 in the amount of $49.7 million for a number of justice technology projects. The OBTS share of that funding was $28.6 million. These funds have been expended, and ongoing development and maintenance of OBTS comes from the state general fund.

OBTS development is essentially complete. Due to necessary improvements and the need for application software maintenance, an existing software application support contract with Sierra Systems is providing for the release of Version 5.2 of OBTS. This project is in the requirements development phase.

OBTS infrastructure and application operations are provided by DOIT and managed by the CJIS support group, which is composed of DOIT employees.

OBTS has not yet met the needs of the stakeholder agencies in providing data exchange and data integration[14] and is not yet viewed as the integration solution the agencies are seeking.[15] Not all CJIS agencies have adopted OBTS as a tool in carrying out their business processes, and as a result, OBTS has a negative image in some agencies. The reasons given are:

● *Lack of Integration* – OBTS is not integrated with justice agency line-of-business (LOB) applications. OBTS transactions are stand-alone, and data does not populate agency applications.[16] While OBTS data adds value for the justice agencies, acquiring the information outside of their LOB applications is an extra step.

● *Questionable Integrity of Data* – Some users have expressed concern that the data may not be accurate. If true, this could reflect on the accuracy of the data at its source or the accuracy of the database.[17]

● *Poor Response Time for Queries* – Users explained that queries had been taking minutes. This was a previously determined problem with the database that has since been corrected.

OBTS supporters argue that it is a useful application which assists them with their business process, that the negative opinions are historical, and that the opinions have not matured with the capabilities of OBTS.

2. Connecticut Impaired Driving Records Information System

OPM and CJPPD, through the CJIS Governing Board structure, are developing an application known as CIDRIS. Development work is being conducted by a systems integrator, Sierra Systems. CIDRIS is in the design stage and has not been implemented, but it is important to understand the intent of CIDRIS and how it might interact with other systems.

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[14] Data integration is the sharing of information between systems where the data can be retrieved from one system in order to populate another. For example, booking information from a police department's RMS could populate a CMS at DCJ.

[15] Since inception, OBTS was never intended to be an integration solution.

[16] Discussions have been held regarding the development of OBTS to provide data to agency applications. The CJIS Governing Board felt the OBTS data was valid enough to be used for these purposes, but subsequent action was not taken.

[17] OBTS data is gathered from different source agencies. OBTS can provide notification to agencies when their offender data is not consistent with other agency offender data.

CIDRIS will act as a clearinghouse for operating under the influence (OUI) activity within the state of Connecticut and provide automation and electronic exchange of relevant and appropriate data and documents among law enforcement, the DMV, DCJ, and the Connecticut Superior Court. CIDRIS is intended to assist in the adoption of a state law enforcement interface standard, which can later be implemented by all current and future CAD and RMS vendors.

One of the primary factors promoting the development of CIDRIS is the DMV's business need to obtain timely documentation of OUI cases from law enforcement agencies so that the department can conduct administrative sanction hearings within a 30-day statutory time limit. DMV hearing examiners currently conduct 5,000 to 6,000 hearings per year. A substantial number of hearings are not conducted due to timeliness issues.

Connecticut has received funding from the National Highway Traffic Safety Administration (NHTSA) to implement CIDRIS. The funding is for $1,666,000 and is included in the $2.3 million for application development. The anticipated completion date for CIDRIS is December 31, 2009.

3. Connecticut Department of Information Technology

DOIT was created in 1997 to oversee and provide IT services to state agencies. As part of its role, DOIT provides development, application support, and infrastructure services to all state agencies, excluding the Judicial Branch. At the present, DOIT has 284 employees.

The mission of DOIT is to provide quality IT services and solutions to customers, effectively aligning business and technology objectives through collaboration in order to provide the most cost-effective solutions that facilitate and improve the conduct of business for state residents, businesses, visitors, and government entities.

DOIT is organized into the following divisions:

- Office of the Chief Information Officer (CIO).

- Administration.

- Business Development: Healthcare, Human Services, and Education.

- Business Development: Regulatory and Government Administration.

- Business Development: Safety, Judicial, and General Government.

- Security.

- Operations.

- Network and Distributed Systems.

DOIT has moderate control over the IT practices and initiatives of state agencies. The Governor of Connecticut recently required all State of Connecticut Executive Branch agencies to adhere to a system development methodology (SDM) promulgated through policy by DOIT. DOIT is making a substantial effort to implement the SDM across the Executive Branch.[18]

Some justice agency representatives are critical of DOIT's capabilities and practices. Since DOIT is a possible candidate to support the future CISS, and the SDM may impact CISS and agency efforts, agency impressions of the primary IT support operation in the state are important. The general comments are:

- SDM is administered unevenly and was implemented unilaterally without agency input.

- DOIT and the SDM process are too constraining.

- DOIT can be difficult to work with.

- DOIT does not seem to get things done.

- DOIT does not support new technologies and programs.

- Internal DOIT staff dynamics often create roadblocks.

- DOIT has too much authority over justice programs.

These comments are highly anecdotal; however, they were consistently made. It must be repeated that these are perceptions, not fact, but indications of the perceptions were observed during MTG's as-is effort. The critical point is that several justice agencies doubt DOIT's ability to support the technologies required of a new CISS. The agency impressions are a challenge that DOIT may have to overcome as support provider for agency efforts and potentially for CISS. DOIT has raised issues to the CJIS Governing Board and to OPM indicating that staffing resources, business direction, and project management practices have been insufficient to properly support the CJIS programs.

C.   User Agencies

The justice agencies described previously are active participants in the CISS initiative. All agencies are represented in the CJIS Governing Board and the CJIS Implementation Group. During interviews, all were enthusiastic about the potential of the CISS initiative. Each agency has different business needs, yet shares a dependency on other agencies for justice information that supports internal processes. In describing each agency, this report focuses on three areas:

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[18]   This was reported by agencies and is supported by Award 07ITZ0135, a contract for $977,600 to assist with the implementation of the Program Management Office (PMO) and create the SDM.

- *Mission* – The reason the organization exists.

- *High-Level Business Processes* – Those processes that are necessary to carry out the mission of the organization.

- *Strategic Goals and Initiatives* – The intended direction of the organization and the information needs to support the direction.

The interrelationship between these areas provides an understanding of each agency's information needs. The agencies are described below.

1.   Department of Public Safety, Division of State Police

The DPS consists of three divisions: the Division of State Police; the Division of Fire, Emergency, and Building Services; and the Division of Scientific Services.

The Division of State Police consists of approximately 1,225 sworn troopers and 500 civilian personnel. The division is divided into two components: the Office of Field Operations, which provides direct law enforcement services to the citizens of the state, and the Office of Administrative Services, which provides logistical support while maintaining several registries and licensing bureaus.

The Office of Field Operations is responsible for the delivery of police services statewide through three geographical districts (including a total of 12 troops), three major crime squads, the Traffic Services Unit, the Emergency Services Unit, the Bureau of Criminal Investigations, and the Office of Domestic Terrorism. The Office of Administrative Services provides training, planning, and support duties to the agency through a wide array of commands comprising each bureau, including the State Police Training Academy, Selections and Investigative Support, Support Services, Crimes Analysis, Computer Statistics (COMPSTAT), Grants Administration, Community Policing, Fleet Administration, Field Technology, DPS Communications Center, Criminal Justice Information Services, Bond Management, and Capitol Improvement.

The Division of Scientific Services is composed of the Forensic Science Laboratory, the Controlled Substances/Toxicology Laboratory, and the Computer Crimes and Electronic Evidence Laboratory. During 2005, the Forensic Science Laboratory received approximately 9,000 requests for analysis or other laboratory services related to criminal cases. Additionally, the Controlled Substances/Toxicology Laboratory received approximately 6,000 cases for analysis.

The following table provides an overview of DPS, Division of State Police:

Agency Summary	
Agency	**Number of Staff**
Department of Public Safety, Division of State Police	1,700+
Mission	
The Connecticut DPS is committed to protecting and improving the quality of life for all by providing enforcement, regulatory, and scientific services through prevention, education, and the innovative use of technology.	
High-Level Business Processes	
- Conduct statewide law enforcement activities. - Conduct statewide highway safety activities. - Conduct statewide crime prevention activities. - Provide law enforcement support services. - Maintain LOB IT systems.	
Strategic Goals/Business Plan	
- Achieve the ability to share all of its data with CJIS partners. - Capture initial CJS data roadside.	

DPS and the Division of State Police possess a culture that has embraced IT as a tool to streamline and improve core business processes. While supportive of the CJIS initiative and a higher level of information sharing and exchange, DPS is seen by some justice partners as a highly independent agency, moving forward with technology initiatives without coordinating with the CJIS community.

2. Judicial Branch

In the Judicial Branch, the chief court administrator is responsible for the efficient operation of the Connecticut Judicial Branch, the prompt disposition of cases, and the assignment of Superior Court judges to specific court locations. JUD provides IT applications and infrastructure to support the operations of the court.

The Office of the Chief Court Administrator is organized into four divisions, each carrying out Judicial Branch functions. These are the:

- Superior Court Operations Division.

- Court Support Services Division.

- Information Technology Division.

- Administrative Division.

The respective divisions are described below.

Superior Court Operations Division

The Superior Court Operations Division is responsible for the operation of the superior courts, which are distributed in 13 judicial districts and 20 geographic areas. The courts are administered centrally and on a statewide basis. Included in this division's responsibilities are computer systems support, court operations with civil court matters, centralized infractions, centralized small claims, family support and juvenile matters, housing matters, criminal matters, judge support services, legal services, jury administration, judicial marshals service, and victim services. Court operations with respect to criminal matters are especially relevant to the CISS. The following table provides an overview of the Superior Court Operations Division:

Agency Summary	
Agency	**Number of Staff**
Superior Court Operations Division	2,664
Mission	
The mission of the Superior Court Operations Division is to assist the Judicial Branch in the administration of justice by providing quality services and information to the court and the people it serves in an efficient, professional, and courteous manner.	
High-Level Business Processes	
• Develop and promulgate policy and procedure. • Propose, review, and implement legislation. • Oversee specialty courts (e.g., drug court, domestic violence). • Write grants. • Analyze statistics of case movement in each court location. • Own and maintain the Criminal Motor Vehicle System (CRMVS) data and Centralized Infractions Bureau (CIB) data.	
Strategic Goals/Business Plan	
• Automate as many business processes as possible. • Eliminate internal and external paper exchanges. • Develop CIDRIS. • Develop E-Citations program. • Reduce duplicate entry of data.	

Court Support Services Division

The Court Support Services Division provides several services in the Judicial Branch in support of court functions and dispositions. They include the following:

- The Office of Adult Probation conducts presentence investigations ordered by the Superior Court and supervises probationers in all cases except juvenile matters.

- The Office of Alternative Sanctions creates and sustains a full range of alternatives to incarceration for both pre- and post-conviction adult and juvenile populations.

- The Bail Commission interviews and investigates individuals accused of crimes to assist the Superior Court in determining the terms and conditions of pretrial release.

- The Family Services Division assists the Superior Court in the resolution of problems and the adjudication of cases involving family relationships, family support, child protection, and juvenile delinquency. Among the services provided by this division are mediation of domestic disputes, evaluation of child custody and visitation conflicts, juvenile probation services, divorce counseling, residential placement, restitution, and community services.

- The Division of Juvenile Detention Services provides pretrial secure detention and programming services to juveniles accused of delinquent acts.

The following table provides an overview of the CSSD:

Agency Summary	
Agency	**Number of Staff**
Court Support Services Division	1,700
Mission	
To provide the judges of the Superior Court and the judicial system with timely and accurate information, quality assessments, and effective services that ensures compliance with court orders and instills positive change in individuals and families.	
High-Level Business Processes	
• Intake, assessment, and referral (IAR). • Supervision. • Administration of alternative sanctions. • Pretrial detention.	
Strategic Goals/Business Plan	
• *Support of Staff* – To assure that each CSSD unit and its staff have efficient tools and adequate support to achieve the agency's goals and objectives. *NOTE:* This includes, but is not limited to, improved implementation of data collection and IT enhancements, reporting tools, and policy and procedure development and dissemination.	

Information Technology Division

The Information Technology Division provides information technology services to support the many functions and responsibilities of the Judicial Branch. These services include standards and architecture, technical services, application development and support,

Internet development, and network services and support. Significant is the operation of the court criminal case management system (Criminal Motor Vehicle System [CRMVS]) and the Paperless Re-Arrest Warrant Network (PRAWN).

Administrative Division

The Administrative Division provides human resource and fiscal services to the divisions under the Office of the Chief Court Administrator.

JUD has taken a leadership role in the CISS initiative. The Judicial Branch provides most of the data contained in OBTS, and much of the data exchange that currently occurs among the justice partners is triggered by court events.

3.   Division of Criminal Justice

DCJ is constitutionally charged with the investigation and prosecution of all criminal matters in the Superior Court. Article 23 of the Constitution of the State of Connecticut was enacted by the voters in 1984, establishing the division as an Executive Branch agency and transferring it from the Judicial Branch.

The chief state's attorney, as administrative head of the agency, is responsible for planning and establishing agency policy and administering the operations and activities of the central office and more than 50 prosecutor's offices throughout the state. These offices are grouped into thirteen judicial districts, each supervised by a state's attorney.

The division is organized into three major activity areas: investigation and prosecution, appellate and collateral litigation, and management and support services. These program areas include the prosecution of all felonies, misdemeanors, infractions, motor vehicle offenses, and violations of state statutes; investigation and prosecution of particular crimes and offenses of statewide scope and/or requiring special expertise; and representation of the state in all appellate, post-trial, and post-conviction proceedings related to criminal matters.

The division has expanded its activities in the areas of public integrity, "cold case" investigation, elder services and prosecution of elder abuse, gun violence prosecution, computer crime, neighborhood prosecution, domestic violence, youth violence, teen pregnancy, and drunk driving. Such crimes often require specialized knowledge and resources.

The following table provides an overview of DCJ:

Agency Summary	
Agency	**Number of Staff**
Division of Criminal Justice	850+

Mission
To contribute to the due process of criminal law and to achieve justice.

High-Level Business Processes

- Investigation processes.
- Case initiation process.
- Adult Part A Case process.
- Adult Part B case process.
- Appellate case process.
- Asset forfeiture case process.
- Civil litigation process.
- Cold case process.

- Elder services case process.
- Housing case process.
- Public integrity case process.
- Statewide prosecution case process.
- Warrant application case process.
- Witness protection case process.
- Workers' compensation case process.

Strategic Goals/Business Plan

- Implementation of a prosecutor case management system.
- Meeting its mission in the current economy.
- Prosecuting cases in the most efficient and responsible manner.
- Providing better training.
- Enhancing community protection.
- Facilitating better information sharing among justice agencies.
- Eliminating paper-based methods.

DCJ is one of the largest prosecutorial agencies in the country, as outside of Connecticut, most prosecutions are handled at the county level. Given the size of the organization and its workload, DCJ requires more IT tools to meet its goals in an efficient and effective manner. DCJ is in need of data from other CJIS agencies; however, its most pressing need is a modern CMS to manage its internal business processes.

4. Division of Public Defender Services

Public defender services are provided to indigent accused persons throughout the state at 37 field offices and three branches, under the direction of the Office of Chief Public Defender. As established by statute, the Public Defender Services Commission is made up of three separate components: a commission, which is responsible for policy making, appointments of all personnel, and compensation matters; an Office of Chief Public Defender, charged with statewide administration of the public defender system and provision of specialized legal representation; and the individual public defender offices in the 13 judicial districts, the 20 geographical areas, and the 13 juvenile venues of the Superior

Court, providing legal services throughout the state to indigent persons accused of crimes, as required by both the United States and Connecticut constitutions.

Specialized units of the DPD are responsible for the representation of clients in capital cases, appeals before the Connecticut Supreme Court and Appellate Court, habeas corpus proceedings, matters before the Psychiatric Security Review Board (PSRB), and juvenile post-conviction matters.

The commission has contracts with over 238 private attorneys to provide representation as special public defenders in adult and juvenile cases where conflicts of interest preclude representation by DPD staff. These attorneys handle a small percentage of the total caseload annually. In addition to the representation of adult clients in all judicial district and geographical area courts, the DPD is responsible for the representation of children charged with offenses before the juvenile matters sessions of the Superior Court. Permanent staff provide defense services to juveniles in Bridgeport, Danbury, Hartford, Middletown, New Britain, New Haven, Norwalk, Rockville, Stamford, Torrington, Waterbury, Waterford, and Willimantic. Two attorneys in the division's federally funded Juvenile Post-Conviction and Re-Entry Unit also provide representation to convicted juvenile clients who have been committed to the Department of Children and Families.

The table below provides an overview of the DPD.

Agency Summary	
Agency	**Number of Staff**
Division of Public Defender Services	400+
Mission	
The mission of the DPD is to provide legal representation in accordance with both the United States and Connecticut constitutions to any person charged with the commission of a crime in Connecticut who does not have the financial ability to hire an attorney.	
High-Level Business Processes	
- Adult defense process. - Juvenile defense process. - Capital case defense process. - Appellate process. - Habeas corpus process. - PSRB process.	
Strategic Goals/Business Plan	
No formal plan in place.	

The DPD, much like DCJ, can improve its business processes with a higher level of data exchange and a case management solution to manage its internal business processes. Without a CMS, there are few means for the DPD to receive electronic information.

A larger policy issue is the need for the justice community to see the DPD as an integral part of the criminal justice system and not an adversary. The functions it carries out are critical to the effective processing of cases. The more efficient and effective each agency can be in handling information, the more efficient and effective the system becomes. This is an important issue for the CJIS Implementation Group and CJIS Governing Board to resolve.

5. Department of Correction

DOC, by direction of the courts, confines and controls accused and sentenced inmates in correctional institutions, centers and units, and by statute, it administers medical, mental health, rehabilitative, and community-based service programs. With the department's creation, the state became the first in the nation to bring all youth and adult correctional institutions and parole functions under one central authority and resource base. Parole functions were subsequently incorporated administratively under the Board of Pardons and Paroles (BOPP).

The Operations Division consists of 18 correctional facilities, which are managed by two district administrators and 17 wardens. There are 14 correctional institutions and four correctional centers, which incarcerate approximately 18,600 inmates.

The Programs and Treatment Division is responsible for the management of the inmate population and offender classification functions; the research, development, and implementation of treatment services; health and mental health services; counseling; and educational, vocational, recreational, and religious programs. It is also responsible for the organization of the agency's volunteer and victim services programs. Correctional Enterprises provided employment for 425 inmates on an average daily basis. Addiction Services provided groups for 8,524 inmates, and Religious Services provided more than 88,000 hours of ministry time.

In addition to providing comprehensive programs tailored to individual needs, division personnel work in conjunction with volunteers, community providers, and other human services agencies to develop wrap-around services to facilitate the successful community reintegration of the offender population.

The table below provides an overview of DOC.

Agency Summary	
Agency	**Number of Staff**
Department of Correction	7,000
Mission	
DOC shall protect the public, protect staff, and provide safe, secure, and humane supervision of offenders with opportunities that support successful community reintegration.	
High-Level Business Processes	
- Intake and initial assessment. - Sentence and time calculation. - Classification and assessment. - Movement and transfers. - Programs, treatment, employment, and education. - Prerelease planning, discharge, and release. - Parole. - Community supervision.	
Strategic Goals/Business Plan	
A strategic plan for 2009 to 2012 has been developed. The stated strategic initiatives are related to: - Safety, security, and good order. - Workforce excellence. - Organizational competence. - Community reentry model. - Program integrity and fidelity. - System delivery of healthcare.	

DOC has identified data-sharing needs that would enhance its business processes; however, due to the inefficiency of an aging legacy LOB application, its IT needs are focused on internal initiatives.

6.   Board of Pardons and Paroles

BOPP is assigned to DOC for administrative purposes. The members of BOPP are appointed by the Governor. The board decides whether to release or continue confinement of offenders after evaluating case factors. The board continues to maintain its interest in the public safety and rehabilitation of offenders by strictly enforcing parole conditions and returning inmates to confinement when deemed necessary via the parole rescission and revocation processes.

The Hearings Division ensures that all eligible offenders are reviewed for parole consideration in an organized and timely manner and that release decisions are based on accurate, comprehensive, and thorough case investigations. Also, the division is responsible for the timely scheduling of all revocation and rescission hearings. Each case being reviewed for parole consideration requires a detailed parole summary. These comprehensive summaries provide board members with information regarding the applicant's criminal, social, and correctional history. If the inmate is granted parole, these summaries then form the basis of information upon which field parole officers develop case management, treatment, and supervision plans. These summaries also detail the offense(s), adjustment, and achievement of each parole-eligible inmate during incarceration and include letters from interested parties supporting or opposing parole.

The board retains the authority to rescind or modify a previously granted parole in the event of new information or behavior resulting in either DOC disciplinary action or new criminal charges. In this case, rescission hearings are conducted prior to release. BOPP has the authority to grant pardons to persons convicted of any offense unless it is motor vehicle-related.

The board reviews 2,500 to 3,000 parole requests per year. There are 14,800 persons on mandatory supervision. The following table provides an overview of BOPP:

Agency Summary	
Agency	**Number of Staff**
Board of Pardons and Paroles	55
Mission	
The Connecticut BOPP is committed to protecting the public by making responsible decisions in determining when and under what circumstances eligible persons may be granted the benefit of a pardon or the privilege of parole.	
High-Level Business Processes	
• Review and act on clemency petitions. • Provide parole orientation. • Conduct parole revocation and rescission. • Administer interstate compacts.	
Strategic Goals/Business Plan	
• Enhance the Web site to improve usability and automate the pardon application process. • Enhance the parole orientation process, including implementing policies to ensure the receipt of police reports, presentence investigation reports, and court transcripts for all hearings, and establish procedures for the electronic transmittal and storage of these reports. • Improve parole revocation and rescission procedures to deal with increases in the workload created by the new state regulation. • Improve procedures regarding the newly acquired ability to retake Connecticut's transferred parole violators from the custody of receiving states, included collaboration with the Bureau of Immigration and Customs Enforcement (BICE) regarding paroling offenders to their immigration detainers for deportation purposes.	

As BOPP receives administrative support from the DOC, it will automate many of its business processes when DOC acquires its new enterprise LOB application. In addition, BOPP will streamline its processes with the availability of electronic data exchange in an integration environment.

7. Department of Motor Vehicles

DMV plays an important role in the Connecticut justice community as a provider and consumer of information. DMV provides numerous services to the residents of the state, including the licensing of drivers and all types of vehicles, regulating certain businesses, conducting administrative hearings regarding the loss of driving privileges, and conducting investigations required prior to the issuance of special licenses and permits. DMV provides services to citizens in 19 locations throughout the state. Some offices provide limited service, while others are managed in conjunction with the American Automobile Association (AAA). In addition, DMV carries out specified law enforcement functions.

The table below provides summary information about the DMV.

Agency Summary	
Agency	**Number of Staff**
Department of Motor Vehicles	820 (112 Part-Time)
Mission	
The mission of the Connecticut DMV is to promote and advance public safety, security, and services through the regulation of drivers, their motor vehicles, and certain motor vehicle-related businesses.	
High-Level Business Processes	
- Conducting credential issuance/renewals/modifications. - Testing for license-related credentials (vision, knowledge, and skills). - Conducting customer complaint investigations against regulated businesses. - Conducting emission compliance checks. - Conducting administrative hearings. - Conducting vehicle inspections and enforcement (commercial, public service, salvage, composite, Vehicle Identification Number [VIN]). - Providing customer service. - Conducting financial transactions (fee collection/distribution).	
Strategic Goals/Business Plan	
- Reengineer and implement the regulation of drivers project. - Reengineer the vehicle title process. - Implement the real-time online registration project. - Implement the requirements of the federal Motor Carrier Safety Improvement Act of 1999. - Conduct comprehensive internal reviews. - Enhance highway safety and motor vehicle compliance-related programs. - Produce comprehensive facilities and business continuity plans. - Develop customer service and outreach/partnership plans. - Reevaluate the agency's organizational structure. - Expand staff development and investment in staff.	

Like other state agencies, DMV is in need of an enterprise solution that meets its business needs. While supportive of the CISS initiative, the replacement of DMV's aging legacy system has priority.

8. Office of Victim Advocate

OVA is the state's lead agency established to respond to the needs of crime victims. Its primary function is to provide statewide victim assistance to crime victims and their families through financial compensation for the personal injuries arising from the crime; advocacy; informational services; and referrals.

The victim advocate and staff receive complaints from crime victims, or others on behalf of crime victims, and can investigate such complaints. As an attorney, the victim advocate can file an appearance in any court proceeding throughout the state to advocate for victims' rights to make certain that crime victim rights are being honored and respected by the criminal justice system. The victim advocate monitors and evaluates the provision of services to crime victims by state agencies and not-for-profit organizations that make up the victim service delivery system. OVA receives approximately 900 complaints each year of alleged violations of victims' rights.

OVA may request a variety of information from any agency belonging to the CJIS community, with the exception of the DPD, depending upon the complaint received by OVA and the nature of the action being taken by the office.

The table below provides an overview of OVA.

Agency Summary	
Agency	**Number of Staff**
Office of Victim Advocate	4.5 and 1 Intern
Mission	
Protect and promote the statutory and constitutional rights of crime victims in Connecticut. Evaluate the delivery of services to crime victims and investigate, when necessary.	
High-Level Business Processes	
- Respond to complaints from crime victims of an alleged violation of rights. - Evaluate the delivery of services to crime victims. - Review the procedures of any agency or other entity that provides services to crime victims. - Receive complaints from crime victims and conduct investigations if warranted. - Recommend proposals for legislative changes or policy changes to advance the rights of crime victims. - Conduct educational programs and outreach to agencies and other entities and to the public.	
Strategic Goals/Business Plan	
None identified.	

Of all of the CJIS agencies, OVA is the smallest agency in size and budget. It manages cases through use of a Microsoft Access database and standard office productivity tools. OVA does not receive support from DOIT, although this approach has been considered in the past. OVA felt that its budget would not allow the office to receive DOIT services. OVA is open to any improvements that will assist it to provide efficient and accurate information to crime victims and accomplish the statutory mandates of the office in a secure and timely fashion.

9. Department of Emergency Management and Homeland Security

DEMHS was created by Legislative Act 04-218. The new department combined the Office of Emergency Management within the Military Department and the Homeland Security Division and the homeland security division of DPS.

DEMHS leads a number of multiagency task forces charged with preparing state government to deal with terrorism. DEMHS has divided the state into five emergency planning regions and is organizing planning teams in each of those regions to develop regional emergency response plans. This effort is being spearheaded by the DEMHS regional offices and the regional planning organizations. Many local agencies are assisting in the process as well. Additionally, DEMHS is working with local agencies to establish, equip, and train five regional response teams capable of responding to any type of terrorist incident. All of these plans are designed to be compliant with the National Incident Management System (NIMS) and supportive of both state and national strategies. The primary responsibilities of DEMHS are:

- Identifying critical assets.

- Participating in the Connecticut Intelligence Center.

- Developing a standardized incident response.

- Standardizing communications.

- Working with local government partners.

- Managing the state emergency operations center (EOC).

The table below provides an overview of DEMHS.

Agency Summary	
Agency	**Number of Staff**
Department of Emergency Management and Homeland Security	62
Mission	
The mission of DEMHS is to direct and coordinate all available resources to protect the life and property of the citizens of Connecticut in the event of a disaster or crisis through a collaborative program of prevention, planning, preparedness, response, recovery, and public education.	
High-Level Business Processes	

- Manage natural disasters.
- Manage joint terrorism task force.
- Identify and protect the critical infrastructure.
- Conduct urban search and rescue.
- Provide community education.
- Promote agency communication and collaboration.

Strategic Goals/Business Plan

- Ensure the state has the ability to manage natural and human-made disasters by developing plans and procedures for effective response to and recovery from all hazards, disasters, and threats.
- Collaborate with local, regional, state, tribal, and federal partners to ensure appropriate planning, equipment, training, exercise, and response capabilities for emergency management and homeland security.
- Educate Connecticut residents and visitors on how best to mitigate, prepare for, respond to, and recover from all disasters and emergencies, both natural and human-made.
- Develop an effective and efficient means of communication among and between DEMHS staff.
- Develop and maintain agency response, protection, and intelligence capabilities.
- Maximize federal and other grant resources to support priority homeland security and emergency management programs.
- Continue development of the critical infrastructure plan for State of Connecticut.
- Continue to enhance and sustain agency communication (telephone, radio, satellite, microwave) and IT (data, geographic information system [GIS], network) needs.
- Continue to enhance and sustain statewide interoperable communication capabilities.
- Develop, coordinate, and conduct training and exercise programs.
- Recognize the expertise of existing staff and their institutional knowledge, skills, and abilities to improve the effectiveness of agency.
- Ensure the efficient enhancement and management of agency resources to meet the programmatic goals in a timely manner.

Agency Summary
• Apply for and achieve national Emergency Management Accreditation Program (EMAP) accreditation.

The diverse nature of DEMHS responsibilities and business processes requires the department to obtain information from many justice agencies.

10. Connecticut Police Chiefs Association

CPCA is a membership organization representing municipal local law enforcement (LAW) agencies on the CJIS Governing Board. In that capacity, CPCA provides input and direction that meets the IT and data exchange needs of its members based on consensus.

The agency summary below presents a high-level view of municipal law enforcement agencies in Connecticut.

Agency Summary	
Agency	**Number of Staff**
Connecticut Police Chiefs Association	8,250[19]
Mission	
Provide law enforcement, public protection, and safety.	
High-Level Business Processes	
• Crime investigation.	
• Criminal apprehension.	
• Enforcement of ordinance and traffic laws.	
• Response to calls for service.	
• Crime prevention.	
• Provision of community services.	
Strategic Goals/Business Plan	
Unique to each department, but consistent to the extent that the strategic direction is directly related to business information needs.	

CPCA represents a diverse group of municipal law enforcement agencies in the state. The majority of its information-sharing initiatives are occurring in the capital region, yet there is statewide interest in these efforts. The association feels strongly that CISS initiatives which require law enforcement data should include state funding for a statewide shared law enforcement records management system.

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[19] This represents the number of sworn officers in Connecticut.

D.   Initiatives

The individual justice agencies are all pursuing various technology initiatives. In some cases, the initiatives are to designed to develop CMSs that currently do not exist. In other cases, initiatives are intended to replace aging legacy systems. The table below describes initiatives currently under way, by agency.

Technology Initiatives by Agency
DPS
● A data repository shared by DPS and 10 law enforcement agencies to provide accident reports to DMV and DOT. Plans are to use this repository to share criminal information in the future.
● A shared RMS with law enforcement agencies (approximately 30) using NexGen Technologies, Inc.'s RMS.
● COLLECT 2 project. The rewrite of the message switch will allow DPS to take advantage of National Crime Information Center (NCIC) 2000 and continue to host the application on its mainframe platform.
● Continuing development of NexGen CAD/RMS.
JUD
● An update to CRMVS.
● Automation of collection services.
● Development of CIDRIS.
● Development of an electronic version of continuation mittimus.
● Development of an electronic version of a sentencing mittimus.
● Development of the E-Citations initiative.
● Case Management Information System (CMIS) rewrite.
● Paperless Re-Arrest Warrant Network (PRAWN) modifications.
● Initiative to move 16- to 17-year-old offenders from the adult system to the juvenile system (approved but not funded).
● Statewide Automated Victim Information and Notification (SAVIN).
DCJ
● An as-is business process examination in preparation for a CMS acquisition.
● An examination of existing data exchanges to identify opportunities.
DPD
● The creation of a SQL database to use as a CMS.
● An initiative with the Judicial Branch to use the Web Criminal CMS for case management purposes.
DOC
● An enterprise system that will handle custody and parole information. The intended release date of the RFP is July 2009.
● A sentence calculation application that will run on a mainframe platform.

Technology Initiatives by Agency
DMV
● Connecticut Integrated Vehicle and Licensing System (CIVLS) Modernization Program. An RFP has been issued to acquire an enterprise application that will meet most of DMV's business needs.
● Participation in the CIDRIS project.
● Development of bar code reader applications.
● Development of a new driver's license application system.
● Development of the ability to receive credit card payments
● Implementation of optical character recognition (OCR) for data entry from forms.
OVA
● Acquisition of a secure backup server.
● Exploration into the feasibility of acquiring a CMS.
DEMHS
● GIS.
● Web-based EOC application.
CPCA
● CT-Chiefs shared RMS.
● Heartbeat shared CAD.
● Data exchange capabilities with 29 capital region police department through CT-Chiefs.
● Integration of Regional Offender Biography and Image Repository (ROBIR) into CT-Chiefs for access through the Capital Region Total Access Information Network (CAPTAIN).
● Fairfield County region's wireless broadband system for information sharing.
● Office of Statewide Emergency Telecommunications (OSET) fiber optics network to all state public safety answering points (PSAPs). It is anticipated there will be enough bandwidth to support data exchange applications.

Most of these initiatives are not coordinated and are stand-alone. As individual projects, they are not integrated with the CJIS program. Although the projects meet agency internal business needs, they do not involve the larger justice community for coordination with information-sharing efforts.

E.   Objectives

Representatives from each justice agency were asked to discuss their objectives for their business processes. Some of the objectives are at a high level, describing an overall vision. Some objectives describe improvements in specific business processes. The objectives of the criminal justice users are:

● Data capture by law enforcement "on the road," initiating the information-sharing process.

● Data shared with all justice agencies when and where they need it.

- Elimination of paper from current business processes.

- Seamless data integration with effective business rules.

- Timely information from police arrests (reports and booking) so DCJ can become involved in the investigation earlier.

- More detailed prisoner information from DOC, including visitors, keep-aways, gang affiliation, classification, and assignment.

- Access to all available justice system information on public defender adult and juvenile clients.

- Electronic discovery.

- Reduction of duplicate data entry between courts and corrections.

- CISS management of document work flows.

- Ability to generate offender profile reports from several data sources.

- More effective information exchange.

- More information-sharing and privacy policies.

- Streamlining of the information-sharing process to be more efficient, provide cost savings, and increase safety.

- Agencies to become a community of information instead of using a territorial and guarded approach to the sharing of information.

- Ability to electronically push intelligence bulletins to other agencies.

- Ability to obtain more justice agency data.

- Enough mission-critical data to make sharing worthwhile.

- Electronic transfer of court transcripts.

Not only do the items above describe the objectives for the business processes, but they may also represent a collective view of the important characteristics of the future CISS.

F.   Issues

The issues articulated by justice agency representatives describe conditions at two levels. First, they describe issues and concerns that impact the current information-sharing environment. Second, agency representatives have pointed out issues that create program risks for the CISS initiative. The issues articulated by individual agencies are presented in the table below.

CJIS Issues Described by Agency Representatives
DPS
• Isolated data-sharing projects are under way throughout the state with no control/ communication. As a result, LAW agencies are implementing small interfaces that no one knows exists, understands, or can access.
JUD
• OBTS data accuracy needs to be addressed.
• The Municipal Access Judicial Electronic Bridge (MA-JEB) was created as a temporary solution to obtain needed information to law enforcement information, as OBTS was not ready.
• The Judicial Branch needs standardized business process for data mining.
• The Judicial Branch needs data to conduct recidivism studies. Currently, the data it needs is in several systems.
• A centralized warrant system is needed.
• Court and adult probation business process are tightly joined and require a higher level of integration.
• OBTS is not useful, as it is too slow.
• OBTS lacks the functionality to create clients by combining two persons or separating them out.
• There is a lack of business processes, thought processes, or documentation as to why CSSD is feeding data to OBTS.
• OBTS has no biometric process to distinguish between people.
• Of the OBTS data, over 80% is from the Judicial Branch.
• The business users need to be at the table when projects are planned.
• A global person query should provide all information about a person, including supervision status.
DCJ
• DCJ does not use OBTS but will receive training and use it in the future.
• DCJ receives data assistance from the courts
• Future prosecutor and court data exchange with the DPD needs to be closely examined.
• DCJ is piloting the use of the CRMVS in the OPD New Britain Judicial District.
DPD
• A SQL database is being built so that the office can manage its caseload better. Caseload numbers are required because of a lawsuit mandating specific caseload levels.
• Due to attorney-client privilege, the DPD cannot share data.
• Access to CJIS data for the DPD has not always been readily available.

CJIS Issues Described by Agency Representatives
DOC
• The majority of state funding has gone toward OBTS, while justice agency legacy systems have been ignored.
• NexGen (the Division of State Police CAD/RMS vendor) has harvested inmate photographs from COLLECT and placed them on its system without DOC knowledge or consent.
• DOC requires certainty that other agencies' records retention/deletion policies do not affect its data.
• Justice agency access to DOC's system is via both OBTS and direct access.
CPCA
• The comprehensive availability of LAW data in a CISS will reduce data entry and personnel costs for state agencies. LAW agencies would like those savings passed back for their systems.
• Interfaces should be provided at no cost to LAW agencies.
• CPCA would like as much data as possible.
• CPCA recommends one shared law enforcement RMS statewide that is funded by the state.
• OBTS provides a large amount of information for CPCA's use.
• CPCA prefers a repository model, believing it is more secure.
• CPCA would like to be able to access more data from police departments in other states.
• CPCA would like a comprehensive response from a simple query.
• LAW agencies have been asked to provide data to state agencies in the past. LAW agencies provide it, but they get nothing in return.
• CPCA is concerned about potential information-sharing policies regarding data purges.
• CPCA wants intelligence-gathering capabilities in the CISS – the ability to use a search engine to search other agencies' databases.

The successful implementation of the new CISS will require that these issues are addressed and in some cases mitigated in a manner that promotes information sharing among the criminal justice community.

G.   Criminal Justice Agency Business Information Needs

The information needs of the justice agencies can be described at two levels of detail. The high level referred to as a "complex element" in JIEM modeling describes a general category of information. The more detailed view, referred to as a "simple element," describes the actual data elements within the category.[20]

The information needs of the justice agencies have been described at both the complex element and simple element levels.   Some agencies have indicated they need court

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[20]   For instance, the complex element "person information" contains the simple elements of name, date of birth, address, etc.

information, realizing that there are many subsets of that broad categorization. The JIEM modeling conducted for the as-is and to-be logical models will identify current and desired data exchanges at both levels.

The business information needs of the justice agencies have been organized into 4 complex elements and 26 simple elements. The complex elements include:

- Person information.

- Identifier information.

- Event information.

- Property information.

EXHIBIT I depicts each complex element, the simple element subsets, and the justice community agencies that can provide the information. The diagram illustrates the type of complex and simple element information that is available to the justice community in an integration environment.

Based on these classifications, the business information needs of the justice agencies were compiled. The information needs identified in the table below combine information the justice agencies already have access to, information that agency representatives indicated they desired, and information that would better support agency business processes.[21]

Information Needs	DPS	JUD	DCJ	DPD	DOC	BOPP	DMV	OVA	DEMHS	LAW
Person Data	✔	✔	✔	✔	✔	✔	✔	✔	✔	✔
Biometric/DNA Identifiers	✔	✔	✔		✔	✔	✔		✔	✔
Booking Photos	✔	✔	✔	✔	✔	✔	✔	✔	✔	✔
Warrant Status	✔	✔	✔	✔	✔	✔	✔	✔	✔	✔
Criminal History	✔	✔	✔	✔	✔	✔	✔	✔	v	✔
Personal Contact Information	✔	✔	✔	✔	✔	✔	✔	✔	✔	✔
Sex Offender Information	✔	✔	✔	✔	✔	✔	✔	✔	✔	✔
Police Reports – Arrest	✔	✔	✔	✔	✔	✔	✔	✔	✔	✔
Police Reports – Other	✔	✔	✔					✔		
Vehicle Information	✔		✔				✔			✔
Traffic Arrest Information	✔						✔			✔
NCIC/Nlets Information	✔	✔	✔				✔		✔	✔

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[21] A definition of each simple data element is located in APPENDIX C.

DISCUSSION DRAFT
4-10-09

CONNECTICUT CRIMINAL JUSTICE INFORMATION
SYSTEM GOVERNING BOARD
CJIS BLUEPRINT PROJECT

EXHIBIT I

INFORMATION LOCATION AND NEEDS DIAGRAM

Events	Agencies
Field Interviews	LLE, DPS
Incident	LLE, DPS
Traffic Accident	LLE, DPS, DMV
Arrest	LLE, DPS
Booking	DOC
Bail	DOC
Charging	DCJ
Orders	SCOD, CSSD, NCIC (Warrants)
Presentence Reports	CSSD
Discovery	DCJ
Conviction	SCOD
Detention/Confinement	DOC
Probation	CSSP
Parole	BOPP

Event Information

Person Information

Person	Agencies
Address	All
Contact	All
History	All
- Criminal	DPS, NCIC, Courts
- Other	Various

Identifier Information

Property Information

Identifiers	Agencies
Photos	LLE, DPS, DOC
Biometrics	LLE, DPS, DOC
Identities	LLE, DPS
Accounts	LLE, DPS

Property	Agencies
Vehicle	LLE, DPS, DMV
Stolen	LLE, DPS, NCIC, NLETS, DMV
Evidence	LLE, DPS
Articles	LLE, DPS, NCIC
Identifying Numbers	LLE, DPS, NCIC

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Information Needs	DPS	JUD	DCJ	DPD	DOC	BOPP	DMV	OVA	DEMHS	LAW
Traffic Accident Information	✓						✓			✓
Address Incident History Information	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Prosecution Charging Decisions	✓	✓		✓				✓		✓
Discovery Information				✓						
Court Data	✓		✓	✓	✓	✓	✓	✓		✓
Restraining/Protective Orders	✓	✓	✓	✓	✓	✓	✓	✓		✓
Presentence Report		✓	✓	✓	✓	✓	✓	✓		
Court Dispositions	✓			✓	✓			✓		✓
Incarceration Status	✓	✓	✓	✓				✓	✓	✓
Detailed DOC Custody Information			✓	✓						✓
Out-of-State Offender Information					✓					
Offender Information and History From BICE					✓					
DOC Photos	✓	✓	✓	✓		✓	✓	✓	✓	✓
Probation Status/Information	✓	✓	✓	✓	✓		✓		✓	✓
Parole Status/Information	✓	✓	✓	✓	✓			✓		✓
Firearms Registry Information	✓	✓	✓	✓			✓	✓	✓	✓
Evidence/Property Information	✓	✓	✓	✓		✓				✓

The information needs presented above will be included in the to-be logical model as data exchanges and are subject to further evaluation by criminal justice agency representatives.

*   *   *   *   *   *

The current CJIS business environment is a collection of individual process initiatives and data-sharing arrangements that lack coordination and overall direction. In addition, some criminal justice agencies have achieved adequate levels of business process automation, while other agencies support their business processes with paper transactions. Complicating the situation is that although OBTS was designed to provide shared justice information, it does not fully create the integration environment required for the justice information sharing in Connecticut.

IV. CJIS Technology Environment

IV. CJIS Technology Environment

The current criminal justice IT environment in Connecticut is, as is the case for many peer states, very aged, outmoded, and not conducive to the development of flexible and robust data exchanges. The exchange of timely, accurate, and complete criminal justice information is critical to the effective administration of justice in Connecticut and the many communities and citizens it serves. This section will examine the technical aspects of current CJISs operating in the state.

A. Background

There are two different criminal justice technical environments currently operating in Connecticut: one at DOIT and the other within the Judicial Branch. This bifurcated model for criminal justice information processing is further complicated by:

- A duplication of technology environments, each overseen by different constitutional officers.

- Criminal justice data exchanges that are needed in an application environment which does not readily enable exchanges.

- Mission-critical technology environments operating under the following constraints:

  » Multiple aged and legacy applications developed as information silos.

  » All of the key applications on different development life cycles.

  » An aging support, operations, and applications staff.

  » Increasing budgetary constraints as support costs soar, especially for inefficient systems.

This section provides an overview of the Connecticut criminal justice technical operating environment, including aspects of the platform, software, and databases in production, as well as network interfaces and capacity, integration, and security. The remainder of this section defines, examines, and assesses the following aspects of the current Connecticut criminal justice technical environment:

- *Criminal Justice Applications* – The agencies and their primary applications

- *Technical Architecture Standards* – Architectural standards that have developed.

- *Current Technical Integration and Publication Standards* – Integration and exchange methodologies and models adopted.

- *Current Network and Interfaces* – The criminal justice network, elements, and capacity.

- *Standards and Security* – A brief listing of the prevailing policies and standards that define criminal justice systems today.

- *Department of Information Technology Data Center Facilities* – A brief review of features of the current data center operating in State of Connecticut DOIT facilities.

- *Criminal Justice Agency Technical Overview* – As assessment of the technical elements supporting the primary applications for each of the lead agencies.

- *Current Technical Environment Assessment* – An overall summary appraisal of the current environment in terms of its ability to meet business needs and to perform and maintain current functionality.

These topics are discussed in each of the subsections below.

B.   Criminal Justice Applications

The diagram in EXHIBIT II provides a high-level view of the production applications for the principal agencies in the current Connecticut criminal justice community. The lead agency and description of the applications in either production or development in the current technical environment are identified and outlined below.

1.   Office of Policy and Management

- *OBTS* – Integrated offender-based management and tracking information index.

- *CIDRIS* – Integrated management offender information system for drivers operating under the influence. *(In development.)*

2.   Department of Information Technology

- *Current Technology Infrastructure* – Statewide criminal justice information exchange hardware, applications, and network.

- *Current Technology Portal* – Statewide criminal justice information exchange agency and user interface.

- *Enterprise-Wide Technical Architecture (EWTA)* – Statewide enterprise technical architecture standards for IT and systems.

3.   Department of Public Safety

- *COLLECT* – Statewide law enforcement telecommunication, data and message switch system. A new version of the application (COLLECT v2) is currently under development.

DISCUSSION DRAFT
4-10-09

CONNECTICUT CRIMINAL JUSTICE INFORMATION
SYSTEM GOVERNING BOARD
CJIS BLUEPRINT PROJECT
PRODUCTION CRIMINAL JUSTICE APPLICATIONS BY AGENCY

EXHIBIT II

Connecticut CJIS Governing Board

Executive Branch

Judicial Branch

OPM	DOIT	DPS	DOC	DMV	SCOD	CSSD	CPCA
OBTS	Infrastructure (Vendor/COTS)	COLLECT	OBIS	License and Oper. Control	CRMVS	VOP	RMS (Vendor/COTS)
CIDRIS	Portal (Vendor/COTS)	AFIS (Vendor/COTS)	Parole Case Notes (Vendor/COTS)	Registration and Titles	PRAWN (Vendor/Cust)	CMIS	AFIS Live-Scan Interface (Vendor/COTS)
	EWTA	MNI/CCH		Motorboats	POR (Vendor/Cust)		Image Repository (Vendor/COTS)
		WEAPONS (Vendor)		Handicap Stickers	CIB		E911 (Vendor/COTS)
		SOR		Internet Renewal	Statute FIle		
		AFIS Live-Scan Interface (Vendor/COTS)					
		E911 (Vendor/COTS)					
		PSDSN					
		NexGen (Vendor/COTS)					

Criminal Justice Information Consumers

■ = In Production   ☐ = In Development

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- *Automated Fingerprint Identification System (AFIS)* – Criminal fingerprint identification system shared with the State of Rhode Island.

- *Master Name Index (MNI)/Computerized Criminal History (CCH)* – Statewide combined CCH record repository and MNI system.

- *WEAPONS* – Statewide firearms owners' registration and licensing system.

- *Sex Offender Registry (SOR)* – Statewide SOR and repository system.

- *AFIS Live-Scan Interface* – Electronic exchange between the remote Live-Scan arrest booking system and AFIS.

- *Enhanced 911 (E-911)* – Statewide E-911 emergency telephone system by DPS.

- *Public Safety Data Services Network (PSDSN)* – Network developed to enhance the exchange of critical criminal justice data between state agencies. *(In development.)*

- *RMS/CAD* – Integrated RMS for DPS. Certain other LAW agencies will use the same vendor. *(In development.)*

4. Department of Correction/Board of Pardons and Paroles

- *Offender Based Information System (OBIS)* – The statewide corrections institution offender-based management information system.

- *Parole Case Notes* – The parole agent and case history records and notes management information system.

5. Department of Motor Vehicles

- *License and Operator Control* – The driver's registration and licensing management information system.

- *Registration and Titles* – The vehicle registration and licensing management information system.

- *Motorboats* – The motorboat registration and licensing management information system.

- *Handicap Stickers* – The handicapped driver and vehicle registration and licensing management information system.

- *Internet Renewal* – The driver and vehicle registration renewal system user interface.

6. Judicial Branch

- *CRMVS* – The criminal and motor vehicle offense tracking management information system.

- *PRAWN* – The electronic recidivists warrant information management system.

- *Protective Order Registry (POR)* – The POR and information management system.

- *Centralized Infractions Bureau (CIB)* – The centralized infractions information management system.

- *Statute File* – The statute file record information management system.

- *CMIS* – The general offense case record information management system.

- *Violation of Probation (VOP)* – The VOP information management system.

7. Connecticut Police Chiefs Association

- *RMS* – The LAW police records information management system.

- *AFIS Live-Scan Interface* – The electronic exchange between the remote LAW agency Live-Scan arrest booking system and the state AFIS.

- *Image Repository* – The LAW police image and document repository system.

- *E-911* – The local agency E-911 emergency telephone system.

C. Technical Architecture Standards

The table below details the primary hardware and operating system platforms, software, and database elements of each of the applications for the DOIT, both in production and in development.

Application	Platform	Software	Database
Current Technology Infrastructure	• Sun Solaris. • IBM z server. • Windows Server. • Nokia Firewalls.	• Novell eDirectory. • Active Directory.	N/A.
Current Technology Portal	• Apache. • Internet Information Services (IIS).	• Cimbrian DSF. • Novell eDirectory. • Active Directory.	N/A.
EWTA	N/A.	• .NET. • Java.	• Oracle. • SQL Server. • DB2.

Rather than a true set of standards, the technical architecture in Connecticut has evolved as a result of a series of technology implementations driven by the prevailing state of

technology for new systems, the maintenance of legacy systems, and the evolution and development of staff skill sets related to system and application development.

D.   Current Technical Integration and Publication Standards

The table below outlines the integration and publication architecture standards of each of the applications for the DOIT, both in production and in development.

Application	Standard	Integration Method	Explanation
OBTS	Global Justice XML Data Model (GJXDM) 3.0.	• IBM WebSphere MQ Series (Current Technical Standard). • XML Transformation Engine (TE). • Xerces parser.	• XML bidirectional TE based on Xerces using XML Schema Definitions (XSDs) to convert files to XML. • GJXDM 3.x and TE files will transform to XML using XSLs.
CIDRIS	• NIEM 2.0. • Justice Reference Architecture (JRA) (Web services). • Global Federated Identity and Privilege Management (GFIPM) (interfaces).	• IBM WebSphere MQ Series (current technical standard). • Message Resource Manager Engine. • Xerces parser.	Provides additional queuing, routing, acknowledgements, transaction management, and NIEM conformance.
CMIS	• GJXDM.	• Application service provider (ASP) (legacy). • IBM WebSphere MQ Series (current technical standard). • XML TE.	Web-based thick client that uses smart updates to push files to clients.
CRMVS PRAWN POR CIB	• GJXDM.	• IBM WebSphere MQ Series (current technical standard). • XML TE.	GJXDM 3.x and TE files will transform to XML using XSLs.

Standards in the area of integration and publication have evolved and become better defined and aligned with a common set of techniques, methods, and models than in any other area of the current technology environment.

E. Current Technical Network and Interfaces

EXHIBIT III provides a high-level diagram view of the DOIT network topology that supports the criminal justice agencies. Specific details regarding technologies and the capacity of each of the network connection elements existing in the current technical environment and available for use by the criminal justice agencies for their systems and applications are listed in the table below.

Connection Element	Details and/or Capacity
DOIT Data Center Local Area Network (LAN)	TCP/IP, 10 Gigabit (Gb) Ethernet.
DOIT Wide Area Network (WAN)	Frame Relay (85%), Asynchronous Transfer Mode (ATM) (5 Mbps), OC-3 to support CAD/RMS.
Hartford Area Network	1 Gb Course Wavelength Division Multiplexing (CWDM) connecting 14 state agency sites to armory. 10 Gb between armory and data center.
Network Security	Nokia Firewalls (Gb Ethernet Network Interface Cards [NICs]), Novell eDirectory, and Active Directory.
Federal Bureau of Investigation (FBI) AFIS FBI Network Connection	CJIS WAN T-1.
NCIC and Interstate Identification Index (III) FBI Network Connection	CJIS WAN T-1.
International Justice & Public Safety Information Sharing Network (Nlets) Network Connection	T-1.
Agency Server-to-Server Communication	WebSphere MQ (replacing FTP, which has been de facto standard).
Agency Application-to-Application Communication	GJXDM TE, Message Resource Manager.
Internet Service Provider	Verizon (1 Gb); backup: Connecticut Education Network (CEN, 2.2 Gb).
DPS Trooper Barracks	5 Mbps ATM (dual PVC – redundant paths).
DPS CAD/RMS	AT&T EDGE wireless connected via Internet, encrypted with Advanced Encryption Standard (AES) 256 bit.
DOC WAN	OC-3 to DOIT, OC-3/T-1 to DOC facilities.
DOC LAN	1 Gb to desk, some with 100 MB to desk.

CONNECTICUT CRIMINAL JUSTICE INFORMATION
SYSTEM GOVERNING BOARD
CJIS BLUEPRING PROJECT

CURRENT DOIT NETWORK

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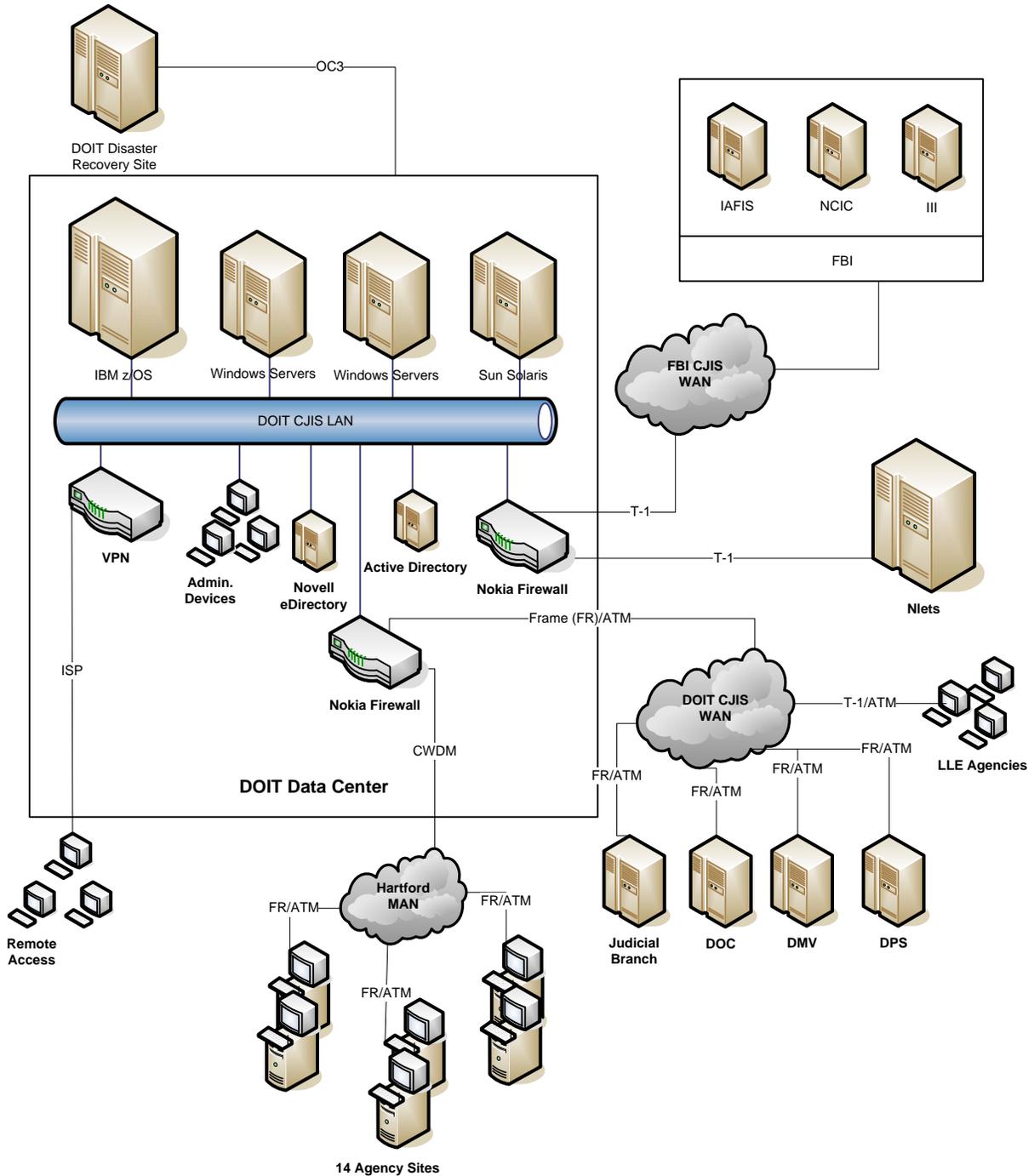
Connection Element	Details and/or Capacity
Judicial Branch External Interfaces: DMV, DOC, DPS, OBTS	WebSphere MQ, IP-based, 1 Gb Ethernet LANs.
Judicial Branch Internal Interfaces: CRMVS, PRAWN, POR, CIB	WebSphere MQ, IP-based, 1 Gb Ethernet LANs.
Local Police Departments	T-1s converted to several ATM OC-3s.

One of the critical elements of the CJIS environment is the capability of the network. The descriptions above provide a summary, but it is crucial to understand what they mean, which is discussed below.

Network (WAN/LAN) Capacity Assessment

A summary assessment of the network infrastructure available for use by the Connecticut criminal justice agencies for their systems and applications is as follows:

- The network, as a whole, has an existing capacity that exceeds the current demands of the agencies' system and application data exchange needs in the existing technical environment.

- The most constrained part of the current network topology are the T-1 connections, which are reported to be in the process of being upgraded to ATM OC-3 connections.

- The Connecticut network has more than sufficient capacity and is well positioned to continue to provide the capacity and throughput demands of a future, more robust data exchange environment of any planned CJIS. This would include the use of fiber optics via the Public Safety Data Services Network (PSDSN).

F.   Standards and Security

Below are the primary and prevailing standards and policies applicable to the law enforcement applications operating in the current Connecticut criminal justice agency community. Following are the specific policies and standards, including their citations, as they are published today:

- FBI's NCIC 2000 Operating Manual, Section 5, December 1999.

- FBI's Criminal Justice Information Services Security Policy (V4.4), June 2007.

- FBI's Interstate Identification Index.

- Data Format for the Interchange of Fingerprint Information, Sponsor: National Institute of Standards and Technology (NIST), American National Standards Institute (ANSI)/NIST-CSL 1-2000, Revision of ANSI/NIST-ICST 1-1986. Further, the system

must also be compliant with the anticipated ANIS/NIST-CSL 1-2006 standard due out this year, which will encapsulate GJXDM.

- Electronic Biometric Transmission Specification (EBTS) Version 8.0, August 31, 2007, IAFIS-DOC-01078-8.0, prepared by the FBI, Criminal Justice Information Services Division, 1000 Custer Hollow Road, Clarksburg, West Virginia, 26306.

- GJXDM (V3.0.3), 2006.

- Nlets Policies and Procedures May 2002.

- American Association of Motor Vehicle Administrators (AAMVA) ANSI D20-2002, April 2003.

- 2005 AAMVA Personal Identification – AAMVA International Specification – DL/ID Card Design, Version 2.0, March 2005.

G.   Department of Information Technology Data Center Facilities

The overwhelming majority of the state criminal justice information processing is conducted in the Connecticut DOIT data center facility in East Hartford. The only exceptions to this are the Judicial Branch, which maintains its own data center facility and staff, and LAW agencies, which all maintain operations independent of the state.

The DOIT data center provides a mission-critical operational environment, including staffing; power; heating, ventilating, and air-conditioning (HVAC); and security. The current DOIT data center environment includes:

- A fire suppression system that is a combination of a dry system above the floor and another fire suppression system below the raised floor.

- Two generators that start up in 3 seconds, with switchover in less than 12 seconds.

    » Both generators tested monthly for 2 to 3 hours.

    » A 4,000-gallon fuel tank (will power the data center for 2 to 3 days).

    » Sufficient battery backup power to bridge the 12-second gap between power failure and switching to a generator.

- Two uninterruptible power supply (UPS) sources for power conditioning.

- Two automated transfer switches.

The Connecticut DOIT facility was designed in 2001. The power and HVAC requirements of the high-density servers (such as blade systems) in use today were not part of the original plans. DOIT has contracted for a 3- to 5-year data center plan that is expected to be completed in the next couple of months. Added factors in this plan include:

- *Diminishing Capacity* – It is assumed that the current technology environment will grow to fill the current 16 data center racks reserved for these agencies and applications. Additional racks are likely to be necessary, as a planned non-CJIS project that requires at least 100 new servers will fill or exceed the remaining data center capacity.

- *Disaster Recovery/Business Continuity* – A second data center to be located more than 10 miles away is under consideration. The new data center will support both disaster recovery (e.g., hot site, cold site) and high availability (e.g., failover, active-active load balancing) requirements. However, it will be years before the new data center is available. After funding is secured, it is expected to take at least 2 years to bring the second data center online, assuming it is a state-owned property. A non-state-owned property may take longer.

H.   Criminal Justice Agency Technical Overview

This subsection provides a high-level look at the technical environments for each criminal justice agency with operational justice information systems largely in production.

1.   Criminal Justice Policy and Planning Division

The technical and data center environment for OPM/CJPPD is provided by DOIT and includes the support of the OBTS and CIDRIS applications. The specifics of the technology supporting these OPM/CJPPD applications are outlined below.

Application	Platform	Software	Database
OBTS	• Windows Server, Oracle 9 Application Server. • Sun Solaris v880s. • Apache (Web).	• Java. • IIS 6.0 (Web). • Serial Storage Architecture (SSA) Name3 (MNI). • Cimbrian DSF (portal).	Oracle 9i Database.
CIDRIS	• Windows Server-Oracle 10 Application Server. • Sun Solaris.	Java.	Oracle 11 Database.

OPM/CJPPD Technical Notes:

- The OBTS technical architecture is the de facto current technology standard.

- Only three or four DOIT staff are available who can currently support Solaris.

- Few, if any, DOIT staff are available who can support Java.

Below is a summary of the staffing levels currently involved with the OBTS and CIDRIS applications within the current technical environment. Following is a general listing of the staff title descriptions and number of DOIT staff indicated for the support of each of these two applications:

- OBTS staffing numbers by category include:

  » One technology manager.

  » One project manager.

  » One system administrator.

  » One database administrator.

  » One business analyst.

  » One tester.

  » Five contractors.

- CIDRIS staffing (all contractor) numbers by category include:

  » One project manager.

  » Two business analysts.

  » One business intelligence specialist.

  » One data architect.

  » One technical architect.

  » One database administrator.

  » One development lead.

  » Three developers.

  » One technical support.

2. Department of Public Safety

The technical and data center environment for DPS is provided by DOIT and includes the support of the COLLECT, MNI/CCH, and SOR applications. The specifics of the technology supporting these DPS applications are provided in the table below.

Application	Platform	Software	Database
COLLECT	IBM z/OS midrange.	• COBOL. • Assembler.	• DB2. • Virtual Storage Access Method (VSAM).
AFIS: Vendor-Supplied and -Supported	Cogent.		
MNI/CCH	IBM z server.	• Customer Information Control System (CICS). • COBOL.	DB2.
SOR	IBM z server.	• CICS. • COBOL.	Oracle.
WEAPONS: Vendor-Supplied and -Supported	KTI.		
AFIS Live-Scan Interface: Vendor-Supplied and -Supported	Cogent.		
E-911: Vendor-Supplied and -Supported	Lucent.		
PSDSN: Vendor-Supplied (In Development)	AT&T.		
RMS/CAD: Vendor-Supplied and -Supported (In Development)	NexGen RMS.		

DPS Technical Notes:

• The COLLECT application is 70 percent COBOL and 30 percent Assembler/VSAM.

3.   Department of Correction

The technical and data center environment for DOC is provided by DOIT and includes the support of the OBIS and Case Notes applications. The specifics of the technology supporting these DOC applications are outlined below.

Application	Platform	Software	Database
OBIS	IBM z server.	• CICS. • COBOL.	• VSAM. • DB2.
Case Notes	Windows Server 2003.	Lotus Notes 5.6 (upgrading to 7.3).	Lotus Notes/ Domino.

4. Department of Motor Vehicles

The technical and data center environment for DMV is provided by DOIT and includes the support of the License and Operator Control, Registration and Titles, Motorboats, Handicap Stickers, and Internet Renewal applications. The specifics of the technology supporting these DMV applications are listed in the table below.

Application	Platform	Software	Database
License and Operator Control	IBM z/OS midrange.	.NET.	• VSAM. • DB2.
Registration and Titles			
Motorboats			
Handicap Stickers			
Internet Renewal			

5. Judicial Branch

The technical and data center environment for judicial applications and systems is provided by the IT Division within the Judicial Branch and includes the support of the primary CRMVS, CMIS, and PRAWN applications. The specifics of the technical environment supporting these judicial applications are outlined below.

Application	Platform	Software	Database
CRMVS	Virtual Address Extension (VAX)/Alpha midrange.	COBOL with Online via ACMS.	Flat file indexed RMS.
PRAWN	Windows SQL.	• .NET for Oracle. • Cimbrian DSF (portal).	Oracle 9i.
POR	Windows SQL.	• .NET. • Cimbrian DSF (portal).	SQL Server.
CIB	VAX/Alpha midrange.	• COBOL with Online via ACMS. • FileNet (images).	• Flat file indexed RMS. • Microsoft Access.
Statute File	VAX/Alpha midrange.	COBOL.	SQL.
CMIS	• Digital Equipment Corporation (DEC) Alphas. • Windows Servers.	• ASP Application. • COBOL. • Virtual Memory System (VMS). • VB.NET.	• SQL Server. • Oracle.

- CRMVS is 30 years old and is the backbone of the Judicial Branch's integration.

- Of all the events information in OBTS, more than 80 percent comes from CRMVS.

- CRMVS is fragile, and there is no funding for replacing it.

6. Connecticut Police Chiefs Association

The technical and data center environment for constituent members of the Connecticut law enforcement community is provided either by each agency or by a consortium of agencies. An overview of the LAW applications and providers is provided in the table below.

Application	Platform	Software	Database
RMS: Local Agencies/ Vendor-Supported	See APPENDIX E for a detailed listing of vendors by agency.		
AFIS Live Scan Interface: Vendor-Supplied and -Supported	Cogent.		
Image Repository	Unknown.		
E-911: Local Agencies/ Vendor-Supplied and -Supported	Lucent Regional 911 (R-911).		

7. Other Connecticut Criminal Justice Agencies

DCJ, DPD, and OVA are not included in the assessment. Although they exchange information with other criminal justice agencies and systems, they do not have an operational electronic CMS in production. The BOPP technical environment is part of the DOC IT operations. DEMHS uses DPS' information systems and infrastructure.

I. Current Technical Environment Assessment

APPENDIX F provides the results of a structured assessment of the current Connecticut technical environment. The methodology utilized in assessing the Connecticut technical environment involved gathering data from stakeholder agency representatives, then using our established assessment tool to rate the technology and application environments. This methodology is described below. Information presented earlier in this section and used to assess the technical and application environments was primarily gathered through interviews with technical representatives from each department or agency, as well as from other background information. The assessment method is presented first, followed by the summary of the assessment.

1. Structured Assessment Method

A structured tool was utilized for assessing the current technology and application environments. The assessment included the three major components (i.e., infrastructure, interfaces, and applications) of the current environment, which were further divided into facets (e.g., hardware, network, system) for review and assessment. Each facet was evaluated against two related scales, as discussed below.

- *Meets Business Needs (MBN)* – This measures how well the facet contributes to meeting the business operational needs of the users. Each facet was evaluated using the following categories:

  » *High (H)* – The facet has been applied to clearly support all identified operational needs. The preponderance of the data presented shows that there is little or no room for improvement.

  » *Medium (M)* – The facet has been applied to provide merely adequate support, or the respondents provided equivocal data on the support of business needs. There is clearly room for improvement.

  » *Low (L)* – The support of operational needs is lacking in important areas or is lacking across the board. The input received was predominantly negative. Significant improvement is needed.

- *Capability* – This gauges how well the facet performs its present function and how likely it is that the facet will continue to perform in a changing technology environment. Capability is evaluated for each facet as follows:

  » *High (H)* – The facet is clearly capable of meeting today's needs and is highly likely to be able to effectively and efficiently meet the needs for the next 5 years or more.

  » *Medium (M)* – The future capability of the facet is uncertain, but it is capable of meeting the current needs at a level that is at least adequate.

  » *Low (L)* – The facet's ability to meet operational needs is lacking in important areas or is lacking across the board. The future capability of the facet is, at best, uncertain.

The assessment for each facet is shown using the chart below. In this example, the facet has a medium MBN score and a high capability score. This suggests that the facet is effective but only partially contributing value to the business operation.

MBN \ Capability	L	M	H
H			
M			■
L			

Capability
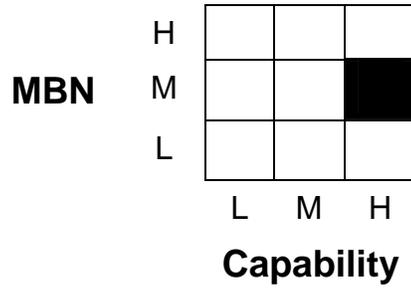
For each component evaluated, a composite chart is provided that shows, in each sector of the evaluation chart, the number of facets receiving that rating. The chart shown below indicates that two facets were rated high for both MBN and capability, one facet was rated medium for MBN and high for capability, and two facets were ranked low for both MBN and capability.

MBN \ Capability	L	M	H
H			2
M			1
L	2		

Capability
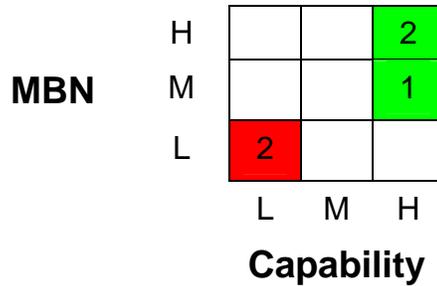
Similarly, a summary composite chart is provided which shows, in each sector of the evaluation chart, the number of facets receiving that rating for the entire subject area. Finally, summary views present a percentage score for the overall area evaluated. The total score is determined from each of the facets, which are then scored based on an assigned value for that facet. The entire grid is summarized and divided by the number or scores to determine what percentage of the total possible score was given to that area. The **values** for each facet's score and the color representations are shown below.

MBN \ Capability	L	M	H
H	6	8	9
M	4	7	8
L	1	2	6

Capability
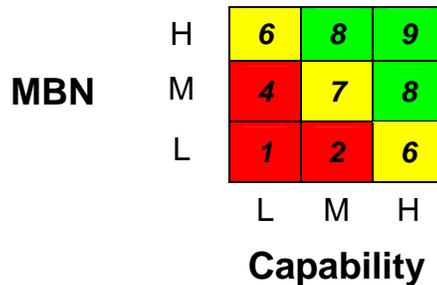
NOTE: The value represented above is different from the number of facets receiving a score, as shown in the previous graphic.

This number score is then color-coded based on the percentage value:

- 80 to 100 percent – green.

- 70 to 80 percent – yellow.

- Below 70 percent – red.

The summary and detailed results of the technical environment assessment are provided in EXHIBIT IV.

The overall percentage score for all areas was 71 percent, representing the low end of the middle range (yellow). Specific key findings supporting the technical environment assessment are presented in the subsections below.

2. Summary

Following are the summary findings that surfaced as a result of the assessments of the infrastructure, applications, and interface elements of the current technical environment:

- *Infrastructure* – The current environment technical infrastructure is well positioned for the current and future model.

- *Application* – A majority of the applications in the current environment are aged but continue to function with reduced flexibility.

- *Interfaces* – Integration standards are established, and developed applications have minimally adopted GJXDM. New applications are being specified according to the National Information Exchange Model (NIEM) 2.0.[22]

3. Infrastructure

Following are the findings from the infrastructure assessment:

- *Modern Hardware* – DOIT and Judicial Branch data centers support and maintain the required range and age of platforms needed by the constituent applications.

- *Network Capacity* – The network is sufficiently positioned to continue to provide the capacity and throughput demands of a future, more robust data exchange environment of any planned CJIS.

- *Network Encryption* – Cisco routers and switches, with router-to-router hardware encryption, and some applications using Secure Sockets Layer (SSL) describe the implementation of encryption in the infrastructure.

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[22] CIDRIS will be the first planned justice application adhering to this standard.

CONNECTICUT CRIMINAL JUSTICE INFORMATION
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TECHNICAL ENVIRONMENT ASSESSMENT

Findings Composite

	H	M	H
H	7	3	3
M	4	4	2
L	1		

MBN (vertical axis) / Capability (horizontal axis: L M H)

Current Rating: 71%
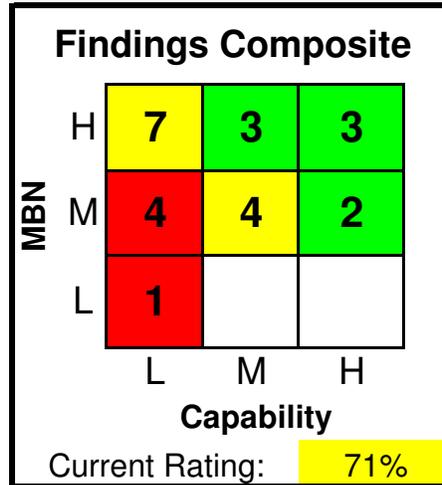
MBN – Ability to meet current business needs.

Capability – Ability to perform and maintain current functionality.

1. Infrastructure
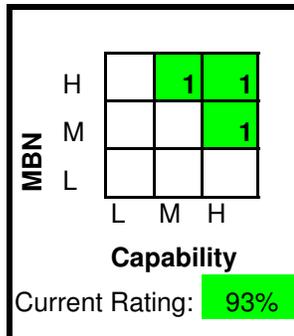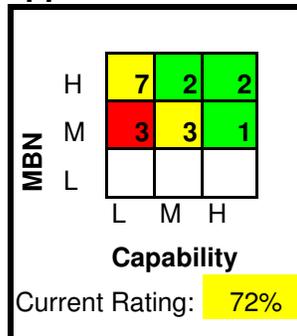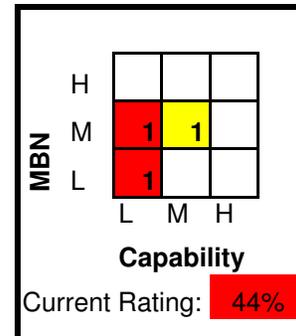
MBN	L	M	H
H		1	1
M			1
L			

Capability

Current Rating: 93%

2. Applications

MBN	L	M	H
H	7	2	2
M	3	3	1
L			

Capability

Current Rating: 72%

3. Interfaces

MBN	L	M	H
H			
M	1	1	
L	1		

Capability

Current Rating: 44%

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CONNECTICUT CRIMINAL JUSTICE INFORMATION
SYSTEM GOVERNING BOARD
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TECHNICAL ENVIRONMENT ASSESSMENT

1. Infrastructure

Key Findings

Composite
Composite MBN: H [1][1], M [1], L — Capability L M H
Rating: 93%

- DOIT and JUD data centers each support and maintain the required range and age of platforms needed by the constituent applications.
- The network is sufficiently positioned to continue to provide the capacity and throughput demands of a future, more robust data exchange environment of any planned CJIS.
- Cisco routers and switches: router-to-router hardware encryption, with some applications also using SSL.
- Two Internet firewalls, two CJIS firewalls, and three Web-hosting firewalls: Check Point FireWall-1 (redundant, load balancing) with multiple DMZs.

Findings

1.1 Hardware Infrastructure

MBN: H [1] (at M column), M, L — Capability L M H

- DOIT and JUD data centers each support and maintain the required range and age of the IBM z/OS, Windows, and Solaris server platforms needed by the constituent applications.
- Software and database products are current and market-leader products.
- There will be an increasing need to begin the shift of these applications from the mainframe/midrange platforms to server technology.

1.2 Network Infrastructure

MBN: H [1] (at H column), M, L — Capability L M H

- The network infrastructure has capacity that exceeds the current demands of the agencies' systems and application data exchange needs of the existing technical environment.
- The most constrained part of the current network topology are the T-1 connections that are being upgraded to ATM OC-3 connections.
- The network is sufficiently positioned to continue to provide the capacity and throughput demands of a future, more robust data exchange environment of any planned CJIS.

1.3 Security Infrastructure

MBN: H, M [1] (at H column), L — Capability L M H

- Standards: IP, Ethernet, Novell eDirectory (the state and OBTS have their own trees), and some using Active Directory.
- Firewalls: Nokia (state standard), upgraded to Gigabit Ethernet.
- Cisco routers and switches: router-to-router hardware encryption, with some applications also using SSL.
- Two Internet firewalls, two CJIS firewalls, and three Web-hosting firewalls: Check Point FireWall-1 (redundant, load balancing) with multiple DMZs.

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TECHNICAL ENVIRONMENT ASSESSMENT

2. Applications

Composite

MBN			
H	7	2	2
M	3	3	1
L			
	L	M	H

Capability

Rating: 72%

Key Findings

- With rare exceptions, most of the applications that are the foundation of criminal justice information in Connecticut are aged and in need of replacement.
- A number of replacement initiatives are presently under way.
- A majority of the legacy criminal justice information systems operating in the state all meet the current business needs of the parent agency; however, they are not conducive to the flexible and robust data exchanges needed in the future environment.

Findings

2.1 OBTS

MBN			
H			
M	1		
L			
	L	M	H

Capability

- Please refer to the OBTS Detail for additional information.

2.2 CIDRIS

MBN			
H			
M			1
L			
	L	M	H

Capability

- Please refer to the CIDRIS Detail for additional information.

2.3 COLLECT

MBN			
H			
M	1		
L			
	L	M	H

Capability

- The COLLECT application is 70% COBOL and 30% Assembler/VSAM.
- The COLLECT application is aged, is difficult to maintain, and suffers from a lack of functionality that was never delivered by the original vendor.
- The next generation of COLLECT (v.2) is currently in development as a replacement.

2.4 MNI/CCH

MBN			
H	1		
M			
L			
	L	M	H

Capability

- MNI/CCH continues to support business needs, but its ability to continue to perform and maintain current functionality will begin to diminish.
- This is an aged and inflexible application with limited functionality and which is increasingly difficult to support and maintain.

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TECHNICAL ENVIRONMENT ASSESSMENT

2.5 SOR

|		
MBN	H		**1**	
	M			
	L			

L   M   H
Capability

- SOR continues to support business needs, but its ability to continue to perform and maintain current functionality will begin to diminish.

2.6 OBIS

MBN	H		**1**	
	M			
	L			

L   M   H
Capability

- OBIS continues to support business needs, but its ability to continue to perform and maintain current functionality will begin to diminish.
- This is an aged and inflexible application with limited functionality and which is increasingly difficult to support and maintain.

2.7 Case Notes

MBN	H			
	M	**1**		
	L			

L   M   H
Capability

- Case Notes is a fairly recent application development utilizing some of the latest technology products and platforms.
- This application was developed using the office productivity products (Lotus Notes/Domino), which will not necessarily allow for new needed functionality.

2.8 License and Operator Control

MBN	H	**1**		
	M			
	L			

L   M   H
Capability

- This application continues to support business needs, but its ability to continue to perform and maintain current functionality will begin to diminish.
- This is an aged and inflexible application with limited functionality and which is increasingly difficult to support and maintain.

2.9 Registration and Titles

MBN	H	**1**		
	M			
	L			

L   M   H
Capability

- This application continues to support business needs, but its ability to continue to perform and maintain current functionality will begin to diminish.
- This is an aged and inflexible application with limited functionality and which is increasingly difficult to support and maintain.

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2.10 Motorboats

	L	M	H
H	1		
M			
L			

MBN / Capability

- This application continues to support business needs, but its ability to continue to perform and maintain current functionality will begin to diminish.
- This is an aged and inflexible application with limited functionality and which is increasingly difficult to support and maintain.

2.11 Handicap Stickers

	L	M	H
H	1		
M			
L			

MBN / Capability

- This application continues to support business needs, but its ability to continue to perform and maintain current functionality will begin to diminish.
- This is an aged and inflexible application with limited functionality and which is increasingly difficult to support and maintain.

2.12 Internet Renewal

	L	M	H
H			1
M			
L			

MBN / Capability

- Internet Renewal is a fairly recent application development utilizing some of the latest technology products and platforms.

2.13 CRMVS

	L	M	H
H	1		
M			
L			

MBN / Capability

- CRMVS is 30 years old and is the backbone of the integration.
- CRMVS is fragile, and there is no funding for replacing it.

2.14 PRAWN

	L	M	H
H			1
M			
L			

MBN / Capability

- PRAWN is a fairly recent application development utilizing some of the latest technology products and platforms.

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2.15 POR

		MBN		
H				
M		1		
L				
	L	M	H	
		Capability		

- POR is another fairly recent application development utilizing some of the latest technology products and platforms.

2.16 CIB

|		MBN		
H				
M		1		
L				
	L	M	H	
		Capability		

- CIB supports business needs, but its ability to continue to perform and maintain current functionality will begin to diminish.
- CIB is an aged and inflexible application with limited functionality and which is increasingly difficult to support and maintain.

2.17 Statute File

		MBN		
H				
M		1		
L				
	L	M	H	
		Capability		

- The Statute File is an aged and inflexible application with limited functionality and which is increasingly difficult to support and maintain.

2.18 CMIS

		MBN		
H	1			
M				
L				
	L	M	H	
		Capability		

- CMIS is an aged and inflexible major application with limited functionality and which is increasingly difficult to support and maintain.

2.19 CJIS Portal

		MBN		
H				
M	1			
L				
	L	M	H	
		Capability		

- Please refer to the CJIS Portal Detail for additional information.

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TECHNICAL ENVIRONMENT ASSESSMENT

3. Interfaces

Key Findings
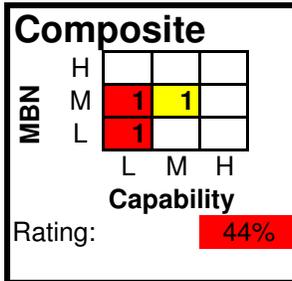
Composite	

MBN — H / M **1** **1** / L **1**
L M H
Capability
Rating: **44%**

- Integration standards were recently established.
- Data model standards for sharing data are developing.
- There is general adoption of the GJXDM model standard.
- WebSphere MQ Series has become the de facto integration methodology.
- Web services and portal standards have been developed, but not widely implemented.

Findings

3.1 Information-Sharing Capabilities
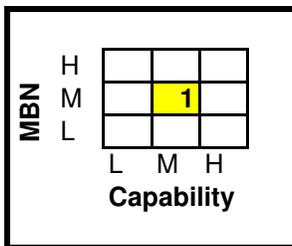
MBN — H / M **1** / L
L M H
Capability

- Integration standards were recently established.
- Data model standards for sharing data are developing.
- The OBTS model for data sharing has been underutilized.
- Information sharing has largely been in the form of providing access to partner agencies.

3.2 Web Services Interface

MBN — H / M / L **1**
L M H
Capability
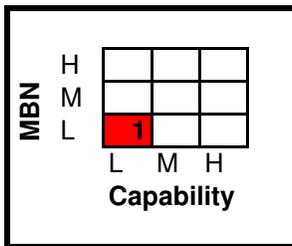
- Web services and portal standards have been developed, but not widely implemented.
- There is little to no meaningful implementation of Web services at this point.

3.3 Integration Management

MBN — H / M **1** / L
L M H
Capability
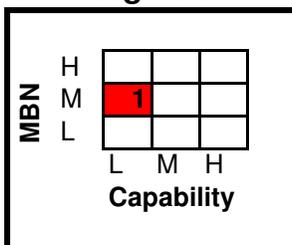
- There is general adoption of the GJXDM model standard, but it has not truly migrated to NIEM.
- MQ Series has become the defacto integration methodology; however, there are few application developers to support it.
- Data exchanges were formerly via FTP.
- Integration is in the form of data pushes/pulls between applications.

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TECHNICAL ENVIRONMENT ASSESSMENT

2.1 OBTS Detail

Key Findings

Composite

		L	M	H
MBN	H		1	
	M	3	1	
	L			

Capability

Rating: 60%
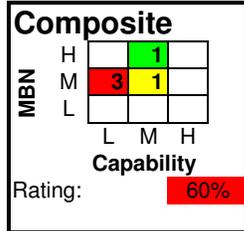
- OBTS is an underused application that provides a specific view of the justice process centered on the offender.
- OBTS uses a contemporary design and older technologies to link information within the OBTS database.
- OBTS collects information from various systems but does not move information between systems.
- OBTS was recently fine-tuned to improve performance, which was reported to be slow.

Findings

2.1.1 Technology

		L	M	H
MBN	H			
	M	1		
	L			

Capability
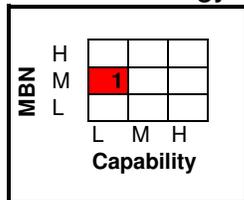
- OBTS technology is dated:
  -- Operating system is Windows 2003 (end-of-life cycle was 2007).
  -- eDirectory is running on Windows 2000 (end-of-life cycle was 2005).
  -- Web server is IIS 6.0 (7.5 is current).
  -- Solaris 2.8 (10.0 is current).

2.1.2 Hardware

		L	M	H
MBN	H			
	M	1		
	L			

Capability
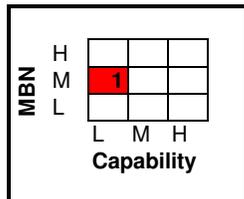
- OBTS is primarily run on V880 servers (end-of-life cycle).
- The eDirectory component is running on a single Gateway PC; there are plans to move it to the DOIT eDirectory hardware.

2.1.3 Data Usability

		L	M	H
MBN	H			
	M	1		
	L			
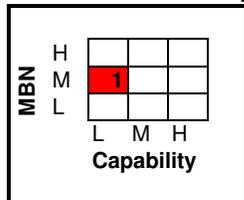
Capability

- The OBTS effort did not implement a data warehouse for extraction or analysis.
- OBTS does focus on XML (GJXDM) transfers of information for established connections.
- There are no ad hoc data extraction/usage capabilities.
- There are no business analysis staff to support data issues. Any data issues are resolved as a trouble ticket.

2.1.4 Communications

		L	M	H
MBN	H		1	
	M			
	L			

Capability
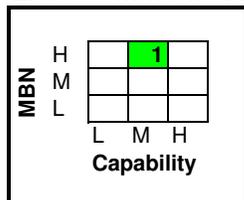
- OBTS does not require high-bandwidth connections for query access.

2.1.5 Design/Life Cycle

		L	M	H
MBN	H			
	M		1	
	L			

Capability
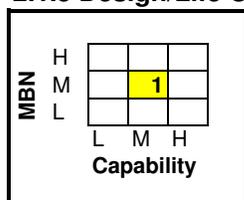
- OBTS is in a routine life cycle process.
- The design of OBTS is consistent with contemporary designs.
- OBTS did not meet user expectations in terms of a CJIS solution.

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2.2 CIDRIS Detail

Key Findings

Composite

		2
		2

MBN: H M L
Capability: L M H

Rating: **83%**
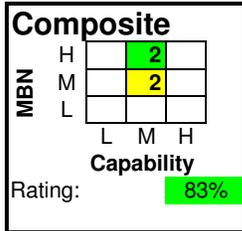
- CIDRIS is under development, and this evaluation is based on the plans as of March 2009.
- The current plans for CIDRIS focus on a limited-scope implementation covering a portion of the targeted business process.

Findings

2.2.1 Technology

MBN: H M L
Capability: L M H

(cell at M/M: **1**)
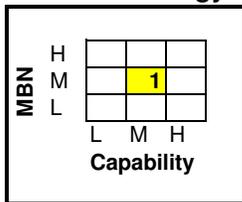
- The plan intends to use as much of the existing OBTS technologies as possible, some of which are at or past the end-of-life cycle supported by the manufacturer.

2.2.2 Hardware

MBN: H M L
Capability: L M H
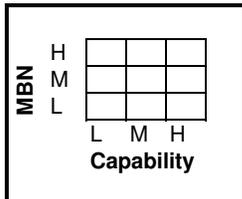
- The CIDRIS hardware has not yet been selected.

2.2.3 Data Usability

MBN: H M L
Capability: L M H

(cell at H/M: **1**)
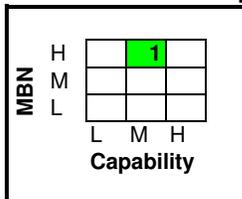
- CIDRIS is a data transfer application that does not require a large database or access by users for queries.
- CIDRIS will use existing OBTS connections and processes to ensure CIDRIS information reaches OBTS.
- Any query needs for information will be done in OBTS.

2.2.4 Communications

MBN: H M L
Capability: L M H

(cell at M/M: **1**)
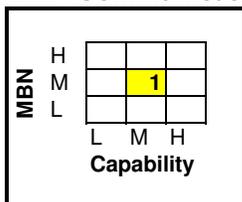
- CIDRIS is designed to use smaller-bandwidth connections.
- The design envisions potentially supporting scanned documents, which will require medium-bandwidth connections for higher-volume agencies.

2.2.5 Design/Life Cycle

MBN: H M L
Capability: L M H

(cell at H/M: **1**)
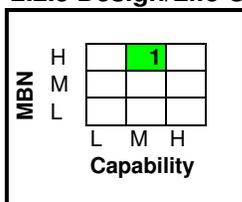
- The CIDRIS design scales and uses contemporary elements to support meeting requirements.
- The plan intends to use as much of the existing OBTS technologies as possible, which reduces the life cycle of the application to a small degree.

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2.19 CJIS Portal Detail

Key Findings

Composite

	L	M	H
H		1	
M	1	1	1
L		1	

MBN / Capability

Rating: 64%
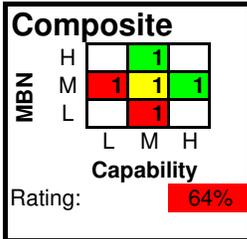
- The current CJIS Portal is intended to be a content management portal and intranet tool only.
- There is no linkage between any CJIS system data and the CJIS portal.
- Application connectionsare only hyperlinks to the specific applications without detailed user credential provisioning.

Findings

2.19.1 Technology

	L	M	H
H			
M			
L		1	

MBN / Capability
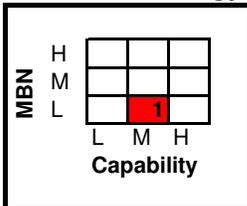
-The DSF (offered by Cimbrian) was not implemented with help features, which have to be created by the implementing organization.
- The CT.gov portal is migrating to DSF.NET in the near future, but the future of the CJIS Portal, a completely separate installation is uncertain.

2.19.2 Hardware

|	L	M	H
H			
M	1		
L			

MBN / Capability
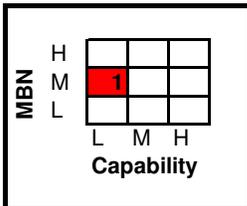
- The front end is IIS running on a Windows Server 2000 operating system, which is no longer supported by Microsoft.
- There is also Microsoft SQL Server 2000, which is also no longer supported by Microsoft.

2.19.3 Data Usability

	L	M	H
H			
M			1
L			

MBN / Capability
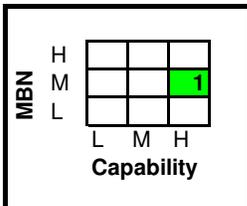
- The information in the portal is accessible and can be used in many ways or formats.
- The CJIS Portal is a content management site.
- Information posting requires Webmaster approval, one of the many rich features of the content management tools.

2.19.4 Communications

	L	M	H
H		1	
M			
L			

MBN / Capability
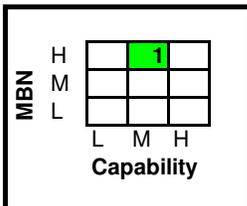
- The CJIS Portal does not place large demands on the user connection or network links.

2.19.5 Design/Life Cycle

	L	M	H
H			
M		1	
L			

MBN / Capability
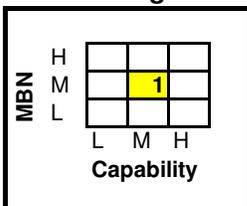
- The CJIS Portal is a good content management implementation but is only a marginal enterprise justice portal.
- Support for the CJIS Portal is adequate, but any work with advanced features must be done manually by technical support staff.

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- *Network Security* – Two Internet firewalls, two CJIS firewalls, and three Web-hosting firewalls, along with a Check Point FireWall-1 (redundant, load balancing) with multiple demilitarized zones (DMZs), constitute the efforts to secure the infrastructure.

4. Applications

The applications assessment resulted in the following findings:

- *Aged Applications* – With rare exception, most of the applications that are the foundation of criminal justice information in Connecticut are aged and in need of replacement.

- *Upgrades Under Way* – A number of replacement initiatives are presently under way.

- *Functional and Inflexible* – A majority of the legacy CJISs operating in the state meet the current business needs of the parent agency; however, they are not conducive to the flexible and robust data exchanges needed in the future environment.

5. Interfaces

The following are the findings from the interfaces assessment:

- *Recently Established* – Integration standards were recently established.

- *Development of Standards* – Data model standards for sharing data are developing.

- *GJXDM Adoption* – There is general adoption of the GJXDM standard.

- *Integration Methods* – WebSphere MQ Series has become the de facto integration methodology.

- *Development of Web Services* – Web services and portal standards have been developed, but are not widely implemented.

6. Specific Evaluation of OBTS, CIDRIS, and the CJIS Portal

Finally, there are three special assessments since these are central capabilities that are integral to the CJIS program: OBTS, CIDRIS, and CJIS Portal. These three applications were further examined in five subcategories:

- *Technology* – This gauges the overall status of the technology of the specific system.

- *Hardware* – This assesses the level of adequacy of the implemented hardware.

- *Data Usability* – This rates the quality, accessibility, usefulness, and transportability of the application's information.

- *Communications* – This area summarizes the bandwidth burden of the application and potential communication issues.

- *Design/Life Cycle* – This provides an assessment of the life cycle point of the application design and adequacy of the application to meet user needs and expectations.

Of course, these areas are still high-level reviews; however, they provide a balanced picture of the specific applications.

V. CJIS As-Is Business/Logical Model

V.   CJIS As-Is Business/Logical Model

One of the leading justice information analysis tools has been created by The National Consortium for Justice Information and Statistics (SEARCH), a national group focused on integrated justice. JIEM is a methodology and a software tool for mapping information exchanges and using that information to visualize and present information movement between justice processes. The model provides a methodology for collecting and documenting information exchanges in a way which helps ensure that the exchanges are all identified, as are the circumstances under which they occur. The software tool provides a vehicle for storing and reporting on the information exchanges.

The JIEM methodology is being employed in the CJIS Blueprint Project to identify and document information exchanges employed by justice partners. The exchanges described in this document have been entered into the JIEM tool and will be revised as necessary once stakeholder agencies have reviewed this document and provided comments.

A.   Modeling Approach

There is a tremendous amount of information exchanged between the justice agencies involved in this project. The majority of these exchanges are regular transfers of documents between agencies at set points in the justice process, while other exchanges occur as needed. This subsection presents the information that is currently exchanged between the justice partners. The exchanges are grouped into processes that pertain to specific aspects of the justice cycle or case processing (e.g., incident reports).

APPENDIX G provides a complete listing of the current Connecticut criminal justice information exchanges. The exchanges are presented in a table that displays several dimensions for each information exchange. These dimensions are:

- *Exchange Number* – A unique identifying number for the exchange.

- *Exchange Label* – A short description of the exchange.

- *Sending Agency* – The agency that sends the document.

- *Prevailing Process* – A group of logically related events during which the triggering event occurs.

- *Triggering Event* – A decision or action that causes the exchange of information.

- *Conditions* – The prerequisite that must be true for the exchange to occur.

- *Documents* – The piece of information that is exchanged. It may be a paper document, an electronic transaction, or a communication (e.g., e-mail, telephone call) between individuals.

- *Receiving Agencies* – The agency that receives the document.

- *Subsequent Process* – A group of logically related events during which the subsequent event occurs.

- *Subsequent Event* – A decision or action that results from the exchange of information.

The exchanges were modeled in seven working sessions with SMEs from the participating agencies associated with groups of events in JIEM. Using the JIEM Adult Felony Reference Model as the basis for the exchanges, the purpose of the sessions was threefold:

- Delete information exchanges that do not occur in Connecticut.

- Edit exchanges that occur in Connecticut, but not exactly as they appear in the model.

- Add exchanges that occur in Connecticut, but are not in the model.

The CJIS agencies, processes, events, and documents modeled in each working group are summarized in the subsections below. The exchanges associated with each event in the JIEM Reference Model were used as a baseline model and then customized to reflect the exchanges that occur in Connecticut.

B.   Data Exchange Point Analysis

The scope of the dimensions in the Connecticut CJIS JIEM is as follows:

1.   Criminal Justice Agencies

The official list of agencies that participate in justice information exchanges includes 13 agencies. However, for the purpose of modeling the individual exchanges, some changes to the list were necessary. Some individual agencies were combined, based on their similar roles in the justice process. An example of this is including all agencies with arresting authority, such as the state and municipal law enforcement agencies, in a single group known as LAW. In other cases, a single agency was split into multiple groups in order to reflect its distinct roles in the justice process. For instance, the CSSD was split into Pretrial Services and Probation. Also, in some cases, agencies or groups that are not part of CJIS, such as the FBI, were included because they receive critical justice exchange information.

The table below identifies the 18 agencies that are included in the JIEM tool and the total number of justice information exchanges involving that agency, both as a sender and a receiver of information.

Agency	Sending Information	Receiving Information
BOPP	24	19
DPD	18	22
CJIS/OBTS	13	15
Court Operations	140	71
Crime Lab – DPS	0	2
DCJ	47	52
DOC	41	49
DMV	19	32
FBI	0	3
Judge	1	1
Law	61	53
OVA	1	1
Pretrial Services – CSSD	4	5
Probation – CSSD	25	29
SOR – DPS	2	5
State Repository – DPS	10	32
Victim	0	13
Victim Services	17	21

The above list includes agencies outside of the CJIS environment that justice agencies exchange data with.

2. Criminal Justice Processes

The JIEM Reference Model is divided into nine criminal justice processes, which are groups of logically related events that together achieve a business purpose. The following table shows these nine processes and lists the number of exchanges in the CJIS JIEM that occur for each, both as a prevailing process and as a subsequent process:

Process	Prevailing Process	Subsequent Process
Investigation	109	86
At Large	23	28
Detention	13	25
Pre-Disposition Court	117	111
Pre-Disposition Supervision	4	4
Post-Disposition Court	108	104
Post-Disposition Supervision	29	34

Process	Prevailing Process	Subsequent Process
Incarceration	18	22
Post-Supervision	2	9

3.   Criminal Justice Events

There are numerous events that either trigger an information exchange or are subsequent to an exchange. The events were captured in seven group sessions associated with the following groups of events, based on the JIEM Reference Model:

Group 1 (Incident/Investigation)

- Arrest warrant request.
- Arrest warrant review.
- Close case.
- Incident.
- Initial identification.
- Open case.
- Search warrant request.
- Search warrant review.
- Search warrant service.
- Update case file.
- Update local warrant file.
- Update records.
- Update state warrant file.
- Victim notification.
- Warrant cancellation.

Group 2 (Licensing, Vehicle Registration)

- Driver's licensing.
- Vehicle registration.

Group 3 (Arrest/Booking/CCH)

- Arrest without warrant.
- Arrest on warrant.
- Biometric identification.
- Booking.
- Capture.
- Cite and release.
- Close case.
- Custody transfer.
- Escape.
- Incident.
- Intake.
- Release.
- Release date review.
- Subject death.
- Transport subject to court.
- Update case file.
- Update criminal history.
- Update records.

Group 4 (Complaint/Citation)

- Amendment of charges.
- Diversion referral.
- Grand jury indictment decision.
- Judicial review of detention.
- Law enforcement referral decision.
- Open case.
- Prepare report.
- Pretrial screening.
- Prosecution charging decision.
- Protection order request.

- Protection order review.
- Protection order vacated.
- Schedule court appearance.
- Subpoena issuance.
- Subpoena request.
- Subpoena service.
- Summons request.
- Summons review.
- Summons service.
- Victim notification.

Group 5 (Charge/Filing/Trial)

- Court appearance.
- Discovery preparation.
- Entry of plea.
- Evidentiary hearing.
- Motion filing.
- Open case.
- Preliminary hearing.
- Prepare report.

- Response filing.
- Review hearing.
- Status review.
- Transport subject to court.
- Trial commencement.
- Trial disposition.
- Update case file.
- Victim notification.

Group 6 (Sentencing/Incarceration)

- Capture (incarceration).
- Close case (post-disposition court).
- Disposition reporting.
- Escape (incarceration).

- Evidentiary hearing (post-disposition court).
- Expungement.
- Intake (incarceration).
- Interstate case transfer.

- Motion filing (post-disposition court).
- Parole hearing.
- Prepare report.
- Property release.
- Property release request.
- Reinstatement of charges.
- Release.
- Release date review.
- Review hearing.
- Schedule court appearance.

- Sentence hearing.
- Status review.
- Transport subject to court.
- Transport subject to jail.
- Transport subject to prison.
- Trial disposition.
- Update case file.
- Update local warrant file.
- Update records.
- Update state warrant file.
- Victim notification.

Group 7 (Probation Violation)

- Intake (post-disposition supervision).
- Intrastate case transfer.
- Open case.
- Probation violation filing decision.
- Revocation.

- Update case file.
- Update records.
- Victim notification.

These events initiate the majority of data exchanges that occur between justice partners.

4. Criminal Justice Documents

In the CJIS JIEM, the information that is transmitted in each exchange is modeled as documents. The group sessions identified the documents used in Connecticut criminal justice exchanges. The following table shows the name of each document, as well as the number of exchanges that include each document:

Document	Exchanges	Document	Exchanges
14-140 FTA Exchange	1	Notification of Conditions	1
Addendum to Parole Violation Report	1	Notification of Expungement	8
Affidavit for Arrest Warrant	9	Notification of Outstanding Warrant	2
Affidavit for Search Warrant	4	Notification of Parole	1

Document	Exchanges	Document	Exchanges
Amended Information	2	Notification of POR Termination	4
Appeal Notification	1	Offender History	11
Application for Arrest Warrant	3	Offender History Query	11
Application for Diversionary Program	3	Offender Record	2
Application for Pardon	1	Order for Dismissal	1
Application for Parole Revocation	1	Order for New Trial	3
Application for Protection Order	1	Order to Recall Warrant	3
Application for Sentence Review Request	3	Pardon Hearing Calendar	1
Arrest Fingerprint Card	3	Pardon Investigation	1
Arrest Notification	1	Parole Agreement	1
Arrest Reports	7	Parole Disposition Summary	1
Arrest Warrant	8	Parolee Contact Notification	1
Bail Commissioner's Letter	2	Parole Eligibility Date	1
Bench Warrant	3	Parole Probable Cause Hearing Schedule	1
Capture Notice	3	Parole Revocation Disposition	1
Carrier Information	1	Parole Revocation Hearing Schedule	2
Case Report	1	Parole Revocation Warrant	1
Citation	2	Personal Recognizance Bond	2
Conditions of Release	5	Petition for VOP	1
Continuance Date	1	Plea Agreement	1
Continuance Mittimus (C-mitt)	11	Pre-Plea Investigation Report	1
Court Opinion	11	Presentence Investigation Order	1
Court Re-Opener Document	1	Presentence Investigation Report	6
Criminal History	6	Pretrial Motion	4
Criminal History Query	8	Probable Cause Review Form	2
Custody Notification	1	Progress Report	2
Decision Notification	1	Property Sheet	1
Defendant Held in Lieu of Bond List	2	Protection Order	3
Detention Notification	1	Protection Order Expiration Notice	1
Digitized Photograph	9	POR Query	3
Dismissal	1	POR Response	3
Dismissal List	1	Provisional Pardon	2

Document	Exchanges	Document	Exchanges
Disposition	2	Rap Sheet	1
Disposition Abstract	4	Recommend Protection Order	2
Disposition Flag	1	Release Order	4
Disposition List	1	Release Verification	1
Disposition Reporting Form	7	Remand Order	1
Diversionary Flag	1	Re-Opening of Judgment	1
Diversion Program Pass/Fail Results	3	Request for Arrest Report	1
Diversion Referral	1	Request for Case Updates	1
DMV /Passenger Endorsement Review Unit (PERU) Criminal History Request	2	Request for Continuance Date	2
DNA Confirmation	1	Request for Destruction of Record	2
DNA Feed	1	Request for Digitized Photo	4
DNA Submission Request	2	Request for DNA Confirma-tion	1
DNA Update	2	Request for Driver History	4
Docket	3	Request for Inmate Record	2
DOC Non-Appearance Notification	1	Request for Modification of Probation	2
Driver & Vehicle Status	3	Request for Offender Record	1
Driver History	4	Request for Sentencing Transcript	2
Election for Court Trial	1	Request for State ID	1
Escape Notice	3	Request for Supplemental Information	1
Escort Confirmation	1	Request for Transport Escort	1
Expungement Completion Notice	1	Request for Verification of Release	1
Failure to Appear Notice	1	Request for VOP	2
Failure to Appear Notice	1	Request for Warrant Recall	1
Failure to Appear Warrant	2	Request Presentence Investigation	1
Family Relations Referral	1	Request Rap Sheet	1
FBI Rap Sheet	1	Request Transport Requirements	1
Fine Payment Notification	3	Response to Filed Motion	4
Form 59-V	1	Return of Service	2
Habeas Form	3	Review Hearing Ruling	4
Hold Without Bail	1	Revised Continuance Mittimus	1
Incident Report	6	Search Warrant	2

Document	Exchanges	Document	Exchanges
Information	3	Seized Property Form	2
Infraction Summons	1	Sentencing Order	4
Inmate Overview Sheet	2	Sentencing Transcript	3
Inmate Record	3	Sex Offender Location Update	2
Inventory List	1	SOR Change of Address	3
Investigative Report	1	SOR Letter	1
Judgment Mittimus (J-mitt)	7	SOR Packet	1
Latent Fingerprint Card	1	Special Instructions	1
List of Arrest Warrants	1	State's Attorney Advisory	2
List of Prospective Jurors	2	State's Attorney Response to Pardon Application	1
Misdemeanor Summons	1	Stolen Vehicle Check	1
Motion for Discovery	4	Stolen Vehicle Record	2
Motion for New Trial	2	Subpoena	4
Motion for Post-Conviction Relief	2	Subpoena Duces Tecum	1
Motion for VOP	1	Substitute Information	2
Motion Ruling	2	Summary of Disposition	1
Motion to Amend Sentence	4	Summary of Proceedings	8
Motion to Revoke Diversion	2	Supervised Persons File Update (File 17)	3
Motor Vehicle Driving Record	1	Supplemental Report to Terminate	1
Mug Shots	3	Suspense File	1
No Contact File (File 20)	3	Temporary Surrender Document	2
Nolle Prosequi	2	Transmittal	1
Notice of Appearance	2	Transport Requirements	1
Notice of Application (JDVS-3)	3	Uniform Arrest Report	3
Notice of Discharge	1	Victim Notification Letter	15
Notice of Motion	2	VOP Report	2
Notice of Non-Payment of Fee/Fine	1	Waiver of Jury Trial	1
Notice of Parole Hearing	2	Warrant	2
Notice of Review Hearing	4	Warrant Cancel Type	2
Notice of Sex Offender Release	1		

The majority of documents identified above are involved in multiple data exchanges,

5. Criminal Justice Exchange Conditions

The numerous conditions that affect when and how an exchange occurs are based on the conditions documented in the JIEM Reference Model. Additional conditions were included in the model to include Connecticut-specific exchanges. The additional conditions were described by workshop participants in the context of their business operations and data exchange relationships. All conditions are included in the exchanges modeled in APPENDIX G.

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The JIEM as-is business/logical model presented in this section provides a baseline for the next steps in the CJIS Blueprint Project. A to-be model is being developed, and that model will be a compilation of current and desired data exchanges. The comparison of the two models will provide the basis for defining data exchange needs in the future CISS environment.

Appendix A
Glossary of Terms

Appendix A – Glossary of Terms

The terms below will be used in all CJIS Blueprint Project deliverables. They are described in the context of the existing and future environments.

A.   Existing Environment

- *Criminal Justice Community* – Agencies conducting or supporting activities in the criminal justice process and other interested parties. This term will be used in the current and future environment discussions.

- *Current Technology Environment* –The technologies that support the criminal justice community. This term will only be used in the current environment discussion.

- *CJIS* – The business program for integrated justice in the State of Connecticut. This will be used in the current and future environment discussions.

B.   Future Environment

- *CISS* – The umbrella term for the new system. It includes the following components:

  » *Integration Environment* – The integration tools that will support both the JIEM exchanges and business process/work flow automation.

  » *CJIS Solution* – All of the technologies that support Connecticut CJIS and the integration environment.

  » *CJIS Environment* – The complete technology environment that supports both the CJIS solution and the criminal justice community. This term will replace the CJIS technology environment.

Appendix B
Glossary of Acronyms

Appendix B – Glossary of Acronyms

Acronym	Definition
AAA	American Automobile Association
AAMVA	American Association of Motor Vehicle Administrators
AES	Advanced Encryption Standard
AFIS	Automated Fingerprint Identification System
ANSI	American National Standards Institute
ASP	Application Service Provider
ATM	Asynchronous Transfer Mode
BICE	Bureau of Immigration and Customs Enforcement
BOPP	Board of Pardons and Paroles
CAD	Computer-Aided Dispatch
CAPTAIN	Capital Region Total Access Information Network
CCH	Computerized Criminal History
CIB	Centralized Infractions Bureau
CICS	Customer Information Control System
CIDRIS	Connecticut Impaired Driving Records Information System
CIO	Chief Information Officer
CISS	Connecticut Information Sharing System
CIVLS	Connecticut Integrated Vehicle and Licensing System
CJIS	Criminal Justice Information System
CJPPD	Criminal Justice Policy Development and Planning Division
CMIS	Case Management Information System
CMS	Case Management System
COLLECT	Connecticut On-Line Law Enforcement Communications Teleprocessing
COMPSTAT	Computer Statistics
CPCA	Connecticut Police Chiefs Association
CRMVS	Criminal Motor Vehicle System
CSSD	Court Support Services Division
CWDM	Course Wavelength Division Multiplexing
DCJ	Division of Criminal Justice

Acronym	Definition
DEC	Digital Equipment Corporation
DEMHS	Department of Emergency Management and Homeland Security
DMV	Department of Motor Vehicles
DMZ	Demilitarized Zone
DOC	Department of Correction
DOIT	Department of Information Technology
DPD	Division of Public Defender
DPS	Department of Public Safety
E-911	Enhanced 911
EBTS	Electronic Biometric Transmission Specification
EMAP	Emergency Management Accreditation Program
EOC	Emergency Operations Center
EWTA	Enterprise-Wide Technical Architecture
FBI	Federal Bureau of Investigation
FY	Fiscal Year
Gb	Gigabit
GFIPM	Global Federated Identity and Privilege Management
GIS	Geographic Information System
GJXDM	Global Justice XML Data Model
HVAC	Heating, Ventilating, and Air-Conditioning
IAR	Intake, Assessment, and Referral
III	Interstate Identification Index
IIS	Internet Information Services
IT	Information Technology
JIEM	Justice Information Exchange Model
JRA	Justice Reference Architecture
JUD	Judicial Branch
LAN	Local Area Network
LAW	Local Law Enforcement
LOB	Line of Business

Acronym	Definition
MA-JEB	Municipal Access Judicial Electronic Bridge
MBM	Meets Business Needs
MDC	Mobile Data Computer
MNI	Master Name Index
NCIC	National Crime Information Center
NHTSA	National Highway Traffic Safety Administration
NIC	Network Interface Card
NIEM	National Information Exchange Model
NIMS	National Incident Management System
NIST	National Institute of Standards and Technology
Nlets	International Justice & Public Safety Information Sharing Network
OBIS	Offender Based Information System
OBTS	Offender Based Tracking System
OCR	Optical Character Recognition
OPM	Office of Policy and Management
OSET	Office of Statewide Emergency Telecommunications
OUI	Operating Under the Influence
OVA	Office of Victim Advocate
PD	Police Department
PERU	Passenger Endorsement Review Unit
PMO	Program Management Office
POR	Protective Order Registry
PRAWN	Paperless Re-Arrest Warrant Network
PSAP	Public Safety Answering Point
PSDSN	Public Safety Data Services Network
PSRB	Psychiatric Security Review Board
R-911	Regional 911
RFP	Request for Proposals
RMS	Records Management System
ROBIR	Regional Offender Biography and Image Repository

Acronym	Definition
SAVIN	Statewide Automated Victim Information and Notification
SDM	System Development Methodology
SEARCH	The National Consortium for Justice Information and Statistics
SME	Subject Matter Expert
SOR	Sex Offender Registry
SSA	Serial Storage Architecture
SSL	Secure Sockets Layer
TE	Transformation Engine
UPS	Uninterruptible Power Supply
VAX	Virtual Address Extension
VIN	Vehicle Identification Number
VMS	Virtual Memory System
VOP	Violation of Probation
VSAM	Virtual Storage Access Method
WAN	Wide Area Network
XSD	XML Schema Definition

Appendix C
Information Descriptions and Sources

Appendix C – Information Descriptions and Sources[1]

Information	Information Source
Person Information – Includes name, date of birth, address, physical descriptors, Social Security number, driver's license information, and any other identifying data that is captured.	● All CJIS agencies.
Biometric/DNA identifiers – Includes fingerprint and DNA information.	● LAW. ● DPS/State Police. ● DOC.
Booking Photos – Includes photos taken at the time of arrest or upon the initiation of custody.	● LAW. ● DPS/State Police. ● DOC.
Warrant Status – Describes whether a warrant is active.	● Courts. ● NCIC.
Criminal History – A record of a person's arrests and convictions.	● DPS. ● NCIC. ● Courts.
Person Contact Information – Non-arrest justice system contacts. Such information could include field interviews, victimization, and many other types of contacts.	● All CJIS agencies.
Sex Offender Information – Sex offender registration information.	● SOR.
Police Reports – Arrest Information – All investigative and person data contained in a police report, including booking information.	● LAW. ● DPS/State Police.
Evidence/Property Information – Property or evidence that is in the custody of a CJIS agency or connected to a criminal incident.	● LAW. ● DPS/State Police.
Police Reports – Other – Law enforcement investigative reports for incidents not involving a crime or arrest.	● LAW. ● DPS/State Police.
Vehicle Information – Vehicle data, including year, make, model, color, registration, and VIN.	● DMV. ● LAW. ● DPS/State Police. ● Nlets.
Traffic Arrest Information – Person information, vehicle information, and details surrounding the traffic offense.	● DPS/State Police. ● LAW. ● DMV.

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[1] Although some of the data described can be queried through OBTS, it is a repository and a secondary source of the information. For the purposes of this discussion, only primary information sources are listed.

Information	Information Source
Traffic Accident Information – Person information, vehicle information, and accident details.	• DPS/State Police. • LAW.
Address Incident History – A compilation of all law enforcement calls and contacts at a particular location.	• DPS/State Police. • LAW.
Prosecution Charging Decisions – Charging decisions made by prosecutors in a particular case, along with the rationale and a description of any further investigation required.	• DCJ.
Court Data – All data produced in processing a court case. This would include, but is not limited to, court calendars, notices of appearance, and court clerk case notes.	• JUD.
Discovery Information – All information pertaining to a criminal case that the prosecution is required to release to the defense. The information could include police reports, evidence information, crime lab reports, witness statements, audio and video recordings, and other information.	• DCJ.
Restraining/Protective Orders – Details, conditions, and identifiers pertaining to a specific order.	• JUD.
Court Dispositions – The final disposition of a specific court case.	• JUD.
Presentence Reports – The narrative findings of a presentence investigator with regard to a particular subject.	• JUD.
Incarceration Status – The data related to an incarcerated person, including sentence, classification, housing location, and other relevant information.	• DOC.
DOC Photos – Custody photos taken by DOC.	• DOC.
Probation Status/Information – Current status of a probationer, along with restrictions and conditions.	• JUD.
Parole Status/Information – Current status of a parolee, along with restrictions and conditions.	• BOPP.
Firearms Registry – Information available in the firearms registry, including ownership and weapons description.	• DPS.
NCIC/Nlets – Out-of-state and national information regarding warrants, property, missing persons, vehicles, and other data.	• FBI. • Out-of-state DMVs. • Out-of-state law enforcement agencies.

Appendix D
Agency Business Information Needs

DPS	
● Person data.	● Traffic accident information.
● Biometric/DNA identifiers.	● Address incident history.
● Booking photos.	● Prosecution charging decisions.
● Warrant status.	● Restraining/protective orders.
● Criminal history.	● Court dispositions.
● Person contact information.	● Incarceration status.
● Sex offender information.	● DOC photos.
● Police reports – arrest information.	● Probation status/information.
● Police reports – other.	● Parole status/information.
● Vehicle information.	● Firearms registry information.
● Traffic arrest information.	● Evidence/property information.
● NCIC/Nlets information.	

JUD	
● Person data.	● Address incident history.
● Biometric/DNA identifiers.	● Prosecution charging decisions.
● Booking photos.	● Restraining/protective orders.
● Warrant status.	● Presentence reports.
● Criminal history.	● Incarceration status.
● Sex offender information.	● DOC photos.
● Police reports – arrest information.	● Probation status/information.
● Evidence/property information.	● Parole status/information.
● Police reports – other.	● Firearms registry.
	● NCIC/Nlets.

DCJ	
● Person data.	● Court data.
● Biometric/DNA identifiers.	● Restraining/protective orders.
● Booking photos.	● Court dispositions.
● Warrant status.	● Presentence reports.
● Criminal history.	● Detailed DOC custody information.
● Person contact information.	● DOC photos.
● Sex offender information.	● Probation status/information.
● Police reports – arrest information.	● Parole status/information.
● Evidence/property information.	● Firearms registry.
● Police reports – other.	● NCIC/Nlets.
● Vehicle information.	
● Address incident history.	

DPD	
● Person information.	● Court data.
● Discovery information.	● Restraining/protective orders.
● Presentence reports.	● Court dispositions.
● Criminal histories.	● Incarceration status.
● Booking photos.	● DOC photos.
● Sex offender information.	● Probation status/information.
	● Parole status/information.

DOC	
● Person data.	● Restraining/protective orders.
● Biometric/DNA identifiers.	● Court dispositions.
● Booking photos.	● Presentence reports.
● Warrant status.	● Probation status/information.
● Criminal history.	● Parole status/information.
● Sex offender information.	● Out-of-state offender information and history.
● Police reports – arrest information.	
● Court data.	● Offender information and history from BICE.

BOPP	
● Person data.	● Court dispositions.
● Biometric/DNA identifiers.	● Presentence reports.
● Booking photos.	● Incarceration status.
● Warrant status.	● DOC photos.
● Criminal history.	● Probation status/information.
● Sex offender information.	● Transcript of sentence.
● Police reports – arrest information.	● Juvenile records.
● Court data.	
● Restraining/protective orders.	

DMV	
● Court conviction information.	● Traffic arrest information.
● Probation-ordered conditions.	● Traffic accident information.
● Protective orders.	● Address incident history.
● State police records checks.	● Court data.
● Person data.	● Restraining/protective orders.
● Booking photos.	● Court dispositions.
● Warrant status.	● Incarceration status.
● Criminal history.	● Probation status/information.
● Sex offender information.	● Parole status/information.
● Police reports – arrest information.	● NCIC/Nlets.
● Vehicle information.	

OVA	
● Court information.	● Address incident history.
● SOR information.	● Prosecution charging decisions.
● DOC offender information.	● Restraining/protective orders.
● Person data.	● Court dispositions.
● Booking photos.	● DOC photos.
● Warrant status.	● Probation status/information.
● Criminal history.	● Parole status/information.
● Police reports – arrest information.	● Firearms registry information.
DEMHS	
● Person data.	● Police reports – other.
● Biometric/DNA identifiers.	● Vehicle information.
● Booking photos.	● Incarceration status.
● Warrant status.	● DOC photos.
● Criminal history.	● Probation status/information.
● Person contact information.	● Parole status/information.
● Sex offender information.	● Firearms registry.
● Police reports – arrest information.	● NCIC/Nlets.
● Evidence/property information.	
LAW	
● Person data.	● Address incident history.
● Biometric/DNA identifiers.	● Prosecution charging decisions.
● Booking photos.	● Court data.
● Warrant status.	● Restraining/protective orders.
● Criminal history.	● Court dispositions.
● Person contact information.	● Incarceration status.
● Sex offender information.	● DOC photos.
● Police reports – arrest information.	● Probation status/information.
● Evidence/property information.	● Parole status/information.
● Police reports – other.	● Firearms registry.
● Vehicle information.	● NCIC/Nlets information.
● Traffic arrest information.	● Traffic accident information.

Appendix E
Law Enforcement Agency RMSs

Appendix E – Law Enforcement Agency RMSs

Police Agency	RMS Vendor	RMS Software	Date of RMS Installation	RMS Version	AFIS Live-Scan Installed	RMS Sends Demographics to Live-Scan	RMS Sends Charge Info to Live-Scan
Ansonia	NexGen	LEAS	1999	5.36	No	Unknown	Unknown
Avon	NexGen	LEAS	November 2002	5.36	Yes	Yes	Yes
Berlin	New World Systems Inc.	Aegis Public Safety	April 1996	7.0	Yes	Yes	Yes
Bethel	CIS Computer Information Systems		May 1, 2008		Yes	Unknown	Unknown
Bloomfield	NexGen	NexGen	October 2005	5.32	Yes	Most	No
Branford	NexGen	NexGen	1999	5.35	Yes	Yes	Yes
Bridgeport	QED	Classic CAD/RMS	Unknown		Yes	No	No
Bristol	Sunguard THE	Sunguard THE	January 2000		Yes	No	No
Brookfield	IMC	IMC	August 2006	4.6.10.25	Yes	Yes	Yes
Canton	NexGen	NexGen	November 2006		Yes	Yes	Yes
Central Connecticut State University	NexGen	LEAS	2004		No	Unknown	Unknown
Cheshire	Larimore	Larimore	February 2005	Cobalt	NO	Unknown	Unknown
Clinton	IMC	IMC	December 2003	4.6 version 9.33	Yes	Yes	Yes
Connecticut State Police	NexGen	LEAS CAD/RMS	June 21, 2006	10.24	Yes	Yes	Yes
Coventry	Hunt	FileMaker Pro	April 2002	n/a	No	Unknown	Unknown
Cromwell	Hunt	Hunt	July 1999	Version 6	Yes	Yes	Yes
Danbury	Sunguard Public Sector	CMS	August 2001	5.6.0.02	Yes	No	No
Darien	VisionAIR	VisionAIR	January 2002	4.2	Yes	Yes	Yes
Derby	Hunt	FileMaker Pro	1987	6	No	Unknown	Unknown
East Hampton	Hunt Computer	FileMaker	June 2001	5	Yes	No	No
East Hartford	New World Systems	Aegis LERMS	2002	7.08	Yes	Yes	Yes
East Haven	NexGen	LEAS	October 2007	10.25	Yes	Yes	Yes
East Windsor	IMC	IMC	1995		Yes	Yes	Yes
Eastern Connecticut State University	Hunt	Hunt	1999	6	No	No	No
Easton	Hunt Computer Design	Hunt Computer Design	1995	N/A	No	Unknown	Unknown

Police Agency	RMS Vendor	RMS Software	Date of RMS Installation	RMS Version	AFIS Live-Scan Installed	RMS Sends Demographics to Live-Scan	RMS Sends Charge Info to Live-Scan
Enfield	DATAFORCE	DATAFORCE	1991	Admins Windows	Yes	Yes	Yes
Fairfield	NexGen	LEAS	September 1999	5.35	Yes	Yes	Yes
Farmington	NexGen	LEAS	August 2002	5.37	Yes	Yes	Yes
Glastonbury	Spillman Technologies Inc.	Summit	October 1996	4.1	Yes	Yes	Yes
Granby	IMC	IMC	January 1990	4.6 Build Number 8.28	Yes	Yes	Yes
Greenwich	THE	THE	June 1999	5.0.7.0.03	Yes	Yes	No
Groton City	IMC	IMC	October 2003	4.5	No	Unknown	Unknown
Groton Long Point	Global Software	Global Records	2002	4.6.3	No	Unknown	Unknown
Groton Town	Logisys	Logisys	2005		No	No	No
Guilford	Diversified	Search	1991		Yes	No	No
Hamden	NexGen	LEAS	December 2000	5.37 v.10	Yes	Yes	Yes
Hartford	In House Developed	N/A	1982	N/A	Yes	Yes	Yes
Madison	NexGen	LEAS	1999	10.28	No	Unknown	Unknown
Manchester	Positron Public Safety	PRIORS	2004	3.5.58	Yes	Yes	Yes
Meriden	Sungard Public Safety	Sungard CAD	November 1998	5.0.6	Yes	Yes	Yes
Middlebury	Hunt	RMS	1988	7.26	Yes	No	No
Middletown	Hunt	Hunt	March 2008	9	Yes	Yes	Yes
Milford	Diversified	DCS Inc.	1983		No	No	No
Monroe	NexGen	LEAS	November 2000	5.36	No	Unknown	Unknown
Naugatuck	Hunt	Hunt	January 1996	6	Yes	Yes	Yes
New Britain	Smart Systems Inc.	CT-Chiefs	October 1, 2006	1.1	Yes	Yes	Yes
New Canaan	NexGen	LEAS	2003	5.37	Yes	Yes	Yes
New Haven	H.T.E Inc.	H.T.E. Public Safety	1992	Crimes Mgmt. System	Yes	Yes	Yes
New London	H.T.E. Inc	H.T.E. Public Safety	1998		Yes	Yes	Yes
New Milford	IMC	IMC	November 1997	4.6 Build 9.53	Yes	Yes	Yes
Newinton	Positron, An IPC Company	PRIORS	May 2003	3.5.68	Yes	Yes	Yes
Newtown	New World Systems	Aegis Public Safety	January 2000	8.04	Yes	No	No
North Branford	NexGen	NexGen			Yes	Yes	Yes

Police Agency	RMS Vendor	RMS Software	Date of RMS Installation	RMS Version	AFIS Live-Scan Installed	RMS Sends Demographics to Live-Scan	RMS Sends Charge Info to Live-Scan
North Haven	NexGen	LEAS	December 1999	5.36	Yes	Yes	No
Norwalk	NexGen	LEAS	December 1999	5.35	Yes	No	No
Norwich	IMC	Dispatch/Records	April 2003	4.6 Build 8.25	No	Unknown	Unknown
Old Saybrook	Pamet Systems	PoliceServer	December 1999	3.6.2	Yes	Yes	Yes
Orange	Hunt	CAD	1991	CAD 2000	Yes	Yes	Yes
Plainfield	Motorola	CRISNet	2004	v1.93	No	Unknown	Unknown
Plainville	PSSD		2000		Yes	Yes	Yes
Plymouth	Hunt	CAD	April 2001	Filemaker Pro 8.5	Yes	Yes	No
Portland	Primestar		2004		Yes	No	No
Putnam	IMC		January 2004	4.6 Build 8.25		Unknown	Unknown
Redding	Hunt	FileMaker Pro	July 2002	8.0	Yes	Yes	Yes
Regional Water Authority	NexGen	FileMaker PROG	1997	2002	No	Unknown	Unknown
Ridgefield	NexGen	LEAS	January 2000	5.36	No	Unknown	Unknown
Rocky Hill	Mobile Tec International Inc.	In Motion RMS	July 2005	5.0	Yes	Yes	Yes
Seymour	Hunt	Hunt	June 1993	9	No	Unknown	Unknown
Shelton	NexGen	LEAS	February 2001	5.36	Yes	Yes	Yes
Simsbury	NexGen	LEAS	December 2005	5.38, Upgrading to 10	Yes	Yes	Yes
South Windsor	PRIORS	PRIORS	June 2003	2.5.253	No	Unknown	Unknown
Southern Connecticut State University	Hunt	Hunt			No	Unknown	Unknown
Southington	MobileTec International	MobileTec Mobile/RMS	December 2004	v4.5.6	Yes	Yes	Yes
Stamford	Vision File	Vision RMS	November 1999	4.2.79	Yes	Yes	Yes
Stonington	Pamet Systems	Policeserver	1989	v3.6.2	Yes	Yes	Yes
Stratford	Hunt	Hunt	January 2006	8.5	Yes	No	No
Suffield	IMC	IMC	January 1999	4.6 Build 8.4	No	No	No
Thomaston PD	Hunt	CAD	1993	CAD3000	Yes	Yes	No
Torrington	Hunt		2006		Yes	Yes	Yes
Trumbull	NexGen	LEAS	March 2005	5.35	Yes	Yes	Yes

Police Agency	RMS Vendor	RMS Software	Date of RMS Installation	RMS Version	AFIS Live-Scan Installed	RMS Sends Demographics to Live-Scan	RMS Sends Charge Info to Live-Scan
University of Connecticut	NexGen	LEAS	2002	v.5.36	Yes	Yes	Yes
Vernon	NexGen	LEAS	2002	5.46	Yes	Yes	Yes
Wallingford	New World Systems	Aegis Public Safety	October 2002	8.0.3 PTF	No	Yes	Yes
Waterbury	New World Systems	New World Systems	December 1999	7.7	Yes	Yes	Yes
Waterford	VisionAIR	VisionAIR	December 1999	5.02	No	No	No
Watertown	Pamet Systems	Police Server, CAD Server	October 1999	3.5, Soon to 3.6	Yes	Yes	
West Hartford	NexGen	LEAS	July 2007		Yes	Yes	Yes
West Haven	DCS	DCS CAD	July 1985	1/2008	Yes	No	No
Western Connecticut State University	IMC		2004		Yes	No	No
Weston	Hunt	Dispatch Now/NIBRS		5	Yes	No	No
Westport	VisionAIR	VisionAIR	December 2000	3.0	Yes	No	No
Wethersfield	VisionAIR	Vision RMS	March 2003	4.2		Unknown	Unknown
Williamantic	NexGen		2001			Unknown	Unknown
Wilton	EmergiTech	InterBadge	1999	5.9.05	Yes	Yes	No
Winchester	Hunt		1998		No	No	No
Windsor	VisionAIR	RMS	February 2003	3.0	Yes	No	No
Windsor Locks	Hunt	Filemaker	1996	FileMaker 5.5	Yes	Yes	Yes
Wolcott	Hunt	FileMaker Pro	1999	059	Yes	Yes	Yes
Woodbridge	NexGen	LEAS	November 2001	5.36	Yes	Yes	No
Yale University	Ed Marshall Computer	Custom Application	February 1993	Visual Foxpro 9.0	No	No	No

Appendix F
Participant List

Appendix F – Participant List

Name	Agency
Sergeant Kevin Albanese	DPS
Sergeant Jeanine Allin	Newington PD
Lieutenant Steven Alvey	DEMHS
Ms. Mary Archer	DOC
Mr. Brian Austin	OPM
Ms. Hakima Bey-Coon	OVA
Mr. Germaine Blaise	DOIT
Mr. Paul Boutot	Town of Newington
Ms. Susan Brown	DPD
Ms. Tracy Brown	DOIT/CJIS
Mr. Brian Carlow	DPD
Chief James Cetran	CPCA
Ms. Linda Cimino	OVA
Mr. Charles Coffey	DCJ
Mr. Bob Cosgrove	DOC
Ms. Michelle Cruz	OVA
Mr. John DeFeo	BOPP
Mr. Nick Demetriades	DMV/DOIT
Mr. Jim Donnelly	New Britain PD
Mr. Larry D'Orsi	JUD – Court Operations
Mr. Chris Duryea	JUD – Court Operations
Mr. Robert Farr	BOPP
Mr. James Frechette	DMV
Mr. Bill Fusick	DOIT
Mr. Michael Gailor	DCJ
Ms. Evelyn Godbout	DOIT/DCJ
Mr. Robert Grega	DPS
Mr. Buck Grimes	Sierra Systems – OBTS
Ms. Nancy Grzesiuk	DOIT
Major Phil Halibozek	DPS
Mr. Darryl Hamblett	JUD – Court Operations
Lieutenant Robert Harrison	Naugatuck PD
Mr. Darryl Hayes	DPS

Name	Agency
Ms. Joan Hilliard	DPS
Mr. Sam Izzarelli	DPS
Sergeant Mike Jagoda	DPS
Mr. William Jameson	Newington PD
Mr. Jerry Johnson	OBTS – OPM/DOIT
Mr. Dennis Kwasnick	BOPP
Ms. Merit Lajoie	OVA
Mr. Will Larson	BOPP
Mr. Hoang Le	BOPP
Ms. Judy Lee	JUD – Court Operations
Mr. Kirby Lewis	DOIT
Mr. Mario Mezzio	DOIT
Ms. Jo-Ann Miller	JUD – Court Operations
Mr. John Morrison	DPD
Chief Richard Mulhall	CPCA/Newington PD
Commander John Murphy	East Hartford PD
Ms. Margaret Murphy	DPS
Mr. Paul Murray	DCJ – DPS
Mr. Dean Myshrall	DOIT
Ms. Susan Naide	DCJ
Ms. Suzanne Niedzielska	DOIT
Lietenant Christopher Nolan	DEMHS
Mr. Patrick O'Hara	DPS
Mr. Mark Panacionne	DPS
Ms. Maureen Reeves	DOC
Ms. Marcia Rogers	DOIT
Mr. Mark Rogers	BOPP
Mr. John Russotto	DCJ
Mr. Sumit Sajnani	DOC
Ms. Carol Salisbury	DOC
Mr. Joe Salmeri	DPS
Mr. Bill Saypalia	OBTS – OPM/DOIT
Mr. Terry Schnure	OPM
Mr. Steve Sidoruk	Steve Sidoruk Consulting
Ms. Celia Siefert	JUD – CSSD

Name	Agency
Ms. Marilyn Solikoski	Sierra Systems – CIDRIS and OBTS
Mr. Richard Sparaco	BOPP
Ms. Mary Jane Steele	DOC
Ms. Susan Storey	DPD
Mr. Sean Thakkar	CJIS Governing Board
Mr. Jim Turcotte	DCJ
Ms. Diana Varese	JUD – CSSD
Ms. Diane Wallace	DOIT
Ms. Terry Walker	Judicial Information Systems
Mr. Steven Wallick	DOIT
Mr. Robert Ward	DMV
Ms. Paulette Whipple	DOIT
Mr. George White	DMV
Ms. Cindy Zuerblis	DMV

Appendix G
Criminal Justice Information Exchanges – All Agencies

Appendix G – Criminal Justice Information Exchanges – All Agencies

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
1.01.51	Criminal history query – State Repository	Law	Investigation	Incident	If law enforcement seeks to identify subject.	Criminal History Query	State Repository – DPS	Investigation	Initial Identification
1.01.03	Law enforcement sends incident report to prosecutor.	Law	Investigation	Arrest	If law enforcement determines that a crime was committed.	Incident Report	Division of Criminal Justice	Investigation	Open Case
1.01.07	Law sends physical evidence to crime lab.	Law	Investigation	Incident	If law enforcement determines that a crime was committed.	Physical Evidence	Crime Lab – DPS	Investigation	Physical Identification
					If law enforcement collects physical evidence.				
1.01.09	Law enforcement sends domestic violence conditions of release to court.	Law	Investigation	Arrest	If law enforcement suspects that subject committed domestic violence incident.	Conditions of Release	Court Operations	Pre–disposition Court	Update Case File
					If law enforcement determines that conditions are appropriate.				
1.01.11	Law enforcement sends incident report to state probation.	Law	Investigation	Incident	If law enforcement determines that a crime was committed.	Incident Report	Probation – CSSD	Post-disposition Supervision	Status Review
					If subject currently is on probation.				
					If subject violates terms of probation.				
1.01.13	Law enforcement sends incident report to pardons & parole.	Law	Investigation	Incident	If law enforcement determines that a crime was committed.	Incident Report	Board of Pardons and Parole	Post-disposition Supervision	Status Review
					If subject currently is on parole.				
					If subject violates terms of parole.				
1.01.15	Law enforcement develops probable cause to ask prosecutor to request arrest warrant.	Law	Investigation	Incident	If law enforcement determines that a crime was committed.	Affidavit for Arrest Warrant	Division of Criminal Justice	At Large	Arrest Warrant Request
					If law enforcement finds probable cause to request a warrant.				
1.01.21	Subject fails to comply with court orders, law enforcement requests arrest warrant from prosecutor.	Law	Investigation	Incident	If law enforcement determines that a crime was committed.	Affidavit for Arrest Warrant	Division of Criminal Justice	At Large	Arrest Warrant Request
					If law enforcement finds probable cause to request a warrant.				
					If subject fails to comply with a court order.				

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
1.01.23	Law enforcement issues infraction summons.	Law	Investigation	Cite and Release	If law enforcement determines that a crime was committed.	Infraction & Misdemeanor Summons	Court Operations	Pre-disposition Court	Open Case
					If law enforcement determines it not necessary to detain or arrest subject.	Incident Report			
					If law enforcement finds probable cause to issue a summons.				
1.02.01	Repository sends criminal history to law enforcement upon request (booking).	State Repository – DPS	Investigation	Initial Identification	If law enforcement requests a criminal history.	Criminal History	Law	Detention	Booking
1.02.03	Repository sends criminal history to law enforcement upon request (referral).	State Repository – DPS	Investigation	Initial Identification	If law enforcement requests a criminal history.	Criminal History	Law	Investigation	Law Enforcement Referral Decision
1.02.05	Motor vehicles sends driver history to law enforcement.	Department of Motor Vehicles	Investigation	Initial Identification	If law enforcement requests a driver history.	Digitized Photograph	Law	Investigation	Law Enforcement Referral Decision
					If subject cannot be positively identified.	Motor Vehicle Driving Record			
						Driver History			
						Driver & Vehicle Status			
1.03.01	Court sends arrest reports to prosecutor.	Court Operations	Investigation	Arrest	If no condition specified.	Arrest Reports	Division of Criminal Justice	Investigation	Prosecution Charging Decision
1.03.03	Law enforcement sends temporary surrender document to court at temporary surrender.	Law	Investigation	Arrest without Warrant	If law enforcement determines not to hold subject.	Temporary Surrender Document	Court Operations	Detention	Booking
1.03.05	Court operations sends uniform arrest report form to state repository.	Court Operations	Investigation	Case Processed	If law enforcement takes subject into custody.	Uniform Arrest Report	State Repository – DPS	Investigation	Update Criminal History
1.03.07	Court operations sends citation, appearance bond, and arrest report to prosecutor.	Court Operations	Investigation	Arrest without Warrant	If law enforcement takes subject into custody.	Arrest Reports	Division of Criminal Justice	Investigation	Prosecution Charging Decision
					If law enforcement issues a citation.	Citation			
					If subject posts bond.	Uniform Arrest Report			
1.03.09	Law enforcement sends arrest reports to court operations.	Law	Investigation	Arrest without Warrant	If law enforcement takes subject into custody.	Arrest Reports	Court Operations	Pre-disposition	Open Case

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
					If law enforcement issues a citation.	Citation		Court	
					If subject posts bond.	Uniform Arrest Report			
1.03.11	Prosecutor sends habeas to corrections.	Division of Criminal Justice	Investigation	Arrest without Warrant	If subject was already in custody.	Habeas Form	Department of Correction	Detention	Transport Subject to Court
1.03.17	Court operations sends arrest notification to probation.	Court Operations	Investigation	Arrest without Warrant	If subject currently is on probation.	Arrest Notification	Probation – CSSD	Post-disposition Supervision	Status Review
1.06.01	Repository sends fingerprints to FBI.	State Repository – DPS	Investigation	Biometric Identification	If no condition specified.	Arrest Fingerprint Card	FBI	Investigation	Biometric Identification
1.06.03	Repository sends criminal history to law enforcement.	State Repository – DPS	Investigation	Biometric Identification	If state repository positively identifies subject.	FBI Rap Sheet	Law	Investigation	Update Case File
					If FBI Rap Sheet exists.				
1.08.01	Law enforcement sends search warrant request to prosecutor.	Law	Investigation	Search Warrant Request	If law enforcement finds probable cause to request a warrant.	Affidavit for Search Warrant	Division of Criminal Justice	Investigation	Search Warrant Request
1.08.03	Law enforcement sends search warrant request to court.	Law	Investigation	Search Warrant Request	If law enforcement finds probable cause to request a warrant.	Affidavit for Search Warrant	Court Operations	Investigation	Search Warrant Review
					If prosecutor approves the search warrant.				
1.09.01	Court issues search warrant.	Court Operations	Investigation	Search Warrant Review	If court finds probable cause to issue a warrant.	Search Warrant	Law	Investigation	Search Warrant Service
1.09.55	Court rejects application for search warrant and returns affidavit to prosecutor for filed case.	Court Operations	Investigation	Search Warrant Review	If court does not find probable cause to issue a warrant.	Affidavit for Search Warrant	Division of Criminal Justice	Investigation	Update Case File
					If prosecutor has filed charges.	Summary of Proceedings			
1.10.01	Law Enforcement return of service on search warrant.	Law	Investigation	Search Warrant Service	If no condition specified.	Inventory List	Court Operations	Investigation	Update Case File
						Search Warrant			
1.14.01	Prosecutor files information with court.	Division of Criminal Justice	Investigation	Prosecution Charging Decision	If prosecutor finds sufficient evidence to file charges.	Information	Court Operations	Pre-disposition Court	Open Case

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
1.14.05	Prosecutor copies defense on misdemeanor complaint filing.	Division of Criminal Justice	Investigation	Appointment of Counsel	If prosecutor finds sufficient evidence to file charges.	Information	Chief Public Defender	Investigation	Update Case File
					If charge is a misdemeanor.				
					If defense represents subject.				
1.14.11	Pretrial services files domestic violence charges and application for protection order.	Pretrial Services – CSSD	Investigation	Prosecution Charging Decision	If prosecutor finds sufficient evidence to file charges.	Information	Court Operations	Pre-disposition Court	Open Case
					If charge is domestic violence.	Recommend Protection Order			
1.14.29	Court operations notifies state repository of information filing.	Court Operations	Investigation	Prosecution Charging Decision	If charges have been amended or substituted.	Uniform Arrest Report	State Repository – DPS	Investigation	Update Criminal History
1.14.33	Court operations notifies diversion provider of diversion.	Court Operations	Investigation	Prosecution Charging Decision	If prosecutor finds sufficient evidence to file charges.	Disposition Reporting Extract	Probation – CSSD	Pre-disposition Supervision	Status Review
					If prosecutor diverts subject.				
1.14.35	Court operations notifies state repository of diversion.	Court Operations	Investigation	Prosecution Charging Decision	If prosecutor finds sufficient evidence to file charges.	Disposition Reporting Extract	State Repository – DPS	Investigation	Update Criminal History
					If prosecutor diverts subject.				
					If charge is reportable to the state repository.				
1.14.39	Prosecutor amends information.	Division of Criminal Justice	Investigation	Prosecution Charging Decision	If prosecutor amends information or substitutes charge.	Amended Information	Court Operations	Pre–disposition Court	Court Appearance
							Chief Public Defender		
1.14.51	Prosecutor notifies court that there is insufficient evidence to file.	Division of Criminal Justice	Investigation	Prosecution Charging Decision	If prosecutor finds insufficient evidence to file charges.	Nolle Prosequi	Court Operations	Post-supervision	Close Case
						Dismissal			
1.14.53	Prosecutor has insufficient evidence to file and requests additional information from law enforcement.	Division of Criminal Justice	Investigation	Prosecution Charging Decision	If prosecutor finds insufficient evidence to file charges.	Request for Supplemental Information	Law	Investigation	Update Case File
					If prosecutor requires additional information.				
1.14.55	Court operations notifies law enforcement that there is insufficient evidence to file.	Court Operations	Investigation	Prosecution Charging Decision	If prosecutor finds insufficient evidence to file charges.	Nolle Prosequi	Law	Post-supervision	Close Case
					If prosecutor closes case.				

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
1.14.57	Court operations notifies state repository that there is insufficient evidence to file.	Court Operations	Investigation	Prosecution Charging Decision	If prosecutor finds insufficient evidence to file charges.	Disposition Reporting Extract	State Repository – DPS	Investigation	Update Criminal History
					If charge is reportable to the state repository.				
1.18.01	Repository notifies FBI of updated criminal history.	State Repository – DPS	Investigation	Update Criminal History	If charge is reportable to the FBI.	Arrest Fingerprint Card	FBI	Investigation	Update Criminal History
2.20.01	Prosecutor requests arrest warrant from court.	Division of Criminal Justice	At Large	Arrest Warrant Request	If prosecutor finds probable cause to request a warrant.	Affidavit for Arrest Warrant	Court Operations	At Large	Arrest Warrant Review
2.20.03	Prosecutor requests arrest warrant and probation violation from court.	Division of Criminal Justice	At Large	Arrest Warrant Request	If prosecutor finds probable cause to request a warrant.	Affidavit for Arrest Warrant	Court Operations	At Large	Arrest Warrant Review
					If prosecutor finds probable cause to file a probation violation.	Petition for Violation of Probation			
2.20.05	Subject fails to comply with court orders, prosecutor requests arrest warrant from court.	Division of Criminal Justice	At Large	Arrest Warrant Request	If prosecutor finds probable cause to request a warrant.	Affidavit for Arrest Warrant	Court Operations	At Large	Arrest Warrant Review
					If subject fails to comply with a court order.				
2.21.01	Court issues arrest warrant, sends to law enforcement.	Court Operations	At Large	Arrest Warrant Review	If court finds probable cause to issue a warrant.	Arrest Warrant	Law	At Large	Arrest on Warrant
2.21.07	Law enforcement sends arrest warrant notification to state repository, via COLLECT.	Law	At Large	Arrest Warrant Review	If court finds probable cause to issue a warrant.	Arrest Warrant Notification	State Repository – DPS	At Large	Update Warrant File
2.21.13	Court issues arrest warrant on filed case and failure to appear, posts to state repository.	Court Operations	At Large	Arrest Warrant Review	If court finds probable cause to issue a warrant.	Arrest Warrant	State Repository – DPS	At Large	Update Warrant File
					If prosecutor has filed charges.				
					If failure to appear or violation of probation exists.				
2.21.15	Subject fails to comply with terms of probation, probation sends arrest warrant to PRAWN.	Probation – CSSD	At Large	Arrest Warrant Review	If court finds probable cause to issue a warrant.	Arrest Warrant	Court Operations	At Large	Arrest on Warrant
					If subject violates terms of probation.				

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
2.21.19	Subject fails to comply with terms of probation, probation notifies state repository of arrest warrant.	Probation – CSSD	At Large	Arrest Warrant Review	If court finds probable cause to issue a warrant.	Arrest Warrant Notification	State Repository – DPS	At Large	Update Warrant File
					If subject violates terms of probation.				
					If offense is extraditable.				
2.21.21	Subject fails to comply with terms of probation, Probation sends arrest warrant to prosecutor.	Probation – CSSD	At Large	Arrest Warrant Review	If court finds probable cause to issue a warrant.	Arrest Warrant	Division of Criminal Justice	At Large	Update Case File
					If subject violates terms of probation.				
2.21.23	Subject fails to comply with terms of probation, judge sends arrest warrant to probation.	Judge	At Large	Arrest Warrant Review	If court finds probable cause to issue a warrant.	Arrest Warrant	Probation – CSSD	At Large	Update Case File
					If subject violates terms of probation.				
2.21.51	Court rejects arrest warrant request and notifies law enforcement.	Court Operations	At Large	Arrest Warrant Review	If court does not find probable cause to issue a warrant.	Affidavit for Arrest Warrant	Law	At Large	Update Case File
2.21.53	Law enforcement rejects arrest warrant request and notifies prosecutor.	Law	At Large	Arrest Warrant Review	If court does not find probable cause to issue a warrant.	Affidavit for Arrest Warrant	Division of Criminal Justice	At Large	Update Case File
2.22.01	Law enforcement arrests subject on an arrest warrant, notifies state repository.	Law	At Large	Arrest on Warrant	If law enforcement arrests subject on an arrest warrant.	Warrant Cancel Type	State Repository – DPS	At Large	Update Warrant File
2.22.03	Law enforcement arrests subject on an arrest warrant, notifies court to cancel warrant.	Law	At Large	Arrest on Warrant	If law enforcement arrests subject on an arrest warrant.	Warrant Cancel Type	Court Operations	At Large	Warrant Cancellation
					If failure to appear or violation of probation exists.				
2.24.03	Court recalls warrant, notifies state repository.	Court Operations	At Large	Warrant Cancellation	If subject brought to court on a warrant.	Order to Recall Warrant	State Repository – DPS	At Large	Update Warrant File
					If court recalls warrant.				
2.24.05	Court recalls warrant, notifies law enforcement.	Court Operations	At Large	Warrant Cancellation	If subject brought to court on a warrant.	Order to Recall Warrant	Law	At Large	Update Case File
					If court recalls warrant.				
2.24.07	Court recalls warrant, notifies prosecutor.	Court Operations	At Large	Warrant Cancellation	If subject brought to court on a warrant.	Order to Recall Warrant	Division of Criminal Justice	At Large	Update Case File
					If court recalls warrant.				

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
2.28.05	Law enforcement serves warrant to subject on probation, notifies probation.	Law	At Large	Notification of Warrant	If subject currently is on probation.	Notification of Outstanding Warrant	Probation – CSSD	At Large	Update Case File
2.28.11	Law enforcement unable to serve warrant (non-PRAWN).	Law	At Large	Warrant Service	If law enforcement unable to serve a summons.	Affidavit for Arrest Warrant	Division of Criminal Justice	At Large	Arrest Warrant Request
					If failure to appear or violation of probation exists.				
3.30.03	Jail notifies pretrial services of bookings.	Department of Correction	Detention	Intake	If no condition specified.	Custody Notification	Pretrial Services – CSSD	Detention	Pretrial Screening
3.30.07	Probation notifies law enforcement that subject remanded to custody for violating pretrial supervision.	Probation – CSSD	Detention	Intake	If court remands subject for violating terms of conditional release.	Detention Notification	Law	Pre-disposition Supervision	Hold Subject
3.31.01	Law enforcement sends fingerprint card to state repository.	Law	Detention	Booking	If no condition specified.	Arrest Fingerprint Card	State Repository – DPS	Investigation	Biometric Identification
3.35.01	Jail supplies list of subjects transported to court.	Department of Correction	Detention	Transport Subject to Court	If no condition specified.	Transmittal	Court Operations	Pre-disposition Court	Court Appearance
						Property Sheet			
3.42.03	Law enforcement notifies prosecutor of escape.	Law	Detention	Escape	If no condition specified.	Application for Arrest Warrant	Division of Criminal Justice	Detention	Update Case File
4.45.09	Court notifies jail that charges have been filed and court appearance has been scheduled.	Court Operations	Pre-disposition Court	Schedule Court Appearance	If prosecutor has filed charges.	Continuance Mittimus (C-Mitt)	Department of Correction	Detention	Transport Subject to Jail
					If subject is in custody.				
					If subject cannot post bond.				
4.46.03	Court notifies prosecutor of docket.	Court Operations	Pre-disposition Court	Schedule Court Appearance	If court schedules first appearance.	Docket	Division of Criminal Justice	Pre-disposition Court	Update Case File
4.46.05	Court notifies defense of docket.	Court Operations	Pre-disposition Court	Schedule Court Appearance	If defense represents subject.	Docket	Chief Public Defender	Pre-disposition Court	Update Case File
4.46.09	Court notifies corrections that first appearance scheduled for subject.	Court Operations	Pre-disposition Court	Schedule Court Appearance	If subject is incarcerated at state prison.	Habeas Form	Department of Correction	Incarceration	Transport Subject to Court
						Revised Continuance Mittimus			

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
4.46.13	Court notifies bail of docket.	Court Operations	Pre-disposition Court	Schedule Court Appearance	If court schedules first appearance.	Docket	Probation – CSSD	Post-disposition Supervision	Status Review
					If subject currently is on probation.				
4.47.01	Court orders jail to release subject.	Court Operations	Pre-disposition Court	Judicial Review of Detention	If court orders subject released.	Inmate Overview Sheet	Department of Correction	Detention	Release
						Defendant Held in Lieu of Bond List			
4.47.13	Court notifies probation that subject to be conditionally released.	Court Operations	Pre-disposition Court	Judicial Review of Detention	If court orders subject released.	Release Order	Probation – CSSD	Pre-disposition Supervision	Open Case
					If court orders pretrial supervision.				
4.47.15	Court notifies DOC that subject to be released on own recognizance.	Court Operations	Pre-disposition Court	Judicial Review of Detention	If court orders subject released on own recognizance.	Personal Recognizance Bond	Department of Correction	Detention	Release
						Release Order			
4.47.25	Court notifies probation and bail that subject to be released on promise to appear.	Court Operations	Pre-disposition Court	Judicial Review of Detention	If court orders pretrial supervision.	Personal Recognizance Bond	Probation – CSSD	Pre-disposition Supervision	Open Case
					If court orders subject released on promise to appear.	Release Order			
4.47.63	Court notifies jail that subject cannot meet conditions of release.	Court Operations	Pre-disposition Court	Judicial Review of Detention	If subject cannot meet conditions for release.	Continuance Mittimus (C-Mitt)	Department of Correction	Detention	Transport Subject to Court
						Habeas Form			
4.47.71	Court orders pre-plea investigation.	Court Operations	Pre-disposition Court	Diversion Application	If court orders pre-plea investigation.	Application for Diversionary Program	Probation – CSSD	Pre-disposition Court	Prepare Report
					If subject qualifies for diversionary program.				
4.47.75	Court notifies jail that subject to be held without bail.	Court Operations	Pre-disposition Court	Judicial Review of Detention	If court orders subject held without bail.	Continuance Mittimus (C-Mitt)	Department of Correction	Detention	Transport Subject to Court
						Defendant Held in Lieu of Bond List			

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
4.48.01	Defense notifies court of appointment to case.	Chief Public Defender	Pre-disposition Court	Court Appearance	If case has been assigned to defense attorney.	Notice of Appearance	Court Operations	Pre-disposition Court	Open Case
4.48.03	Defense notifies prosecutor of defense appointment to case.	Chief Public Defender	Pre-disposition Court	Court Appearance	If case has been assigned to defense attorney.	Notice of Appearance	Division of Criminal Justice	Pre-disposition Court	Update Case File
4.48.17	Court notifies CCSD that non-appearance of subject justified.	Court Operations	Pre-disposition Court	Court Appearance	If subject fails to appear.	Bail Commissioner's Letter	Probation – CSSD	Pre-disposition Court	Update Case File
					If court forgives the non-appearance.				
4.48.18	Court notifies defense that non-appearance of subject justified.	Court Operations	Pre-disposition Court	Court Appearance	If subject fails to appear.	Bail Commissioner's Letter	Chief Public Defender	Pre-disposition Court	Update Case File
					If court forgives the non-appearance.				
4.48.19	Court sends failure to appear notice to motor vehicle.	Court Operations	Pre-disposition Court	Court Appearance	If subject fails to appear.	Failure to Appear Notice	Department of Motor Vehicles	Pre-disposition Court	Update Records
					If court finds non-appearance unjustified.	14-140 FTA Exchange			
					If charge is reportable to motor vehicles.				
4.48.25	Court provides DOC copy of summary of proceedings after granting motion for continuance.	Court Operations	Pre-disposition Court	Court Appearance	If subject is in custody.	Continuance Mittimus (C-Mitt)	Department of Correction	Detention	Transport Subject to Court
4.48.31	Court orders pre-sentence investigation.	Court Operations	Pre-disposition Court	Court Appearance	If court orders pre-sentence investigation.	Presentence Investigation Order	Probation – CSSD	Post-disposition Court	Prepare Report
					If court makes a finding of guilt.				
4.48.51	Court sends disposition abstract to state repository after dismissing charges.	Court Operations	Pre-disposition Court	Court Appearance	If court dismisses charges.	Disposition Abstract	State Repository – DPS	Pre-disposition Court	Update Criminal History
4.48.52	Court notifies law enforcement that charges dismissed.	Court Operations	Pre-disposition Court	Court Appearance	If court dismisses charges.	Disposition Abstract	Law	Post-supervision	Close Case
4.48.57	Court sends disposition to motor vehicles following dismissal of charges.	Court Operations	Post-disposition Court	Trial Disposition	If court dismisses charges.	Disposition	Department of Motor Vehicles	Post-disposition Court	Update Records
					If offender completes alcohol education program.				
4.48.58	Court notifies probation that charges dismissed.	Court Operations	Pre-disposition Court	Court Appearance	If court dismisses charges.	Dismissal List	Probation – CSSD	Pre-disposition Court	Update Case File
					If subject currently is on probation.				

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
4.48.71	Court notifies jail that subject remanded to custody.	Court Operations	Pre-disposition Court	Court Appearance	If subject is not in custody.	Continuance Mittimus (C-Mitt)	Department of Correction	Detention	Intake
					If court changes the bond status.				
4.48.83	Court informs state repository of diversion referral.	Court Operations	Pre-disposition Court	Court Appearance	If court grants diversion of subject.	Diversionary Flag	State Repository – DPS	Pre-disposition Court	Update Criminal History
4.48.85	Court informs probation of diversion referral.	Court Operations	Pre-disposition Court	Court Appearance	If court diverts subject.	Diversion Referral	Probation – CSSD	Pre-disposition Court	Update Case File
4.50.01	Prosecutor notifies court of plea agreement.	Division of Criminal Justice	Pre-disposition Court	Entry of Plea	If parties negotiated plea agreement.	Plea Agreement	Court Operations	Pre-disposition Court	Court Appearance
4.53.03	Prosecutor informs court of diversion referral.	Division of Criminal Justice	Pre-disposition Court	Diversion Referral	If prosecutor diverts subject after filing charges.	Application for Diversionary Program	Court Operations	Pre-disposition Court	Review Hearing
					If subject applies for diversionary program.				
4.54.01	Prosecutor informs court of amendment of information or substituted charges.	Division of Criminal Justice	Pre-disposition Court	Amendment of Charges	If prosecutor amends information or substitutes charge.	Substitute Information	Court Operations	Pre-disposition Court	Court Appearance
4.54.03	Prosecutor informs defense of amendment of charges.	Division of Criminal Justice	Pre-disposition Court	Amendment of Charges	If prosecutor amends information or substitutes charge.	Substitute Information	Chief Public Defender	Pre-disposition Court	Update Case File
4.55.01	Probation sends pre-plea investigation report to court.	Probation – CSSD	Pre-disposition Court	Prepare Report	If probation completes the pre-plea investigation.	Application for Diversionary Program	Court Operations	Pre-disposition Court	Court Appearance
4.55.03	Probation sends pre-plea investigation report to prosecutor.	Probation – CSSD	Pre-disposition Court	Prepare Report	If probation completes the pre-plea investigation.	Application for Diversionary Program	Division of Criminal Justice	Pre-disposition Court	Update Case File
4.56.01	Prosecutor requests that court issue a protection order.	Division of Criminal Justice	Pre-disposition Court	Protection Order Request	If court has opened a case.	Recommend Protection Order	Court Operations	Pre-disposition Court	Protection Order Review
					If prosecutor requests a protection order as part of a criminal case.				
4.60.01	Defense files pre-trial motion with the court.	Chief Public Defender	Pre-disposition Court	Motion Filing	If defense seeks a court ruling on substantive issue of law or fact.	Pretrial Motion	Court Operations	Pre-disposition Court	Evidentiary Hearing
4.60.03	Defense provides prosecutor of filing of pre-trial motion.	Chief Public Defender	Pre-disposition Court	Motion Filing	If defense seeks a court ruling on substantive issue of law or fact.	Pretrial Motion	Division of Criminal Justice	Pre-disposition Court	Response Filing

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
4.60.05	Prosecutor files pre-trial motion with the court.	Division of Criminal Justice	Pre-disposition Court	Motion Filing	If prosecutor seeks a court ruling on a substantive issue of law or fact.	Pretrial Motion	Court Operations	Pre-disposition Court	Evidentiary Hearing
4.60.07	Prosecutor informs defense of filing of pre-trial motion.	Division of Criminal Justice	Pre-disposition Court	Motion Filing	If prosecutor seeks a court ruling on a substantive issue of law or fact.	Pretrial Motion	Chief Public Defender	Pre-disposition Court	Response Filing
4.60.09	Defense informs court of discovery motion with prosecutor.	Chief Public Defender	Pre-disposition Court	Motion Filing	If defense seeks discovery from prosecutor.	Motion for Discovery	Court Operations	Pre-disposition Court	Update Case File
4.60.11	Defense files discovery motion with prosecutor.	Chief Public Defender	Pre-disposition Court	Motion Filing	If defense seeks discovery from prosecutor.	Motion for Discovery	Division of Criminal Justice	Pre-disposition Court	Discovery Preparation
4.60.17	Prosecutor files motion to revoke diversion with court.	Division of Criminal Justice	Pre-disposition Court	Motion Filing	If subject fails diversion.	Motion to Revoke Diversion Notice of Motion	Court Operations	Pre-disposition Court	Evidentiary Hearing
4.60.19	Prosecutor informs defense of motion to revoke diversion.	Division of Criminal Justice	Pre-disposition Court	Motion Filing	If subject fails diversion.	Motion to Revoke Diversion	Chief Public Defender	Pre-disposition Court	Response Filing
4.61.01	Prosecutor informs defense of response filing.	Division of Criminal Justice	Pre-disposition Court	Response Filing	If defense filed a pretrial motion.	Response to Filed Motion	Chief Public Defender	Pre-disposition Court	Update Case File
4.61.03	Prosecutor files response with court.	Division of Criminal Justice	Pre-disposition Court	Response Filing	If defense filed a pretrial motion.	Response to Filed Motion	Court Operations	Pre-disposition Court	Evidentiary Hearing
4.61.05	Defense informs prosecutor of response filed with court.	Chief Public Defender	Pre-disposition Court	Response Filing	If prosecutor has filed a pretrial motion.	Response to Filed Motion	Division of Criminal Justice	Pre-disposition Court	Update Case File
4.61.07	Defense files response with court.	Chief Public Defender	Pre-disposition Court	Response Filing	If prosecutor has filed a pretrial motion.	Response to Filed Motion	Court Operations	Pre-disposition Court	Evidentiary Hearing
4.63.05	Prosecutor sends subpoena duces tecum to crime lab.	Division of Criminal Justice	Pre-disposition Court	Subpoena Issuance	If prosecutor wants evidence brought to court. If prosecutor determines that a subpoena is necessary to acquire evidence.	Subpoena Duces Tecum	Crime Lab – DPS	Pre-disposition Court	Prepare Evidence

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
4.63.07	Prosecutor issues subpoena to law enforcement.	Division of Criminal Justice	Pre-disposition Court	Subpoena Issuance	If prosecutor determines that law enforcement testimony is required.	Subpoena	Law	Pre-disposition Court	Update Case File
					If record is privileged.				
4.64.01	Law informs court of subpoena service.	Law	Pre-disposition Court	Subpoena Service	If law serves a subpoena.	Return of Service	Court Operations	Pre-disposition Court	Court Appearance
					If record is privileged.	Subpoena			
4.64.03	Law informs prosecutor of subpoena service.	Law	Pre-disposition Court	Subpoena Service	If prosecutor requested a subpoena.	Return of Service	Division of Criminal Justice	Pre-disposition Court	Update Case File
					If law serves a subpoena.	Subpoena			
4.68.01	Court informs prosecutor of ruling on motion.	Court Operations	Pre-disposition Court	Evidentiary Hearing	If court rules on motion.	Motion Ruling	Division of Criminal Justice	Pre-disposition Court	Update Case File
4.68.03	Court informs defense of ruling on motion.	Court Operations	Pre-disposition Court	Evidentiary Hearing	If court rules on motion.	Motion Ruling	Chief Public Defender	Pre-disposition Court	Update Case File
4.70.01	Defense informs court of subject's waiver of jury trial.	Chief Public Defender	Pre-disposition Court	Trial Commencement	If subject waives right to a jury trial.	Election for Court Trial	Court Operations	Pre-disposition Court	Trial Commencement
4.70.03	Defense informs prosecutor of subject's waiver of jury trial.	Chief Public Defender	Pre-disposition Court	Trial Commencement	If subject waives right to a jury trial.	Waiver of Jury Trial	Division of Criminal Justice	Pre-disposition Court	Update Case File
4.70.05	Court provides prosecutor with list of prospective jurors.	Court Operations	Pre-disposition Court	Trial Commencement	If subject elects jury trial.	List of Prospective Jurors	Division of Criminal Justice	Pre-disposition Court	Update Case File
4.70.07	Court provides defense with list of prospective jurors.	Court Operations	Pre-disposition Court	Trial Commencement	If subject elects jury trial.	List of Prospective Jurors	Chief Public Defender	Pre-disposition Court	Update Case File
4.71.01	Court informs state repository of jury guilty verdict.	Court Operations	Pre-disposition Court	Trial Disposition	If jury finds subject guilty of one or more charges.	Disposition Reporting Extract	State Repository – DPS	Pre-disposition Court	Update Criminal History
					If charge is reportable to the state repository.				
4.71.07	Court informs jail of jury guilty verdict.	Court Operations	Pre-disposition Court	Trial Disposition	If jury finds subject guilty of one or more charges.	Summary of Proceedings	Department of Correction	Detention	Transport Subject to Court
					If subject is in custody.				

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
4.71.13	Court informs local probation of jury guilty verdict.	Court Operations	Pre-disposition Court	Trial Disposition	If jury finds subject guilty of one or more charges.	Summary of Proceedings	Probation – CSSD	Pre-disposition Court	Prepare Report
					If court orders pre-sentence investigation.				
4.71.15	Court informs motor vehicles of jury guilty verdict.	Court Operations	Post-disposition Court	Trial Disposition	If jury finds subject guilty of one or more charges.	Disposition	Department of Motor Vehicles	Post-disposition Court	Update Records
					If charge is reportable to motor vehicles.				
4.71.17	Court informs law enforcement of jury not guilty verdict.	Court Operations	Pre-disposition Court	Trial Disposition	If jury finds subject not guilty on all charges.	Summary of Proceedings	Law	Post-supervision	Close Case
4.71.19	Court informs state repository of jury not guilty verdict.	Court Operations	Pre-disposition Court	Trial Disposition	If jury finds subject not guilty on all charges.	Disposition Reporting Extract	State Repository – DPS	Pre-disposition Court	Update Criminal History
					If charge is reportable to the state repository.				
4.71.23	Court informs jail of jury not guilty verdict.	Court Operations	Pre-disposition Court	Trial Disposition	If jury finds subject not guilty on all charges.	Release Order	Department of Correction	Detention	Release
					If subject is in custody.				
4.71.29	Court informs jail of jury guilty verdict and remand into custody.	Court Operations	Pre-disposition Court	Trial Disposition	If jury finds subject guilty of one or more charges.	Remand Order	Department of Correction	Detention	Intake
					If subject comes to court out of custody.				
					If court remands subject into custody.				
4.78.01	Court informs law enforcement of bench warrant issued at review hearing.	Court Operations	Pre-disposition Court	Review Hearing	If subject violates conditions of supervision.	Bench Warrant	Law	At Large	Arrest on Warrant
					If subject is not present in court.				
4.78.05	Court informs state repository of bench warrant issued at review hearing.	Court Operations	Pre-disposition Court	Review Hearing	If subject violates conditions of supervision.	Bench Warrant	State Repository – DPS	Pre-disposition Court	Update Warrant File
					If subject is not present in court.				
4.78.07	Court informs local probation of bench warrant issued at review hearing.	Court Operations	Pre-disposition Court	Review Hearing	If subject violates conditions of supervision.	Bench Warrant	Probation – CSSD	Pre-disposition Court	Update Case File
					If subject is not present in court.				

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
4.78.15	Court informs prosecutor of subject's successful completion of diversion.	Court Operations	Pre-disposition Court	Review Hearing	If subject successfully completes diversion.	Order for Dismissal	Division of Criminal Justice	Post-supervision	Close Case
4.95.03	Victim services notifies victim of subsequently scheduled court appearance.	Victim Services	Pre-disposition Court	Victim Notification	If court schedules subsequent appearance.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
4.95.05	Victim services notifies victim of prosecutor filing of charges.	Victim Services	Pre-disposition Court	Victim Notification	If prosecutor has filed charges.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
4.95.07	Victim services notifies victim of subject's release pending adjudication.	Victim Services	Pre-disposition Court	Victim Notification	If court orders subject released.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
4.95.13	Victim services notifies victim that subject failed to appear and bench warrant was issued.	Victim Services	Pre-disposition Court	Victim Notification	If subject fails to appear. If court finds non-appearance unjustified.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
4.95.15	Victim services notifies victim of subject's not guilty plea.	Victim Services	Pre-disposition Court	Victim Notification	If subject enters a not guilty plea.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
4.95.17	Victim services notifies victim of subject's guilty plea.	Victim Services	Pre-disposition Court	Victim Notification	If subject enters a guilty plea.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
4.95.19	Victim services notifies victim of pre-disposition continuance.	Victim Services	Pre-disposition Court	Victim Notification	If court grants motion for a continuance.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
4.95.21	Victim services notifies victim of continuance.	Victim Services	Pre-disposition Court	Victim Notification	If court diverts subject.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
4.95.23	Victim services notifies victim of subject's violation of supervision terms.	Victim Services	Pre-disposition Court	Victim Notification	If subject violates conditions of supervision.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
4.95.25	Victim services notifies victim of subject's successful completion of diversion.	Victim Services	Pre-disposition Court	Victim Notification	If subject successfully completes diversion.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
4.95.27	Victim services notifies victim of jury's guilty verdict.	Victim Services	Pre-disposition Court	Victim Notification	If jury finds subject guilty of one or more charges.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
4.95.29	Victim services notifies victim of jury's not guilty verdict.	Victim Services	Pre-disposition Court	Victim Notification	If jury finds subject not guilty on all charges.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
4.95.31	Victim services notifies victim of court's dismissal of charges.	Victim Services	Pre-disposition Court	Victim Notification	If court dismisses charges.	Victim Notification Letter	Victim	Pre-disposition Court	Update Records
5.72.03	Probation sends progress report regarding supervision failure to prosecutor.	Probation – CSSD	Pre-disposition Supervision	Status Review	If prosecutor diverts subject.	Progress Report	Division of Criminal Justice	Investigation	Prosecution Charging Decision
					If subject fails diversion.				
5.72.05	Probation sends progress report regarding supervision failure to court.	Probation – CSSD	Pre-disposition Supervision	Status Review	If court diverts subject.	Progress Report	Court Operations	Pre-disposition Court	Review Hearing
					If subject fails diversion.				
					If probation determines a court appearance is necessary.				
5.72.53	Diversion provider notifies court of diversion success.	Probation – CSSD	Pre-disposition Supervision	Status Review	If subject successfully completes diversion.	Diversion Program Pass/Fail Results	Court Operations	Pre-disposition Court	Dismissal of Charges
5.72.55	Diversion provider notifies prosecutor of diversion success.	Probation – CSSD	Pre-disposition Supervision	Status Review	If subject successfully completes diversion.	Diversion Program Pass/Fail Results	Division of Criminal Justice	Post-disposition Supervision	Update Case File
6.55.01	Probation sends PSIR to court.	Probation – CSSD	Post-disposition Court	Prepare Report	If probation completes the pre-sentence investigation.	Presentence Investigation Report	Court Operations	Post-disposition Court	Sentence Hearing
6.55.03	Probation sends PSIR to prosecutor.	Probation – CSSD	Post-disposition Court	Prepare Report	If probation completes the pre-sentence investigation.	Presentence Investigation Report	Division of Criminal Justice	Post-disposition Court	Update Case File
6.55.05	Probation sends PSIR to defense.	Probation – CSSD	Post-disposition Court	Prepare Report	If probation completes the pre-sentence investigation.	Presentence Investigation Report	Chief Public Defender	Post-disposition Court	Update Case File
6.55.07	Probation sends PSIR to jail.	Probation – CSSD	Post-disposition Court	Prepare Report	If probation completes the pre-sentence investigation.	Presentence Investigation Report	Department of Correction	Incarceration	Transport Subject to Court
					If subject is in custody.				
6.60.01	Defense provides new trial motion to prosecutor.	Chief Public Defender	Post-disposition Court	Motion Filing	If defense requests a new trial.	Motion for New Trial	Division of Criminal Justice	Post-disposition Court	Response Filing
6.60.03	Defense provides new trial motion to court.	Chief Public Defender	Post-disposition Court	Motion Filing	If defense requests a new trial.	Motion for New Trial	Court Operations	Post-disposition Court	Evidentiary Hearing

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
6.60.05	Prosecutor provides motion to amend sentence to court.	Division of Criminal Justice	Post-disposition Court	Motion Filing	If prosecutor requests a hearing to consider sentence modification.	Motion to Modify/Correct Sentence	Court Operations	Post-disposition Court	Evidentiary Hearing
6.60.07	Prosecutor provides motion to amend sentence to defense.	Division of Criminal Justice	Post-disposition Court	Motion Filing	If prosecutor requests a hearing to consider sentence modification.	Motion to Modify/Correct Sentence / Notice of Motion	Chief Public Defender	Post-disposition Court	Response Filing
6.60.09	Defense provides motion to amend sentence to court.	Chief Public Defender	Post-disposition Court	Motion Filing	If defense requests a sentence review.	Motion to Modify/Correct Sentence	Court Operations	Post-disposition Court	Evidentiary Hearing
6.60.11	Defense provides motion to amend sentence to prosecutor.	Chief Public Defender	Post-disposition Court	Motion Filing	If defense requests a sentence review.	Motion to Modify/Correct Sentence	Division of Criminal Justice	Post-disposition Court	Response Filing
6.60.13	Defense provides motion for post-conviction relief to court.	Chief Public Defender	Post-disposition Court	Motion Filing	If defense seeks post-conviction relief.	Motion for Post-conviction Relief	Court Operations	Post-disposition Court	Evidentiary Hearing
6.60.15	Defense provides motion for post-conviction relief to prosecutor.	Chief Public Defender	Post-disposition Court	Motion Filing	If defense seeks post-conviction relief.	Motion for Post-conviction Relief	Division of Criminal Justice	Post-disposition Court	Response Filing
6.68.01	Court informs prosecutor of decision to grant new trial.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court grants a new trial.	Court Order for New Trial	Division of Criminal Justice	Post-disposition Court	Update Case File
6.68.03	Court informs defense of decision to grant new trial.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court grants a new trial.	Court Order for New Trial	Chief Public Defender	Post-disposition Court	Update Case File
6.68.05	Court informs prosecutor of decision to not grant new trial.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court does not grant new trial.	Court Opinion / Summary of Proceedings	Division of Criminal Justice	Post-disposition Court	Update Case File
6.68.07	Court informs defense of decision to not grant new trial.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court does not grant new trial.	Court Opinion	Chief Public Defender	Post-disposition Court	Update Case File
6.68.11	Court informs prosecutor of decision to grant post-conviction relief.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court grants post-conviction relief.	Court Opinion	Division of Criminal Justice	Post-disposition Court	Update Case File
6.68.13	Court informs defense of decision to grant post-conviction relief.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court grants post-conviction relief.	Court Opinion / Summary of Proceedings	Chief Public Defender	Post-disposition Court	Update Case File

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
6.68.15	Court informs corrections of decision to grant post-conviction relief.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court grants post-conviction relief.	Judgment Mittimus (J-Mitt)	Department of Correction	Incarceration	Release
					If court previously sentenced subject to prison.	Court Opinion			
					If court takes an action affecting the sentence.				
6.68.19	Court informs prosecutor of decision to deny post-conviction relief.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court denies post-conviction relief.	Court Opinion	Division of Criminal Justice	Post-disposition Court	Update Case File
6.68.21	Court informs defense of decision to deny post-conviction relief.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court denies post-conviction relief.	Court Opinion	Chief Public Defender	Post-disposition Court	Update Case File
6.68.23	Court informs corrections of decision to deny post-conviction relief.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court denies post-conviction relief.	Court Opinion	Department of Correction	Incarceration	Update Case File
					If court previously sentenced subject to prison.				
6.76.01	Court reports sentence to state repository.	Court Operations	Post-disposition Court	Sentence Hearing	If court sentences subject.	Disposition Reporting Extract	State Repository – DPS	Post-disposition Court	Update Criminal History
					If charge is reportable to the state repository.				
6.76.07	Court reports sentence to victim services.	Court Operations	Post-disposition Court	Sentence Hearing	If court sentences subject.	Sentencing Order	Victim Services	Post-disposition Court	Victim Notification
					If victim has been identified.				
6.76.09	Court reports traffic sentence to motor vehicles.	Court Operations	Post-disposition Court	Sentence Hearing	If court sentences subject on a traffic case.	Disposition Abstract	Department of Motor Vehicles	Post-disposition Court	Update Records
6.76.11	Court reports jail sentence to law enforcement.	Court Operations	Post-disposition Court	Sentence Hearing	If court sentences subject to jail.	Sentencing Order	Law	Post-disposition Court	Update Case File
6.76.13	Court reports jail sentence to jail.	Court Operations	Post-disposition Court	Sentence Hearing	If court sentences subject to jail.	Judgment Mittimus (J-Mitt)	Department of Correction	Incarceration	Intake
6.76.17	Court reports probation sentence to local probation.	Court Operations	Post-disposition Court	Sentence Hearing	If court sentences subject to probation.	Sentencing Order	Probation – CSSD	Post-disposition Supervision	Open Case
6.76.27	Court reports fees/fines/costs sentence to jail.	Court Operations	Post-disposition Court	Sentence Hearing	If court sentences subject to pay fees/fines/costs.	Judgment Mittimus (J-Mitt)	Department of Correction	Detention	Release
					If subject is in custody.				

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
6.78.29	Court informs motor vehicles that subject has satisfied re-opener payment obligations.	Court Operations	Post-disposition Court	Review Hearing	If subject makes court-ordered payments.	Court Re-opener Document	Department of Motor Vehicles	Post-disposition Court	Update Records
					If charge is reportable to motor vehicles.				
6.78.44	Court informs corrections of probation violation.	Court Operations	Post-disposition Court	Review Hearing	If subject violates terms of probation.	Continuance Mittimus (C-Mitt)	Department of Correction	Incarceration	Intake
					If court modifies or revokes probation.				
					If court sentences subject to prison.				
6.78.47	Court informs probation of probation violation.	Court Operations	Post-disposition Court	Review Hearing	If subject violates terms of probation.	Form 59-V	Probation – CSSD	Post-disposition Supervision	Revocation
					If court modifies or revokes probation.				
					If court sentences subject to prison.				
7.72.15	Pardons & parole informs local probation of subject's completion of parole.	Board of Pardons and Parole	Post-disposition Supervision	Status Review	If subject successfully completes parole.	Parole Agreement	Probation – CSSD	Post-supervision	Close Case
7.80.01	Prosecutor files violation of probation with court.	Division of Criminal Justice	Post-disposition Supervision	File for Probation Violation	If subject currently is on probation.	Motion for Violation of Probation	Court Operations	Post-disposition Court	Review Hearing
					If prosecutor finds probable cause to file a probation violation.				
8.37.01	Corrections informs pardons and Parole Board of subject's parole eligibility.	Department of Correction	Incarceration	Release Date Review	If subject is eligible for parole.	Parole Eligibility Date	Board of Pardons and Parole	Incarceration	Parole Hearing
8.37.05	Corrections informs prosecutor of subject's parole eligibility.	Department of Correction	Incarceration	Release Date Review	If subject is eligible for parole.	Notice of Parole Hearing	Division of Criminal Justice	Incarceration	Update Case File
8.42.01	Corrections informs State Police of subject's escape.	Department of Correction	Incarceration	Escape	If subject escapes from custody.	Escape Notice	Law	At Large	Arrest on Warrant
						Warrant			
8.42.05	Corrections informs state repository of subject's escape.	Department of Correction	Incarceration	Escape	If subject escapes from custody.	Warrant	Board of Pardons and Parole	Incarceration	Update Warrant File
					If subject is parole eligible.	Escape Notice			
8.42.07	Corrections informs victim advocate of subject's escape.	Department of Correction	Incarceration	Escape	If victim has been identified.	Escape Notice	Office of Victim Advocate	Incarceration	Victim Notification
					If subject escapes from custody.				

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8.43.01	Law enforcement informs state repository of subject's capture.	Law	Incarceration	Capture	If subject is returned to custody.	Capture Notice	State Repository – DPS	Incarceration	Update Warrant File
8.43.05	Law enforcement informs law enforcement of subject's capture.	Law	Incarceration	Capture	If subject is returned to custody.	Capture Notice	Law	Incarceration	Update Case File
8.43.07	Law enforcement informs victim services of subject's capture.	Law	Incarceration	Capture	If subject is returned to custody.	Capture Notice	Victim Services	Incarceration	Victim Notification
8.44.05	Corrections informs victim services that subject has completed prison term.	Department of Correction	Incarceration	Release	If subject completed prison term. / If victim has been identified.	Notice of Discharge	Victim Services	Incarceration	Victim Notification
8.44.23	Pardons and parole informs victim services that subject is being released to parole.	Board of Pardons and Parole	Incarceration	Release	If corrections releases subject to parole. / If victim has been identified.	Notification of Parole	Victim Services	Incarceration	Victim Notification
8.95.13	Corrections notifies victim services of subject's release from prison.	Department of Correction	Incarceration	Victim Notification	If subject completed prison term.	Victim Notification Letter	Victim Services	Incarceration	Update Records
8.95.15	Corrections notifies victim services of subject's release to parole.	Department of Correction	Incarceration	Victim Notification	If corrections releases subject to parole.	Victim Notification Letter	Victim Services	Incarceration	Update Records
9.97.01	Court informs law enforcement that case is closed and property should be returned to rightful owner.	Court Operations	Post-supervision	Property Release Request	If prosecutor determines that property should be returned to its rightful owner.	Seized Property Form	Law	Post-supervision	Property Release
1.01.53	Criminal history query – DMV.	Law	Investigation	Incident	If law enforcement seeks to identify subject.	Criminal History Query	Department of Motor Vehicles	Investigation	Initial Identification
1.01.55	Criminal history query – DOC.	Law	Investigation	Incident	If law enforcement seeks to identify subject.	Criminal History Query	Department of Correction	Investigation	Initial Identification
1.01.57	Criminal history query – Court.	Law	Investigation	Incident	If law enforcement seeks to identify subject.	Criminal History Query	Court Operations	Investigation	Initial Identification
1.01.59	Criminal history query – CJIS/OBTS.	Law	Investigation	Incident	If law enforcement seeks to identify subject.	Criminal History Query	CJIS / OBTS	Investigation	Initial Identification
1.01.61	Criminal history query – Law.	Law	Investigation	Incident	If law enforcement seeks to identify subject.	Criminal History Query	Law	Investigation	Initial Identification
1.01.63	Criminal history query – FBI.	Law	Investigation	Incident	If law enforcement seeks to identify subject.	Criminal History Query	FBI	Investigation	Initial Identification

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
1.01.16	Prosecutor finds probable cause to request arrest warrant from the court.	Division of Criminal Justice	Investigation	Incident	If prosecutor finds probable cause to request a warrant.	Affidavit for Arrest Warrant	Court Operations	At Large	Arrest Warrant Request
1.09.51	Court rejects application for search warrant and returns affidavit to law enforcement.	Court Operations	Investigation	Search Warrant Review	If court does not find probable cause to issue a warrant.	Affidavit for Search Warrant	Law	Investigation	Update Case File
2.21.14	Law requests list of arrest warrants from court ops.	Law	At Large	Daily	If no condition specified.	List of Arrest Warrants	Court Operations	At Large	Update Warrant File
2.21.20	Subject fails to comply with terms of probation, law sends arrest warrant to state repository.	Law	At Large	Arrest Warrant Review	If court finds probable cause to issue a warrant.	Arrest Warrant	State Repository – DPS	At Large	Update Warrant File
					If subject violates terms of probation.				
					If offense is extraditable.				
2.24.02	Law requests recall of warrant from court.	Law	At Large	Warrant Cancellation	If law finds reason to cancel warrant.	Request for Warrant Recall	Court Operations	At Large	Warrant Cancellation
6.68.09	Court informs state repository of decision to grant post-conviction relief.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court grants post-conviction relief DUPLICATE.	Disposition Reporting Extract	State Repository – DPS	Post-disposition Court	Update Criminal History
8.37.09	Corrections informs victim services of subject's parole eligibility.	Department of Correction	Incarceration	Release Date Review	If subject is eligible for parole DUPLICATE.	Notice of Parole Hearing	Victim Services	Incarceration	Victim Notification
					If victim has been identified DUPLICATE.				
1.01.25	Law enforcement sends conditions of release to bail commissioner.	Law	Investigation	Arrest	If subject cannot meet conditions for release.	Conditions of Release	Pretrial Services – CSSD	Pre-disposition Court	Review Conditions of Release
1.01.26	Bail commissioner sends conditions of release decision to law enforcement.	Pretrial Services – CSSD	Investigation	Arrest	If subject cannot meet conditions for release.	Conditions of Release	Law	Pre-disposition Court	Update Case File
1.01.24	Law enforcement issues misdemeanor summons.	Law	Investigation	Cite and Release	If law enforcement determines that a crime was committed.	Incident Report	Court Operations	Pre-disposition Court	Open Case
					If law enforcement determines it not necessary to detain or arrest subject.	Misdemeanor Summons			
					If law enforcement finds probable cause to issue a summons.				
1.03.04	Law enforcement sends arrest report to corrections at temporary surrender.	Law	Investigation	Arrest without Warrant	If law enforcement determines not to hold subject.	Temporary Surrender Document	Department of Correction	Detention	Booking

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1.01.27	Law enforcement sends release decision to court.	Law	Investigation	Arrest	If subject cannot meet conditions for release.	Conditions of Release	Court Operations	Pre-disposition Court	Update Case File
1.03.19	Law enforcement sends contact notification to pardons & paroles.	Law	Post-disposition Supervision	Arrest without Warrant	If subject currently is on parole DUPLICATE.	Parolee Contact Notification	Board of Pardons and Parole	Post-disposition Supervision	Status Review
3.31.02	Law enforcement sends mug shot to Hartford Regional Mugshot System.	Law	Detention	Booking	If agency participates in the Hartford Regional Mugshot System.	Mug Shots	Law	Detention	Update Database
3.31.04	Law enforcement requests mug shot from Hartford Regional Mugshot System.	Law	Detention	Booking	If agency participates in the Hartford Regional Mugshot System.	Mug Shots	Law	Detention	Probable Cause Review
3.31.06	Hartford Regional Mugshot System sends mug shot to law enforcement.	Law	Detention	Booking	If agency participates in the Hartford Regional Mugshot System.	Mug Shots	Law	Detention	Update Records
1.01.29	Law enforcement requests probable cause review by a judge.	Law	Detention	Arrest without Warrant	If arrest made without warrant. / If detention will exceed 48 hours.	Case Report / Probable Cause Review Form	Judge	Detention	Probable Cause Review
1.01.30	Judge sends result of probable cause review to law enforcement.	Judge	Detention	Review Request	If probable cause review has been filed.	Probable Cause Review Form	Law	Pre-disposition Court	Hold Subject Decision
1.01.31	Law enforcement sends unarrest report to court operations.	Law	Detention	Unarrest	If law enforcement arrests subject. / If law enforcement determines no probable cause exists.	Supplemental Report to Terminate / Arrest Reports	Court Operations	Pre-disposition Court	Update Records
1.14.10	Prosecutor files family relations referral with pretrial services.	Division of Criminal Justice	Investigation	Prosecution Charging Decision	If prosecutor finds sufficient evidence to file charges. / If charge is domestic violence.	Family Relations Referral	Pretrial Services – CSSD	Investigation	Update Case File
1.14.59	Court operations notifies jail that there is insufficient evidence to file and authorizes release of subject.	Court Operations	Investigation	Prosecution Charging Decision	If prosecutor finds insufficient evidence to file charges DUPLICATE. / If subject is in custody DUPLICATE.	Continuance Mittimus (C-Mitt)	Department of Correction	Detention	Release
2.28.04	Probation requests law enforcement serve warrant to subject on probation.	Probation – CSSD	At Large	Notification of Warrant	If subject currently is on probation.	Notification of Outstanding Warrant	Law	At Large	Arrest on Warrant

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
2.28.06	Law enforcement files conditions of release to prosecutor.	Law	Detention	Arrest	If law enforcement disagrees with pretrial release.	Conditions of Release	Division of Criminal Justice	Detention	Review Conditions of Release
2.28.08	Prosecutor responds to law enforcement's filing of conditions of release document.	Division of Criminal Justice	Detention	Review Conditions of Release	If law enforcement disagrees with pretrial release.	Hold Without Bail	Law	Detention	Hold Subject
					If prosecutor agrees with law enforcement.				
4.46.17	Court notifies jail that subsequent appearance scheduled for subject.	Court Operations	Pre-disposition Court	Schedule Court Appearance	If court schedules subsequent appearance DUPLICATE.	Summary of Proceedings	Department of Correction	Detention	Transport Subject to Court
					If subject is in custody DUPLICATE.				
6.78.10	Court sends bench warrant for FTA to motor vehicles.	Court Operations	Post-disposition Court	Review Hearing	If subject fails to appear DUPLICATE.	Failure to Appear Notice	Department of Motor Vehicles	Post-disposition Court	Update Records
					If court finds non-appearance unjustified DUPLICATE.				
					If charge is reportable to motor vehicles DUPLICATE.				
6.68.51	Court informs state repository of decision to grant new trial.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court grants a new trial.	Disposition Abstract	State Repository – DPS	Post-disposition Court	Update Case File
6.68.53	Court informs probation of decision to grant new trial.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court grants a new trial.	Court Order for New Trial	Probation – CSSD	Post-disposition Court	Update Case File
6.68.55	Court informs corrections of decision to grant new trial.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court grants a new trial.	Court Opinion	Department of Correction	Post-disposition Court	Update Case File
						Continuance Mittimus (C-Mitt)			
6.68.57	Corrections informs pardons & parole of decision to grant new trial.	Department of Correction	Post-disposition Court	Evidentiary Hearing	If court grants a new trial.	Court Opinion	Board of Pardons and Parole	Post-disposition Court	Update Case File
						Continuance Mittimus (C-Mitt)			
6.68.16	Court informs probation of decision to grant post-conviction relief.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court grants post-conviction relief DUPLICATE.	Court Opinion	Department of Correction	Incarceration	Release
					If court previously sentenced subject to prison.	Judgment Mittimus (J-Mitt)			
					If court takes an action affecting probation.				

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
6.75.01	Prosecutor informs law enforcement to destroy records.	Division of Criminal Justice	Post-disposition Court	Prosecution Charging Decision	If nolle prosequi has been filed.	Request for Destruction of Record	Law	Post-disposition Court	Update Case File
					If records are subject to destruction.				
6.75.03	Law enforcement informs prosecutor to destroy records.	Law	Post-disposition Court	Prosecution Charging Decision	If nolle prosequi has been filed.	Request for Destruction of Record	Division of Criminal Justice	Post-disposition Court	Update Case File
					If records are subject to destruction.				
6.75.05	Prosecutor informs law enforcement not to destroy records.	Division of Criminal Justice	Post-disposition Court	Prosecution Charging Decision	If record destruction is not justified.	State's Attorney Advisory	Law	Post-disposition Court	Update Case File
6.75.07	Prosecutor informs defense not to destroy records.	Division of Criminal Justice	Post-disposition Court	Prosecution Charging Decision	If record destruction is not justified.	State's Attorney Advisory	Chief Public Defender	Post-disposition Court	Update Case File
6.76.08	Prosecutor reports sentence to victim services.	Division of Criminal Justice	Post-disposition Court	Sentence Hearing	If court sentences subject.	Sentencing Order	Victim Services	Post-disposition Court	Victim Notification
					If victim has been identified.				
6.76.28	Jail informs court that subject has paid fees and costs.	Department of Correction	Post-disposition Court	Review Hearing	If subject makes payment of fees and costs.	Fine Payment Notification	Court Operations	Post-disposition Court	Review Fine Payment
8.42.11	Corrections informs pardons and parole of subject's escape.	Department of Correction	Incarceration	Escape	If subject escapes from halfway house.	Warrant	Board of Pardons and Parole	Incarceration	Update Warrant File
					If subject is parole eligible.				
8.42.13	Corrections informs victim services of subject's escape.	Department of Correction	Incarceration	Escape	If subject escapes from halfway house.	Escape Notice	Victim Services	Incarceration	Victim Notification
					If victim has been identified.				
8.44.51	Corrections informs DMV that subject needs a state ID.	Department of Correction	Incarceration	Release Date Review	If subject eligible for release.	Request for State ID	Department of Motor Vehicles	Post-disposition Supervision	Release
					If subject does not have state ID.				
9.97.03	Prosecutor informs victim services that case is closed and property should be returned to rightful owner.	Division of Criminal Justice	Post-supervision	Property Release Request	If prosecutor determines that property should be returned to its rightful owner.	Seized Property Form	Victim Services	Post-supervision	Property Release
7.86.51	Court requests subject to submit DNA sample.	Court Operations	Post-disposition Court	Sentence Hearing	If subject sentenced to felony or sex offense.	DNA Submission Request	Chief Public Defender	Post-disposition Supervision	Collect DNA

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
7.86.53	Court requests DPS to collect DNA sample.	Court Operations	Post-disposition Court	Sentence Hearing	If subject sentenced to felony or sex offense.	DNA Submission Request	Law	Post-disposition Supervision	Collect DNA
					If no jail time or probation.	DNA Feed			
7.86.55	Probation sends DNA updates to corrections.	Probation – CSSD	Post-disposition Supervision	Collect DNA	If DNA is collected.	DNA Update	Department of Correction	Post-disposition Supervision	Update Records
7.86.57	Corrections sends DNA updates to probation.	Department of Correction	Post-disposition Supervision	Collect DNA	If DNA is collected.	DNA Update	Probation – CSSD	Post-disposition Supervision	Update Records
7.86.59	Probation sends state repository request for confirmation of DNA submission.	Probation – CSSD	Post-disposition Supervision	Collect DNA	If subject claims DNA sample has been given to the SOR.	Request for DNA Confirmation	State Repository – DPS	Post-disposition Supervision	Records Query
7.86.61	State repository replies to probation request for confirmation of DNA submission.	State Repository – DPS	Post-disposition Supervision	Collect DNA	If subject claims DNA sample has been given to the SOR.	DNA Confirmation	Probation – CSSD	Post-disposition Supervision	Update Records
6.77.01	Court sends notice of review hearing to prosecutor.	Court Operations	Post-disposition Court	Review Hearing Request	If review hearing is granted.	Notice of Review Hearing	Division of Criminal Justice	Post-disposition Court	Review Hearing
6.77.03	Court sends notice of review hearing to defense.	Court Operations	Post-disposition Court	Review Hearing Request	If review hearing is granted.	Notice of Review Hearing	Chief Public Defender	Post-disposition Court	Review Hearing
6.77.05	Court sends notice of review hearing to victim services.	Court Operations	Post-disposition Court	Review Hearing Request	If review hearing is granted.	Notice of Review Hearing	Victim Services	Post-disposition Court	Review Hearing
6.77.07	Court sends notice of review hearing to corrections.	Court Operations	Post-disposition Court	Review Hearing Request	If review hearing is granted.	Notice of Review Hearing	Department of Correction	Post-disposition Court	Review Hearing
6.77.09	Court sends results of review hearing to prosecutor.	Court Operations	Post-disposition Court	Review Hearing	If review hearing is granted.	Review Hearing Ruling	Division of Criminal Justice	Post-disposition Court	Update Records
6.77.11	Court sends results of review hearing to defense.	Court Operations	Post-disposition Court	Review Hearing	If review hearing is granted.	Review Hearing Ruling	Chief Public Defender	Post-disposition Court	Update Records
6.77.13	Court sends results of review hearing to victim services.	Court Operations	Post-disposition Court	Review Hearing	If review hearing is granted.	Review Hearing Ruling	Victim Services	Post-disposition Court	Update Records

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6.77.15	Court sends results of review hearing to corrections.	Court Operations	Post-disposition Court	Review Hearing	If review hearing is granted.	Review Hearing Ruling	Department of Correction	Post-disposition Court	Update Records
6.77.51	Corrections informs victim services of subject's request for modified sentence.	Department of Correction	Post-disposition Court	Sentence Modification Request	If subject is in custody. If subject applies for change of status.	Notice of Application (JDVS-3)	Victim Services	Post-disposition Court	Sentence Hearing
6.77.53	Pardons & parole informs victim services of subject's request for modified sentence.	Board of Pardons and Parole	Post-disposition Court	Sentence Modification Request	If subject is not in custody. If subject applies for change of status.	Notice of Application (JDVS-3)	Victim Services	Post-disposition Court	Sentence Hearing
6.77.55	Corrections informs pardons & parole that post-conviction relief granted to subject.	Department of Correction	Post-disposition Court	Evidentiary Hearing	If post-conviction relief is granted.	Judgment Mittimus (J-Mitt)	Board of Pardons and Parole	Post-disposition Court	Update Records
6.79.01	Prosecutor requests a sentencing transcript from the court.	Division of Criminal Justice	Post-disposition Court	Sentencing	If subject sentenced to >2 years in jail.	Request for Sentencing Transcript	Court Operations	Post-disposition Court	Sentence Transcript Request Review
6.79.03	Pardons & parole requests a sentencing transcript from the court.	Board of Pardons and Parole	Post-disposition Court	Sentencing	If subject sentenced to >2 years in jail.	Request for Sentencing Transcript	Court Operations	Post-disposition Court	Sentence Transcript Request Review
6.79.05	Court sends sentencing transcript to prosecutor.	Court Operations	Post-disposition Court	Request Sentence Transcript	If sentence transcript request received.	Sentencing Transcript	Division of Criminal Justice	Post-disposition Court	Send Sentence Transcript
6.79.07	Court sends sentencing transcript to corrections.	Court Operations	Post-disposition Court	Request Sentence Transcript	If sentence transcript request received.	Sentencing Transcript	Department of Correction	Post-disposition Court	Send Sentence Transcript
6.79.09	Court sends sentencing transcript to pardons & parole.	Court Operations	Post-disposition Court	Request Sentence Transcript	If sentence transcript request received.	Sentencing Transcript	Board of Pardons and Parole	Post-disposition Court	Send Sentence Transcript
6.76.31	Prosecutor sends arrest reports to corrections.	Division of Criminal Justice	Post-disposition Court	Sentencing	If subject sentenced to >2 years in jail.	Arrest Reports	Department of Correction	Post-disposition Court	Update Records
6.76.29	Court sends disposition abstract to law enforcement.	Court Operations	Post-disposition Court	Case Disposition	If any case outcome.	Disposition Abstract	Law	Post-disposition Court	Disposition Reporting
6.76.33	Court sends notification of non-payment of fees/costs to DMV.	Court Operations	Post-disposition Court	Failure to Pay Fees/Costs	If subject fails to pay fees/costs.	Notice of Non-payment of Fee/Fine	Department of Motor Vehicles	Post-disposition Court	Update Records

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6.76.35	Court sends notification of non-payment of fines to corrections.	Court Operations	Post-disposition Court	Failure to Pay Fines	If subject is in custody.	Judgment Mittimus (J-Mitt)	Department of Correction	Post-disposition Court	Update Records
					If subject fails to pay fines.				
6.76.37	Court sends notification of non-payment of fines to law enforcement.	Court Operations	Post-disposition Court	Failure to Pay Fines	If subject fails to pay fines.	Judgment Mittimus (J-Mitt)	Law	Post-disposition Court	Update Records
					If subject is not in custody.	Failure to Appear Warrant			
6.76.41	Law enforcement informs corrections that subject arrested for non-payment of fines.	Law	Post-disposition Court	Arrest on Warrant	If subject fails to pay fines.	Failure to Appear Warrant	Department of Correction	Post-disposition Court	Booking
					If subject is arrested.				
6.76.43	Corrections informs court that fine has been paid.	Department of Correction	Post-disposition Court	Payment Collection	If subject is in custody.	Fine Payment Notification	Court Operations	Post-disposition Court	Review Fine Payment
					If fine has been paid.				
6.76.44	Courts informs corrections to release subject from jail.	Court Operations	Post-disposition Court	Review Fine Payment	If subject is in custody.	Fine Payment Notification	Department of Correction	Post-disposition Court	Release
					If fine has been paid.				
6.60.17	Defense requests sentence review.	Chief Public Defender	Post-disposition Court	Sentence Review Request	If defense requests a sentence review.	Application for Sentence Review Request	Court Operations	Post-disposition Court	Sentence Review
6.60.19	Court sends request for sentence review to prosecutor.	Court Operations	Post-disposition Court	Sentence Review Request	If defense applies for a sentence review.	Application for Sentence Review Request	Division of Criminal Justice	Post-disposition Court	Sentence Review
6.60.21	Court sends request for sentence review to probation.	Court Operations	Post-disposition Court	Sentence Review Request	If defense applies for a sentence review.	Application for Sentence Review Request	Probation – CSSD	Post-disposition Court	Sentence Review
6.60.23	Probation sends presentence investigation report to the court.	Probation – CSSD	Post-disposition Court	Sentence Review Request	If defense applies for a sentence review.	Presentence Investigation Report	Court Operations	Post-disposition Court	Sentence Review
					If court requests a sentence review.				

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6.60.25	State repository sends copy of the arrest report to the court.	State Repository – DPS	Post-disposition Court	Sentence Review Request	If defense applies for a sentence review.	Arrest Reports	Court Operations	Post-disposition Court	Sentence Review
					If court requests a sentence review.				
1.05.01	Victim's Advocate queries OBTS for an offender history.	Office of Victim Advocate	Investigation	Records Query	If agency desires a copy of subject's offender history.	Offender History Query	CJIS / OBTS	Investigation	Query Response
1.05.03	Corrections queries OBTS for an offender history.	Department of Correction	Investigation	Records Query	If agency desires a copy of subject's offender history.	Offender History Query	CJIS / OBTS	Investigation	Query Response
1.05.05	State police queries OBTS for an offender history.	Law	Investigation	Records Query	If agency desires a copy of subject's offender history.	Offender History Query	CJIS / OBTS	Investigation	Query Response
1.05.07	Law enforcement queries OBTS for an offender history.	Law	Investigation	Records Query	If agency desires a copy of subject's offender history.	Offender History Query	CJIS / OBTS	Investigation	Query Response
1.05.09	Probation queries OBTS for an offender history.	Probation – CSSD	Investigation	Records Query	If agency desires a copy of subject's offender history.	Offender History Query	CJIS / OBTS	Investigation	Query Response
1.05.11	Defense queries OBTS for an offender history.	Chief Public Defender	Investigation	Records Query	If agency desires a copy of subject's offender history.	Offender History Query	CJIS / OBTS	Investigation	Query Response
1.05.13	Prosecutor queries OBTS for an offender history.	Division of Criminal Justice	Investigation	Records Query	If agency desires a copy of subject's offender history.	Offender History Query	CJIS / OBTS	Investigation	Query Response
1.05.15	Pardons & parole queries OBTS for an offender history.	Board of Pardons and Parole	Investigation	Records Query	If agency desires a copy of subject's offender history.	Offender History Query	CJIS / OBTS	Investigation	Query Response
1.05.17	DMV queries OBTS for an offender history.	Department of Motor Vehicles	Investigation	Records Query	If agency desires a copy of subject's offender history.	Offender History Query	CJIS / OBTS	Investigation	Query Response
1.05.19	Court queries OBTS for an offender history.	Court Operations	Investigation	Records Query	If agency desires a copy of subject's offender history.	Offender History Query	CJIS / OBTS	Investigation	Query Response
1.05.21	Victim services queries OBTS for an offender history.	Victim Services	Investigation	Records Query	If agency desires a copy of subject's offender history.	Offender History Query	CJIS / OBTS	Investigation	Query Response
7.71.01	Probation sends update information to COLLECT when subject is assigned probation.	Probation – CSSD	Post-disposition Supervision	Sentencing	If subject is assigned probation.	Supervised Persons File Update (File 17)	State Repository – DPS	Post-disposition Supervision	Update Records
						No Contact File (File 20)			

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7.71.03	Corrections sends update information to COLLECT when subject is assigned probation.	Department of Correction	Post-disposition Supervision	Sentencing	If subject is assigned probation.	Supervised Persons File Update (File 17) No Contact File (File 20)	State Repository – DPS	Post-disposition Supervision	Update Records
7.80.02	Prosecutor files violation of probation with court if subject is at large.	Division of Criminal Justice	Post-disposition Supervision	File for Probation Violation	If subject currently is on probation. If subject is at large. If prosecutor finds probable cause to file a probation violation.	Application for Arrest Warrant	Court Operations	Post-disposition Court	Review Hearing
7.80.09	Probation applies with court for violation of probation.	Probation – CSSD	Post-disposition Supervision	Probation Violation	If subject currently is on probation. If subject is involved in another case.	Request for Violation of Probation	Court Operations	Post-disposition Court	File for Probation Violation
7.80.13	Prosecutor applies with court for violation of probation.	Division of Criminal Justice	Post-disposition Supervision	Probation Violation	If subject currently is on probation. If subject is involved in another case.	Request for Violation of Probation	Court Operations	Post-disposition Court	File for Probation Violation
7.80.15	Prosecutor applies with probation for modification of probation.	Division of Criminal Justice	Post-disposition Supervision	Arrest	If subject currently is on probation. If subject is involved in another case.	Request for Modification of Probation	Probation – CSSD	Post-disposition Court	File for Modification of Probation
7.80.11	Probation applies with prosecutor for modification of probation.	Probation – CSSD	Post-disposition Supervision	Arrest	If subject currently is on probation. If subject is involved in another case.	Request for Modification of Probation	Division of Criminal Justice	Post-disposition Court	File for Modification of Probation
7.80.17	Prosecutor files a warrant application with the court.	Division of Criminal Justice	Post-disposition Supervision	Arrest Warrant Request	If subject violates terms of conditional discharge.	Application for Arrest Warrant	Court Operations	Post-disposition Supervision	Arrest Warrant Review
6.76.30	Pardons and parole sends corrections a summary of disposition for posting on their website.	Board of Pardons and Parole	Post-disposition Court	Case Disposition	If any case outcome.	Summary of Disposition	Department of Correction	Post-disposition Court	Disposition Reporting
7.72.67	Corrections notifies pardons and parole of parole violation.	Department of Correction	Post-disposition Supervision	Parole Violation	If subject violates terms of parole.	Application for Parole Revocation	Board of Pardons and Parole	Post-disposition Supervision	Parole Hearing
7.73.01	Pardons & parole sends a parole revocation warrant to corrections.	Board of Pardons and Parole	Post-disposition Supervision	Parole Revocation Hearing	If subject violates terms of parole. If parole board finds probable cause to revoke parole.	Parole Revocation Warrant	Department of Correction	Post-disposition Supervision	Revocation

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7.73.03	Pardons & parole sends notification of probable cause hearing to corrections.	Board of Pardons and Parole	Post-disposition Supervision	Parole Violation	If subject violates terms of parole.	Parole Probable Cause Hearing Schedule	Department of Correction	Post-disposition Supervision	Probable Cause Review
					If subject does not waive hearing.				
7.73.05	Pardons & parole sends notification of parole revocation hearing to corrections.	Board of Pardons and Parole	Post-disposition Supervision	Parole Violation	If subject violates terms of parole.	Parole Revocation Hearing Schedule	Department of Correction	Post-disposition Supervision	Parole Revocation Hearing
					If parole board finds probable cause to revoke parole.				
7.73.07	Pardons & parole sends notification of parole revocation hearing to prosecutor.	Board of Pardons and Parole	Post-disposition Supervision	Parole Violation	If subject violates terms of parole.	Parole Revocation Hearing Schedule	Division of Criminal Justice	Post-disposition Supervision	Parole Revocation Hearing
					If parole board finds probable cause to revoke parole.				
7.73.09	Corrections sends addendum to parole violation report to pardons & parole.	Department of Correction	Post-disposition Supervision	Parole Violation	If subject is in custody DUPLICATE.	Addendum to Parole Violation Report	Board of Pardons and Parole	Post-disposition Supervision	Parole Revocation Hearing
					If new charge is disposed.				
7.73.11	Pardons & parole sends addendum to parole revocation disposition to corrections.	Board of Pardons and Parole	Post-disposition Supervision	Parole Revocation Hearing	If subject is in custody DUPLICATE.	Parole Revocation Disposition	Department of Correction	Post-disposition Supervision	Update Records
					If new charge is disposed.	Parole Disposition Summary			
7.86.15	Corrections informs sex offender registry that subject taken into custody.	Department of Correction	Post-disposition Supervision	Intake	If subject is a registered sex offender.	Sex Offender Location Update	Sex Offender Registry – DPS	Post-disposition Supervision	Update Records
					If subject moves into incarceration.				
7.86.17	Corrections informs sex offender registry that subject released from custody.	Department of Correction	Post-disposition Supervision	Release	If subject is a registered sex offender.	Sex Offender Location Update	Sex Offender Registry – DPS	Post-disposition Supervision	Update Records
					If subject moves into incarceration.				
7.86.21	Probation notifies DPS that subject has changed address.	Probation – CSSD	Post-disposition Supervision	Subject Changes Address	If subject must register as a sex offender.	SOR Change of Address	Sex Offender Registry – DPS	Post-disposition Supervision	
					If subject is on probation.				
7.86.23	Law enforcement notifies DPS that subject has changed address.	Law	Post-disposition Supervision	Subject Changes Address	If subject must register as a sex offender.	SOR Change of Address	Sex Offender Registry – DPS	Post-disposition Supervision	Update Records
7.86.25	Corrections notifies DPS that subject has changed address.	Department of Correction	Post-disposition Supervision	Subject Changes Address	If subject must register as a sex offender.	SOR Change of Address	Sex Offender Registry – DPS	Post-disposition Supervision	Update Records

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
7.86.27	DPS notifies law enforcement that subject has changed address.	Sex Offender Registry – DPS	Post-disposition Supervision	Subject Changes Address	If subject must register as a sex offender.	SOR Letter	Law	Post-disposition Supervision	Update Records
					If subject changes address.	SOR Packet			
7.86.29	DPS notifies law enforcement that subject failed to verify address change.	Sex Offender Registry – DPS	Post-disposition Supervision	Subject Changes Address	If subject must register as a sex offender.	Investigative Report	Law	Post-disposition Supervision	Update Records
					If subject fails to verify address change.				
4.57.00	Probation sends an application for protection order to the court.	Probation – CSSD	Pre-disposition Court	Protection Order Request	If protective order is requested.	Application for Protection Order	Court Operations	Pre-disposition Court	Protection Order Review
4.57.02	Court sends protection order to probation.	Court Operations	Pre-disposition Court	Protection Order Review	If protective order is filed.	Protective Order	Probation – CSSD	Pre-disposition Court	Update Records
4.57.05	Court sends protection order to law enforcement.	Court Operations	Pre-disposition Court	Protection Order Review	If protective order is filed.	Protective Order	Law	Pre-disposition Court	Update Records
4.57.01	Court sends protection order to state repository.	Court Operations	Pre-disposition Court	Protection Order Review	If protective order is filed.	Protective Order	State Repository – DPS	Pre-disposition Court	Update Records
4.57.51	Court notifies victim services that protection order is about to expire.	Court Operations	Pre-disposition Court	Protection Order Registry Termination	If protective order is about to expire.	Protective Order Expiration Notice	Victim Services	Pre-disposition Court	Update Records
4.58.25	Court notifies victim services that protective order registry has been terminated.	Court Operations	Pre-disposition Court	Protection Order Registry Termination	If protective order registry has been terminated.	Notification of POR Termination	Victim Services	Pre-disposition Court	Update Records
4.58.27	Court notifies probation that protective order registry has been terminated.	Court Operations	Pre-disposition Court	Protection Order Registry Termination	If protective order registry has been terminated.	Notification of POR Termination	Probation – CSSD	Pre-disposition Court	Update Records
4.58.29	Court notifies law enforcement that protective order registry has been terminated.	Court Operations	Pre-disposition Court	Protection Order Registry Termination	If protective order registry has been terminated.	Notification of POR Termination	Law	Pre-disposition Court	Update Records
4.58.31	Court notifies state repository that protective order registry has been terminated.	Court Operations	Pre-disposition Court	Protection Order Registry Termination	If protective order registry has been terminated.	Notification of POR Termination	State Repository – DPS	Pre-disposition Court	Update Records

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7.54.01	Pardons & parole requests presentence investigation from CSSD.	Board of Pardons and Parole	Post-disposition Court	Pardon Request	If subject requests pardon.	Request Presentence Investigation	Pretrial Services – CSSD	Post-disposition Court	Review Pardon Request
7.54.03	Pardons & parole requests inmate record from corrections.	Board of Pardons and Parole	Post-disposition Court	Pardon Request	If subject requests pardon.	Request for Inmate Record	Department of Correction	Post-disposition Court	Review Pardon Request
7.54.05	CSSD sends presentence investigation report to pardons & parole.	Probation – CSSD	Post-disposition Court	Pardon Request	If subject requests pardon.	Presentence Investigation Report	Board of Pardons and Parole	Post-disposition Court	Review Pardon Request
7.54.07	Pardons & parole requests violation of probation report from CSSD.	Board of Pardons and Parole	Post-disposition Court	Pardon Request	If subject requests pardon.	Violation of Probation Report	Pretrial Services – CSSD	Post-disposition Court	Review Pardon Request
7.54.09	Pardons & parole requests rap sheet from state repository.	Board of Pardons and Parole	Post-disposition Court	Pardon Request	If subject requests pardon.	Request Rap Sheet	State Repository – DPS	Post-disposition Court	Review Pardon Request
7.54.17	Pardons & parole requests arrest report from law enforcement.	Board of Pardons and Parole	Post-disposition Court	Pardon Request	If subject requests pardon.	Request for Arrest Report	Law	Post-disposition Court	Review Pardon Request
7.54.19	Law enforcement sends arrest report to pardons & parole.	Law	Post-disposition Court	Pardon Request	If subject requests pardon.	Arrest Reports	Board of Pardons and Parole	Post-disposition Court	Review Pardon Request
7.54.11	Corrections sends inmate record to pardons & parole.	Department of Correction	Post-disposition Court	Pardon Request	If subject requests pardon.	Inmate Record	Board of Pardons and Parole	Post-disposition Court	Review Pardon Request
7.54.13	CSSD sends violation of probation report to pardons & parole.	Pretrial Services – CSSD	Post-disposition Court	Pardon Request	If subject requests pardon.	Violation of Probation Report	Board of Pardons and Parole	Post-disposition Court	Review Pardon Request
7.54.15	State repository sends rap sheet to pardons & parole.	State Repository – DPS	Post-disposition Court	Pardon Request	If subject requests pardon.	Rap Sheet	Board of Pardons and Parole	Post-disposition Court	Review Pardon Request
1.05.25	CJIS sends a copy of subject's offender history to victim advocate.	CJIS / OBTS	Investigation	Query Response	If agency requests a copy of subject's offender history.	Offender History	Office of Victim Advocate	Investigation	Update Records
1.05.27	CJIS sends a copy of subject's offender history to corrections.	CJIS / OBTS	Investigation	Query Response	If agency requests a copy of subject's offender history.	Offender History	Department of Correction	Investigation	Update Records
1.05.29	CJIS sends a copy of subject's offender history to state police.	CJIS / OBTS	Investigation	Query Response	If agency requests a copy of subject's offender history.	Offender History	Law	Investigation	Update Records
1.05.31	CJIS sends a copy of subject's offender history to law enforcement.	CJIS / OBTS	Investigation	Query Response	If agency requests a copy of subject's offender history.	Offender History	Law	Investigation	Update Records

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1.05.33	CJIS sends a copy of subject's offender history to probation.	CJIS / OBTS	Investigation	Query Response	If agency requests a copy of subject's offender history.	Offender History	Probation – CSSD	Investigation	Update Records
1.05.35	CJIS sends a copy of subject's offender history to the defense.	CJIS / OBTS	Investigation	Query Response	If agency requests a copy of subject's offender history.	Offender History	Chief Public Defender	Investigation	Update Records
1.05.37	CJIS sends a copy of subject's offender history to the prosecutor.	CJIS / OBTS	Investigation	Query Response	If agency requests a copy of subject's offender history.	Offender History	Division of Criminal Justice	Investigation	Update Records
1.05.39	CJIS sends a copy of subject's offender history to pardons and parole.	CJIS / OBTS	Investigation	Query Response	If agency requests a copy of subject's offender history.	Offender History	Board of Pardons and Parole	Investigation	Update Records
1.05.41	CJIS sends a copy of subject's offender history to DMV.	CJIS / OBTS	Investigation	Query Response	If agency requests a copy of subject's offender history.	Offender History	Department of Motor Vehicles	Investigation	Update Records
1.05.43	CJIS sends a copy of subject's offender history to the court.	CJIS / OBTS	Investigation	Query Response	If agency requests a copy of subject's offender history.	Offender History	Court Operations	Investigation	Update Records
1.05.45	CJIS sends a copy of subject's offender history to victim services.	CJIS / OBTS	Investigation	Query Response	If agency requests a copy of subject's offender history.	Offender History	Victim Services	Investigation	Update Records
7.55.01	Pardons & parole sends pardon application and investigation report to prosecutor.	Board of Pardons and Parole	Post-disposition Court	Pardon Request	If subject requests pardon.	Application for Pardon / Pardon Investigation	Division of Criminal Justice	Post-disposition Court	Review Pardon Request
7.55.05	Pardons & parole sends pardon hearing calendar to corrections, for posting on their website.	Board of Pardons and Parole	Post-disposition Court	Pardon Hearing Review	If pardon hearing is granted.	Pardon Hearing Calendar	Department of Correction	Post-disposition Court	Update Website
7.55.03	Prosecutor sends pardon hearing response to pardons & parole.	Division of Criminal Justice	Post-disposition Court	Pardon Hearing Review	If subject requests pardon.	State's Attorney Response to Pardon Application	Board of Pardons and Parole	Post-disposition Court	Update Website
6.68.43	Pardons & parole notifies state repository of record expungement.	Board of Pardons and Parole	Post-disposition Court	Evidentiary Hearing	If court orders expungement of subject records.	Notification of Expungement	State Repository – DPS	Post-disposition Court	Expungement
6.68.45	Pardons & parole notifies probation of record expungement.	Board of Pardons and Parole	Post-disposition Court	Evidentiary Hearing	If court orders expungement of subject records.	Notification of Expungement	Probation – CSSD	Post-disposition Court	Expungement

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6.68.41	Pardons & parole notifies court of record expungement.	Board of Pardons and Parole	Post-disposition Court	Evidentiary Hearing	If court orders expungement of subject records.	Notification of Expungement	Court Operations	Post-disposition Court	Expungement
6.68.35	Court notifies probation of record expungement.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court orders expungement of subject records.	Notification of Expungement	Probation – CSSD	Post-disposition Court	Expungement
6.68.37	Court notifies corrections of record expungement.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court orders expungement of subject records.	Notification of Expungement	Department of Correction	Post-disposition Court	Expungement
6.68.29	Court notifies prosecutor of record expungement.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court orders expungement of subject records.	Notification of Expungement	Division of Criminal Justice	Post-disposition Court	Expungement
6.68.33	Court state repository of record expungement.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court orders expungement of subject records.	Notification of Expungement	State Repository – DPS	Post-disposition Court	Update Criminal History
6.68.27	Court notifies law enforcement of record expungement.	Court Operations	Post-disposition Court	Evidentiary Hearing	If court orders expungement of subject records.	Notification of Expungement	Law	Post-disposition Court	Expungement
6.68.51	Court notifies pardons & parole that record has been expunged.	Court Operations	Post-disposition Court	Expungement	If record has been expunged.	Expungement Completion Notice	Board of Pardons and Parole	Post-disposition Court	Update Records
6.69.01	Pardons & parole notifies court of a provisional pardon.	Board of Pardons and Parole	Post-disposition Court	Provisional Pardon	If provisional pardon as been issued.	Provisional Pardon	Court Operations	Post-disposition Court	Update Records
6.69.03	Pardons & parole notifies state repository of a provisional pardon.	Board of Pardons and Parole	Post-disposition Court	Provisional Pardon	If provisional pardon as been issued.	Provisional Pardon	State Repository – DPS	Post-disposition Court	Update Records
6.77.54	Pardons & parole informs victim services of subject's application for change of status.	Board of Pardons and Parole	Post-disposition Court	Sentence Modification Request	If subject is in custody. If subject applies for change of status.	Notice of Application (JDVS-3)	Victim Services	Post-disposition Court	Sentence Hearing
4.48.16	Jail notifies court that subject is unable to appear in court.	Department of Correction	Pre-disposition Court	Schedule Court Appearance	If subject is unable to appear in court.	DOC Non-appearance Notification	Court Operations	Pre-disposition Court	Court Appearance
4.60.14	Prosecutor informs court of discovery motion with defense.	Division of Criminal Justice	Pre-disposition Court	Motion Filing	If prosecutor seeks discovery from defense.	Motion for Discovery	Court Operations	Pre-disposition Court	Update Case File
4.60.12	Prosecutor files discovery motion with defense.	Division of Criminal Justice	Pre-disposition Court	Motion Filing	If prosecutor seeks discovery from defense.	Motion for Discovery	Chief Public Defender	Pre-disposition Court	Discovery Preparation

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4.60.23	Court informs probation of decision to revoke diversion.	Court Operations	Pre-disposition Court	Evidentiary Hearing	If court revokes diversion.	Disposition List	Probation – CSSD	Pre-disposition Court	Diversion Revocation
4.60.25	Court informs state repository of decision to revoke diversion.	Court Operations	Pre-disposition Court	Evidentiary Hearing	If court revokes diversion.	Disposition Flag	State Repository – DPS	Pre-disposition Court	Diversion Revocation
4.70.09	Court notifies jail that subject transferred to a different court.	Court Operations	Pre-disposition Court	Court Appearance	If subject is transferred to a different court.	Continuance Mittimus (C-Mitt)	Department of Correction	Pre-disposition Court	
4.78.16	Probation informs court of subject's successful completion of diversion.	Probation – CSSD	Pre-disposition Court	Review Hearing	If subject successfully completes diversion.	Diversion Program Pass/Fail Results	Court Operations	Post-supervision	Close Case
4.95.33	Victim services requests notification of court date change from court.	Victim Services	Pre-disposition Court	Schedule Court Appearance	If victim qualifies for services.	Request for Continuance Date	Court Operations	Pre-disposition Court	Victim Notification
4.95.35	Victim services requests notification of court date change from prosecutor.	Victim Services	Pre-disposition Court	Schedule Court Appearance	If victim qualifies for services.	Request for Continuance Date	Division of Criminal Justice	Pre-disposition Court	Victim Notification
4.95.37	Court notifies victim services of subsequently scheduled court appearance.	Court Operations	Pre-disposition Court	Victim Notification	If court schedules subsequent appearance. If victim services requests notification.	Continuance Date	Victim Services	Pre-disposition Court	Update Records
4.95.39	Victim services requests notification of case updates from prosecutor.	Victim Services	Pre-disposition Court	Open Case	If court schedules subsequent appearance. If victim services requests notification.	Request for Case Updates	Victim Services Division of Criminal Justice	Pre-disposition Court	Victim Notification
4.46.51	Court requests transport requirements from jail for subject who is in custody.	Court Operations	Pre-disposition Court	Schedule Court Appearance	If subject is in custody DUPLICATE. If subject is appearing in court.	Request Transport Requirements	Department of Correction	Pre-disposition Court	Transport Subject to Court
4.46.53	Jail notifies court of transport requirements for subject who is in custody.	Department of Correction	Pre-disposition Court	Schedule Court Appearance	If subject is in custody DUPLICATE. If subject is appearing in court.	Transport Requirements	Court Operations	Pre-disposition Court	Transport Subject to Court
4.46.55	Jail requests transport escort from state for subject.	Department of Correction	Pre-disposition Court	Schedule Court Appearance	If case is high-profile.	Request for Transport Escort	Law	Pre-disposition Court	Transport Subject to Court

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4.46.57	State police confirm transport escort with jail.	Law	Pre-disposition Court	Schedule Court Appearance	If case is high-profile.	Escort Confirmation	Department of Correction	Pre-disposition Court	Transport Subject to Court
					If transport escort is requested.				
4.46.59	Jail informs court of special needs of subject, such as medications or diet.	Department of Correction	Pre-disposition Court	Transport Subject to Court	If subject is appearing in court.	Special Instructions	Court Operations	Pre-disposition Court	
					If subject is in custody.				
					If subject has special needs.				
4.47.27	Corrections files inmate overview sheet with court.	Department of Correction	Pre-disposition Court	Transport Subject to Court	If subject is in custody.	Inmate Overview Sheet	Court Operations	Pre-disposition Court	Update Records
4.47.29	Court files request to release subject.	Court Operations	Pre-disposition Court	Court Appearance	If court plans to release subject.	Request for Verification of Release	Department of Correction	Pre-disposition Court	Release
4.47.31	Corrections responds to request to release subject.	Department of Correction	Pre-disposition Court	Court Appearance	If court plans to release subject.	Release Verification	Court Operations	Pre-disposition Court	Release
4.56.03	Law queries the court's protection order registry.	Law	Pre-disposition Court	Records Query	If no condition specified.	Protective Order Registry Query	Court Operations	Pre-disposition Court	Query Response
4.56.07	Corrections queries the court's protection order registry.	Department of Correction	Pre-disposition Court	Records Query	If no condition specified.	Protective Order Registry Query	Court Operations	Pre-disposition Court	Query Response
4.56.11	Prosecutor queries the court's protection order registry.	Division of Criminal Justice	Pre-disposition Court	Records Query	If no condition specified.	Protective Order Registry Query	Court Operations	Pre-disposition Court	Query Response
4.56.05	Court responds to law's Protection Order Registry query.	Court Operations	Pre-disposition Court	Query Response	If no condition specified.	Protective Order Registry Response	Law	Pre-disposition Court	Update Records
4.56.09	Court responds to corrections' Protection Order Registry query.	Court Operations	Pre-disposition Court	Query Response	If no condition specified.	Protective Order Registry Response	Department of Correction	Pre-disposition Court	Update Records
4.56.13	Court responds to prosecutor's Protection Order Registry query.	Court Operations	Pre-disposition Court	Query Response	If no condition specified.	Protective Order Registry Response	Division of Criminal Justice	Pre-disposition Court	Update Records
4.63.09	Prosecutor sends law enforcement subpoena to issue.	Division of Criminal Justice	Pre-disposition Court	Subpoena Issuance	If prosecutor determines that testimony is required.	Subpoena	Law	Pre-disposition Court	Subpoena Service
					If record is privileged.				

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1.02.06	DMV sends driver history to prosecutor.	Department of Motor Vehicles	Investigation	Initial Investigation	If law enforcement requests a driver history.	Driver & Vehicle Status	Division of Criminal Justice	Investigation	Law Enforcement Referral Decision
					If subject cannot be positively identified.				
1.02.07	Law requests driver history from DMV.	Law	Investigation	Traffic Stop	If subject is accused of a misdemeanor.	Request for Driver History	Department of Motor Vehicles	Investigation	Records Query
1.02.08	Commercial Vehicle Inspector requests driver history from DMV.	Law	Investigation	Commercial Vehicle Inspection	If no condition specified.	Request for Driver History	Department of Motor Vehicles	Investigation	Records Query
1.02.11	Prosecutor requests driver history from DMV.	Division of Criminal Justice	Investigation	Initial Investigation	If charge is vehicle related.	Request for Driver History	Department of Motor Vehicles	Investigation	Records Query
1.02.13	DMV sends driver history to commercial vehicle inspector.	Department of Motor Vehicles	Investigation	Initial Investigation	If law enforcement requests a driver history.	Driver & Vehicle Status	Law	Investigation	Law Enforcement Referral Decision
					If commercial vehicle inspection occurs.	Driver History			
						Carrier Information			
1.02.15	DMV requests criminal history from OBTS.	Department of Motor Vehicles	Investigation	Periodic Check	If subject has public passenger endorsement on driver license.	DMV/PERU Criminal History Request	CJIS / OBTS	Investigation	Records Query
1.02.19	DMV requests criminal history from SPRC.	Department of Motor Vehicles	Investigation	Periodic Check	If subject has public passenger endorsement on driver license.	DMV/PERU Criminal History Request	State Repository – DPS	Investigation	Records Query
1.02.23	DMV requests inmate record from corrections.	Department of Motor Vehicles	Investigation	Complaint	If no condition specified.	Request for Inmate Record	Department of Correction	Investigation	Records Query
1.02.27	DMV requests criminal history from state repository.	Department of Motor Vehicles	Investigation	Complaint	If no condition specified.	Criminal History Query	State Repository – DPS	Investigation	Records Query
1.02.17	CJIS returns criminal history to DMV.	CJIS / OBTS	Investigation	Query Response	If charge is vehicle related.	Criminal History	Department of Motor Vehicles	Investigation	Update Records
1.02.21	SPRC returns criminal history to DMV.	State Repository – DPS	Investigation	Query Response	If no condition specified.	Criminal History	Department of Motor Vehicles	Investigation	Update Records
						Suspense File			

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1.02.25	Corrections returns inmate record to DMV.	Department of Correction	Investigation	Query Response	If no condition specified.	Inmate Record	Department of Motor Vehicles	Investigation	Issue Report
1.02.29	State repository returns criminal history to DMV.	State Repository – DPS	Investigation	Query Response	If no condition specified.	Criminal History	Department of Motor Vehicles	Investigation	Issue Report
1.02.33	CJIS/OBTS returns offender record to DMV.	CJIS/OBTS	Investigation	Query Response	If no condition specified.	Offender Record	Department of Motor Vehicles	Investigation	Issue Report
1.02.26	DMV sends corrections acknowledgment of receipt of inmate record.	Department of Motor Vehicles	Investigation	Issue Report	If no condition specified.	Inmate Record	Department of Correction	Investigation	Update Records
1.02.30	DMV sends prosecutor acknowledgment of receipt of criminal history.	Department of Motor Vehicles	Investigation	Issue Report	If no condition specified.	Criminal History	Division of Criminal Justice	Investigation	Update Records
1.02.34	DMV sends CJIS/OBTS acknowledgment of receipt of offender record.	Department of Motor Vehicles	Investigation	Issue Report	If no condition specified.	Offender Record	CJIS / OBTS	Investigation	Update Records
1.02.35	DPS requests digitized photo from DMV.	Law	Investigation	Identity Check	If no condition specified.	Request for Digitized Photo	Department of Motor Vehicles	Investigation	Records Query
1.02.39	Corrections requests digitized photo from DMV.	Department of Correction	Investigation	Identity Check	If no condition specified.	Request for Digitized Photo	Department of Motor Vehicles	Investigation	Records Query
1.02.43	Prosecutor requests digitized photo from DMV.	Division of Criminal Justice	Investigation	Identity Check	If no condition specified.	Request for Digitized Photo	Department of Motor Vehicles	Investigation	Records Query
1.02.47	Law enforcement requests digitized photo from DMV.	Law	Investigation	Identity Check	If no condition specified.	Request for Digitized Photo	Department of Motor Vehicles	Investigation	Records Query
1.02.37	DMV returns digitized photo to DPS.	Department of Motor Vehicles	Investigation	Query Response	If no condition specified.	Digitized Photograph	Law	Investigation	Identity Verification
1.02.41	DMV returns digitized photo to corrections.	Department of Motor Vehicles	Investigation	Query Response	If no condition specified.	Digitized Photograph	Department of Correction	Investigation	Identity Verification
1.02.45	DMV returns digitized photo to prosecutor.	Department of Motor Vehicles	Investigation	Query Response	If no condition specified.	Digitized Photograph	Division of Criminal Justice	Investigation	Identity Verification

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1.02.49	DMV returns digitized photo to law enforcement.	Department of Motor Vehicles	Investigation	Query Response	If no condition specified.	Digitized Photograph	Law	Investigation	Identity Verification
6.77.61	Court sends notification of appeal to DMV.	Court Operations	Post-disposition Court	Appeal Filing	If charge is reportable to motor vehicles DUPLICATE.	Appeal Notification	Department of Motor Vehicles	Post-disposition Court	Update Records
					If an appeal is filed.				
6.77.63	Court sends notification of appeal decision to DMV.	Court Operations	Post-disposition Court	Appeal Decision	If charge is reportable to motor vehicles DUPLICATE.	Decision Notification	Department of Motor Vehicles	Post-disposition Court	Update Records
					If an appeal decision is made.				
6.77.65	Court sends DMV notification that judgment has been re-opened.	Court Operations	Post-disposition Court	Court Re-opening Activity	If charge is reportable to motor vehicles DUPLICATE.	Re-opening of Judgment	Department of Motor Vehicles	Post-disposition Court	Update Records
					If judgment is re-opened.				
1.02.51	Court sends DMV request for driver history.	Court Operations	Pre-disposition Court	Arrest	If motor vehicle offense is serious.	Request for Driver History	Department of Motor Vehicles	Pre-disposition Court	Records Query
1.02.53	DMV sends driver history to court.	Department of Motor Vehicles	Pre-disposition Court	Query Response	If no condition specified.	Driver History	Court Operations	Pre-disposition Court	Relay of Driver History
1.02.55	Court notifies prosecutor that driver history has been received.	Court Operations	Pre-disposition Court	Receipt of Driver History	If no condition specified.	Driver History	Division of Criminal Justice	Pre-disposition Court	Update Records
6.77.71	Probation notifies DMV of conditions affecting operator's license.	Probation – CSSD	Post-disposition Court	Disposition	If court imposes conditions that affect operator's license.	Notification of Conditions	Department of Motor Vehicles	Post-disposition Court	Update Records
1.05.01	DMV requests a stolen vehicle check from DPS.	Department of Motor Vehicles	Investigation	Vehicle Registration	If no condition specified.	Stolen Vehicle Check	Law	Investigation	Records Query
1.05.03	DPS informs DMV that vehicle is indicated stolen.	Law	Investigation	Query Response	If vehicle indicated stolen.	Stolen Vehicle Record	Department of Motor Vehicles	Investigation	Hold Title
1.05.05	DMV informs law enforcement that vehicle is indicated stolen.	Department of Motor Vehicles	Investigation	Query Response	If vehicle indicated stolen.	Stolen Vehicle Record	Law	Investigation	Open Case
8.44.21	Corrections informs law enforcement that subject is being released to parole.	Department of Correction	Incarceration	Release	If corrections releases subject to parole.	Supervised Persons File Update (File 17)	Law	Incarceration	Update Case File
						No Contact File (File 20)			

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
1.01.14	Corrections sends incident report to pardons & parole.	Department of Correction	Investigation	Incident	If law enforcement determines that a crime was committed.	Incident Report	Board of Pardons and Parole	Post-disposition Supervision	Status Review
					If subject currently is on parole.				
					If subject violates terms of parole.				
8.44.07	Corrections informs law enforcement that subject has completed prison term and is a sex offender.	Department of Correction	Incarceration	Release	If subject completed prison term.	Notice of Sex Offender Release	Law	Post-disposition Supervision	Sex Offender Registration
					If victim has been identified.				
2.28.12	Law enforcement unable to serve warrant (PRAWN).	Law	At Large	Warrant Service	If law enforcement unable to serve a summons.	Affidavit for Arrest Warrant	Court Operations	At Large	Arrest Warrant Request
					If failure to appear or violation of probation exists.				
1.03.21	Court (CRMVS) sends UAR to OBTS.	Court Operations	Investigation	Arrest	If subject is arrested.	UAR Arrest Event Data	CJIS / OBTS	Investigation	Update OBTS
1.03.23	Court (CRMVS) notifies OBTS of deletion of UAR.	Court Operations	Investigation	Delete Arrest	If subject is arrested.	UAR Arrest Event Data	CJIS / OBTS	Investigation	Update OBTS
					If law enforcement determines no probable cause exists.				
1.03.25	Court (CRMVS) sends subject profile update to OBTS; UAR.	Court Operations	Investigation	Maintain Profile	If court updates/modifies the UAR.	UAR Arrest Event Data	CJIS / OBTS	Investigation	Update OBTS
1.03.27	Court (CRMVS) sends subject arrest update to OBTS.	Court Operations	Investigation	Maintain Arrest	If court updates/modifies the UAR.	UAR Arrest Event Data	CJIS / OBTS	Investigation	Update OBTS
1.01.75	DPS (MNI/CCH) sends offender identification and SID to OBTS.	State Repository – DPS	Investigation	Initial Identification	If subject is positively identified.	State Police Bureau ID Event Data	CJIS / OBTS	Investigation	Update OBTS
1.01.77	DPS (MNI/CCH) sends offender FBI number to OBTS.	State Repository – DPS	Investigation	Initial Identification	If subject is positively identified.	State Police Bureau ID Event Data	CJIS / OBTS	Investigation	Update OBTS
1.01.79	DPS (MNI/CCH) sends SID link update to OBTS.	State Repository – DPS	Investigation	Initial Identification	If subject is positively identified.	State Police Bureau ID Event Data	CJIS / OBTS	Investigation	Update OBTS
					If MNI/CCH links/re-links existing case to SID.				
1.01.81	DPS (MNI/CCH) sends SID deletion update to OBTS.	State Repository – DPS	Investigation	Initial Identification	If MNI/CCH disassociates FBI number from SID.	State Police Bureau ID Event Data	CJIS / OBTS	Investigation	Update OBTS
1.01.83	DPS (MNI/CCH) sends offender profile update to OBTS.	State Repository – DPS	Investigation	Initial Identification	If DPS updates offender information.	State Police Bureau ID Event Data	CJIS / OBTS	Investigation	Update OBTS

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
1.01.85	DPS (MNI/CCH) sends FBI number deletion update to OBTS.	State Repository – DPS	Investigation	Initial Identification	If MNI/CCH disassociates FBI number from SID.	State Police Bureau ID Event Data	CJIS / OBTS	Investigation	Update OBTS
1.03.22	Court (CRMVS) sends MSC Ticket Arrest to OBTS.	Court Operations	Investigation	Arrest	If subject is arrested.	Misdemeanor Summons & Complaint Ticket Arrest Data	CJIS / OBTS	Investigation	Update OBTS
1.03.26	Court (CRMVS) sends subject profile update to OBTS.	Court Operations	Investigation	Maintain Profile	If court updates/modifies the UAR.	Misdemeanor Summons & Complaint Ticket Arrest Data	CJIS / OBTS	Investigation	Update OBTS
1.03.28	Court (CRMVS) sends ticket/bail data update to OBTS.	Court Operations	Investigation	Maintain Arrest	If court updates/modifies the UAR.	Misdemeanor Summons & Complaint Ticket Arrest Data	CJIS / OBTS	Investigation	Update OBTS
1.03.24	Court (CRMVS) notifies OBTS of deletion of MSC Ticket.	Court Operations	Investigation	Delete Arrest	If subject is arrested.	Misdemeanor Summons & Complaint Ticket Arrest Data	CJIS / OBTS	Investigation	Update OBTS
					If law enforcement determines no probable cause exists.				
4.47.17	Court (CRMVS) notifies OBTS of bail decision.	Court Operations	Pre-disposition Court	Superior Court Bail Decision	If bond has been set for subject.	Superior Court Bail Decision Data	CJIS / OBTS	Pre-disposition Supervision	Update OBTS
					If court orders subject released.				
8.35.01	Corrections (OBIS) notifies OBTS of a new subject incarceration.	Department of Correction	Incarceration	Update OBIS	If subject moves into incarceration.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
8.35.03	Corrections (OBIS) notifies OBTS that subject returned to incarceration.	Department of Correction	Post-disposition Supervision	Update OBIS	If subject violates terms of parole.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
					If subject is returned to custody.				
8.35.05	Corrections (OBIS) notifies OBTS that inmate record has been deleted.	Department of Correction	Incarceration	Update OBIS	If inmate record is deleted.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
8.35.07	Corrections (OBIS) notifies OBTS that incarceration record has been deleted.	Department of Correction	Incarceration	Update OBIS	If incarceration record is deleted.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
8.35.09	Corrections (OBIS) notifies OBTS that incarceration record has been linked to a case.	Department of Correction	Incarceration	Update OBIS	If incarceration record is linked to a case.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS

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8.35.11	Corrections (OBIS) notifies OBTS that incarceration record has been unlinked from a case.	Department of Correction	Incarceration	Update OBIS	If incarceration record is unlinked from a case.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
8.35.13	Corrections (OBIS) notifies OBTS that inmate number has been changed.	Department of Correction	Incarceration	Update OBIS	If inmate number has been changed.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
8.35.15	Corrections (OBIS) notifies OBTS that docket number has been updated.	Department of Correction	Incarceration	Update OBIS	If docket number has been updated.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
8.35.17	Corrections (OBIS) notifies OBTS that inmate's discharge date has been changed.	Department of Correction	Incarceration	Update OBIS	If discharge date or PMAX date has been changed.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
8.35.19	Corrections (OBIS) notifies OBTS that inmate's parole release date has been changed.	Department of Correction	Incarceration	Update OBIS	If parole release date has been changed.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
8.35.21	Corrections (OBIS) notifies OBTS that inmate's incarceration admission date has been changed.	Department of Correction	Incarceration	Update OBIS	If incarceration admission date has been changed.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
8.35.25	Corrections (OBIS) notifies OBTS of the docket release date.	Department of Correction	Incarceration	Update OBIS	If docket release date has been added.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
8.35.23	Corrections (OBIS) notifies OBTS of inmate profile update.	Department of Correction	Incarceration	Maintain Profile	If inmate record has been updated.	Incarceration Data	CJIS / OBTS	Incarceration	Update OBTS
8.35.51	Corrections (OBIS) notifies OBTS of release of subject.	Department of Correction	Incarceration	Release	If subject completed prison term.	Incarceration Release Event Data	CJIS / OBTS	Post-disposition Supervision	Update OBTS
8.35.53	Corrections (OBIS) notifies OBTS of a subject release to parole.	Department of Correction	Incarceration	Release	If corrections releases subject to parole.	Incarceration Release Event Data	CJIS / OBTS	Post-disposition Supervision	Update OBTS
8.35.55	Corrections (OBIS) notifies OBTS of a subject undo release.	Department of Correction	Incarceration	Update OBIS	If corrections release was entered erroneously.	Incarceration Release Event Data	CJIS / OBTS	Post-disposition Supervision	Update OBTS
1.14.21	Court (CRMVS) notifies OBTS that charges have been added to a case.	Court Operations	Pre-disposition Court	Amendment of Charges	If charges have been added.	Charging Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
1.14.23	Court (CRMVS) notifies OBTS that charges have been changed.	Court Operations	Pre-disposition Court	Amendment of Charges	If charges have been amended or substituted.	Charging Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS

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Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
1.14.25	Court (CRMVS) notifies OBTS that original charges have been changed.	Court Operations	Pre-disposition Court	Amendment of Charges	If charges have been amended or substituted.	Charging Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
1.14.27	Court (CRMVS) notifies OBTS that charges have been deleted.	Court Operations	Pre-disposition Court	Amendment of Charges	If charges have been amended or substituted.	Charging Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
4.71.31	Court (CIB) updates OBTS with CIB case disposition.	Court Operations	Pre-disposition Court	Case Disposition	If charge is reportable to the state repository. / If case is through Centralized Infractions Bureau.	CIB Disposition Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
4.71.33	Court (CIB) updates OBTS with removal of CIB case disposition.	Court Operations	Pre-disposition Court	Update CRMVS	If charge is reportable to the state repository. / If case is through Centralized Infractions Bureau.	CIB Disposition Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
6.80.01	Court (CRMVS) notifies OBTS of disposition of Failure to Appear.	Court Operations	Post-disposition Court	Disposition	If subject fails to appear.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
6.80.03	Court (CRMVS) notifies OBTS that PSRB Hearing scheduled.	Court Operations	Post-disposition Court	Update CRMVS	If judge orders a Psychiatric Review Board Hearing.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
6.80.05	Court (CRMVS) notifies OBTS of application for sentence modification.	Court Operations	Post-disposition Court	Update CRMVS	If subject applies for sentence modification.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
6.80.07	Court (CRMVS) notifies OBTS of sentence modification.	Court Operations	Post-disposition Court	Update CRMVS	If subject applies for sentence modification. / If sentence has been modified.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
6.80.09	Court (CRMVS) notifies OBTS of removal of disposition and sentence info.	Court Operations	Post-disposition Court	Update CRMVS	If disposition and sentence information is removed.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
6.80.11	Court (CRMVS) notifies OBTS of sentence review application.	Court Operations	Post-disposition Court	Update CRMVS	If subject applies for sentence review.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
6.80.15	Court (CRMVS) notifies OBTS of sentence review hearing result.	Court Operations	Post-disposition Court	Update CRMVS	If subject applies for sentence review. / If sentence is upheld.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
6.80.13	Court (CRMVS) notifies OBTS of sentence modification hearing result.	Court Operations	Post-disposition Court	Update CRMVS	If subject applies for sentence modification. / If sentence is upheld.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
4.44.01	Court (CRMVS) notifies OBTS of juvenile case transfer to superior court.	Court Operations	Pre-disposition Court	Update CRMVS	If subject is a juvenile.	Juvenile Case Transfer Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
					If subject is accused of a felony.				
4.44.03	Court (CRMVS) notifies OBTS of continuance for transfer to regular court.	Court Operations	Pre-disposition Court	Update CRMVS	If subject is a juvenile.	Juvenile Case Transfer Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
					If subject is accused of a felony.				
4.44.05	Court (CRMVS) notifies OBTS of case transfer to juvenile court.	Court Operations	Pre-disposition Court	Update CRMVS	If subject is a juvenile.	Juvenile Case Transfer Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.43.01	Court (CRMVS) notifies OBTS of public defender eligibility status.	Court Operations	Pre-disposition Court	Update CRMVS		Public Defender Eligibility Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.43.03	Court (CRMVS) notifies OBTS of public defender appointment.	Court Operations	Pre-disposition Court	Update CRMVS	If public defender has been appointed to the case.	Public Defender Eligibility Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.43.07	Court (CRMVS) notifies OBTS of termination of representation by a public defender.	Court Operations	Pre-disposition Court	Update CRMVS	If public defender has been terminated from the case.	Public Defender Termination Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.43.05	Court (CRMVS) notifies OBTS of public defender termination.	Court Operations	Pre-disposition Court	Update CRMVS	If public defender has been terminated from the case.	Public Defender Termination Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.43.09	Court (CRMVS) notifies OBTS of public defender expiration.	Court Operations	Pre-disposition Court	Update CRMVS	If 20 days past disposition date.	Public Defender Termination Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
					If public defender has been terminated from the case.				
7.87.01	Corrections notifies OBTS of community supervision location.	Department of Correction	Post-disposition Supervision	Sentencing	If subject is sentenced to community supervision.	Community Supervision Event Data	CJIS / OBTS	Post-disposition Supervision	Update OBTS
6.76.51	Court (CRMVS) notifies OBTS of additional case disposition information.	Court Operations	Post-disposition Supervision	Disposition Reporting	If disposition information is modified.	Disposition Event Data	CJIS / OBTS	Post-disposition Supervision	Update OBTS
4.79.01	Court (CRMVS) notifies OBTS of court-ordered severed case.	Court Operations	Pre-disposition Court	Daily	If case is severed.	Graveyarded and Severed Case Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS

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4.79.03	Court (CRMVS) notifies OBTS of administratively severed case.	Court Operations	Pre-disposition Court	Daily	If case is severed.	Graveyarded and Severed Case Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.79.05	Court (CRMVS) notifies OBTS of graveyarded case.	Court Operations	Pre-disposition Court	Daily	If case is graveyarded.	Graveyarded and Severed Case Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
7.80.05	Court (CRMVS) notifies OBTS of disposition of probation violation.	Court Operations	Post-disposition Supervision	Update CRMVS	If subject violates terms of probation.	Probation Violation Event Data	CJIS / OBTS	Post-disposition Supervision	Update OBTS
4.50.51	Court (CRMVS) notifies OBTS of inter-court case transfer.	Court Operations	Pre-disposition Court	Update CRMVS	If case is transferred to another court.	Inter-Court Case Transfer Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.48.26	Court (CRMVS) notifies OBTS of case continuance.	Court Operations	Pre-disposition Court	Update CRMVS	If court grants a continuance.	Continuance Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.48.27	Court (CRMVS) notifies OBTS of change in pretrial program (continuance).	Court Operations	Pre-disposition Court	Update CRMVS	If court grants a continuance. / If continuance changes terms of pretrial program.	Continuance Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.48.28	Court (CRMVS) notifies OBTS of removal of pretrial program (continuance).	Court Operations	Pre-disposition Court	Update CRMVS	If court grants a continuance. / If continuance changes terms of pretrial program.	Continuance Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
8.45.01	Corrections (OBIS) notifies OBTS of subject transfer.	Department of Correction	Incarceration	Update CRMVS	If subject is transferred to a different facility.	Offender Transfer Event Data	CJIS / OBTS	Incarceration	Update OBTS
8.45.03	Corrections (OBIS) notifies OBTS of subject transfer, enter movement code.	Department of Correction	Incarceration	Update CRMVS	If subject is transferred to a different facility.	Offender Transfer Event Data	CJIS / OBTS	Incarceration	Update OBTS
8.45.05	Corrections (OBIS) notifies OBTS of subject transfer; update movement code.	Department of Correction	Incarceration	Update CRMVS	If subject is transferred to a different facility.	Offender Transfer Event Data	CJIS / OBTS	Incarceration	Update OBTS
8.45.07	Corrections (OBIS) notifies OBTS of subject transfer; delete movement code.	Department of Correction	Incarceration	Update CRMVS	If subject is transferred to a different facility.	Offender Transfer Event Data	CJIS / OBTS	Incarceration	Update OBTS
7.56.01	DPS (MNI/CCH) notifies OBTS of a pardon.	State Repository – DPS	Post-disposition Court	Pardon Hearing	If pardon is granted.	Pardon Event Data	CJIS / OBTS	Post-disposition Supervision	Update OBTS

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7.56.03	Court (CRMVS) notifies OBTS of a pardon.	Court Operations	Post-disposition Court	Pardon Hearing	If pardon is granted.	Pardon Event Data	CJIS / OBTS	Post-disposition Supervision	Update OBTS
8.36.01	Corrections (OBIS) notifies OBTS of granting of parole.	Department of Correction	Incarceration	Update OBIS	If subject is granted parole.	Granting of Parole Event Data	CJIS / OBTS	Post-disposition Supervision	Update OBTS
7.90.01	Court (CRMVS) notifies OBTS of case sealing.	Department of Correction	Post-disposition Court	Update CRMVS	If case is ordered sealed.	Case Sealing Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
7.90.03	Court (CRMVS) notifies OBTS of case being unsealed or not sealed.	Court Operations	Post-disposition Court	Update CRMVS	If case is ordered not sealed or unsealed.	Case Unsealing Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
7.90.05	Court (CRMVS) notifies OBTS of case erasure.	Court Operations	Post-disposition Court	Update CRMVS	If case is ordered erased.	Case Unsealing Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
2.23.01	Court (PRAWN) notifies OBTS of rearrest warrant.	Court Operations	At Large	Arrest Warrant Review	If court finds probable cause to issue a warrant.	Rearrest Warrant Event Data	CJIS / OBTS	At Large	Update OBTS
2.23.03	Court (CRMVS) notifies OBTS of rearrest warrant.	Court Operations	At Large	Arrest Warrant Review	If court finds probable cause to issue a warrant.	Rearrest Warrant Event Data	CJIS / OBTS	At Large	Update OBTS
2.23.05	Court (CRMVS) notifies OBTS of rearrest warrant change.	Court Operations	At Large	Update CRMVS	If bond has been changed for subject.	Rearrest Warrant Event Data	CJIS / OBTS	At Large	Update OBTS
8.42.15	Corrections (OBIS) notifies OBTS of subject's escape.	Department of Correction	Incarceration	Escape	If subject escapes from custody.	Offender Escape Event Data	CJIS / OBTS	Incarceration	Update OBTS
8.42.17	Corrections (OBIS) retracts escape notification with OBTS.	Department of Correction	Incarceration	Escape	If report of escape was erroneous.	Offender Escape Event Data	CJIS / OBTS	Incarceration	Update OBTS
6.81.01	Court (CRMVS) notifies OBTS of appeal filing.	Court Operations	Post-disposition Court	Appeal Filing	If an appeal is filed.	Case Appeal Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
6.81.03	Court (CRMVS) notifies OBTS of appeal removal.	Court Operations	Post-disposition Court	Update CRMVS	If an appeal is removed.	Case Appeal Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
6.81.05	Court (CRMVS) notifies OBTS of appeal decision.	Court Operations	Post-disposition Court	Appeal Decision	If an appeal decision is made.	Case Appeal Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
9.01.01	Corrections (OBIS) notifies OBTS of offender death.	Department of Correction	Pre-disposition Supervision	Update OBIS	If subject dies.	Subject Death Event Data	CJIS / OBTS	Pre-disposition Supervision	Update OBTS
9.01.03	Corrections notifies OBTS of erroneous offender death entry.	Department of Correction	Pre-disposition Supervision	Update OBIS	If subject death recorded erroneously.	Subject Death Event Data	CJIS / OBTS	Pre-disposition Supervision	Update OBTS
4.57.25	Court (POR) notifies OBTS of protection order.	Court Operations	Pre-disposition Court	Protection Order Review	If protective order is filed.	Protective Order Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.57.27	Court (POR) notifies OBTS of termination of protection order.	Court Operations	Pre-disposition Court	Protection Order Registry Termination	If protective order registry has been terminated.	Protective Order Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.57.29	Court (POR) notifies OBTS of modification of protection order.	Court Operations	Pre-disposition Court	Update Records	If protective order has been modified.	Protective Order Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
4.57.31	Court (POR) notifies OBTS of modification of protection order profile information.	Court Operations	Pre-disposition Court	Update Records	If protective order has been modified.	Protective Order Event Data	CJIS / OBTS	Pre-disposition Court	Update OBTS
1.04.01	Court (CRMVS) notifies OBTS of complaint ticket arrest.	Court Operations	Investigation	Complaint Ticket Arrest	If infraction or violation is payable by mail.	Complaint Ticket Arrest Event Data	CJIS / OBTS	Investigation	Update OBTS
1.04.03	Court (CIB) notifies OBTS of complaint ticket arrest.	Court Operations	Investigation	Complaint Ticket Arrest	If infraction or violation is payable by mail.	Complaint Ticket Arrest Event Data	CJIS / OBTS	Investigation	Update OBTS
1.04.05	Court (CIB) notifies OBTS of complaint ticket arrest update.	Court Operations	Investigation	Update Database	If infraction or violation is payable by mail.	Complaint Ticket Arrest Event Data	CJIS / OBTS	Investigation	Update OBTS
6.80.17	Court (CRMVS) notifies OBTS of sentence review hearing removal.	Court Operations	Post-disposition Court	Update CRMVS	If subject applies for sentence review.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
					If sentence review hearing has been cancelled.				
6.80.19	Court (CRMVS) notifies OBTS of sentence modification removal.	Court Operations	Post-disposition Court	Update CRMVS	If subject applies for sentence modification.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
					If sentence modification application has been cancelled.				
6.80.21	Court (CRMVS) notifies OBTS of sentence modification reset.	Court Operations	Post-disposition Court	Update CRMVS	If subject applies for sentence modification.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
					If court resets sentence to original disposition.				

Exchange Number	Exchange Label	Sending Agency	Prevailing Process	Triggering Event	Conditions	Documents	Receiving Agencies	Subsequent Process	Subsequent Event
6.80.23	Court (CRMVS) notifies OBTS to remove PSRB Hearing.	Court Operations	Post-disposition Court	Update CRMVS	If judge cancels a Psychiatric Review Board Hearing.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
6.80.25	Court (CRMVS) notifies OBTS of PSRB Hearing disposition.	Court Operations	Post-disposition Court	Update CRMVS	If judge orders a Psychiatric Review Board Hearing. If PSRB hearing disposition is entered.	Post-Judgment Event Data	CJIS / OBTS	Post-disposition Court	Update OBTS
14.10.01	Law requests firearm status from DPS.	Law	At Large	Open Case	If law enforcement is preparing to serve a warrant.	Request for Firearm Registration Status	State Repository – DPS	At Large	Records Query
New Exchange 94	Court sends disposition abstract to SOR.	Court Operations	Post-disposition Court	Case Disposition	If any case outcome.	Disposition Abstract	Sex Offender Registry – DPS	Post-disposition Court	Disposition Reporting

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