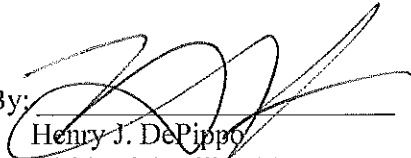


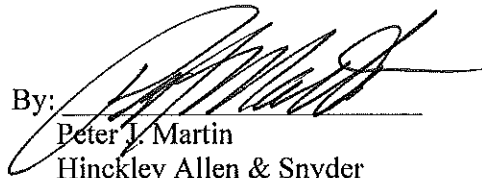




Date: 5/3/2018

By:   
Henry J. DePippo  
Kirkland & Ellis LLP  
601 Lexington Ave.  
New York, NY 10022  
Counsel for the Defendant

Date: 5/3/2012

By:   
Peter J. Martin  
Hinckley Allen & Snyder  
20 Church Street  
Hartford, CT 06103

DEFENDANT

Abbott Laboratories

Date: May 3, 2012

By: Karen Hale

Karen Hale

Divisional Vice President and Associate

General Counsel

Abbott Laboratories

100 Abbott Park Road

Abbott Park, IL 60064-3500



West Virginia, and Wisconsin (collectively, the “Attorneys General,” or “AGs”), conducted an investigation under the State Consumer Protection Laws<sup>1</sup> regarding certain Abbott Laboratories (“Abbott”) Promotional practices related to Depakote.

1.3 Abbott (as defined in the Definitions Section) is willing to enter into a Consent Judgment (“Judgment”) regarding its Promotional practices and dissemination of information to Health Care Professionals regarding Depakote in the United States (“Covered Conduct”) in order to resolve the AGs’ investigation under the State Consumer Protection Laws and arrive at a complete and total settlement and resolution of any disagreement as to the matters addressed in this Judgment and thereby avoid unnecessary expense, inconvenience, and uncertainty.

1.4 The Parties have agreed to resolve the issues raised by the Covered Conduct by entering into this Judgment. Abbott is entering into this Judgment solely for the purpose of settlement and nothing contained herein may be taken as or construed to be an admission or concession of any violation of law or regulation, or of any other matter of fact or law, or of any liability or wrongdoing, all of which Abbott and Pharmaceutical Company (as defined below) expressly deny. Abbott and Pharmaceutical Company do not admit any violation of the State Consumer Protection Laws, and do not admit any wrongdoing that was or could have been alleged by any Attorney General before the date of the Judgment under those laws. Except in an action brought by an Attorney General to enforce this Judgment, this Judgment shall not be construed or used as a waiver or limitation of any defense otherwise available to Abbott and/or Pharmaceutical Company, including, but not limited to Abbott’s and Pharmaceutical Company’s

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<sup>1</sup> This consent judgment filed in the State of Connecticut is governed by C.G.S. § 42-100 et seq.

right to defend themselves from, or make any arguments in, any other matter, including, but not limited to, any investigation or litigation relating to the existence, subject matter or terms of this Judgment. This Judgment is made without trial or adjudication of any issue of fact or law or finding of wrongdoing or liability of any kind. It is the intent of the Parties that this Judgment shall not be admissible in any other matter, including, but not limited to, any investigation or litigation, or bind Abbott or Pharmaceutical Company in any respect other than in connection with the enforcement of this Judgment. No part of this Judgment shall create a private cause of action or confer any right to any third party for violation of any federal or state statute except that a State may file an action to enforce the terms of this Judgment. All obligations undertaken by Abbott and Pharmaceutical Company in this Judgment shall apply prospectively; and nothing contained herein prevents or prohibits the use of this Judgment for purposes of enforcement of this Judgment by the Attorneys General.

1.5 The AGs have reviewed the terms of the Judgment and find that such terms serve the public interest.

1.6 This Judgment (or any portion thereof) shall in no way be construed to prohibit Abbott or Pharmaceutical Company from making representations with respect to Depakote that are permitted under Federal law or in Labeling for the drug under the most current draft or final standard promulgated by the FDA or the most current draft or final FDA Guidance for Industry, or permitted or required under any Investigational New Drug Application, New Drug Application, Supplemental New Drug Application, or Abbreviated New Drug Application



approved by FDA, so long as the representation, taken in its entirety, is not false, misleading or deceptive.

## **II. JURISDICTION & VENUE**

2.1 The Court has jurisdiction over the subject matter of this action and of the parties hereto, pursuant to § 42-110a *et seq* of the Connecticut General Statutes. Jurisdiction is retained by this Court for the purpose of enabling the Attorney General or the Defendants to apply to this Court for such further orders and directions as may be necessary or appropriate for the construction and modification of the injunctive provisions herein, or execution of this Judgment, including punishment for any violation of this Judgment. Pursuant to § 42-110a *et seq* of the Connecticut General Statutes, venue as to all matters between the parties relating hereto or arising out of this Judgment is solely in the State of Connecticut.

2.2 The Defendant waives any defect associated with service of the Attorney General's Complaint and this Judgment and does not require issuance and service of a Summons.

2.3 The Court has jurisdiction over the subject matter of this action and of the parties hereto, pursuant to § 42-110m of the Connecticut General Statutes.

## **III. DEFINITIONS**

IT IS ORDERED, ADJUDGED AND DECREED THAT, the following definitions shall be used in construing this Judgment:

3.1 **“Abbott”** shall mean Abbott Laboratories, including all of its past and present subsidiaries, divisions, affiliates, co-promoters, controlled joint ventures, predecessors, successors and assigns, and each and all of its current and former officers, directors, shareholders, employees, agents and contractors.

3.2 **“Covered Conduct”** shall mean Responsible Entity’s Promotional practices and dissemination of information to Health Care Professionals regarding Depakote in the United States.

3.3 **“Depakote”** shall mean all Responsible Entity Products that are FDA approved drug formulations containing valproate or valproic acid and sold under the trade name Depakote, including, but not limited to, Depakote, Depakote ER, Depakote DR, Depakote Sprinkles, Depakene and Depakon, and are approved by the FDA for the treatment of epilepsy, migraine headaches, and acute manic or mixed episodes associated with bipolar disease.

3.4 **“Effective Date”** shall mean the date on which a copy of this Judgment, duly executed by Abbott and by the Signatory Attorney General, is approved by, and becomes a Judgment of the Court.

3.5 **“FDA Guidance for Industry”** shall mean final documents issued by the FDA pursuant to 21 U.S.C. §371(h) that represent the FDA’s latest thinking on the topic.

3.6 **“Health Care Professional”** or **“HCP”** shall mean any U.S. based physician or other health care practitioner who is licensed to provide health care services or to prescribe pharmaceutical products.

3.7 **“Labeling”** shall mean all FDA-approved labels and other written, printed, or graphic matters (a) upon any article or any of its containers or wrappers, or (b) accompanying such article.

3.8 **“Medical Information Response”** shall mean a non-Promotional, scientific communication to address Unsolicited Requests for medical information from HCPs regarding Depakote.

3.9 **“Multistate Executive Committee”** shall mean the Attorneys General and their staffs representing Ohio, Oregon, Illinois, Florida, North Carolina, Pennsylvania, South Carolina, and Texas.

3.10 **“Multistate Working Group”** shall mean the Attorneys General and their staff representing Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Florida, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, District of Columbia, West Virginia, and Wisconsin.

3.11 **“Off-Label”** shall mean a use not consistent with the indications section of the Depakote Labeling approved by the FDA at the time information regarding such use was communicated.

3.12 **“Parties”** shall mean Responsible Entity and the Signatory Attorney General.

3.13 **“Promotional,” “Promoting,” or “Promote”** shall mean representations about Depakote and other product-related practices intended to increase sales or that attempt to influence prescribing practices of HCPs.

3.14 **“Promotional Materials”** shall mean any item that is used to Promote Depakote.

3.15 **“Promotional Speaker”** shall mean a HCP speaker who is engaged as a non-employee of Responsible Entity to Promote Depakote.

3.16 **“Reprints Containing Off-Label Information”** shall mean articles or reprints from a scientific or medical journal, as defined in 21 C.F.R. 99.3(j), or reference publication, as defined in 21 C.F.R. 99.3(i), describing an Off-Label use for Depakote.

3.17 **“Responsible Entity Marketing”** shall mean Responsible Entity personnel with responsibilities for marketing Depakote in the United States.

3.18 **“Responsible Entity Medical”** shall mean Responsible Entity personnel in the Global Pharmaceutical Research & Development organization who are assigned to the United States and who have responsibilities related to Depakote.

3.19 **“Responsible Entity Sales”** shall mean the Responsible Entity sales force responsible for U.S. Depakote sales.

3.20 **“Scientifically Trained Personnel”** shall mean Responsible Entity personnel experts with specialized training, scientific and medical knowledge whose roles involve the provision of specialized medical or scientific information, including Medical Affairs and Clinical Science Managers, but excluding anyone performing sales, marketing or other commercial roles.

3.21 “**Signatory Attorney General**” shall mean the Attorney General of Connecticut, or his/her authorized designee, who has agreed to this Judgment.

3.22 “**State Consumer Protection Laws**” shall mean the consumer protection laws cited in Footnote 1 under which the Attorneys General have conducted the investigation.

3.23 “**Unsolicited Request**” shall mean a request for Off-Label information regarding Depakote from a HCP communicated to an employee or contract sales agent of Responsible Entity that has not been prompted by Responsible Entity.

## **VI. INJUNCTIVE PROVISIONS**

IT IS FURTHER ORDERED, ADJUDGED AND DECREED THAT:

4.1 In Promotional Activities Responsible Entity shall not make, or cause to be made, any written or oral claim that is false, misleading, or deceptive regarding Depakote.

4.2 In Promotional Activities Responsible Entity shall not Promote Depakote for Off-Label uses.

4.3 In Promotional Activities Responsible Entity shall require that the compensation (including through salaries, bonuses, and contests) of its United States sales representatives be designed to ensure that financial incentives do not motivate such individuals to engage in Off-Label Promotion of Depakote.

4.4 For five years from the Effective Date of this Judgment, Responsible Entity shall inform Responsible Entity Sales and Marketing personnel of the results of any company-sponsored clinical trial relating to Depakote completed after the Effective Date.

4.5 In the Dissemination and Exchange of Medical Information The content of Responsible Entity's communications concerning Off-Label uses of Depakote shall not be false, misleading or deceptive.

4.6 For five years from the Effective Date of this Judgment Responsible Entity Medical shall have ultimate responsibility for developing and approving the medical content for all Medical Information Responses regarding Depakote, including any that may describe Off-Label information. Responsible Entity shall not distribute any such materials unless:

- A. Clinically Relevant Information is included in these materials to provide scientific balance;
- B. Data in these materials are presented in an unbiased, non-Promotional manner;
- C. These materials are clearly distinguishable from sales aids and other Promotional Materials.

4.7 For five years from the Effective Date of this Judgment Responsible Entity Sales and Responsible Entity Marketing personnel shall not develop the medical content of Medical Information Responses regarding Depakote. This provision does not prohibit Responsible Entity Sales or Responsible Entity Marketing personnel from suggesting topics for Medical Information Responses.

4.8 For five years from the Effective Date of this Judgment Responsible Entity Sales and Responsible Entity Marketing personnel shall not distribute Medical Information Responses regarding Depakote.

4.9 For five years from the Effective Date of this Judgment Responsible Entity shall not knowingly disseminate any Medical Information Response that makes any false or misleading representation regarding Depakote or any false or misleading statement concerning a competing product.

4.10 For five years from the Effective Date of this Judgment in responding to an Unsolicited Request for Off-Label information regarding Depakote, including any request for a specific article related to Off-Label uses, Responsible Entity shall advise the requestor that the request concerns an Off-Label use, and inform the requestor of the drug's FDA-approved indication(s) and/or dosage and other relevant Labeling information.

4.11 For five years from the Effective Date of this Judgment if Responsible Entity elects to respond to an Unsolicited Request for Off-Label information from a HCP regarding Depakote, Responsible Entity Medical personnel shall be required to provide accurate, objective, and scientifically balanced responses. Any such response shall not Promote Depakote for any Off-Label use(s).

4.12 For five years from the Effective Date of this Judgment any written response to an Unsolicited Request for Off-Label information regarding Depakote shall include:

- A. Medical Information Response or other document prepared in response to the request in accordance with Paragraphs 4.6, 4.7, 4.8, 4.9, 4.10 and 4.11; or
- B. A report containing the results of a reasonable literature search using terms from the request.

4.13 For five years from the Effective Date of this Judgment Responsible Entity Sales and Responsible Entity Marketing personnel may respond in writing to an Unsolicited Request for Off-Label information regarding Depakote from a HCP only by informing the HCP of the presence or absence of published studies concerning the Off-Label topic or by acknowledging whether the topic is an area of research, and by offering to request on behalf of the HCP that a Medical Information Response or other information be sent to the HCP in follow up, provided it complies with Paragraph 4.12 set forth above. Responsible Entity Sales and Responsible Entity Marketing personnel shall not characterize, describe, identify, name, or offer any opinions about or summarize any such Off-Label information.

4.14 For five years from the Effective Date of this Judgment Responsible Entity Sales and Responsible Entity Marketing personnel may respond orally to an Unsolicited Request for Off-Label information regarding Depakote from a HCP only by informing the HCP of the presence or absence of published studies concerning the Off-Label topic or by acknowledging whether the topic is an area of research, and by offering to request on behalf of the HCP that a Medical Information Response or other information be sent to the HCP in follow up. Responsible Entity Sales and Responsible Entity Marketing personnel shall not characterize, describe, identify, name, or offer any opinions about or summarize any such Off-Label information.

4.15 For five years from the Effective Date of this Judgment Responsible Entity Medical and/or Responsible Entity's regulatory function shall be responsible for the



identification, selection and approval of Reprints Containing Off-Label Information regarding Depakote.

4.16 For five years from the Effective Date of this Judgment Reprints Containing Off-Label Information regarding Depakote shall:

A. Be accompanied by the full prescribing information for the product, or a clearly and conspicuously described hyperlink that will provide the reader with such information, and contain a disclosure in a prominent location, which would include the first page or as a cover page where practicable, indicating that the article may discuss Off-Label information; and

B. Not be referred to or used in a Promotional manner.

4.17 For five years from the Effective Date of this Judgment reprints Containing Off-Label Information regarding Depakote may be disseminated only by Responsible Entity Scientifically Trained Personnel to HCPs. Responsible Entity Non-Scientifically Trained Personnel shall not disseminate these materials to HCPs.

4.18 For five years from the Effective Date of this Judgment Responsible Entity shall disclose, at least annually, on its company website Depakote-related Continuing Medical Education ("CME") grants in amounts of more than \$200. The information posted on the company website shall include: (1) definitions for the types of grants and donations posted; (2) list of recipients in alphabetical order; and (3) payment amount and purpose. Currently Abbott discloses this information at <http://www.abbott.com/citizenship/disclosures/financial-support.htm>.

A. Responsible Entity shall maintain this information on its website once posted for at least two years and shall maintain the information in a readily accessible format for review by the States upon written request for a period of three years.

4.19 For five years from the Effective Date of this Judgment Responsible Entity's Grant-Making Function shall manage all requests for funding related to CME regarding Depakote. Such approval decisions shall be made by financial and/or other organizations separate from the Responsible Entity Sales and Responsible Entity Marketing organizations.

4.20 For five years from the Effective Date of this Judgment Responsible Entity shall not use CME grants to Promote Depakote. This provision includes, but is not limited to, the following prohibitions:

A. Responsible Entity Sales and Responsible Entity Marketing personnel shall not initiate, coordinate or implement grant applications on behalf of any customer or HCP regarding Depakote;

B. Responsible Entity Sales and Responsible Entity Marketing personnel shall not be involved in selecting grantees or CME-funded speakers regarding Depakote; and

C. Responsible Entity Sales and Responsible Entity Marketing personnel shall not measure or attempt to track in any way the impact of grants or speaking fees on the participating HCPs' subsequent prescribing habits, practices or patterns regarding Depakote.

4.21 For five years from the Effective Date of this Judgment Responsible Entity shall not condition funding of a CME program grant request regarding Depakote upon the requestor's selection or rejection of particular speakers.

4.22 For five years from the Effective Date of this Judgment Responsible Entity shall not control, or attempt to influence selection of the specific topic, title, content, speakers or audience for CMEs regarding Depakote, consistent with ACCME guidelines.

4.23 For five years from the Effective Date of this Judgment Responsible Entity Sales and Responsible Entity Marketing personnel shall not approve CME grant requests regarding Depakote, nor attempt to influence the Responsible Entity's grant-making function to reward any customers or HCPs with grants for their prescribing habits, practices or patterns regarding Depakote.

4.24 For five years from the Effective Date of this Judgment Responsible Entity shall contractually require providers of Depakote-related CME programs to disclose to CME program attendees Responsible Entity's financial support of the CME program and any significant financial or other relationship with faculty and speakers at such CME.

4.25 For five years from the Effective Date of this Judgment after the initial delivery of a CME program, Responsible Entity shall not distribute, arrange, or provide HCPs access to any accredited presentations containing Off-Label Information regarding Depakote. If Responsible Entity's grant-making function or Responsible Entity Medical learns that a CME's program's content has more than an incidental reference to Off-Label Information regarding Depakote, it will not fund the CME program in the future.

4.26 For five years from the Effective Date of this Judgment Responsible Entity shall report research regarding Depakote in an accurate, objective and balanced manner as follows and as required by applicable law:

A. To the extent permitted by the National Library of Medicine and as required by the FDA Amendments Act of 2007 (Public Law No. 110-85), Responsible Entity shall register clinical trials and submit clinical trial results to the registry and results data bank regarding Depakote as required by the FDA Amendments Act and any accompanying regulations that may be promulgated pursuant to that Act. With respect to Depakote, Abbott registers on a publicly accessible NIH website ([www.clinicaltrials.gov](http://www.clinicaltrials.gov)) the initiation of all applicable Abbott-sponsored clinical trials involving individuals beginning after the Effective Date and posts a summary of the results of all applicable Abbott-sponsored clinical trials in patients or volunteers that were completed after the Effective Date.

4.27 For five years from the Effective Date of this Judgment when presenting information about a clinical study regarding Depakote in any Promotional Materials, Responsible Entity shall not do any of the following:

A. Present favorable information or conclusions from a study that is inadequate in design, scope, or conduct to furnish significant support for such information or conclusions;

B. Use the concept of statistical significance to support a claim that has not been demonstrated to have clinical significance or validity, or fails to reveal the range of variations around the quoted average results;

C. Use statistical analyses and techniques on a retrospective basis to discover and cite findings not soundly supported by the study, or to suggest scientific validity and rigor for data from studies the design or protocol of which are not amenable to formal statistical evaluations;

D. Present the information in a way that implies that the study represents larger or more general experience with the drug than it actually does; or

E. Use statistics on numbers of patients or counts of favorable results or side effects, derived from pooling data from various insignificant or dissimilar studies in a way that suggests either that such statistics are valid if they are not or that they are derived from large or significant studies supporting favorable conclusions when such is not the case.

4.28 For five years from the Effective Date of this Judgment when submitting information about a clinical study regarding Depakote for publication, Responsible Entity shall:

A. Require that a person can be considered an "author" only if he or she has made substantial contributions to the conception and design of the study, acquisition or analysis of data and has final approval of the version to be published, unless otherwise required by a journal or congress, in which case the journal or congress criteria for authorship will be followed; and

B. Acknowledge Responsible Entity's role as the funding source of all Responsible Entity-initiated research and clinical trials in all related scientific publications.

## V. TERMS RELATING TO REPAYMENT

IT IS FURTHER ORDERED, ADJUDGED AND DECREED THAT:

5.1 No later than 30 days after the Effective Date of this Judgment, Abbott shall pay a total amount of \$100 million to be divided and paid by Abbott directly to each Signatory Attorney General of the Multistate Working Group in an amount to be designated by and in the sole discretion of the Multistate Executive Committee. Said payment shall be used by the States as and for attorneys' fees and other costs of investigation and litigation, or be placed in, or applied to, a consumer protection enforcement fund, including future consumer protection enforcement, consumer education, litigation or local consumer aid fund or revolving fund, or used to defray the costs of the inquiry leading hereto, and may be used to fund or assist in funding programs directed at conditions for which Depakote is used to treat, including but not limited to education and outreach or for other uses permitted by state law, at the sole discretion of each Signatory Attorney General.<sup>2</sup>

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<sup>2</sup> Connecticut's share is \$1,529,542 of which \$150,000 is to be designated for the Department of Consumer Protection Prescription Drug Monitoring Program.

## VI. RELEASE

IT IS FURTHER ORDERED, ADJUDGED AND DECREED THAT:

6.1 By its execution of this Judgment, the State of Connecticut releases and forever discharges, to the fullest extent permitted by law, Abbott and all of its past and present subsidiaries, divisions, affiliates, co-promoters, controlled joint ventures, predecessors, successors and assigns, including Pharmaceutical Company and Diversified Company, and each and all of their current and former officers, directors, shareholders, employees, agents and contractors, (collectively, the "Released Parties") from the following: all civil claims, causes of action, damages, restitution, fines, costs, attorneys fees, and penalties that the Connecticut Attorney General could have asserted against the Released Parties under the above-cited consumer protection statutes, successor statutes, or common law claims concerning unfair, deceptive or fraudulent trade practices impacting consumers or state statutes equivalent to the federal Food, Drug and Cosmetic Act that the Office of the Attorney General has the authority to release resulting from the Covered Conduct up to and including the Effective Date that is the subject of this Judgment.

6.2 Notwithstanding any term of this Judgment, specifically reserved and excluded from the Release in Paragraph 6.1 as to any entity or person, including Released Parties, are any and all of the following:

A. Any criminal liability that any person and/or entity, including Released Parties, has or may have to the State of Connecticut.

B. Any civil or administrative liability that any person and/or entity, including Released Parties, has or may have to the State of Connecticut not expressly covered by the release in Paragraph 6.1 above, including but not limited to any and all of the following claims:

- i) State or federal antitrust violations;
- ii) Reporting practices, including “best price,” “average wholesale price” or “wholesale acquisition cost;”
- iii) Medicaid violations, including federal Medicaid drug rebate statute violations, Medicaid fraud or abuse, and/or kickback violations related to any State’s Medicaid program;
- iv) State false claims violations;
- v) Any liability under the State of Connecticut’s above-cited consumer protection laws which any person and/or entity, including Released Parties, has or may have to individual consumers of said State; and
- vi) Any liability under the State of Connecticut’s above-cited consumer protection laws or other actions of state program payors, which any person and/or entity, including Released Parties, has or may have to State program payors of said State.



## VII. DISPUTE RESOLUTION

IT IS FURTHER ORDERED, ADJUDGED AND DECREED THAT:

7.1 For the purposes of resolving disputes with respect to compliance with this Judgment, should any of the Signatory Attorneys General have a reasonable basis to believe that Responsible Entity has engaged in a practice that violates a provision of this Judgment subsequent to the Effective Date of this Judgment, then such Attorney General shall notify Responsible Entity in writing of the specific objection, identify with particularity the provisions of this Judgment that the practice appears to violate, and give Responsible Entity thirty (30) days to respond to the notification; provided, however, that a Signatory Attorney General may take any action if the Signatory Attorney General concludes that, because of the specific practice, a threat to the health or safety of the public requires immediate action. Upon receipt of written notice, Responsible Entity shall provide a good-faith written response to the Attorney General notification, containing either a statement explaining why Responsible Entity believes it is in compliance with the Judgment, or a detailed explanation of how the alleged violation occurred and a statement explaining how Responsible Entity intends to remedy the alleged breach. Nothing in this paragraph shall be interpreted to limit the state's Civil Investigative Demand ("CID") or investigative subpoena authority, to the extent such authority exists under applicable state law, and Responsible Entity reserves all of its rights with respect to a CID or investigative subpoena issued pursuant to such authority.

7.2 Upon giving Responsible Entity thirty (30) days to respond to the notification described above, the Signatory Attorney General shall also be permitted reasonable access to

inspect and copy relevant, non-privileged, non-work product records and documents in the possession, custody or control of Responsible Entity that relate to Responsible Entity's compliance with each provision of this Judgment as to which cause that is legally sufficient in the State has been shown.

7.3 The State may assert any claim that Responsible Entity has violated this Judgment in a separate civil action to enforce compliance with this Judgment, or may seek any other relief afforded by law, but only after providing Responsible Entity an opportunity to respond to the notification described in Paragraph 7.2 above; provided, however, that a Signatory Attorney General may take any action if the Signatory Attorney General concludes that, because of the specific practice, a threat to the health or safety of the public requires immediate action.

## **VIII. GENERAL PROVISIONS**

IT IS FURTHER ORDERED, ADJUDGED AND DECREED THAT:

8.1 Abbott has publicly announced that it plans to separate into two publicly traded companies, one a diversified medical products company, which may retain the Abbott name, ("Diversified Company") and the other a research-based pharmaceutical company ("Pharmaceutical Company") which will not be a subsidiary or corporate affiliate of Abbott (this separation is hereinafter referred to as the "Transaction" and the "Effective Time" shall be the date and time that the Transaction becomes effective). For the purpose of this Judgment and the provisions herein, the term "Responsible Entity" shall mean the corporate entity that bears the obligations of this Judgment. Abbott shall be the Responsible Entity prior to the Effective Time

and Pharmaceutical Company shall be the Responsible Entity after the Effective Time. Abbott also has represented to the States that at the Effective Time of the Transaction, the assets of Abbott's research-based human pharmaceuticals products business will be transferred, conveyed, and/or assigned by Abbott to the Pharmaceutical Company and that the Diversified Company shall no longer be involved in the marketing or promotion of research-based human pharmaceutical products in the United States. After the Effective Time, Pharmaceutical Company will be deemed to be the successor in interest, for purposes of this Judgment, and all of Abbott's obligations herein will become the obligations of Pharmaceutical Company. Neither Abbott nor Diversified Company shall have any further obligations under this Judgment after the Effective Time.

8.2 This Judgment represents the full and complete terms of the settlement entered into by the Parties hereto. In any action undertaken by the Parties, no prior versions of this Judgment, and no prior versions of any of its terms that were not entered by the Court in this Judgment, may be introduced for any purpose whatsoever.

8.3 This Judgment may be executed in counterparts, and a facsimile or PDF signature shall be deemed to be, and shall have the same force and effect as, an original signature.

8.4 All Notices under this Judgment shall be provided to the following via Overnight

Mail:

General Counsel  
Abbott Laboratories  
100 Abbott Park Road  
Abbott Park, IL 60064-3500

And State Contact

Thomas J. Saadi  
Assistant Attorney General  
Office of the Attorney General  
110 Sherman Street  
Hartford, CT 06105  
Phone: 860.808.5400  
Fax: 860.808.5593  
Email: thomas.saadi@ct.gov

8.5 To the extent that any provision of this Judgment obligates Responsible Entity to change any policy(ies) or procedure(s) and to the extent not already accomplished, Responsible Entity shall implement the policy(ies) or procedure(s) as soon as reasonably practicable, but no later than 120 days after the Effective Date of this Judgment.

**IX. COURT COSTS AND FEES**

There are no Courts costs associated with the filing of this Stipulated Judgment that shall be paid by the Defendant. No discretionary costs shall be taxed against the Attorney General.

**IT IS SO ORDERED, ADJUDGED, AND DECREED.**

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 2012

Judge \_\_\_\_\_